In Vitro Gastric Digestion of Protein-based Structured Food -An Engigeering Approach Qi Luo

2018

In Vitro Gastric Digestion of Protein-based Structured Food - An Engineering Approach

Pepsin

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Qi Luo

Propositions

1. Pepsin has evolved to allow us maximum use of scarce protein sources; understanding its mechanism allows us to balance our food uptake.

(this thesis)

2. The reaction mechanism of pepsin can only be properly understood when the complex and variable structure of food matrix is considered.

(this thesis)

3. From an engineer's perspective on the stomach, 'eat little and often' is more efficient than consuming big meals.

4. The gap between science disciplines is as disconcerting as the gap between science and general public.

5. An 'Open discussion' platform will provide more scientific progress than 'Open Access'.

6. Creative jobs will be just as suitable for automation and robotization as other jobs.

7. There is more diversity among individuals from the same culture than there is difference between cultures.

Propositions belonging to the thesis, entitled "In vitro gastric digestion of protein-based structured food - an engineering approach" Qi Luo Wageningen, 25 June 2018

In vitro gastric digestion of protein-based structured food - an engineering approach

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In vitro gastric digestion of protein-based structured food - an engineering approach

Qi Luo

Thesis

submitted in fulfilment of the requirements for the degree of doctor at Wageningen University by the authority of the Rector Magnificus, Prof. Dr A.P.J. Mol, in the presence of the Thesis Committee appointed by the Academic Board to be defended in public on Monday 25 June 2018 at 4 p.m. in the Aula.

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Chapter 1

Introduction

1.1 Food and health

The global prevalence of overweight (generally defined by a body mass index (BMI) over 25) and obesity (generally defined by a BMI over 30) continues to rise at an alarming rate over the past decades, in developed and developing countries, regardless of gender, age, race and educational level (World Health Organization 2000; Heymsfield and Wadden 2017). In the United States, 17.2% of children and adolescents aged 2 to 19 and 37.8 % of adults aged 20 and over were obese in 2013-2014 (National Center for Health Statistics 2017). Among all the causes, one explanation for obesity is over-consumption of food, as people are exposed to high-calorie foods that are readily available, relatively affordable, and appealing (Whitney, Cataldo, and Sharon 1998). The various health consequences of overweight and obesity range from an increased risk of premature death to non-fatal but adverse effects on quality of life. Other related health risks include increased incidence of type II diabetes, hypertension, cardiovascular disease, some cancers, kidney stones and respiratory problems (World Health Organization 2000; Heymsfield and Wadden 2017). Despite the abundance of foods in many countries, nutritional deficiencies can still be found in both normal-weight and obese population, due to unbalanced dietary intake (Kaidar-Person et al. 2008). Moreover, the aging of the population raises new challenges. The elderly are more vulnerable to nutritional deficiencies, due to reduced appetite, higher prevalence of chronic diseases, as well as changes in the gastrointestinal function (Salles 2007; Levi and Lesmes 2014).

Food does not only just sustain our survival, but is also essential in our quality of life. We need to better understand the relation between the digestion process and the properties of the foods that are digested, to design foods foods that provide balanced nutrition for specific groups of consumers. For instance, food that provides better and faster satiety may reduce over-consumption, and food that offers better digestibility and nutrient delivery may alleviate malnutrition in the aging consumers.

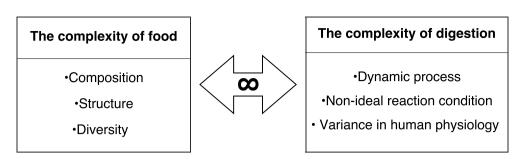


Figure 1.1: The complexity of food digestion

The first research on the process of digestion dates back more than one hundred years ago. These studies mainly focus on the metabolic and physiological aspects of digestion. The measurements in of these studies are mostly end-point measurements such as blood glucose response, plasma amino acids, etc., while the processes that happen between ingestion and absorption received less attention. In recent years, food scientists started to consider the fate of food after consumption, as they realized that the impact of food on health is more than just the sum of the ingredients (Mackie 2017). Attention to the influence of the food structure on the digestion process is needed.

However, the food digestion is a challenging subject, due to the complexity of both the structured food and the digestion process. The complexity of foods lies in their multicomponent nature, as well as their diverse and multiscale structure. The complexity of digestion lies in the dynamic and multi-scale processes, in the reaction kinetics under complex and dynamic conditions, in the release of nutrients from heterogeneous matrices, and in the physiological response of the human body. The combined complexity of the food and the digestion process results in a large range of challenges (Fig. 1.1).

1.2 Overview of the digestive system

The digestion of food starts in the mouth, where the solid food is masticated by teeth, mixed with saliva and formed into a cohesive mass,

i.e. the food bolus. The mastication breaks down solid foods to particles ranging from lower than 1 mm to approximately 3 mm in size, depending on the texture of the food (Kong and R. P. Singh 2008b). The collection of these particles, the bolus, is then swallowed and undergoes a process of swelling, hydrolysis, disintegration, and dissolution in the stomach (Bornhorst and R. P. Singh 2012; Kozu et al. 2014), which mostly destroys the original structure of the food. The chemical and mechanical actions of the stomach produce the chyme. The chyme is transferred to the duodenum, which is the first part of the small intestine. In the duodenum, the chyme is mixed with secretions from the liver, the exocrine pancreas and the duodenum itself. These secretions contain protease, lipase, amylase, emulsifier, hormones, etc. In addition, the sodium bicarbonate from the pancreatic juice alters the pH of the acidic chyme to neutral or slightly alkaline. The chyme slowly traverses throughout the small intestine and is further digested and absorbed. The unabsorbed and unusable food residues arrive in the large intestine (the colon), where electrolyte and water absorption occurs (Pocock, C. D. Richards, and D. Richards 2013). The large intestine is heavily colonized by bacteria. Through fermentation of the food residues, the colonic bacteria produce compounds that can affect gut physiology both positively and negatively.

1.3 Physiology of gastric digestion

Food digestion research is an interdisciplinary field. Although we focused on the physicochemical processes of the digestion, to thoroughly understand the mechanism of digestion, the physiology related to the gastric digestion should be discussed.

The functions of the stomach include storage, mixing of the food and gastric fluid to produce chyme; mechanical breakdown and chemical digestion of food; secretion of acid, enzymes, mucus and intrinsic factor; and regulating the exit of processed food into the small intestine (Pocock, C. D. Richards, and D. Richards 2013). The stomach is divided into three regions: the fundus, the body, and the antrum (Fig.

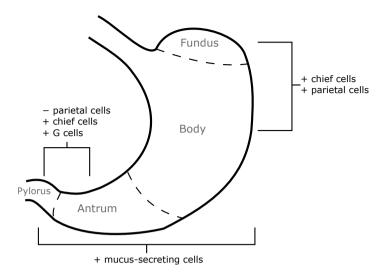


Figure 1.2: A schematic diagram of a human stomach. Three regions and the prevalence of secretory cells in the regions are shown. The + or - signs indicate the high or low prevalences.

Table 1.1: Secretory cells in gastric glands			
Cell type	Secretion		
Surface mucous cell	Mucus		
Mucous neckcell	Mucus		
Parietal cell	HCl, intrinsic factor		
Chief cell	Pepsinogen		
G cell	Gastrin		

1.2). The fundus is the domed portion in the top of the stomach. The wall of the fundus is thin, which can expand easily. In the body of the stomach, the gastric mucosa folds into rugae which can flatten when required. The fundus and body both allow the stomach to expand to accommodate increases in volume after a meal, providing a reservoir. The antrum is the lower region. It is narrower and smaller in volume. The antrum has the strongest muscle layer and is responsible for the major part of gastric motility (Stanfield 2017).

1.3.1 Gastric Secretions

The gastric mucosa contains numerous gastric glands, where a variety of secretory cells are located. These cells are highly specialized, with different contributions to gastric secretion (Table 1.1). The mucussecreting cells are distributed in all regions of the stomach. In the fundus and body of the stomach, the glands contain numerous chief and parietal cells which secrete pepsinogen, HCl and intrinsic factor. In the antral and pyloric regions, there are much less parietal cells, while the secretions of pepsinogens and gastrin are predominant (Del Valle and Todisco 2008; Pocock, C. D. Richards, and D. Richards 2013).

The secretion of the gastric glands forms the gastric fluid, which contains water, salt, HCl, pepsinogens, intrinsic factor, etc. The exact composition and flow rate varies according to the phase of food ingestion and digestion. Adults secrete 2 L-3 L of gastric fluid each day (Pocock, C. D. Richards, and D. Richards 2013).

Pepsin (EC 3.4.23.1) is the major enzyme in gastric fluid. It is secreted as pepsinogen and activated in low pH (Kageyama 2014). Compare to other proteases, pepsin has a broad specificity with a preference to hydrophobic residues (Rawlings and Salvesen 2012). As an aspartic protease, pepsin has a optimum pH of around 2.0 (Kondjoyan, Daudin, and Santé-Lhoutellier 2015; Pletschke, Naudé, and Oelofsen 1995). Therefore, the secretion rate of gastric fluid does not only affect the amount of pepsin in the stomach, but also affects the activity of pepsin by determining the gastric pH.

1.3.2 Gastric Motility

The gastric motility, i.e. the coordinated contractions of the stomach muscle layers, has an important role in gastric digestion. The stomach performs a wide variety of movements including grinding, churning, kneading as well as propulsion, which enhances the disintegration and digestion of food. The predominant type of gastric motility is the regular peristalses that originate from the middle of the stomach and develops towards the bottom (M. Ferrua and R. P. Singh 2010). *In vivo* data shows that these peristaltic waves have a frequency of 3 times per minute and create a local relative pressure that approximately peaks at 50 mmHg or 6.7 kPa (Cassilly et al. 2008; Marciani et al. 2001; Vassallo et al. 1992)

1.3.3 Gastric Emptying

The gastric emptying is carefully regulated by altering the contraction forces in different regions of the stomach (Kelly 1980). The pyloric sphincter acts as a valve and sieve to limit the passage of gastric content to the duodenum. Liquid emptying is rapid compared to solid emptying. Solid food can only be emptied after being disintegrated into particles smaller than a few millimeters (Holt et al. 1982). The caloric content and volume both affect the rate of gastric emptying (Kong and R. P. Singh 2008b). The presence of acid, digested protein and fatty acids in the duodenum triggers hormonal and neural reflexes that regulate gastric emptying (Pocock, C. D. Richards, and D. Richards 2013).

Gastric emptying rate influences the development satiety. Satiety is defined as the post-meal inhibitory processes that suppress the motivation to eat, and it is closed related to dietary signals in the stomach and small intestine (Corstens, Berton-Carabin, Vries, et al. 2015). Since over-consumption is one of the major causes of obesity, appetite control through delayed gastric emptying or induced satiety has been considered as a tool to fight obesity.

1.4 Methods to study food digestion

Different aspects of the gastric digestion of food have been explored. Studies on food digestion include the breakdown kinetics of structured food (Kong, Oztop, et al. 2011; A. Ye et al. 2016; Floury et al. 2018), the digestive products released by foods (Kopf-Bolanz et al. 2014; Denis et al. 2016), the bio-accessibility of micro-nutrients and functional ingredients (Fogliano et al. 2011; Roman, Burri, and R. P. Singh 2012; Papillo et al. 2014; Lorieau et al. 2018), structure design for tailored release of nutrients (McClements 2015; van Leusden et al. 2016; Corstens, Berton-Carabin, Elichiry-Ortiz, et al. 2017), etc. The digestion of food has been investigated using various methods including *In vivo*, *in vitro*, and *in silico* methods. The advantages and disadvantages of these methods and some representative studies are discussed in this sections.

1.4.1 In vivo methods

In vivo methods provide direct data on human subjects. The techniques include nasogastric tube sampling (Sullivan et al. 2014) and blood sampling (Boirie et al. 1997) to study the physiological and metabolic response of human subjects. However, these methods are end-point measurements which cannot yield much information about the dynamic processes during the food digestion. Moreover, variations between individual human subjects lead to large differences in the responses (Bornhorst, Gouseti, et al. 2016). More recently, Magnetic resonance imaging (MRI) technique has been applied to monitor the realtime events occurring in gastric digestion. Using MRI, Steingoetter et al. (2015) studied the structural transformation of lipid emulsion in the stomach, Camps and coworkers studied the relative effects of the energy density and viscosity on gastric emptying and satiety. In these studies, MRI provides new insight on the fate of food in the stomach (Camps et al. 2016), but still cannot clarify the detailed processes taking place with and around the food itself.

In vivo, intrusive animal experiments are an alternative to human experiments. Zebrowska and coworkers surgically fitted a re-entrant cannula in the duodenum of growing pigs to study the gastric digestion of protein and carbohydrate, gastric secretion and exocrine pancreatic secretion (T. Zebrowska, Low, and H. Zebrowska 1983). Barbé et al. used multi-canulated mini-pigs to study the kinetics of

milk protein digestion and amino acid bioavailability (Barbé, Ménard, Gouar, et al. 2014). Bornhorst and coworkers sacrificed and dissected pigs to study the gastric emptying rate and chyme characteristics of cooked rice meals, and gastric protein hydrolysis of almonds (Bornhorst, Chang, Rutherfurd, Moughan, and R. P. Singh 2013; Bornhorst, M. J. Ferrua, et al. 2013; Bornhorst, Drechsler, et al. 2016).

Both human and animal methods are useful but also have limitations, e.g. technical difficulty, cost, and ethical constraints. Furthermore, the difference between human and animal digestion should also be taken into account, although the digestive system of the pig is closely similar to that of the human. In addition, the full physiological system includes all complexity and therefore makes it sheer impossible to elucidate specific, individual mechanisms that underlie the overall digestive process. Thus, they have only limited relevance for more detailed, mechanistic studies. Therefore, researchers are seeking other means to study the digestion of food.

1.4.2 In vitro methods

Compared to *in vivo* methods, *in vitro* methods are less resourceintensive and ethically controversial, but their most important aspect is the possibility to exclude most complexity of the system and perform systematic studies into individual underlying mechanisms of gastric digestion. *In vitro* models can be categorized into static and dynamic studies.

Static studies reproduce the gastric digestion using pepsin hydrolysis under fixed pH and temperature for a set duration (Guerra et al. 2012). These static systems are very suited for studying the digestion of single substrates or simple meals under specific conditions. Appropriate applications include benchmarking the digestibility of different products or studying specific aspects of the gastric digestion mechanism. Minekus et al. (2014), together with the COST Infogest network, proposed a standardized static *in vitro* digestion method which provides the composition of the simulated gastric fluid (SGF), the pH and the food to SGF ratio. This standardized method allows comparison of different results and studies. However, static models cannot reproduce the dynamic aspect of gastric digestion such as the peristaltic force, the gastric emptying or the change of gastric pH.

Dynamic studies aim to reproduce the secretion of gastric fluid, the peristaltic movement, the gastric emptying, and other dynamic aspects. Reviews by Guerra et al. (2012) and Dupont et al. (2018) summarized and assessed these dynamic models, and discussed their limitations. Overall, dynamic *in vitro* digestion models can better mimic the complexity of the digestive system but their complexity also limits the mechanistic understanding that one can obtain from these systems, without having been preceded by more detailed static studies.

1.4.3 In silico methods

Many in silico models have been developed to describe and predict different aspects of digestion processes. M. Ferrua and R. P. Singh (2010) modeled the fluid dynamics in a human stomach using computational fluid dynamics, and demonstrated the flow patterns induced by the peristaltic movement of the stomach. Kong and R. P. Singh (2009b) studied the disintegration of solid foods in a simulated gastric environment, and used a linear-exponential equation to describe the disintegration profiles of different food materials. Drechsler and M. J. Ferrua (2016) modeled the breakdown mechanics of solid foods during gastric digestion, taking the mechanical properties of food into account. Tonda and coworkers used Monte-Carlo simulation to predict the peptide distribution of pepsin-catalyzed hydrolysis of bovine lactoferrin, based on the subsite specificity of pepsin (Tonda et al. 2017). Current in silico models are limited to very specific condition and food type, some are empirical models that depend on experimental data. Only with a better understanding of the digestion processes, mechanistic in silico models can be developed and applied to universal situations.

1.5 Food structure and digestion

Studies have shown that the food structure is of great influence on the digestion of food and the delivery of nutrients (Turgeon and Rioux 2011; Bornhorst and R. P. Singh 2014; H. Singh, A. Ye, and M. J. Ferrua 2015). Generally, the food disintegration rate decreases when the food hardness increases (H. Singh, A. Ye, and M. J. Ferrua 2015). Kong and R. P. Singh (2009a) studied the gastric disintegration of raw and roasted almonds, and disintegration kinetics of the almonds demonstrated the involvement of the food structure in some physicochemical processes, including the swelling and uptake of acidic gastric juice, the gradual increase of porosity and loss of mechanical stability, and the mechanical attrition and collapse of the matrices (Fig. 1.3). In the gastric digestion of white and brown rice in an in vitro dynamic stomach model, the bran layer on brown rice affects the digestion by decreasing the ingression of gastric juice (Kong, Oztop, et al. 2011). Barbé, Ménard, Le Gouar, et al. (2013) and Guo, A. Ye, Lad, M. Ferrua, et al. (2015) and Nyemb-Diop et al. (2016) studied gastric digestion of different protein gels, and all found that microstructure plays an important role in the food disintegration and emptying rate in the stomach.

The food structure that is left after being processed by the stomach can also influence subsequent intestinal digestion. Gouseti et al. (2014) studied the digestion in an *in vitro* intestinal models, and found that hydrocolloids have a significant effect in retarding simulated glucose accessibility. Casein has dramatically slower absorption than whey protein (Boirie et al. 1997), because casein gels under gastric condition which leads to slower gastric emptying (Lambers, Bosch, and Jong 2013).

Despite the increasing number of studies in the area of food digestion, few have yet provided a mechanistic, quantitative descriptions of the digestion processes. Because of the complexity of food digestion, researchers have proposed to regard food digestion as a combination of processes at multiple, interrelated length scales (Bornhorst, Gouseti,

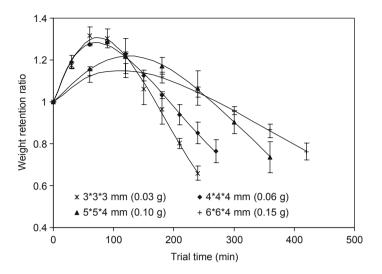


Figure 1.3: Static digestion of fragments of almonds with different sizes, showing primary swelling and ingression of gastric fluid, subsequent hydrolysis and mechanical attrition of the partially hydrolysed matrix (Kong and R. P. Singh 2009a).

et al. 2016). For instance, mastication in the mouth and peristalsis in the stomach both occur at macro-scales (cm to mm), while enzymatic hydrolysis in the gastro-intestinal tract occurs at micro-scales (μ m). Similarly, food structures are highly heterogeneous, with arrangements ranging across several orders of magnitude. The digestion processes at multiple scales have to be analyzed individually under simplified experimental conditions, and then systematically related in coupling models or experiments.

1.6 Objective and outline of this thesis

We aim to study the physicochemical processes in the gastric digestion of protein-based food matrices, to gain quantitative characterization and mechanistic understanding of the gastric digestion of protein food as function of the state and structure of the proteins. We envisage that this will ultimately ultimately give us the possibility to predict the digestion kinetics and design new food matrices based on the characteristics of the food. We focus on the gastric digestion of proteinbased food matrices, because a large part of food structure transformation occurs in the stomach, and protein is both the key component to build food structures and one of the most important macronutrients. The predominant enzyme in the stomach, pepsin, is a protease, which emphasizes the important role of proteins in food structure digestion.

We consider the stomach as a complex bioreactor and interpret the digestion processes as a combination of texture/structure transformation, enzymatic/chemical reactions, and mass transfer processes. With quantitative knowledge of these processes, in combination with the known physical and chemical properties of the foods, we may be able to predict the digestion kinetics of foods regardless of their diversity.

Chapter 2 explores the digestion of protein in solution and in gels in a static simulated environment. The results show that the performance of pepsin is an important factor in the disintegration of protein gel. The effect of the food structure on the digestion of the protein gels was shown to be considerable.

In **Chapter 3**, we then determine the diffusivity of pepsin in whey protein gels by fluorescence correlation spectroscopy (FCS). In combination with the observation from scanning electron microscopy image and gel composition analysis, we demonstrate the mutual influence between pepsin diffusion and food microstructure. To quantitatively characterize the hindrance by the microstructure in the diffusive mobility of the enzyme, we analyzed the diffusivity in varied protein gel matrices (**Chapter 4**). Models for interpreting this hindrance of solute diffusion in hydrogels were applied to describe and predict diffusion in protein gel matrices.

In **Chapter 5**, we then explored the enzymatic kinetics of pepsin with bovine serum albumin at varying pH and ionic strengths. The buffering capacity of the protein was quantified, and the relation between this buffer capacity and acid diffusion in protein gel is explored (**Chapter 6**).

The final chapter (**Chapter 7**) reviews and reflects on the previous chapters. The connection between the physicochemical processes studied was discussed, and integrated in an qualitative overall model. A discussion is given on the influence of the state and structure of proteins in foods, on their gastric digestion.

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Chapter 2

Digestion of protein and protein gels in simulated gastric environment

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Abstract

Despite the increasing attention to food digestion research, food scientists still need to better understand the underlying mechanisms of digestion. Most in vitro studies on protein digestion are based on experiments with protein solutions. In this study, the digestion of egg white protein and whey protein isolate in solution and in gels was investigated using simulated gastric conditions. The digestion process was followed via the dry matter loss, degree of hydrolysis and peptide distribution. We showed that the performance of pepsin is an important factor in protein digestion, and hydrodynamic force effectively disintegrated the gel particles and enhance the hydrolysis of protein. The gel microstructure had shown to be a hindrance for the digestion of protein. However, the hindrance is not simply slowing down the hydrolysis, but also altering the apparent enzyme kinetics to some extent: while the dissolved proteins were hydrolysed through 'zipper' type mechanism, the gels showed a slower 'one-by-one' а mechanism. Overall, we believe that the digestion of the protein gels is influenced by the microstructure of food matrices, caused by the immobilisation of the substrate in the network, and the steric hindrance in the diffusive ingression of pepsin and egression of peptides.

2.1 Introduction

The gastric digestion of food into dissolved or dispersed nutrients is an essential step in human nutrition and health. However, regarding the digestion of solid food, limited knowledge is available, especially on the underlying mechanism of the process (Bornhorst and R. P. Singh 2014). By understanding the disintegration dynamics of solid food in the stomach, the digestion process can be better pictured. As a result, food products may be better designed towards the targeted consumer group.

In the mouth, the solid food is masticated, mixed with saliva and formed into a cohesive mass, i.e. the food bolus. The bolus is then swallowed and undergoes a process of swelling, hydrolysis, disintegration, and dissolution in the stomach (Bornhorst and R. P. Singh 2012; Kozu et al. 2014). In the stomach, the peristaltic waves promote the disintegration of the bolus by grinding and mixing, which reduces diffusion distances and enlarges the interfacial area. The disintegration of the matrix is dependent on the physical and chemical condition of the digestion environment as well as the density and coherence of the food matrix (Bornhorst and R. P. Singh 2012).

When the particles in the bolus are able to pass the pylorus which acts as a sieve, they are selectively emptied to the duodenum (Guerra et al. 2012). The food is then further digested and absorbed in the small intestine (Whitney, Cataldo, and Sharon 1998).

Protein is one of the most important macronutrients in food. The digestion of protein is mostly facilitated by the acid and pepsin in the stomach and subsequently by the pancreatic and intestinal enzymes in the small intestine (Whitney, Cataldo, and Sharon 1998).

Pepsin (EC 3.4.23.1) is the major enzyme in gastric fluid. It is an aspartic protease and has a broad specificity with a preference for hydrophobic residues(Rawlings and Salvesen 2012), especially the aromatic amino acid residues tyrosine and phenylalanine (Fruton and Bergmann 1939).

The enzymatic hydrolysis of proteins, including the peptic hydrolysis, has been extensively studied. With regard to the kinetics of the enzymatic hydrolysis, some models are proposed to characterize the reaction during the proteolysis, including the widely used Linderstrøm-Lang's model.

Linderstrøm-Lang introduced two extreme types of reactions for native globular proteins: the "one-by-one" type and the "zipper" type (Adler-Nissen 1976; Linderstrøm-Lang 1952), also see supplementary material). In the "one-by-one" type, as soon as a protein is attacked by a protease, the protein tends to be hydrolyzed in one sequence to the final products, and thus intermediate products can scarcely be detected. In the "zipper" type, the initial stage of hydrolysis is fast, but the subsequent steps are much slower, which results in a wide range of peptides in solution (Ortiz and An 2000). Most proteases will act in between the two extreme models (Adler-Nissen 1976). Choisnard et al. (2002) observed a 'one-by-one' mechanism for the peptic hydrolysis of native hemoglobin and a 'zipper' type reaction for the hydrolysis of denatured hemoglobin.

Generally, studies into the kinetics and mechanism of enzymatic proteolysis are mostly based on the reaction in solutions, whereas most of the proteins in our food are present in solid foods (even casein in milk tends to precipitate and form a solid mass in the stomach (Lambers, Bosch, and Jong 2013)). It is interesting to study the effect of the solid matrix on the digestion and thus on the behaviour of pepsin. Barbé, Ménard, Gouar, et al. (2014) found that acid and rennet gels show different bioavailability and kinetics in digestion. Guo, A. Ye, Lad, Dalgleish, et al. (2014b) made simulated boluses of soft and hard gels and studied the gastric digestion. In this study we aim at the digestion of well-defined solid, protein matrices in a simulated gastric environment. Protein gels were used for this. We focus on the role of pepsin and its interaction with other factors (e.g., pH and matrix properties).

2.2 Material and methods

2.2.1 Materials

Pepsin from porcine gastric mucosa(≥ 400 activity units/mg protein), mucin from porcine stomach (Type III) and all other chemicals were purchased from SigmaeAldrich, Inc. (St. Louis, USA). Milli-Q water (resistivity 18.2 M Ω cm at 25 °C, Merck Millipore, Billerica, USA) was used in all experiments. Whey Protein Isolate (WPI) (Bipro, lot no. JE 034-70-440-6) was purchased from Davisco Food International, Inc. (Le Sueur, USA) This batch of WPI was reported to have a protein content of 97.9 g/100 g dry solid. Chicken fresh shell eggs were purchased from a local supermarket. The egg white was separated from the whole egg and gently mixed. Afterward, the egg white was lyophilized and ground. The egg white protein (EWP) powder thus obtained was stored under dry conditions at room temperature. The protein content was 89.3 g/ 100 g dry solid measured by DUMAS in duplicate.

2.2.2 Preparation of gel and simulated digestion fluids

Egg white gel and whey protein gel with 15 g protein/100 g gel or 20 g protein/100 g were made as follows: egg white protein powder and WPI were respectively dissolved in water (15 g protein/ 100 g or 20 g protein/100 g) and stirred at room temperature for at least 2 h. The solutions were centrifuged at 1000 rpm (1 rpm = 2π rad/min, approximately 200 × g relative centrifuge force for the swing-bucket rotor) for 10 min to eliminate air bubbles, and were poured into Teflon tubes which were then sealed. The Teflon tubes were rotated at 50 rpm heated in a 90 °C water bath for 30 min min. After that, they were immediately cooled in an ice-water bath. The gels were sealed with multiple layers of kitchen plastic wrap (cling foil) and stored at 4 °C. Visual inspection showed that gel with a concentration of 20 g protein/100 g is much more compact than that gel with a concentration.

tion of 15 g protein/100 g. The gels were stored 1 to 5 days prior to use.

The simulated gastric fluid (SGF) and simulated saliva were prepared based on the composition of human gastric juice and saliva, following Kong and R. P. Singh (2008a). The simulated saliva contained gastric mucin (1 g L⁻¹), a-amylase (2 g L⁻¹), NaCl (0.117 g L⁻¹), KCl (0.149 g L⁻¹), and NaHCO3 (2.1 g L⁻¹). The SGF comprised of pepsin (1 g L⁻¹), mucin (1.5 g L⁻¹), NaCl (8.775 g L⁻¹), and pH 1.8 to 2.0 adjusted with 2 mol L⁻¹ HCl. The SGF without pepsin had the same composition except that no pepsin was added. SGF prepared at pH 3 were used to study the effect of pH in dry matter loss of WPI gel.

2.2.3 Dry matter loss of WPI gel

Static soaking system A based on the design of Kong and R. P. Singh (2008a) was built (Fig. 2.1A) to apply stirring and track the dry matter loss hourly. The system was kept at 37 °C, agitated at 100 rpm.

The gel samples (cylindrical, $\emptyset 7 \text{ mm} \times 9 \text{ mm}$ approximately, original weight (0.25 ± 0.01) g (mean \pm standard deviation (SD), N = 24)) were first dipped into simulated saliva at 37 °C for 15 s to mimic the oral process, afterward they were fixed on the needles and soaked in 300 mL SGF so that direct contact of the gel and the magnetic bar was avoided. Four gel samples were tested in one batch, each time point was an individual experiment. This set-up was used to measure the effect of gel concentration and the effect of pH.

2.2.4 Study of peptic hydrolysis in simulated gastric fluid

Protein solutions and protein gels in static soaking

The hydrolysis of protein solutions and protein gels in SGF was studied in static soaking system B (Fig. 2.1B).

For solution experiments, 0.1 g protein (EWP or WPI) was dissolved in

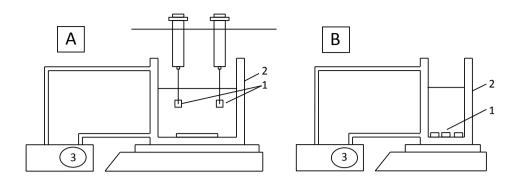


Figure 2.1: Static soaking systems. System A for studying the dry matter loss of whey protein isolate gel and system B for studying protein hydrolysis: 1. Gel samples in simulated gastric juice (SGF) (System A: 4 of \emptyset 7 mm × 9 mm cylindrical samples in 300 mL SGF, system B: 10 of \emptyset 5 mm × 5 mm cylindrical samples in 50 mL SGF) 2. Water-jacketed beaker 3. Heat circulator.

1.9 mL Milli-Q water. These solutions underwent the heat treatment at 90 °C, 30 min, 1400 rpm in a pre-heated Eppendorf thermomixer (Eppendorf AG, Hamburg, Germany). Each heat-treated protein solution was added to one of the vessels containing 50 mL of SGF, stirring at 100 rpm.

For gel digestion experiments, 10 cylindrical particles ($\emptyset 5 \text{ mm} \times 5 \text{ mm}$ approximately) of egg white gel or WPI gel samples (15 g protein/100 g) were digested in 50 mL SGF. The weight was (0.93 ± 0.06) g for 10 particles of egg white gel, and (0.73 ± 0.06) g for WPI gel (mean \pm SD, N = 10). The weighing procedure was done as fast as possible to reduce water evaporation. No agitation was applied in system B, mixing was done only before taking samples.

For solution experiments, 1 ml sample was taken at 10 min, 20 min, 30 min, 45 min, 1 h, 2 h and 3 h; for gel digestion experiments 1 ml sample was taken at 1 h, 2 h, and 3 h. To inactivate pepsin, the gastric juice samples taken from the vessels were heated by a pre-heated Eppendorf thermomixer at 90 $^{\circ}$ C, 1400 rpm for 5 min.

Experiments using SGF without pepsin were done to compare the ef-

fect of pepsin.

Protein gels in the simulated gastric system (U-stomacher)

In the interest of better simulation of the physical force induced by the peristaltic movement in the stomach, a simulated gastric system was designed.

Two plastic syringes (50 mL) were connected by silicon tubing (inner $\emptyset = 8 \text{ mm}$) and fixed on an iron stand. One piston was attached to a texture analyzer (Instron 5564, Norwood, USA), the texture analyzer can compress and extend the piston as expected and log the force. The other piston can move freely. The whole setup was kept in the environmental chamber set at 37 °C. This simulated gastric system is named U-stomacher (Fig. 2.2). Ten cylindrical particles (\emptyset 5 mm × 5 mm approximately) of egg white gel or WPI gel samples (15 g protein/100 g gel concentration) were tested in 50 mL SGF in this system. The gel sample can move freely in the syringes and tubes. Experiments using SGF without pepsin were done to compare the effect of pepsin.

The force applied by the texture analyzer is controlled as follows: Initially, the piston was pushed downward at a constant speed (8 mm/s) for 10 s and then stopped for 3 s. Next, the piston was returned to the original position. A single cycle takes 20 s, which is comparable to the typical cycle time of stomach contractions (Marciani et al. 2001). The circulation was repeated for 3 h, while the 1ml fluid sample was taken each hour from a side tube at the connection of the two syringes.

2.2.5 Analysis methods

Determination of dry matter

The gel samples were dried in an oven at 105 °C overnight and weighed afterwards. Before every digestion experiment, 2 aliquots from the original gel were used to determine the percentage of dry

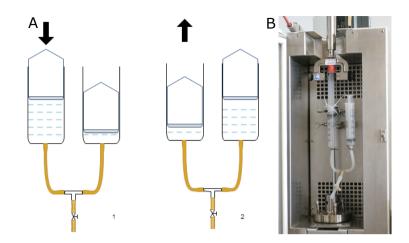


Figure 2.2: The U-stomacher. A: the schematic of the u-stomacher, compression step (1) and return step (2). B: picture of the U-stomacher.

matter (x_{dm}) in duplicate, using

$$x_{dm} = \frac{dm_0}{m_0}$$

where m_0 is the weight of the aliquot, dm_0 is the dry weight of the aliquot.

The dry weight loss of the gel is calculated as follows:

$$Dry matter loss = \frac{m_1 x_{dm} - dm_1}{m_1 x_{dm}}$$

where m_1 is the weight of the gel sample before digestion and dm_1 is the dry weight of the gel sample after digestion.

Measurement of the degree of hydrolysis

The degree of hydrolysis (DH) is defined as the percentage of peptide bonds split in the total number of peptide bonds.

$$DH = \frac{h}{h_{tot}} \times 100\%$$

where h is the number of peptide bonds split in 1 kg protein, htot is the total number of peptide bonds in 1 kg protein. htot has units of eq/kg or meq/g (eq stands for molar equivalent), and is found from the amino acid composition as the sum of the concentrations(in mmol g^{-1}) of each amino acid (Adler-Nissen 1993).

The degree of hydrolysis is measured using the OPA method. The OPA (ω -phthaldialdehyde) reagent was prepared as described by Nielsen et al. (Nielsen, Petersen, and Dambmann 2001): 3.81 g Borax and 100 mg SDS were added in 80 mL Milli-Q water. After these reagents were completely dissolved, 80 mg p-phthaldialdehyde was dissolved in 2 mL ethanol and added to the above-mentioned solution. 88 mg of DL-dithiothreitol was added and further mixed. The solution was filled up to 100mL with Milli-Q water and filtered using a 0.45 mm syringe filter. The solution was kept in the dark. A spectrophotometer DU 720 (Beckman Coulter Inc., Pasadena, USA) was set at 340 nm blanked with 1.5 mL OPA reagent + 0.2 mL Milli-Q water.

To make a calibration curve, 200 mL of 50 mg/L, 100 mg/L, 150 mg/L and 200 mg/L serine standard solutions were added to 1.5mL OPA reagent in a semi-micro acrylic cuvette (optical pathway 10 mm, Sarstedt AG&Co., Nümbrecht, Germany), mixed by pipetting for 5 s. The mixtures were measured by the spectrophotometer after standing for 3 min. The same procedure was applied to samples from digestion experiments.

Due to the interference of the protein compounds from the gastric juice, a filtration step is necessary for all samples of digestion experiments. Centrifugal filtration was carried out as follows: $500 \,\mu$ L of sample was pipetted into the Amicon Ultra-0.5 10K Centrifugal Filter Units (Millipore, USA) and was centrifuged at 14,000 × g relative centrifugal force for 20 min. Molecules larger than 10 kDa were removed consequently. If relevant, the results were corrected for the blank simulated gastric fluid.

Size-Exclusion Chromatography (SEC) was applied to observe the peptide profile during digestion. This was performed with a TSKgel G2000SWxl column (Tosoh Bioscience LLC, King of Prussia, USA) on an Ultimate 3000 UHPLC system (Thermo Scientific, Waltham, USA). 100 mL sample was injected each time. The eluent consisted of 30 mL/100 mL Acetonitrile (0.1 mL/100 mL Trifluoro Acetic Acid) and 70 mL/100 mL Milli-Q water (0.1 mL/100 mL Trifluoro Acetic Acid), the flow rate of the eluent was 1 mL/min. The UV-detector was set at 214 nm.

Several standard solutions, including Carbonic Anhydrase, α -Lactalbumin, Aprotinin, Insulin, Bacitracin, and Phenylalanine, were analyzed with the same method. By plotting the logarithm of the molecular weight (MW) and the respective retention time, a linear MW-retention time calibration curve was made.

The peptide profiles were quantified and compared as follows: The complete peptide profile was separated into four sections: MW > 10 kDa, 10 kDa-4 kDa, 4 kDa-2 kDa, and < 2 kDa. The last peak with a retention time of 11.25 min (MW \approx 88 Da) was not included in the calculation, since it already existed in the simulated gastric juice and had little change during the digestion. The peak area (mAU·min) of each section was calculated by the DionexTM ChromeleonTM 7.1 Chromatography Data System (Thermo Scientific, USA). Since the total peak areas varied over time, absolute values were used instead of relative peak areas. One example of size-exclusion chromatogram is shown in the Supplementary material.

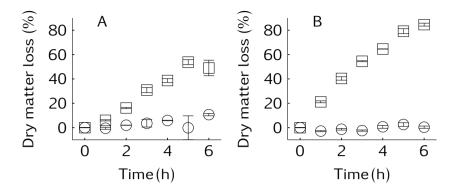


Figure 2.3: Dry matter loss of whey protein isolate (WPI) gels underwent static soaking in simulated gastric fluid at 37 °C, agitated at 100 rpm. A: comparison of different concentration at pH 1.8 (\bigcirc : 15 g protein/100 g gel, \square : 20 g protein/100 g gel). B: comparison of 15 g protein/100 g WPI gel at pH 1.8 (\bigcirc) and pH 3 (\square). The values are the mean values of 4 samples with standard deviation error bars.

2.3 Results and discussion

2.3.1 Dry matter loss of WPI gel at different concentration and pH

Gels made of WPI were soaked in gastric juice and the dry matter loss of the gels with different concentration was measured during 6 h (Fig. 2.3A). The dry matter loss of gel with 15 g protein/ 100 g reached approximately 50% at 6 h. However, the gel with 20 g protein/100 g underwent distinctively lower dry matter loss, which grew steadily to 11% after 6 h. It is clearly showing that even for the same protein substrate, the structural difference induced by the gel concentration has a significant effect on the disintegration of the protein structure. This is in line with the results of Guo et al. (2014a) who showed that a 'hard' gel structure can slow down disintegration, while a 'soft' gel structure was completely broken down during a certain time interval.

It is known that the pH in the stomach rises after ingestion of food.

Table 2.1: Dry matter loss of 15 g/100 g egg white protein (EWP) gel and 15 g/100 g whey protein isolate (WPI) gel after 3 h of static soaking or U-stomacher digestion. The mean of 3 trials and the standard deviation are given.

	Quiescent c	onditions	U-stomacher digestion		
	Without pepsin	With pepsin	Without pepsin	With pepsin	
EWP Gel	7.1% (3.0%)	18.2% (2.5%)	17.3% (5.7%)	33.9% (5.6%)	
WPI Gel	-3.6% (1.3%)	33.2% (4.6%)	61.6% (3.0%)	69.3% (2.0%)	

For this reason, we also studied the effect of pH on the static soaking of WPI gel with the concentration of 15 g protein/100 g, stirred at 100 rpm. Fig. 2.3B shows that the dry matter loss of the gel at pH 1.8 reached 85% after 6 h. While at pH 3 the dry matter loss is negligible.

Experiments with simulated gastric juice without pepsin showed that the acid in gastric juice is ineffective for the disintegration of the protein structure, especially for WPI gel (Table 2.1). Thus, the difference in the dry matter loss between pH 1.8 and pH 3 most probably was due to the action of the pepsin. Previous research has shown that pepsin has a rather narrow optimum pH range, but should still be partially active at pH 3 (Christensen 1955; Piper and Fenton 1965). Thus, we may conclude that the nearly complete retention of the dry mass at pH 3 is due to the ineffectiveness of the acid to open the structure, plus the lower activity of pepsin resulted in the minor loss of dry matter. Notably, in vivo studies showed that the gastric pH increases towards neutral after the ingestion of a meal due to the buffering effect of the food, and then decreases gradually again to the original pH over a time period of 60 min-90 min (Cassilly et al. 2008; Dressman 1986). Thus the in vivo gastric pH after ingestion is far from optimum for pepsin during a considerable time span, which will have its effect on the digestion of solid protein foods.

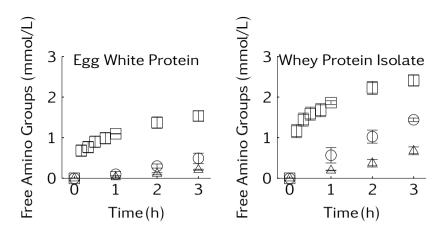


Figure 2.4: Hydrolysis profile of protein digestion measured by OPA method. \Box : solution, \bigcirc : U-stomacher, \triangle : static soaking, Error bars are based on the standard deviation of two or three experiments.

2.3.2 Hydrolysis of protein solutions

Degree of hydrolysis

Before continuing to study the digestion in protein gels, we first report on the hydrolysis of protein in solution, which is used as benchmark.

The hydrolysis profile of egg white protein and WPI proteins in simulated gastric juice is shown in Fig. 2.4. Results from the experiments without pepsin were omitted, since there was no increase in the amount of free amino groups even after 24 h. The hydrolysis of the whey protein is somewhat faster; especially in the beginning one can see a quick increase of the amount of free amino acid groups; however one should realise that the solutions of whey protein and egg white protein did not have the same initial substrate molar concentration.

The hydrolysis profiles of both protein sources are typical for protein hydrolysis. Initially a rapid increase is observed, which is then followed by a steady increase over several hours. After 3 h, the degree of hydrolysis for egg white protein solution was about 11%, for WPI

solution 15%. In the study of Rocha (2008), the hydrolysis of whey protein concentrate by pepsin showed similar trend, reaching approximately a DH of 5% after 3 h hydrolysis when the enzyme: substrate ratio is 2:40. In our experiments where the protein is in solution, the enzyme: substrate ratio is 1:2 (weight/weight), which is higher than in other studies. This value was chosen to enable comparison with other experiments.

Peptide profile

The peptide distribution during hydrolysis of proteins in solution is shown in Table 2.2. The simulated gastric juice sample taken just before the addition of the protein solution was regarded as the start of the experiment. Since the gastric juice itself contains protein, it has an initial value in the category above 10 kDa; this level remained constant over the experiments. The peptide distribution for both egg white protein and WPI shows that larger peptides (10 kDa-4 kDa) accumulated at the beginning of the experiments decreased steadily afterward due to progressing hydrolysis. The peptides between 4 kDa-2 kDa followed a similar trend, while the small peptides below 2 kDa increased throughout the whole process. The presence of a large amount of intermediate products suggests that the peptic hydrolysis of dissolved denatured egg white protein and WPI follow the "zipper-type" according to Linderstrøm-Lang's theory, which is also shown in other studies.

Table 2.2: Peak area of peptides during digestion of egg white protein (EWP) and whey protein isolate (WPI), in
solution and 15 g/100 g gels. The gels were digested in 3 h of static soaking and U-stomacher. The mean of 2 trials
and the standard deviation are given.

		Time (h)	Peak area (mAU· min)				
			>10kDa	10kDa-4kDa	4kDa-2kDa	<2kDa	
SGF		-	22.8 (4.8)	4.9 (1.2)	8.2 (1.5)	32.9 (5.0)	
EWP	Solution	1	24.6 (5.4)	31.6 (3.9)	38.6 (3.1)	164.9 (8.0)	
		2	24.7 (5.5)	26.7 (0.9)	34.8 (0.9)	184.6 (0.9)	
		3	25.1 (5.0)	31.0 (4.0)	36.1 (3.3)	204.6 (7.5)	
	Gels in	1	23.8 (1.9)	4.4(0.6)	7.5 (0.6)	31.4 (3.0)	
	Static soaking	2	28.3 (0.8)	5.6 (0.5)	9.0 (0.3)	37.4 (1.5)	
		3	30.2 (0.1)	7.5 (1.2)	10.6 (0.6)	43.4 (1.3)	
	Gels in	1	28.6 (1.4)	8.0 (0.4)	11.4 (0.3)	45.4 (1.7)	
	U-stomacher	2	31.2 (2.0)	12.0 (2.1)	16.5 (2.5)	66.2 (8.8)	
		3	33.5 (3.8)	13.4 (2.0)	18.0 (2.0)	76.5 (7.2)	
WPI	Solution	1	36.5 (17.5)	43.9 (0.6)	56.8 (6.9)	192.9 (23.8)	
		2	25.6 (5.7)	36.9 (0.9)	53.8 (4.2)	210.4 (21.6)	
		3	24.9 (0.2)	34.0 (1.5)	52.0 (2.0)	222.0 (7.3)	
	Gels in	1	23.5 (0.9)	7.1 (0.7)	12.1 (0.8)	48.7 (2.5)	
	Static soaking	2	27.0 (2.0)	9.7 (0.1)	15.1 (0.1)	57.2 (0.3)	
		3	26.3 (0.2)	11.5 (1.5)	19.1 (2.0)	77.6 (7.3)	
	Gels in	1	25.3 (3.6)	13.5 (1.8)	20.3 (1.1)	73.1 (1.4)	
	U-stomacher	2	36.5 (0.8)	27.8 (3.2)	34.2 (1.8)	99.0 (4.8)	
		3	37.4 (9.4)	32.3 (2.3)	42.5 (0.6)	136.1 (0.5)	

2.3.3 Hydrolysis in protein gels

The digestion of protein gels (15 g protein/100 g) was analyzed with a range of methods. The effect of pepsin was compared with control groups digested without pepsin and the effect of hydrodynamic force induced by the U-stomacher was compared with the static soaking conditions.

Dry matter loss

Table 2.1 shows the dry matter loss of the two kinds of protein gel after 3 h of digestion, in the presence or absence of pepsin. The dry matter loss of WPI gel after 3 h of static soaking was in agreement with previous tests in Section 2.3.1.

Kong and R. P. Singh (2009a) considered that both acid and enzyme may enhance the transfer of matter from the food matrix to the gastric fluid since their actions reduce the cohesiveness of solid. In this study, the pepsin is absent in the SGF. The dry matter loss, which is solely from the dissolution in water at low pH, is rather low as compared to the effect of SGF with pepsin. For egg white gel, approximately 11% more dry matter was lost when pepsin was present. In accordance with our results as reported in Section 2.3.1, WPI gels that were soaking under quiescent conditions showed up to 33% dry matter loss. The WPI gel soaked in the SGF without pepsin had a negative dry matter loss, which can be explained by the infusion of gastric juice compounds into the gel matrix; similarly, the actual weight loss of the gel soaked in gastric juice with pepsin may be somewhat larger, since also here some gastric juice compounds may have ingressed.

The stress and deformation exerted on the samples during stomaching has a big effect: all samples saw a much larger dry matter loss than under quiescent conditions. This demonstrates that mechanical action can degrade solid foods without enzymatic reaction; one should realize however that dry matter loss does not imply that the matter that was released is also hydrolyzed into smaller peptides. When the hydrodynamic force was combined with pepsin, the yield of the dry matter loss was higher than each factor acting alone.

Degree of hydrolysis

The digestion of the gels yielded a lower increase of free amino groups than the digestion of the same amount of protein in solution (Fig. 2.4). The increases in the amount of free amino groups in the digestion of the gels followed a linear trend. Consistent with previous experiments, very little free amino acid was found in systems without pepsin present (data not shown); even though the previous section showed that a significant part of the solid was dispersed (shown by the high value of dry matter loss). If it is assumed that all protein in the gel particles was available in principle for hydrolysis, the DH after 3 h of Ustomacher digestion was 2.5% for egg white gel, and 7.9% for the WPI gel. The Ustomacher digestion yielded a higher level of free amino groups compared to the static soaking. Therefore, as the mechanical stresses physically disintegrate the gel, a larger portion of protein becomes more accessible for the pepsin. Comparison with the dissolved proteins show that the total degree of hydrolysis is still much lower (2.5 compared to 11% in the case of EWP, and 7.9 compared to 15% in the case of WPI), with the EWP affected more strongly than the WPI.

Peptide profile

The simulated gastric fluid samples taken from the digestion of protein gel were analyzed by size-exclusion chromatography, and the peptide profiles were shown in Table 2.2.

Unlike the hydrolysis of the protein solutions, the big and medium sized peptides in the protein gel digestion monotonously increased in concentration trend. The molecules in solution that were larger than 10 kDa and smaller than 2 kDa had similar trend as the protein solution hydrolysis.

The digestion of protein gel is much slower than the digestion of protein solution, as was also concluded before. For this reason, we do not only plot the peptide profile versus time, but we also made a graph in which the amount of free amino groups was plotted against the peak area of the peptides with different size. Thus it is possible to compare the peptide composition as related to the level of hydrolysis extent (Fig. 2.5).

The peak areas for peptides <2 kDa do not show difference between the gels and solutions. The small peptides are dominant in the total amount of free amino groups, given their low molecular weight, and thus the correlation between the horizontal and vertical axes is large. However, the trends for larger peptides (10 kDa-4 kDa and 4 kDa-2 kDa) differ significantly. In the digestion of dissolved protein, large peptides were rapidly formed at relatively low conversions, and then decreased in concentration as they were further hydrolyzed. The larger peptides tended to increase in concentration for the gels, regardless of whether mechanical action was applied, the big peptides had an increasing trend, but their concentration remained lower than those found in the digestion of dissolved protein at all times.

The model for protein hydrolysis in gels

We assume that pepsin is able to penetrate the protein gel matrix. This assumption is based on the dimensions of pepsin and the pore sizes of whey protein gels. The unit cell dimensions of pepsin crystal are $5.5 \text{ nm} \times 3.6 \text{ nm} \times 7.4 \text{ nm}$ (Sielecki et al. 1989). For 13.5% whey protein concentrate gels (heated at 90 °C for 60 min, both at pH 4.6 and 5.4), image analysis showed that they have mean pore diameters from 10 µm-40 µm, if the pores are approximated to be spherical (Langton and Hermansson 1996). Moreover, the increase in dry matter of WPI gel in static soaking without pepsin also suggested the penetration of gastric juice compounds into the gel (Table 2.1). Based on these pieces of evidence and our results, we propose an enzymatic hydrolysis model for protein gels, derived from the Linderstrøm-Lang's theory (Fig. 2.6).

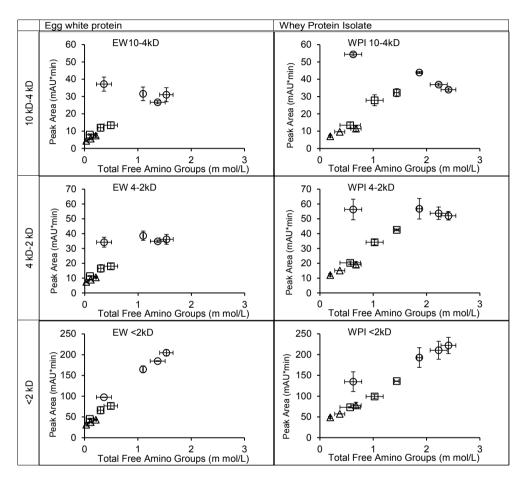


Figure 2.5: The correlation between total free amino group and peak area of peptides in egg white protein digestion and in whey protein isolate digestion. Error bars are based on the standard deviation of two or three experiments. \bigcirc :solution (4 data points), \square :U-stomacher (3 data points), \triangle : static soaking (3 data points).

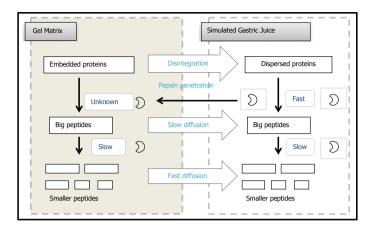


Figure 2.6: The hydrolysis model for protein gels in gastric digestion. The proteins and peptides are depicted by rectangles of different size. The proteases are denoted by crescents. The presumed relative reaction rates are in the rounded rectangles.

In gel matrices, the proteins are immobilized in the gel network. The pepsin needs to penetrate the gel matrix to access the major part of a protein that is not on the surface of the gel. After pepsin cleaves a peptide bond, the two resulting fragments generally are still bound to network. In addition, any larger fragment that is not bound anymore to the network will have to diffuse out. The diffusion takes time, and in this time the larger fragment can be further hydrolyzed by the pepsin inside the gel pores. The smaller the fragments are, the easier they will diffuse out of the pores, meanwhile, the number of bonds that keep the fragments to the network will also reduce. Therefore, the net effect is that the larger fragments will increase in time, but will never get to the levels that are present during digestion in solution. The ultimate freedom of diffusion can never be achieved as long as any form of network is still present.

In the meantime, the immobilized proteins may disintegrate from the network, and some of them are released into the simulated gastric fluid before being hydrolyzed. In the simulated gastric fluid, the situation is phenomenologically similar to the classic zipper type model of Linderstrøm-Lang (relatively slow initial hydrolysis followed fast subsequent hydrolysis) but the amount of free proteins is limited by the disintegration rate of proteins.

Overall, the differences between the digestion of protein gels and solutions may be the result of various causes: the immobilization of the substrate in the gel network, the steric hindrance for the ingression of pepsin and the egression of peptides.

2.4 Conclusions

Well-defined protein gels were successful models to study the digestion of protein in solid food matrices.

The performance of pepsin is an important factor in the disintegration of protein gels. By merely elevating the pH to 3 where pepsin has lower activity, the disintegration of protein gel was decelerated more than that can be expected on the basis of the residual activity of the pepsin. The hydrodynamic force applied by the simulated gastric system (U-stomacher) effectively disintegrated the gel particles and enhanced the hydrolysis of protein.

The gel microstructure was shown to hinder the digestion of protein. Relative to protein in solution, a protein gel is hydrolyzed more slowly and a higher concentrated gel leads to a slower loss of dry matter. The barrier of the gel structure does not simply lower the protein hydrolysis, but it may also be altering the type of enzyme kinetics. The gels were broken down according to a one-by-one type mechanism, in contrast to the zipper-type mechanism found with proteins in solution. This is thought to stem from immobilization of the substrate in the gel network, and steric hindrance in the diffusive ingression and egression of the larger macromolecules through the network pores. It has been assumed that pepsin is able to hydrolyze the proteins inside the gel, but further studies are needed to determine the affinity and kinetics of pepsin in embedded proteins.

2.5 Supplementary information

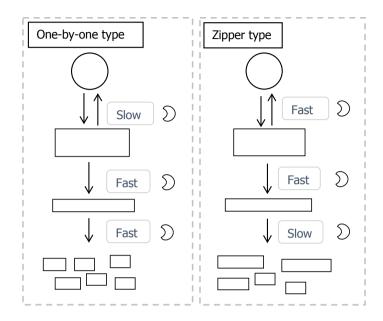


Figure 2.7: Supplementary information: the Linderstrøm-Lang hydrolysis model. Circles represent native protein, big rectangles represent denatured protein, small rectangles represent peptides with different length according to the peptide chain length. The crescents symbolize the enzymes. Adapted from Adler-Nissen's figure (Adler-Nissen 1976).

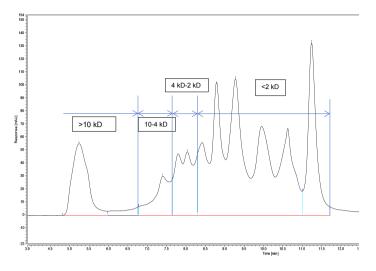


Figure 2.8: Supplementary information: one example of size-exclusion chromatogram. Sample was whey protein isolate solution hydrolyzed in simulated gastric juice after 3 hours. Sections of molecular weight ranges are indicated.

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Chapter 3

Pepsin diffusivity in whey protein gels and its effect on gastric digestion

This chapter has been published as:

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Abstract

Protein is essential to human health, but its digestion kinetics in varied structures are not yet well understood. We previously found different kinetics of protein hydrolysis in solution and in gels, and we hypothesized that the difference stemmed from the steric hindrance of gel structure to the diffusion of pepsin and its hydrolysates. To better understand the pepsin diffusivity in food matrices and its effect on digestion, we determined the diffusivity of pepsin in water and in whey protein isolate (WPI) gels by fluorescence correlation spectroscopy (FCS).We estimated the pepsin concentration gradient during digestion based on the determined diffusivity, which showed that the pepsin is constrained within a thin layer from the gel surface. Gel composition analysis confirmed this constraint: peptides as protein fragments were observed only in the first 2 mm of the WPI gels after 6 h of in vitro gastric digestion. Scanning electron microscopy indicated that pepsin loosened the microstructure of whey protein gel surfaces, which may accelerate pepsin diffusion and consequently gel surface disintegration. We conclude that the mode of whey protein gel digestion is determined by the summed effect of diffusion limitation, hydrolysis rate and microstructure transformation.

3.1 Introduction

Proteins in foods supply most of the amino acids for protein synthesis in human bodies (Whitney, Cataldo, and Sharon 1998). The digestion of protein starts in the stomach by the acidic environment and pepsincatalyzed hydrolysis (H. Singh, A. Ye, and M. J. Ferrua 2015). Pepsin is an aspartic protease with two aspartic acid residues at the catalytic site (Fersht 1985) and has maximum activity between pH 1.5 and 2.5 (Piper and Fenton 1965). It prefers to cleave a peptide sequence containing phenylalanine, tyrosine, tryptophan and leucine residues (Inouye and Fruton 1967).

Food structure is important in the digestion and nutrient delivery (Bornhorst and R. P. Singh 2014; H. Singh, A. Ye, and M. J. Ferrua 2015); hence, the protein digestion differs in foods with different structures. For example, Nyemb-Diop et al. (2016) investigated the in vitro digestion of four different egg white gel matrices, and found that the gel structure greatly influences the digestion kinetics, resulting in different peptide profiles. (Guo, A. Ye, Lad, M. Ferrua, et al. 2015) found varied disintegration kinetics for two differently structured whey protein emulsion gels: the soft gel (hardness: 19.2 N) disintegrated faster, when both fragmentation and abrasion enacted in the disintegration; the hard gel (hardness 69.9 N) disintegrated slower, and abrasion was the predominant mechanism. Moreover, the abrasion process was accelerated by the presence of pepsin.

To better understand the role of pepsin in the digestion of protein in food structure, we previously studied the in vitro digestion of protein and protein gels by analyzing the peptide distribution after hydrolysis (Q. Luo, Boom, and Janssen 2015). We found that the kinetics of protein hydrolysis in solution and in gels are different: while the dissolved proteins were hydrolyzed through a 'zipper' -type mechanism, the gels followed a slower 'one-by-one' mechanism. We hypothesized that pepsin can penetrate the gel microstructure and hydrolyze proteins in gel matrices. Digestion kinetics may be limited by diffusion of pepsin in gel matrices, which can explain the differences in hydrolysis kinetics.

There are several methods to study the diffusivity of macromolecules in gel networks. Pulsed field gradient NMR has been used to study the diffusion of poly(ethylene glycol)s in whey protein solutions and gels (Colsenet, Söderman, and Mariette 2006b) and to study the selfdiffusion of native proteins and dextran during the heat-induced gelation of β -lactoglobulin (Croguennoc et al. 2001). Fluorescence recovery after photobleaching (FRAP) and fluorescence correlation spectroscopy (FCS) have been used to characterize the diffusions of pectin methylesterases in pectin solutions and gels (Videcoq et al. 2013).

FCS is based on the analysis of intensity fluctuations from some dynamic process, e.g. translational diffusion of a fluorophore through a small volume, using a confocal laser microscope. By autocorrelation analysis of the time-dependent fluorescence fluctuation, the diffusion coefficient of the fluorophore can be determined (Lakowicz 2006). While pulsed field gradient NMR requires a probe that is distinct from native proteins in the gel network (Croguennoc et al. 2001), the two fluorescence methods (FRAP and FCS) can measure any desired molecules that are fluorescent or fluorescently labeled. Compared with FRAP, FCS has a larger detection range of diffusion time (μ s - s), can be used at low fluorophore concentrations and can distinguish multicomponent diffusion (Kwaaitaal et al. 2011). In this study, we considered the possibility of interaction between pepsin and protein gel. Therefore, FCS was employed to investigate the diffusivity of pepsin in the protein gels.

In the FCS experiments, we used whey protein isolate (WPI) gel as a model for a protein-based food matrix. In addition to fluorescently labeled pepsin, enhanced green fluorescent protein (EGFP) was used as a reference molecule due to its intrinsic fluorescence and similar size to pepsin. EGFP is a variant of the wild-type green fluorescent protein, which has increased brightness and folding efficiency (Royant and Noirclerc-Savoye 2011). By combining the information from the diffusion study with that from gel composition analysis and scanning electron microscopy, we can better understand the role of pepsin diffusion in the digestive process, and thus better understand the role of the food microstructure in digestion.

3.2 Material and methods

3.2.1 Material

WPI (Bipro, lot no. JE 034-70-440-6) was purchased from Davisco Food International, Inc. (Le Sueur, MN, USA). This batch of WPI was reported to have a protein content of 97.9 g/100 g dry solid. Milli-Q water (resistivity 18.2 M Ω cm at 25 °C; Merck Millipore, Billerica, MA, USA) was used in all experiments. The fluorescent dye Alexa Fluor[®] 633 succinimidyl ester (Alexa 633, MW \approx 1200 Da) was purchased from Life Technologies (Carlsbad, CA, USA); pepsin from porcine gastric mucosa (lyophilized powder, 3,200–4,500 units/mg protein, MW = 34.6 kDa) and all other chemicals used were purchased from Sigma Aldrich (St. Louis, MO, USA).

EGFP (MW = 26.9 kDa) was produced in-house at Wageningen University, via the method described by (Nolles et al. 2015).

3.2.2 Preparation of whey protein isolate gels and simulated gastric fluid

WPI was dissolved in water (15 wt% or 20 wt%) and stirred at room temperature for at least 2 h. The solutions were centrifuged at 1000 rpm (\sim 200 G relative centrifuge force) for 10 min to remove large aggregates and air bubbles.

FCS was performed on WPI gels that were prepared as follows: 200 µs of the WPI solution was pipetted into each well of an eight-well NuncTM Lab-TekTM Chambered Coverglass (Thermo Fisher Scientific, Waltham, MA, USA). The system was sealed and heated at 90 °C for

30 min in a hot-air oven. The WPI gel was then cooled to room temperature and stored at 4 °C. The thickness of the gel layer was 1-2 mm. Further FCS experiments were performed within 2-3 days.

WPI gels for digestion experiments were prepared in Teflon tubes incubated in a 90 °C water bath for 30 min, as described previously (Q. Luo, Boom, and Janssen 2015). To analyse the texture of the WPI gels, cylindrical samples (diameter 2 cm and height 2 cm) were compressed between two flat plates using a Instron texture analyser 5564 (Instron, Norwood, MA, USA) with test speed of 5 mm/min. The Young's moduli for WPI 15% and 20% were (18 ± 6) kPa and (270 ± 80) kPa respectively (mean \pm standard deviation of four experiments), calculated from the slope of the stress-strain curve in linear region.

The simulated gastric fluid (SGF) for digestion experiments comprised of pepsin (1 g L⁻¹), mucin (1.5 g L⁻¹) and NaCl (8.775 g L⁻¹) and was adjusted to pH 2.0, also as prepared previously (Q. Luo, Boom, and Janssen 2015).

3.2.3 Pepsin labeling

Ten milligrams of pepsin was dissolved in 1 mL 2-(N-morpholino) ethanesulfonic acid buffer (MES buffer, 10 mmol L⁻¹, pH 6.5). The fluorescent dye Alexa 633 was dissolved in dimethyl sulfoxide (DMSO) at 10 mg mL⁻¹. A total amount of 100 µL Alexa 633 solution was slowly added in small quantities to the pepsin solution while mixing vigorously. The mixture was incubated at room temperature for 1 h. After incubation, the conjugate was purified by a 5 mL HiTrapTM desalting column packed with SephadexTM G-25 Superfine medium (GE Healthcare Life Sciences, Little Chalfont, UK). The column was operated with a 2 mL syringe and the purification process was repeated twice. The purity was verified on an ÄKTA system (GE Healthcare Life Sciences) with dual-wavelength detector; it showed a single peak at the same elution volume at 621 nm and 280 nm. The conjugate was finally preserved in buffer containing 20% glycerol at -25 °C. The final concentration of pepsin was approximately 4 mg mL⁻¹ due to the dilution

from the purification step and glycerol.

3.2.4 Fluorescence correlation spectroscopy

Five µL of purified Pepsin-Alexa 633 conjugate (later referred to as PepA633) solution or EGFP was pipetted onto each well of an eightwell plate containing WPI gel. The samples were kept at 4 °C for approximately 20 h before measuring. This duration allowed adequate fluorescent molecules to migrate from the surface of the gel toward the glass bottom and be measured. FCS was performed on a Leica TCS SP5X system (Leica Microsystem, Wetzlar, Germany) equipped with a 63×1.20 NA water immersion objective and a supercontinuum white light laser, which emits a continuous spectrum from 470 to 670 nm. Alexa Fluor 633 was excited by selecting the 621 nm laser line at a pulsed frequency of 80 MHz. Fluorescence was recorded through a size-adjustable pinhole set at 90 µm, and filtered using a 630 nm-690 nm spectral filter. EGFP was excited at wavelength 488 nm at the same pulsed frequency. The pinhole was now 75 µm and the spectral filter was set at 495 nm-525 nm. Fluorescence was recorded via the internal hybrid detector, which was coupled to a PicoHarp 300 TCSPC module (PicoQuant GmbH, Berlin, Germany). For each sample, 30s measurements were repeated 10 times. All individual experiments were performed more than three times at 20 °C and neutral pH, due to the pH dependence of the fluorophores. The principles of FCS have been described previously (Lakowicz 2006; Nolles et al. 2015). Briefly, the obtained fluorescence intensity fluctuation from a confocal volume can be described by an autocorrelation function:

$$G(t) = \frac{\langle I(t) \times I(t+\tau) \rangle}{\langle I \rangle^2}$$
(3.1)

where I(t) and $I(t+\tau)$ are the fluorescence intensities at time *t* and $t+\tau$; *I* is the average fluorescence intensity. The fluctuations in intensity are caused by diffusion, and in some cases they are additionally caused by triplet dynamics of the fluorophore. The equation used to fit the translational diffusion data and includes triplet state is as follows:

$$G(\tau) = 1 + \frac{1}{\langle N \rangle} \left(1 + \frac{F_{trip}}{1 - F_{trip}} \cdot e^{-t/T_{trip}} \right) \\ \cdot \sum_{i=1}^{n} \frac{F_{i}}{(1 + (t/\tau_{dif,i})) \cdot \sqrt{1 + (\omega_{xy}/\omega_{z}^{2} \cdot (t/\tau_{dif,i})})}$$
(3.2)

where $\langle N \rangle$ is the average number of fluorescent particles N in the spot. The exponential term describes the triplet state behavior, in which Ftrip is the fraction of molecules in the triplet state and Ttrip (ms) is the average time a molecule resides in the triplet state. The last part of the equation describes the diffusion behavior of the molecules, where n is the number of species, F_i is the fraction of species i, $\tau_{dif,i}$ (ms) is the diffusion time of species i and ωxy (nm) and ωz (nm) are the equatorial and axial radii of the detection volume, respectively. The diffusion constant Di of species i correlates the observed diffusion time $\tau_{dif,i}$, according to the following equation:

$$D_i = \frac{\omega_{xy}^2}{4 \cdot \tau_{dif,i}} \tag{3.3}$$

The value of ω_{xy} can be obtained by calibration with a solution of a fluorophore with a known diffusion constant. Alexa 633 (D = $3.0 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$ in water at 20 °C; data from PicoQuant) was used to calibrate the confocal volume of the setup for 621 nm excitation using a 90 nm pinhole; a diffusion time of 42 µs and a structural parameter (ω_z/ω_{xy}) between 5 and 8 were obtained, resulting in a confocal volume of approximately 0.4 fL . Rhodamine 110 (D = $4.3 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$ in water at 20 °C) was used to calibrate the confocal volume of the setup for 488 nm excitation using a 75 µm pinhole; a diffusion time of 19 µs and a structural parameter between 6 and 8 were obtained, resulting in a confocal volume of approximately 0.2 fL.

FFS data processor version 2.3 (Scientific Software Technologies Software Centre, Minsk, Belarus) was used to fit the data to a diffusion model including triplet state. The parameter fitting was based on the

Marquardt-Levenberg nonlinear method of least squares. The confidence intervals of the recovered parameters were calculated by the exhaustive search method. Those procedures were all performed within the software package, more details have been described by Skakun et al. Skakun, Digris, and Apanasovich (2014).

3.2.5 Gel composition analysis by size-exclusion chromatography

Digestion of WPI gels was performed inside the Teflon tubes (inner diameter 2 cm, length 10 cm) to impose one-dimensional diffusion (assuming the radial variations are negligible) and digestion: a small upper part of the prepared gel was removed and 2mL SGF was added on top of the remaining gel. The gels were then digested in a 37 °C water bath for 6 h. Afterwards, the SGF was removed and pepsin in SGF was inactivated in a pre-heated Eppendorf Thermomixer Comfort (Eppendorf AG, Hamburg, Germany) at 90 °C, shaking at 1400 rpm for 5 min. The WPI gels were cut perpendicularly to the axis of the tube (2 mm thick slices). In some experiments, the slices were crushed and soaked overnight in water (0.5 wt% dry matter of gel in water including pepstatin (30 μ mol L⁻¹) to inhibit pepsin activity) for analyzing the water-soluble content inside the gel; others were immediately frozen and lyophilized for analyzing the gel composition.

The lyophilized gel slices were ground with a mortar and dissolved overnight in a solvent consisting of 8 mol/L urea and 0.03 mol/L dithiothreitol (DTT) (0.5 wt% gel dry matter in solvent). The dissolved gels were then analyzed by size-exclusion chromatography with a combination of a TSKgel G2000SWxl and TSKgel G3000SWxl column (Tosoh Bioscience LLC, King of Prussia, PA, USA), using a method as described previously (Q. Luo, Boom, and Janssen 2015) except that the standard proteins were also dissolved in the same urea and DTT solution. The gel composition analysis was performed in duplicate. The water-soluble content was also analyzed using size-exclusion chromatography.

3.2.6 Scanning electron microscopy

WPI gels were cut into 7 mm × 5 mm diameter cylindrical samples and digested in SGF for 1 h in a static soaking system (Q. Luo, Boom, and Janssen 2015). The samples were fixated with 3% (v/v) glutaraldehyde immediately for at least 2 h and subsequently rinsed with Milli-Q water (3×10 min). The samples were then immersed in 40 mmol L⁻¹ osmium tetroxide for 2 h-4 h, rinsed with Milli-Q water (3×10 min) and dehydrated in an ethanol-water gradient series (10%, 30%, 50%, 70%, 90%, 100% volume fraction for 15 min each). Afterward, the samples were dried in a critical point dryer (CPD 030, Bal-Tec AG, Balzers, Liechtenstein) with supercritical CO₂. The dried samples were glued on stubs using conductive carbon glue and coated with 12 nm tungsten by a Leica EM MED020 sputter coater (Leica Microsystems, Wetzlar, Germany). The microstructures of samples were observed using a Magellan 400 field emission scanning electron microscope (FEI, Hillsboro, OR, USA) at room temperature with 2 kV voltage.

3.3 Results and discussion

3.3.1 Diffusivity of enhanced green fluorescent protein and pepsin

The diffusion coefficients of fluorescently labeled pepsin as well as EGFP were determined using FCS. The autocorrelation curves obtained for EGFP in water and WPI gels are reported in Fig. 3.1A. The typical autocorrelation curves were fitted with a one-component model resulting in an optimal fit indicated by the straightness of the weighted residuals and low chi square values.

The autocorrelation curves for labeled pepsin in water and WPI gels are displayed in Fig. 3.1B. Data analysis [eq. (3.2)] revealed different species with distinct mobility. The pepsin conjugate (PepA633) curve in water (red circles) shows a two-component behavior [n = 2 in eq. (3.2)]. The faster component has similar diffusion time as free Alexa

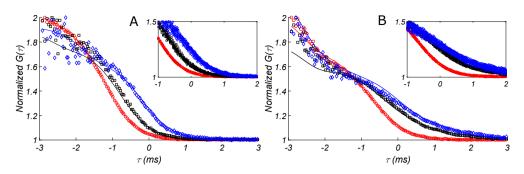


Figure 3.1: Examples of FCS autocorrelation curves. We show one measurement each for EGFP (A) and Pepsin (B) in water (red circle), in whey protein isolate (WPI) gel of 15 wt% (black square) and of 20 wt% (blue diamond). The corresponding lines are the fitted curves of diffusion models based on 10 measurements in one experiment. Those experiments of 10 measurements are shown in the insets.

633, which suggests the presence of free Alexa 633 molecules: we hypothesized that Pepsin-Alexa 633 conjugation is not completely stable and part of the Alexa 633 is released slowly from the conjugate over time, although the conjugate was quite pure after purification and was stored in 20% glycerol at -25 °C. Therefore, we set the diffusion time of the faster component as equal to that of free Alexa 633 and regarded the other component as the PepA633 conjugate.

In contrast, the curves of the PepA633 conjugate in both types of the WPI gels have higher variance (see the black squares and blue diamonds in the inset of Fig. 3.1B) than those for EGFP in WPI gels and PepA633 in water. A three-component model was needed to describe the autocorrelation curve [n = 3 in eq. (3.2)]. Among the three components yielded, the diffusion coefficient of the fastest component is in the range of free Alexa 633 with an amplitude of approximately 40%. The amplitude of the second component is around 50%, and its diffusion time was of the same order of magnitude as the diffusivity of PepA633 in water. The third component is around 10%, with a diffusion time of 80 ms regardless of the percentage of WPI gel type. This diffusion time corresponds to an extremely small diffusion coefficient of $2 \times 10^{-13} \text{ m}^2 \text{ s}^{-1}$. If we exclude the fraction of free Alexa 633, this

slow diffusion represents 17% of the total amplitude.

The slowest mode of diffusion may have several causes:

- 1. The experiments were performed under neutral pH, where PepA633, EGFP and the whey protein gel network are all negatively charged. Both electrostatic attraction and repulsion can hinder diffusion of charged particles (Stylianopoulos et al. 2010; Thorne et al. 2008). However, since EGFP did not show the slow diffusion mode, this cannot explain why the slow diffusion mode was found only with the labeled pepsin.
- 2. Aggregation of fluorescent conjugates may also cause a slower diffusion mode, but there was no evidence for slow diffusion mode of PepA633 in water. In addition, a diffusion coefficient that is 100 x lower would require massive aggregates of 106 molecules (assuming Stokes-Einstein' s equation to be valid inside the WPI matrix), which would have been visible during the experiments.
- 3. A bimodal pore size distribution could explain a fast and a slow diffusion mode; however, in this case, one would expect that it would be seen with both proteins, EFGP and the PepA633 conjugate.
- 4. In FCS studies, detecting extra species with slow diffusion could indicate probe-network interaction (Wöll 2013). The slow diffusion mode occurs only with the conjugate, and the most obvious difference between the two proteins is that pepsin naturally binds to proteins. Our hypothesis, therefore, is that the slow conjugate diffusion mode is related to the pepsin that forms a temporary complex with the WPI matrix. The fact that the fraction of conjugate in the slow mode is the same in both gels supports this hypothesis.

The diffusion coefficients are reported in Fig.3.2; we regard the second component as the PepA633 diffusing in WPI gels. The EGFP diffusion in water is 1.2×10^{-10} m² s⁻¹, which is in agreement with other studies (Nolles et al., 2015). The diffusion coefficient of PepA633 in

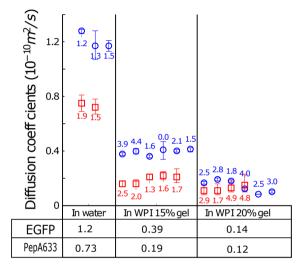


Figure 3.2: Diffusion coefficients of Enhanced Green Fluorescent Protein (EGFP, blue circle) and Pepsin-Alexa 633 conjugates (PepA633, red square) in water and in Whey Protein Isolate (WPI) gel 15 wt% and 20 wt%. Each data point is calculated from the fitted parameter of 10 measurements in one experiments; the number next to it is the χ^2 value from the nonlinear least square fitting process; error bars are its 95% confidence intervals. The values in the table below are the mean values of the correspondent repeated experiments.

water is 7.3×10^{-11} m² s⁻¹, which is slightly lower than the pepsin diffusion coefficient in water as reported previously $(8.7 \times 10^{-11} \text{ m}^2 \text{ s}^{-1})$; (Tyn and Gusek 1990)). The larger diffusion coefficient of EGFP in water compared with that of PepA633 may stem from its lower molecular mass and thus smaller hydrodynamic radius (Erickson (2009) demonstrated the correlation between molecular weight and hydrodynamic radius of proteins). In 15% WPI gel, the diffusion coefficients of EGFP and PepA633 are both smaller than in water, while the EGFP diffusion remains faster than that of PepA633. In 20% WPI gel, the differences between EGFP and PepA633 are negligible. The hindered diffusion of solute in hydrogels has been explained using various theories. For instance, the free volume theory suggested that hydrogels reduce free volume that allows solute to diffuse; the hydrodynamic theory proposed that hydrogels enhance the frictional drag on the solute (Amsden 1998). Thus, as shown in our experiments, protein gels with higher protein fraction have greater hindrance on the PepA633 and EGFP diffusion. The diffusion coefficients can be used to estimate the enzyme concentration gradient inside protein gels during digestion, using Fick' s second law [eq. 3.4] and its integration for onedimensional diffusion [eq. 3.5] (Walstra 2002):

$$\frac{\partial C}{\partial t} = D \frac{\partial^2 C}{\partial x^2} \tag{3.4}$$

$$C = C_0 \left(1 - \frac{2}{\sqrt{\pi}} \int_0^{\frac{x}{2\sqrt{Dt}}} e^{-t^2} dt \right)$$
(3.5)

where *C* is the concentration dependent on the position (x) and time (t), C_0 is the constant concentration at the boundary; D is the diffusion coefficient. Fig. 3.3 shows the relative pepsin concentration (*C*/*C*₀) along the diffusion distance (x = 0 mm-2 mm) in one dimension after a certain period of time (1 h or 6 h), assuming that the bulk concentration of pepsin and diffusion coefficients are constant regardless of time and location. One can either only consider the fast mode of pepsin diffusion or include the slow mode diffusion that 17% of the pepsin

exhibits, as indicated above. The dashed lines in Fig. 3.3 excluded the slow mode diffusion, and the solid lines included the slow mode diffusion.

Within 6 h, which is in the range of gastric emptying time of solid meals (Bornhorst, Chang, Rutherfurd, Moughan, and R. Singh 2013; Collins et al. 1991), the pepsin concentration reaches a significant level only in the first 2 mm of the gels. Thus, the enzymatic hydrolysis of gel matrix is constrained within a thin layer. This may cause the limited digestion of protein gel structure compared with protein in solution that was found earlier (Q. Luo, Boom, and Janssen 2015). However, the differences in the pepsin concentration between 15% and 20% WPI gels are much smaller than the differences in the degrees of hydrolysis obtained in our previous research, where WPI 15% gel underwent a much higher degree of hydrolysis than WPI 20% gel during simulated gastric digestion (Q. Luo, Boom, and Janssen 2015). Therefore, the diffusional constraint might not be the only explanation for the hindered digestion of protein in gel matrices. The FCS experiments were performed at neutral pH, where pepsin has little activity; while in realistic digestion, one should consider that the action of pepsin may change the gel structure and consequently change the pepsin diffusivity.

3.3.2 Gel composition analysis by size-exclusion chromatography

To validate and explore the effect of pepsin diffusion in protein gel digestion, we dissolved the gel slices with urea and DTT after digestion, and analyzed the gel composition using size-exclusion chromatography. The resulting chromatograms for WPI gels of 15 wt% and 20 wt% are shown in Fig. 3.4. The compositions of the second and onward slices were identical to that of undigested gels for both gels (only the second slices were shown), while the first slice was distinctively different. This shows that indeed the pepsin did not affect the second slices. The chromatogram of the first slice of the WPI gel showed several peaks after 10 min of retention time, which indicates the pres-

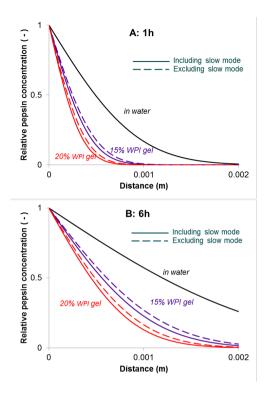


Figure 3.3: Size-exclusion chromatograms of composition analysis of whey protein isolate gels 15 wt% (A) and 20 wt% (B) after 6 h digestion and the correspondent simulated gastric fluid (SGF). Each gel slice has a thickness of 2 mm.

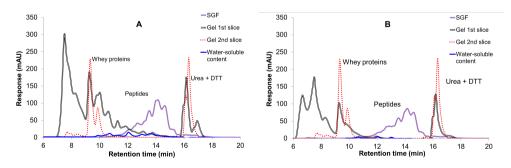


Figure 3.4: Size-exclusion chromatograms of composition analysis of whey protein isolate gels 15 wt% (A) and 20 wt% (B) after 6 h digestion and the correspondent simulated gastric fluid (SGF). Each gel slice has a thickness of 2 mm.

ence of hydrolytic products. Therefore, hydrolysis had occurred in and only within the first 2 mm of the gel, which is in accordance with the estimation based on enzyme diffusion. Moreover, the hydrolysis fragments in the first gel slice were larger than the small peptides in the SGF, based on their distributions in retention time. The 20% WPI gel (Fig. 3.4B) showed the same trend as the 15% WPI gel, but with lower amounts of peptides in the gel slice and in the SGF.

We hypothesized previously that the different peptide distributions obtained during the digestion of a whey protein solution and of a gel (Q. Luo, Boom, and Janssen 2015) may be caused for two reasons: the hydrolysis fragments may either be still attached to the WPI network, or they may be constrained in their egression. The water-soluble content inside the WPI gels' first slices showed similar amount of small peptides (retention time > 12 min) but a lower amount of larger peptides (retention time < 12 min), compared with that of the dissolved first slices of gels (Fig. 3.4). Therefore, those larger peptides may be still attached to the WPI gel network and can only be dissolved with urea and DTT, which supports the first hypothesis.

Large peaks at 7.5 min retention time were observed in the first slices that were digested either with SGF or with pH 2 acidic water (data not shown). We presume that is the stable self-assembly of whey protein formed under acidic condition, since it has been reported previously that β -lactoglobulin at pH 2 can form irreversible fibrillar protein aggregates (Akkermans et al. 2008).

3.3.3 Microstructure of WPI gels

Scanning electron microscopy was employed to observe the effect of pepsin diffusion on the microstructure of WPI gels. The surfaces of original WPI 15 wt% and 20 wt% gels, shown in Fig. 3.5, are fine-stranded gels with rather homogeneous microstructure and a fine network. The network of the 20 wt% gel has smaller pore size than the 15% gel network, in agreement with the lower diffusion rates of both EGFP and PepA633 in the 20% gel. We found that the diffusivity of

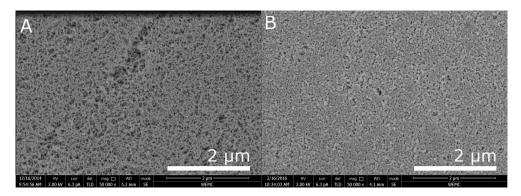


Figure 3.5: Scanning electron micrograph of the original surface of whey protein isolate gels 15 wt% (A) and 20 wt% (B).

PepA633 was reduced by about 70% in 15 wt% gel and 80% in 20 wt% gel compared with its diffusivity in water (Fig. 3.2). Colsenet et al. (2006a) reported on the diffusivity of poly(ethylene glycol)s in whey protein gels; they found a reduction of 70% for the 82 kDa poly(ethylene glycol) in a 19.35 wt% WPI gel (heated at 70 °C for 30 min, NaCl 0.1 mmol L⁻¹) compared with D_0 in water, which is in the same order of magnitude for both EGFP and pepsin in 20 wt% WPI gel. This small discrepancy in diffusivity reduction may stem from the different gel microstructures caused by the differences in heat treatment and ionic strength: a higher ionic strength during gel formation leads to a particulate gel structure and a larger pore sizes (Doi 1993). Moreover, poly(ethylene glycol)s are linear, flexible molecules that can adjust their shape according to the network (Petit, Zhu, and Macdonald 1996), while EGFP and PepA633, which are globular, rigid and charged proteins, thus behave different than poly(ethylene glycol)s.

After 1 h of digestion, both the 15% and 20% WPI gel surfaces changed compared with native samples and some heterogeneity appeared (Fig. 3.6): some areas appeared to be smoother than others; the smooth areas remained rather homogeneous, while the structure became more open than the original microstructure. We suppose that the pepsin hydrolysis weakens the gel microstructure. The rougher areas resemble 'fragments' with more random formation, which may be ag-

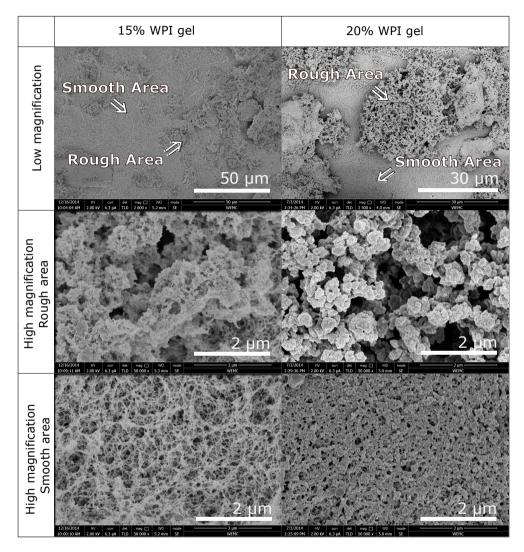


Figure 3.6: Scanning electron micrograph of whey protein isolate (WPI) gel (15 wt% and 20 wt%) surface after 1 h static soaking in simulated gastric fluid. Low magnification images show the overview and high magnification images show the details of rough area and smooth area.

gregates induced by hydrolysis and the acidity. We remind the reader that stable aggregates were also observed in the size-exclusion chromatograms (Fig. 3.4).

The loosened microstructure in the smooth area may lead to faster pepsin diffusion.. Thus, the pepsin concentration in realistic gastric digestion may be slightly higher than our estimation in Chapter Section 3.1 where we assumed the diffusion coefficients are constant regardless of time and location. Regarding the different digestion between 15% and 20% WPI gels, the diffusional constraint might not be the only hindrance; with the microstructure observation we hypothesize that the different rate of structure loosening may be another cause. The summed effect of different diffusion limitations, hydrolysis rates and differences in microstructure and mechanical strength may have caused the difference in disintegration and digestion of two WPI gels.

3.4 Conclusion

We measured the diffusivity of pepsin in whey protein gels by FCS and found significantly slower pepsin diffusion in 15 wt% and 20 wt% WPI gels compared with pepsin diffusion in water, due to steric hindrance in the gel microstructure. The diffusivity of EGFP in the respective protein gels was similar to that of pepsin, but did not show a characteristic slow diffusion mode that was observed for pepsin. This slow mode, for about 17% of the pepsin, is thought to be the pepsin that is temporarily bound to the WPI protein matrix.

Pepsin hydrolysis in the gel matrix is constrained to a thin layer at the surface for timescales that are similar to human gastric digestion. Since pepsin has limited penetration depth, digestion processes are constrained largely to the surface area of the gel particles and on the surface erosion rate.

Analysis of hydrolysis products inside the gels and in SGF indicated that also the reaction products cannot diffuse freely out of the gel but are significantly retained inside the gel structure.

By combining diffusivity study, gel composition analysis and microstructure observation, we can conclude that the mode of WPI gel digestion is determined by the summed effect of diffusion limitation, hydrolysis rate and microstructure transformation. This summed effect could also have caused the distinctive digestion rate between WPI 15 wt% and 20 wt% gels.

We demonstrated the digestion process of WPI gels from a mechanistic view at the microscale level. This knowledge may be used further to predict the digestion of solid food in general, from protein-based food to more complex food structures.

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Chapter 4

Analysis and modeling of enhanced green fluorescent protein diffusivity in whey protein gels

This chapter is based on:

Q. Luo, E. Sewalt, J. W. Borst, A. H. Westphal, R. M. Boom, A. E.M. Janssen. "Analysis and modeling of enhanced green fluorescent protein diffusivity in whey protein gels." *Submitted for publication*.

Abstract

During gastric digestion, hydrolysis of proteins by pepsin contributes largely to the breakdown of protein-rich food. The effect of pepsin is limited by its diffusivity, which is co-determined by the structure of the food that is digested. We used enhanced green fluorescent protein (EGFP) as probe to study the diffusivity of pepsin in whey protein isolate gels, by fluorescence correlation spectroscopy (FCS). Two gels made with different ionic strength showed elastic moduli but do not show differences in protein diffusivity. Some models for diffusion in hydrogels yield good description, and offer the possibility to predict enzyme diffusion in diverse food matrices. However, to yield more accurate predictions, electrostatic and enzyme-substrate interaction also need to be considered.

4.1 Introduction

The digestion kinetics of food are dependent on the structure of the food that is digested. Food structure influences the oral processing, the gastric disintegration rate, and the consequent gastric emptying towards the duodenum (H. Singh, A. Ye, and M. J. Ferrua 2015). Hydrolysis of proteins by pepsin contributes largely to the breakdown of protein-rich food in the stomach. The hydrolysis by pepsin is limited by diffusion into the solid food matrix. The hydrolysis kinetics of egg white protein gels and whey protein gels differed strongly from that of the same proteins in solution, which is likely due to the diffusion limitation in gels for both the pepsin and the hydrolysates (Q. Luo, Boom, and Janssen 2015). Compared to acid-induced dairy gels, a rennetinduced casein gel consists of compact protein aggregates in the acidic gastric environment, and the rennet gel had much slower proteolysis kinetics than that of acid induced gels (Floury et al. 2018). Thus, a quantitative investigation of pepsin diffusion in food structures may contribute to the understanding of food breakdown and digestion kinetics.

We previously measured the diffusivity of pepsin in whey protein isolate (WPI) gels by fluorescence correlation spectroscopy (FCS). We found that the pepsin does not penetrate deep into the gel but remains in a thin layer below the surface of the gel. A second finding was that the diffusivity of pepsin depends strongly on the concentration of the protein gels (Q. Luo, Borst, et al. 2017). Fluorescence Correlation Spectroscopy (FCS) was used for its non-invasiveness and suitability to be used within protein gels. In FCS, a confocal laser microscope is coupled with a photon detector to measure fluorescence intensity fluctuations in a small focal volume . If these fluctuations originate primarily from the diffusion of the fluorophores through the focal volume, autocorrelation analysis can quantify the diffusion rate of the fluorophore.

Whey protein gels were used before as model for protein-based solid foods (Q. Luo, Borst, et al. 2017). Whey protein gelation is generally

a two-step process. After heat denaturation, protein oligomers form primary aggregates with different shapes and sizes depending on the pH and the salt concentration. These primary aggregates then form large self-similar aggregates that precipitate or gel above a critical concentration (Aymard et al. 1996; Nicolai, Britten, and Schmitt 2011).At neutral pH, the primary aggregates consist of short, curved strands with a length of about 50 nm and a diameter of about 10 nm, independent from ionic strength. At low ionic strength, the large aggregates mainly form via head-to-tail association of the primary aggregates, while at higher ionic strengths the structure is more densely branched (Nicolai, Britten, and Schmitt 2011; Pouzot et al. 2005).

We here aim to find an appropriate model to describe diffusion in protein gel matrices, for predicting pepsin diffusion in food during gastric digestion. We also explore the correlation between a macroscopic parameter such as the elastic modulus and the diffusivity of a protein in the gel. Two types of whey protein gel matrices were constructed by altering the ionic strength but the same protein concentration, so that we can compare the diffusion in the gels at the same volume fraction but at different gel strengths. Enhanced Green Fluorescent Protein (EGFP) was used as the pepsin analogue since it has a similar size (EGFP MW = 26.9 kDa, pepsin MW = 34.6 kDa) and similar diffusivity to pepsin (Q. Luo, Borst, et al. 2017). EGFP is auto-fluorescent, thus it can avoid the interference of a free dye that could be released through conjugate dissociation. Quantification of enzyme diffusion in food products will not only improve the understanding of digestion, but may also elucidate the bioaccessibility of proteins and other nutrients. Moreover, the activity of pepsin inside a protein gel network can change the structure of the network which may lead to a change of diffusivity (Q. Luo, Borst, et al. 2017). Models that account for such a change of structure during diffusion can offer better description.

4.2 Theory

Many models for solute diffusion in hydrogels are based on the hydrodynamic theory or the obstruction theory (Amsden 1998; Masaro and Zhu 1999). The hydrodynamic theory is based on the Stokes-Einstein equation for solute diffusivity. The solute is assumed to be a hard sphere which moves with constant velocity through a continuous solvent, experiencing friction. Polymer chains present in the medium reduce the local velocity of the fluid, and hence increase the friction of a solute with its surroundings. In the obstruction theory, which is connected to the free volume theory the polymer chain network obstructs specific sites that were otherwise available for the solute, and therefore reduces the available paths for diffusion. The chains themselves are considered immobile and impenetrable for the solute. The models have been thoroughly discussed in the two reviews of Amsden (1998) and of Masaro and Zhu (1999). We selected some models based on their feasibility for our system of interest. One of the models is based on the hydrodynamic theory, some models on the obstruction theory and some models combine the theories. These models are briefly discussed hereafter, the meaning of each symbol is listed in Table 4.1.

Cukier' s model (1984) is based on the hydrodynamic theory, and that assumes the friction of the solute with the medium is the main cause of reduced diffusion rate:

$$\frac{D}{D_0} = exp(-k_c r_s \phi^v) \tag{4.1}$$

Ogston's model, based on obstruction-scaling theory, assumed solute diffusion is a succession of directionally random unit steps (Ogston, Preston, and Wells 1973). The polymers are considered to be long, straight fibers of small width, while the solute is considered as a hard sphere. The unit step was defined as the root-mean-square average diameter of the spherical solute molecules within the fiber network.

Table 4.1: List of symbols

Symbol	Description
D	Diffusion coefficient
D ₀	Diffusion coefficient at infinite dilution
r _s	Radius of the solute, calculated using the Stokes- Einstein equation: $r_s = \frac{k_B T}{6\pi\eta D}$. Where k_B is the Boltz- mann' s constant, <i>T</i> is temperature and η is the water viscosity.
r_{f}	Radius of the polymer fibre
φ	Polymer volume fraction
α	$\alpha = \phi \left(\frac{r_s + r_f}{r_f} \right)^2$
k _c	interaction parameter for a given polymer-solvent sys- tem
V	screening parameter
k_1	constant for a given polymer-solvent system, depen- dent on the length of a monomer unit and the stiffness of the polymer chain
k	Hydraulic permeability, estimated using a correlation derived by Jackson and James (1986): $k = 0.31 r_f^2 \phi^{-1.17}$
f	$f = (1 + r_s/r_f)^2 \phi$
λ	$\lambda = r_f/r_s$
а	$a = 3.727 - 2.460\lambda + 0.822\lambda^2$
b	$b = 0.358 + 0.366\lambda - 0.0939\lambda^2$

$$\frac{D}{D_0} = exp\left[-\frac{r_s + r_f}{r_f}\sqrt{\phi}\right]$$
(4.2)

Johansson' s model, also based on obstruction-scaling theory, views the gel as a collection of cylindrical cells of a given radius (Johansson and Elvingson 1991). Each cell contains an infinitely long polymer rod and is filled with solvent.

$$\frac{D}{D_0} = exp[-0.84\alpha^{1.09}] \tag{4.3}$$

Tsai and Strieder's obstruction-scaling model (Tsai and Strieder 1985) assumed a random network of overlapping fibres:

$$\frac{D}{D_0} = (1 + \frac{2}{3}\alpha)^{-1} \tag{4.4}$$

E. M. Johnson et al. (1996) combined the obstruction model of Johansson with the hydrodynamic term of R. J. Phillips, Deen, and Brady (1989). The model includes the hydraulic permeability of the medium, which is considered to be a network of straight, rigid fibers with random, three-dimensional orientation.

$$\frac{D}{D_0} = \frac{exp(-0.84\alpha^{1.09})}{1 + (\frac{r_s^2}{k})^{1/2} + \frac{1}{3}\frac{r_s^2}{k}}$$
(4.5)

Clague and R. J. Phillips (1996) combined Tsai and Strieder's obstruction model (Eq. 4.4) with a hydrodynamic term. The hydrodynamic effects are calculated by taking the solute as a sphere made up of point singularities, and the polymer fibers are accounted for using a numerical version of the slender-body theory.

$$\frac{D}{D_0} = (1 + \frac{2}{3}\alpha)^{-1} exp\left(-\pi\phi^{0.174ln(59.6\frac{r_f}{r_s})}\right)$$
(4.6)

Later, Phillips also combined Johansson's obstruction model (Eq. 4.3) with the hydrodynamic term of Clague and Phillips (Eq. 4.6), resulting in a model suitable for hindered diffusion of proteins and micelles in hydrogels (R. J. Phillips 2000):

$$\frac{D}{D_0} = e^{-0.84f^{1.09}} e^{-a\phi^b}$$
(4.7)

These diffusion models are based on specific theories, while protein gels have more complex structure than the polymer gels. Therefore, a screening of these models is required to find out the suitable models for describing the enzyme diffusion in protein gels. Models that have better prediction could suggest the suitable theory for protein diffusion inside a protein network.

4.3 Material and methods

4.3.1 Materials

Whey Protein Isolate (WPI) (Bipro, lot no. JE 034-7-440-6) was purchased from Davisco Food International, Inc. (Le Sueur, USA). This batch of WPI was reported to have a protein content of 97.9 g/100 g dry solid. Milli-Q water (resistivity 18.2 M Ω cm at 25 °C, Merck Millipore, Billerica, USA) was used in all experiments. All other chemicals used were purchased from Sigma Aldrich (St. Louis, USA).

Enhanced green fluorescent protein (EGFP) was produced in-house at Wageningen University & Research, following the method described by Nolles et al. (2015).

4.3.2 Methods

Gel preparation

Whey protein isolate was dissolved in water or in 0.05 mol/L sodium chloride solution, the gels made from these solutions are denoted as 'WPI gels' and 'WPI-NaCl gels' respectively. The protein weight fractions of the solutions are shown in Table 4.2, the volume fraction was calculated based on the voluminosity of heat-induced whey protein aggregates (2.3 mL g^{-1} , Grácia-Juliá et al. (2008), the minimum concentration was chosen based on their critical gelation concentration based on preliminary experiments and literature (Ako et al. 2009). The solutions were stirred at room temperature for at least 2 h. Afterward, they were centrifuged at 1000 rpm (~ 200 g relative centrifugal force) for 10 min to remove any large aggregates and air bubbles. Then they were degassed using 17.50 µm ultrasonic displacement for 3 min, followed by another centrifugation step (1000 rpm, 10 min). The pH of the WPI solutions was measured to be pH 7.

For fluorescence correlation spectroscopy (FCS) the gels were prepared by pipetting 200 μ L of protein solution into each well of a μ -Slide 8 well chambered glass slide (ibidi, Martinsried, Germany). The system was sealed and heated for 30 minutes 90 °C in a hot-air oven. The system was cooled to room temperature and stored at 4 °C. The thickness of the gel layer was 1-2 mm. FCS measurements were performed within 2-3 days.

For texture analysis, the gels were prepared by pouring the protein solution into Teflon tubes of 2 cm diameter. The Teflon tubes were then heated at 90 °C in a water bath while rotating at 30 rpm for 30 minutes. Afterward, the tubes were immediately cooled in ice water and stored at 4 °C. Texture analysis was performed within 2-4 days. All measurements were performed at 20 °C.

WPI gel (wt%) Volume fraction (-)	10 0.074		16.7 0.124	- •
WPI-NaCl gel (wt%) Volume fraction (-)				

Table 4.2: The weight and volume fraction of WPI gels and WPI-NaCl gels used. WPI-NaCl gels were prepared with 0.05 M NaCl.

Fluorescence correlation spectroscopy

Twenty μ L of 3.2 μ mol L⁻¹ EGFP solution was pipetted onto the wells of the eight-well plate containing WPI gels. The samples were stored at 4 °C for approximately 20 h before they were measured, to allow the EGFP to disperse homogeneously throughout the system.

The principles and practice of FCS have been explained in the previous paper of the authors (Q. Luo, Borst, et al. 2017), only the experimental details are described here. FCS was performed on a confocal microscope (Leica TCS SP8, Leica, Microsystem, Wetzlar, Germany). The microscope was equipped with a 63×1.20 NA water immersion objective and a supercontinuum white light laser, which emits a continuous spectrum from 470 to 670 nm. EGFP was excited at wavelength 488 nm at a pulsed frequency of 80 MHz. The fluorescence intensity was recorded through a 70 µm pinhole using a 495 nm-525 nm spectral filter. The fluorescence was recorded via the internal hybrid detector, which was coupled to a PicoHarp 300 TCSPC module (Pico-Quant GmbH, Berlin, Germany). Every gel was measured 10 times for 30 seconds each. Experiments were repeated 3 times at 20 °C and neutral pH, due to the pH-dependency of the used fluorophore. The focal position of the gel in the well was chosen such that the number of fluorescent molecules in the confocal volume at any given time was lower than 10. A blank gel without EGFP was measured to check for noise from the gel. Rhodamine 110 (D = $4.3 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$) was used to calibrate the setup. At each calibration, diffusion times between 20 µs and 25 µs and a structural parameter between 5.2 and 6.6 were obtained, resulting in confocal volumes of approximately 4.2 fL-9.48 fL.

Fluorescence Fluctuation Spectroscopy software (FFS data processor version 2.3 from Scientific Software Technologies Software Centre, Belarus) was used to fit the fluctuation data to a diffusion model that includes the triplet state of the fluorophore. Ten measurements of one sample were fitted by a global analysis based on the Marquardt-Levenberg nonlinear least-squares method. The goodness of fit was confirmed by the straightness of the weighted residuals and low chi-square values. The confidence intervals of the recovered parameters were calculated by the exhaustive search method. Those procedures were all performed within the software, more details have been described by Skakun, Digris, and Apanasovich (2014).

Texture analysis

To determine the tensile elastic modulus of the protein gels, a texture analyzer (type 5564, Instron, MA, USA) was used. Cylindrical gel samples (diameter 20 mm and height 20 mm) were compressed to 25 % of their original height at a rate of 30 mm/min using a 2000 N load cell. The compressional extension and load were logged during the experiment to determine the elastic modulus:

$$E = \frac{Stress}{Strain} = \frac{F/A_0}{dL/L_0}$$
(4.8)

Where *F* is the load, A_0 is the initial area of the surface where the force of compression is applied, *dL* is the extension and L_0 is the initial height of the sample. The experiments were repeated at least three times per sample composition.

We calculated the crosslinking density M_c , defined as the molecular weight of the polymeric units between the crosslinks, based on the elastic contribution of the Flory-Rehner model (van der Sman 2012):

$$M_c = \frac{\rho_s RT}{E} \tag{4.9}$$

Where E is the elastic modulus, R is the gas constant, T is the temperature, the density of the polymer ρ_s is 1421 kg m⁻³ (Papiz et al. 1986).

Modeling

The models for diffusion in hydrogels were fitted to the measured diffusion coefficients using Matlab R2015b (MathWorks, Natick, USA). Model fitting was done using the nonlinear fitting function *lsqcurvefit* that solves fitting problems using a least-squares approach. The algorithm used was the trust-region-reflective method. The accuracy of the resulting fit was analyzed by determining the coefficient of determination of the fit using the *resnorm* option of *lsqcurvefit*. The function *nlparci* was used to extract the 95% CI of the parameters out of the Jacobian matrix.

4.4 Results and discussion

4.4.1 Texture analysis

Manual inspection and texture analysis showed that higher protein mass fractions result in gels with a larger elastic modulus. The elastic moduli of the protein gels are reported in Figure 4.1. The WPI gels of 10 wt% and 11.7 wt% and the 5 wt% WPI-NaCl gel behaved like viscous semi-fluids, thus were unfit for elasticity measurements.

The elastic modulus increases strongly as the protein weight fraction approaches 20 wt% with consistently higher elastic moduli for the WPI-NaCl gels than WPI gels. The crosslinking density, found with the Flory-Rehner equation, is inversely proportional to the elastic modulus (Eq. 4.9) and is shown in Fig. 4.1B. It shows that the

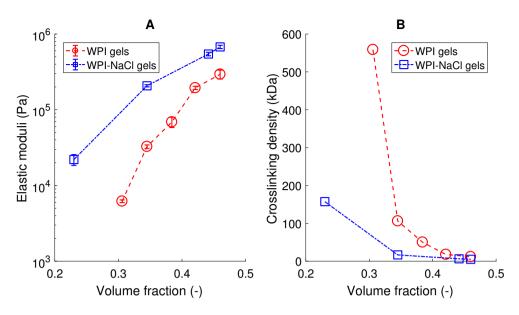


Figure 4.1: The elastic moduli (A) and crosslinking density (B) of WPI gels (red circles) and WPI-NaCl gels (blue squares). Each data point is the average of 3 experiments, error bars are the standard deviations. The crosslinking density is calculated based on the elastic contribution of the Flory-Rehner model (Eq. 4.9). The dashed lines are guides for the eye.

WPI-NaCl gels are more densely cross-linked, which agrees with the observation that the protein aggregates at higher ionic strengths are more strongly branched (Nicolai, Britten, and Schmitt 2011).

One would expect the rate of diffusion to decrease as the polymers become more densely cross-linked, since the one might expect the steric hindrance of a solute molecule in a more tightly crosslinked polymer network to be larger. This can be evaluated with direct diffusivity measurements.

4.4.2 Diffusivity experiments

Report on diffusivity data

The diffusivity of EGFP in WPI and WPI-NaCl gels was assessed using fluorescence correlation spectroscopy. The autocorrelation curves obtained via FCS were fitted with a one-component model resulting in a good fit, yielding a diffusion coefficient of EGFP in water of 1.3×10^{-10} m² s⁻¹. The diffusivity reduction (D/D₀) of EGFP in both the WPI and WPI-NaCl gels were calculated and reported in Figure 4.2. As expected, the diffusivity in both types of gels decreases as the WPI concentration increases. While the two types of gels had distinctive trends in the change of their elastic moduli (Figure 4.1A), they do not show any differences in their reduction in diffusivity. Therefore, although both elasticity and diffusivity are related to the gel microstructure, these two properties are not directly correlated. We see that the volume fraction of the protein gel is the main determinant of the diffusivity reduction, and we expect that the elastic modulus will be also be determined by the exact microstructure of the gel.

This does not mean that the gel microstructure has no influence on the diffusion related properties. The diffusion of pepsin into the gel is a necessary first step In the gastric digestion of protein gels. Guo et al found that food gels that have the same protein volume fraction but different strength, do differ in their gastric disintegration kinetics (Guo, A. Ye, Lad, M. Ferrua, et al. 2015). Although the microstructure of the gels (elasticity or fracture strength) may not determine the diffusivity reduction in the gels, it is correlated with other factors that contribute to the ultimate disintegration of the gel.

Model fitting and analysis

The models discussed in the theory section (eq. 4.1 - 4.7) are examined using the experimental diffusivity data. In the fitting process, the radius of EGFP r_s (1.65 nm) is calculated from the measured diffusion coefficient in water, using the Stokes-Einstein equation; the radius of the polymer fiber and the other unknown constants are used as fitted parameters. All the fitted parameters are shown in table 4.3.

In Cukier' s model (Eq. 4.1), the screening parameter v has been shown to vary in different regimes of concentrations. By definition, in the dilute regime, polymer chains move independently; in the semi-

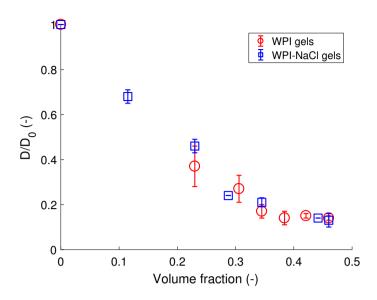


Figure 4.2: Diffusivity reduction (D/D_0) of enhanced green fluorescent protein in WPI gels (red circles) and WPI-NaCl gels (blue squares). Each data point is the average of 2 or more experiments, error bars are the standard deviations. The dashed lines are guides for the eye.

dilute regime, polymer chains start to overlap; and in the concentrated regime, diffusion is dominated by polymer friction. Cukier found an exponent of 0.5 for small solutes in semi-dilute polymer solutions and slightly cross-linked gels (Cukier 1984). Freed and Edwards (1974) obtained an exponent of 1 for polymer chains in a dense polymer solution without entanglements. De Gennes found an exponent of 0.75 in a system of long flexible chains in good solvents (De Gennes 1976). Thévenot et al. applied Cukier' s model with the exponent of 0.75 to the diffusion of labeled pepsin in casein rennet gels and found good fitting description (Thévenot et al. 2017). To determine which screening factor should be used in the EGFP-WPI gel system, we evaluated the model with both v=0.75 and v=1 (Later denoted as Cukier 0.75 and Cukier 1). The model predictions are illustrated in Figure 4.3A/B, the fitted parameters and the goodness of fit are listed in table 3.

Overall, the Cukier's model describes the diffusivity in our system well. In comparison, using an exponent of 1 yields better fit than using 0.75. Since we studied the whey protein gels above the critical gelation concentration, the gels are densely cross-linked which fall in the concentrated regime. The fitted interaction parameter k_c differs slightly between WPI gels and WPI-NaCl gels, however, there is a strong overlap between their 95% confidence intervals.

In the other models, we used r_f as the fitted parameter. Among them, Johansson's model and Clague-Phillips' model yielded the best fit, with low SSR, high R². These two models are shown in Figure 4.3 C/D as the examples. The typical β -lactoglobulin primary aggregates radius is 5 nm, observed with cryo-TEM (Pouzot et al. 2005). In Johansson's model, the fitted polymer radii r_f are 1.29 nm and 1.39 nm, which are smaller than the protein aggregate radius. Ogston's model and Tsai-Strieder's model also yielded reasonable fit while the fitted polymer radii are small. The diffusivity reduction may be affected by more than the hydrodynamic or obstruction hindrance that is described in the models, and these effects were reflected in the small r_f in the fitting. In the Phillips' model, the confidence intervals are very large which indicate that the parameters in this model are unreliable.

We tested whether the different models can predict the diffusivity of pepsin in WPI gels using the parameters yielded from the EGFP. Cukier 1, Johansson's and Clague-Phillips' model are chosen for the prediction. The experimentally determined diffusion coefficients of Alexa633-labelled pepsin from our previous study (Q. Luo, Borst, et al. 2017) were used. In the models, the hydrodynamic radius of the Alexa633-labelled pepsin (2.9 nm) was used, while for other parameters we used the values that were obtained with EGFP diffusion in WPI gels. The prediction is shown in Table 4.4. Cukier's model predicted far lower diffusion coefficients for both gel types. This may indicate that interaction parameter k_c is not only dependent on the polymer-solvent system but also the solute' s interaction with the polymer, for example, due to different charge or charge distribution over the different proteins. Johansson' s model also underestimated the diffusion coefficients, while Clague's model predicted values that are about 60% of the experiment data, providing the closest prediction among the models. The small polymer fiber radii used in the Johansson' s caused the prediction to be very sensitive to the change

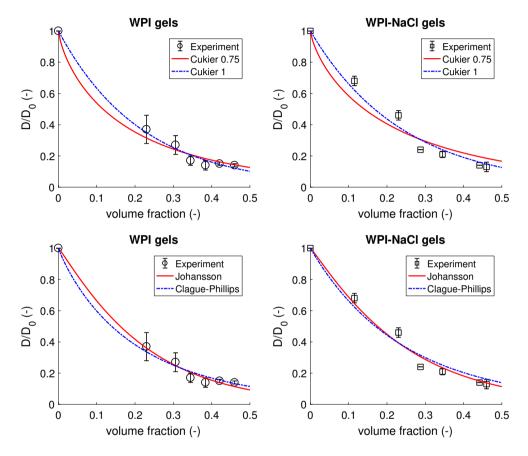


Figure 4.3: Diffusivity reduction (D/D_0) of EGFP in WPI gels (A/C) and WPI-NaCl gels (B/D) and the model predictions. The black circles and squares are the average of 3 or 4 experimental data measured by fluorescence correlation spectroscopy, error bars are their standard deviation. The lines are the model predictions.

Table 4.3: The fitted parameters of the models. The uncertainty are the 95% confidence intervals of the fit.

Model	Matrices	Fitted pa- rameter	Value	R ²	SSR
Cukier 0.75	WPI gel WPI-NaCl gel	$k_c (nm^{-1})$	2.11 ± 0.23 1.83 ± 0.43	0.987 0.950	0.008 0.038
Cukier 1	WPI gel WPI-NaCl gel	$k_c (nm^{-1})$	2.77 ± 0.22 2.51 ± 0.38	0.994 0.980	0.004 0.015
Ogston	WPI gel WPI-NaCl gel	r _f (nm)	1.00 ± 0.25 1.39 ± 0.84	0.974 0.885	0.016 0.081
Johansson	WPI gel WPI-NaCl gel	r _f (nm)	1.29 ± 0.08 1.39 ± 0.15	0.994 0.985	0.003 0.011
Tsai	WPI gel WPI-NaCl gel	r _f (nm)	0.55 ± 0.11 0.69 ± 0.23	0.976 0.926	0.015 0.057
Johnson	WPI gel WPI-NaCl gel	r _f (nm)	0.05 ± 0.06 0.24 ± 0.32	0.921 0.757	0.046 0.179
Clague	WPI gel WPI-NaCl gel	r _f (nm)	4.73 ± 1.71 8.23 ± 5.47	0.992 0.979	0.005 0.017
Phillips	WPI gel WPI-NaCl gel	r _f (nm)	3.02 ± 11.2 2.99 ± 18.06	0.990 0.952	0.007 0.048

Table 4.4: Experimental data and model predictions of diffusivity reduction (D/D_0) of Alexa 633 labelled pepsin, experimental data is taken from Q. Luo, Borst, et al. (2017).

Gel type	D/D ₀ , ex- perimental	Cukier 1	Clague	Johansson
WPI gel 15 wt%		0.063	0.163	0.032
WPI gel 20 wt%		0.025	0.102	0.0091

of the solute radius r_s . The prediction is too low in all cases, indicating that pepsin is anomalously mobile relative to EGFP in WPI gels, even though the molecular weights are similar, with pepsin slightly larger than EGFP, and EGFP is a very compact molecule compared to other proteins.

The different diffusion behavior of pepsin may be related to a number of effects, as all these models presume to a specific interaction between the diffusant and the matrix.

The first possible interaction is the electrostatic interaction. Kang et al. studied the diffusion of apoferritin (diameter 12.8 nm) in bacteriophage fd (a rod-like virus with contour length of 880 nm and bare diameter of 6.6 nm)(Kang et al. 2007). They found that the electrostatic interactions strongly alter the diffusional behavior of apoferritin. Likewise, both EGFP and whey proteins are charged at neutral pH, as well as pepsin. Pepsin, having a very low isoelectric point (IEP), has around 20 negatively charged groups, and will be quite negatively charged at neutral pH. EGFP, in contrast, has a much higher IEP and is only slightly negatively charged at neutral pH. One would expect that pepsin, being more strongly charged, would have less accessible volume in the WPI gel network, especially in more concentrated gels. However, we see that the experimental diffusivities of pepsin are significantly higher than would be expected based on the diffusivity of EGFP in WPI gels.

A second interaction is binding. Pepsin as an enzyme naturally binds to protein, which also negatively affects its diffusivity. We previously observed that a part of the pepsin diffuses slower than the expected reduction by the whey protein gel network even at neutral pH where pepsin is not active (Q. Luo, Borst, et al. 2017). Fadda et al. found anomalously slow diffusion of thermolysin in gelatin gels due to the enzyme-substrate interaction, and the time that the enzyme is trapped on the gel is related to the enzyme kinetics constants. K_m determines the proportion of enzyme that is trapped in the enzyme substrate, and k_{cat} offers an estimate of the elementary trapping time. However, also this aspect would imply a lower diffusion rate for pepsin than for EGFP. One may argue that the hydrolytic action of pepsin may lead to a greater degree of freedom for pepsin to diffuse in a gel matrix; however, our measurements with pepsin were made at neutral pH, and pepsin is not catalytically active at this condition.

At this point, it is not clear why pepsin diffuses faster than EGFP. Further studies will be required to elucidate the exact reason for this.

4.5 Conclusions

We investigated the diffusivity of EGFP, as the model for pepsin, in two types of whey protein gels with varied concentrations. The diffusion rates of EGFP decreased dramatically at higher concentrations of whey protein in the gel. Two types of whey protein gels, made with different ionic strength had different elastic moduli at the same protein concentration, but the elastic moduli cannot be directly correlated to the diffusivity reduction.

Cukier's hydrodynamic model yielded the best description, but the parameter k_c cannot directly reflect the geometry of the gel matrix; and it varies among different gel matrices. In contrast, Clague's, Johansson's and Phillips' models require only basic structural information such as the radius of the polymer fiber (r_f) and the radius of the solute (r_s).

Surprisingly, the EGFP diffusivity measurements predicted signifi-

cantly lower diffusivities for pepsin, than was found with pepsin itself. In the translation from EGFP to pepsin, we need to include the different electrostatic interaction and possible enzyme-substrate interaction; however, both effects cannot explain the higher diffusion rates of pepsin relative to those of EGFP.

We conclude that while the hydrodynamic models give good descriptions, they are clearly too simple for a full physical understanding of diffusion of a protein in a protein gel, and that the translation from one diffusant to another may involve several types of interactions.

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Chapter 5

Revisiting the enzymatic kinetics of pepsin using isothermal titration calorimetry

This chapter is based on:

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Abstract

Pepsin is the first protease that food proteins encounter in the digestive tract. However, most of the previous studies on the enzymatic kinetics of pepsin were based on the hydrolysis of small synthetic peptides, due to the limitations in methodology and the complexity of protein substrate. To better understand the role of pepsin in protein digestion, we used the isothermal titration calorimetry to study the enzymatic kinetics of pepsin with bovine serum albumin as the substrate. We found that pepsin has a higher catalytic rate at lower pH, while its affinity to substrate is lower. At the same pH, pepsin has lower activity and affinity at higher ionic strengths. We found contrasting kinetic parameters for pepsin-catalyzed hydrolysis of bovine serum albumin and of small synthetic peptides. Time-dependent kinetics also showed that pepsin has lower efficiency towards intermediate peptides during hydrolysis.

5.1 Introduction

The digestion of food proteins in the gastrointestinal tract has been studied both *in vivo* and *in vitro* for decades. These studies have investigated the nutritional value (Boirie et al. 1997; Nilsson, Holst, and Björck 2007), digestibility (Hsu et al. 1977; Denis et al. 2016), and allergenicity (Schmidt et al. 1995; Kopper et al. 2004; Polovic et al. 2007) of proteins, however, the reaction kinetics of protein digestion has not been fully quantified to date. The digestion of protein starts in the stomach, where pepsin is the major enzyme present. Therefore, the enzymatic kinetics of pepsin is an important aspect of the digestion process of food proteins that requires better understanding.

Pepsin (EC 3.4.23.1) is an aspartic acid protease. Its zymogen, pepsinogen is secreted by the chief cells on gastric mucosa, and activated in low pH (Kageyama 2014). Pepsin has 330-350 amino acid residues with mainly β -sheets. The catalytic site of pepsin is located in the middle narrow slit of two lobes; two aspartic acid residues, Asp32 and Asp215, are located at the end of each domain (Dunn 2001). These two aspartic acid residues are connected through a low-barrier hydrogen bond. Findings from recent decades suggested that this low-barrier hydrogen bond is the key feature in the catalytic mechanism for aspartic proteases, which facilitates the proton transfer during catalysis (Northrop 2001; Dunn 2002).

The specificities of proteinases are often characterized by the cleaved peptide bond (P1-P1'), which consists of two amino acid residues. However, the substrate binding and specificity may also involve the amino acid residues on either side of the cleaved peptide bond (Powers, Harley, and Myers 1977; Foltmann 1981):

Substrate $P_4 - P_3 - P_2 - P_1 \stackrel{\downarrow}{\longrightarrow} P_1' - P_2' - P_3' - P_4'$

Enzyme S_4 S_3 S_2 S_1 S'_1 S'_2 S'_3 S'_4 where the individual amino acid residues on the substrate are designated P1, P2, P1', etc. and the corresponding subsites of the enzyme are S1, S2, S1', etc. The arrow indicates the peptide bond cleavage between the P1 and P1' residues.

The specificity of pepsin (i.e. the cleavage probability of peptide bonds by pepsin) is mainly influenced by the amino acid residues at position P1 and P1', while the amino acid residues at other subsites may also play a role. In general, pepsin prefers to cleave after phenylalanine, leucine, and methionine, whereas it rarely cleaves after histidine, lysine, proline, and arginine. Aromatic residues tyrosine, tryptophan, and phenylalanine are favored at position P1'. Proline is strongly disfavored at P2, P2', and P3' position, while histidine, lysine, and arginine are disfavored at the P3 position. The P4 and P4' positions have little influence. (Hamuro et al. 2008; Ahn et al. 2013)

The influence of pH on enzyme activity is generally recognized. Pepsin, as an aspartic protease, has a very low pH optimum. Kondjoyan, Daudin, and Santé-Lhoutellier (2015) studied the digestibility of myofibrillar proteins and found maximum pepsin activity at pH around 2. Pletschke, Naudé, and Oelofsen (1995) studied the hydrolysis of hemoglobin by porcine and ostrich pepsin, and also found optimum pH of 2.0 for pepsin activity.

In contrast to the influence of pH, the effect of ionic strength on enzyme activity is less discussed. Dale and White (1983) showed that increasing the ionic strength clearly decreases the reaction rate of immobilized pancreatic ribonuclease. Butré, Wierenga, and Gruppen (2012) studied the enzymatic hydrolysis of whey protein isolate by alcalase and neutrase, and also found that the presence of 0.5 M NaCl decreased the rate of hydrolysis.

The enzymatic kinetics can be described by the Michaelis-Menten model (Michaelis and Menten 1913; K. A. Johnson and Goody 2011):

$$v = \frac{k_{cat}ES}{K_m + S} \tag{5.1}$$

where v is the rate of the enzymatic reaction, E is the enzyme concentration, S is the substrate concentration. K_m is the Michaelis constant (defined as the substrate concentration where half of the maximum

reaction rate is reached) that can describe the binding affinity between enzyme and substrate, and k_{cat} is the catalytic constant, also known as the turnover number. It is the maximum amount of substrate converted to product per enzyme molecule per second. k_{cat}/K_m is defined as the specificity constant that indicates the catalytic proficiency of an enzyme. k_{cat} and k_{cat}/K_m are now recognized as the two primary steady-state kinetic parameters for enzymes (Miller and Wolfenden 2002).

To obtain the kinetic data of the enzymatic reaction, spectrophotometric methods are commonly used. Since spectrophotometric methods require specific chromophores on either the substrate or product, they are constrained by substrate properties and reaction conditions (temperature and pH), and are often laborious. Alternatively, calorimetric methods can be used to measure reaction rate by monitoring the enthalpy change, since the enthalpy change is ubiquitous in most enzymatic reactions.

Isothermal titration calorimetry (ITC) is one of the calorimetric methods that use power compensation to keep reaction condition isothermal. As a chemical reaction takes place in the measurement cell, the thermal power from the controlled heater is adjusted according to the enthalpy change of the reaction (Freyer and Lewis 2008). The monitored thermal power is directly proportional to the reaction rate, which simplifies data analysis. Reaction rates at different substrate concentrations can be obtained in a single experiment by subsequently injecting the substrate (under pseudo-first-order conditions), rather than requiring multiple experiments. Moreover, low amounts of enzyme and substrate are required due to the high sensitivity of ITC (Todd and Gomez 2001).

Pepsin is the first protease that food proteins encounter in the digestive tract, thus the usual substrate for pepsin is mostly intact proteins. However, most previous studies on the enzymatic kinetics of pepsin were based on the hydrolysis of small synthetic peptides. Most studies on pepsin-catalyzed hydrolysis of proteins did not quantify the kinetic parameters. The enzymatic kinetics of pepsin with intact protein is most relevant for realistic situations, rather than with short peptides. With information on the kinetics of pepsin in realistic situations, we could better understand the role of pepsin in the digestion process of food proteins. Therefore, we aim to study the enzymatic kinetics of pepsin with bovine serum albumin as a substrate, using the ITC, taking the effect of pH and ionic strength into consideration. We hypothesize that both the affinity and efficiency of pepsin are influenced by pH and ionic strength, and the physiological role of pepsin is related to food disintegration.

5.2 Materials and method

5.2.1 Materials

Pepsin from porcine gastric mucosa (lyophilized powder, 3200 to 4500 units per mg protein, MW = 34.6 kDa), bovine serum albumin (purity \geq 98%) from bovine milk, and all other chemicals used were purchased from Sigma Aldrich (St. Louis, MO, USA). All of the water used in the experiments was obtained from a Milli-Q Integral Water Purification System (Merck Millipore, Billerica, MA, USA).

5.2.2 Sample preparation

Buffers at different pH and ionic strength were prepared. 100 mM phosphate buffer at pH 2, pH 2.5, pH 3, and pH 3.5 were used. At pH 2, three phosphate buffers were prepared at the ionic strength of 43 mM (that of the buffer without additional salt), 89 mM (equivalent to the total ionic strength of the electrolytes in the simulated gastric fluid according to the international consensus by Minekus et al. (2014)) and 154 mM (physiological salt condition). The ionic strength of buffers at pH 2.5 and pH 3 were 89 mM, and 96 mM at pH 3.5, so that the effect of pH can be compared at similar ionic strength. The ionic strengths were calculated via the buffers' ionic compositions:

$$I = \frac{1}{2} \sum_{i=1}^{n} c_i z_i^2$$
(5.2)

where c_i is the molar concentration of ion i, z_i is the charge number of that ion, and the sum is taken over all ions in the solution. The ionic strengths were adjusted by adding sodium chloride when necessary. At pH 3.5, the native ionic strength of the 100 mM phosphate buffer is 96 mM.

A $10 \,\mu\text{M}$ stock solution of pepsin was prepared in the buffers, it is stored at $-20 \,^{\circ}\text{C}$ for up to one month and unfrozen before use. Substrate solutions were freshly prepared. Due to the high concentration of the substrate solutions, the pH was slightly changed from the buffer pH. Thus, we adjusted the pH to the expected pH to avoid extra heat effect from a difference in pH. Before all the solution transferred to the instrument, A de-gassing step is performed with an ultrasonic device at 80 kHz for 10 min.

5.2.3 Isothermal Titration Calorimetry

The theoretical basis of determining enzymatic rate kinetics using ITC has been described previously (Morin and Freire 1991; Williams and Toone 1993; Todd and Gomez 2001). A summary will be given below: the enthalpy change for the reaction, which is equal to the compensated thermal power (dQ/dt), is directly proportional to the rate of the chemical reaction:

$$\frac{dQ}{dt} = \Delta H_{app} V \frac{dP}{dt}$$
(5.3)

where ΔH_{app} is the apparent molar enthalpy change for the reaction, V is the volume of the calorimetric cell, and P is the product concentration. dP/dt equals to the reaction rate v, therefore Eq. 5.3 can be rearranged to:

$$v = \frac{1}{V\Delta H_{app}} \times \frac{dQ}{dt}$$
(5.4)

Reaction rates at different substrate concentrations can be obtained by subsequently injecting the substrate into the cell, this method is later referred as the multiple injection method. The subsequent injections are made when the reaction has reached a steady state, while significant reaction of the substrate has not yet occurred. Thus, these determined reaction rates can be regarded as the initial rates to be used in the Michaelis-Menten model (Eq. 5.1). In the multiple injection method, the total volume is changing due to the addition of solution, therefore the dilution of enzyme and substrate is considered during calculations.

The ΔH_{app} can be determined by the single injection method, where the reaction proceeds to substrate depletion. Integration of the total enthalpy in the single injection gives the ΔH_{app} :

$$\Delta H_{app} = \frac{1}{S_{total} \times V} \int_{t=0}^{t=\infty} \frac{dQ(t)}{dt} dt$$
(5.5)

where S_{total} is the total substrate concentration in the single injection experiments.

In a mide hydrolysis, the overall free energy change ΔG_h can be represented by

$$\Delta G_h = \Delta G_m + \Delta G_i \tag{5.6}$$

where ΔG_m is the free energy of hydrolysis of the amide bond to uncharged products and ΔG_i is the free energy of ionization (Martin 1998). ΔG_i may be calculated based on the pH and the dissociation constant of the corresponding carboxylic acid group and the amino group of the cleaved peptide bond. Based on the hydrolysis of glycyl peptides, Martin (1998) found ΔG_m to be 22.2 kJ mol⁻¹ except when a glycine is released from the carboxyl terminus, where $\Delta G_m =$ 25.1 kJ mol⁻¹. Martin (1998) suggested that these values should also apply to peptide bonds composed of other amino acids. The value of ΔG_m is positive, thus the reverse of hydrolysis (i.e. the synthesis reaction) is favored if ionization is absent. Since ΔG_i is always negative, it can overwhelm the positive ΔG_m and make the overall free energy change ΔG_h negative. The ΔG_h values in Martin's result range from 1.7 kJ-15.1 kJ for different cleavages in glycyl peptides of different length, due to different ΔG_i values.

The ITC experiments were conducted with a MicroCal iTC200 calorimeter (MicroCal, LLC., Northampton, MA, USA). This device was connected to a computer with MicroCal iTC200 software to control the device and record data. The sample cell was kept at 37 °C. The reference power was the default value, $10 \,\mu\text{cal s}^{-1}$ (1 cal=4.184 J). Before every experiment, 200 μ L of 1 μ M pepsin solution was loaded to the sample cell, and 300 mM of BSA solution was loaded to the injection syringe. The initial delay before titration was 60 s and the stirring speed was set to 1000 rpm.

Preliminary experiments were performed to determine the parameters of the final experiment, including the concentration of substrate and enzyme, the injection volume, and the injection interval. Blank tests were performed to determine the heat effect other than the reaction heat. In the final experiments, the multiple injection routine was $2 \,\mu\text{L}$ of substrate solution × 19 injections with an interval of 13 s; in the single injection experiments, $5 \,\mu\text{L}$ of substrate was injected into the 200 μ L of 1 μ M pepsin solution, the duration was 3600 s.

5.2.4 Determination of peptide bond cleavages

The real substrates in protein hydrolysis are the peptide bonds. Protein hydrolysis by a single enzyme often cannot reach a theoretical complete conversion at which all peptide bonds would be cleaved, and the degree of hydrolysis varies with different enzymes and conditions. To determine the true reaction enthalpy per peptide bond cleavage, we coupled the ITC single injection method with the OPA method (Nielsen, Petersen, and Dambmann 2001). The OPA method was used to measure the peptide bond cleavage per mole of protein in the same condition and duration as the single injection experiments. A hydrolysis ratio R_h is defined:

$$R_h = \frac{P_{amino}}{S_{protein}} \tag{5.7}$$

where P_{amino} is the concentration of free amino groups produced in the hydrolysis, measured by the OPA method, $S_{protein}$ is the protein concentration.

The hydrolyses were performed in 1.5 mL Eppendorf tubes (Eppendorf, Hamburg, Germany) kept at 37 °C, and the enzyme-substrate ratio was kept the same as that in the ITC single injection experiments. The OPA method was performed as described previously (Q. Luo, Boom, and Janssen 2015), using a DU 720 (Beckman Coulter Inc., Pasadena, USA) spectrophotometer.

5.2.5 Data analysis

Matlab R2016b (MathWorks, Natick, USA) was used for data analysis of the ITC results. The function *trapz* (trapezoidal numerical integration) was used to calculate the peak area in the single injection experiments. Model fitting was done using the nonlinear fitting function *lsqcurvefit* that solves fitting problems using a nonlinear least-squares approach. The trust-region-reflective algorithm was chosen. The accuracy of fitting was analyzed by determining the coefficient of determination of the fit using the resnorm option of lsqcurvefit. The function *nlparci* was used to extract the 95% confidence interval of the parameters out of the Jacobian matrix.

5.3 Result and discussion

5.3.1 Determining the reaction enthalpy

To determine the true apparent molar enthalpy change for the protein hydrolysis, we used the ITC single injection experiments to measure the total enthalpy. Fig. 5.1A shows an example of the BSA ITC single injection experiment, other single injection data are shown in the supplementary material. In the blank experiment, where BSA was injected into a buffer solution without pepsin, a sharp positive peak appeared immediately after injection which returned to the baseline shortly. Generally, this heat effect is due to the dilution of the substrate solution. In the hydrolysis experiment, a smaller positive peak of dilution heat was rapidly overtaken by a large negative peak due to the reaction heat. The negative peak indicates that the protein hydrolysis is overall exothermic. The heat flow generated by the enzyme reaction was equivalent to the decrease in instrumental thermal power (dQ/dt). Although the power supply of reaction experiment does not always return to the same baseline as the blank experiment, minimal change was observed from 1000 s-3600 s. Thus, we consider the reaction as nearly complete at 1000 s, and calculate the apparent enthalpy per mole of protein $\Delta H_{protein}$ based on the integral between the blank and the hydrolysis curve of the first 1000 s (Eq. 5.5).

We coupled the single injection methods with the OPA method to determine the true reaction enthalpy per peptide bond cleavage. The values of ΔH and hydrolysis ratios R_h at different conditions are reported in Table 5.1. The hydrolysis ratio R_h can be regarded as the 'susceptible peptide bonds in one protein molecule' for a given duration of the reaction. R_h can be used to convert $\Delta H_{protein}$ to the apparent enthalpy per peptide bond cleavage ΔH_{bond} , as well as to calculate the substrate concentration *S* in the Michaelis-Menten equation (Eq. 5.1). The substrate concentration in Eq. 5.1 should not be based on the total number of peptide bonds or the protein concentration, but rather on the peptide bonds that can be cleaved by the protease, which depends on the enzyme specificity. By using the hydrolysis ratio, we can fairly compare the enzymatic kinetics of proteases with different specificity.

The hydrolysis ratios range from 37.9 at pH 2 and ionic strength 89 mM, to 59.3 at pH 2 and ionic strength 154 mM. Since the hydrolysis can be regarded as nearly completed, the difference in hydrolysis ratios may stem from the variation of pepsin specificity under different conditions. Hamuro et al. (2008) analyzed data from 39 proteins digested with immobilized porcine pepsin at pHs ranging from 1.3 to 2.5 and summarized the cleavage probability of different amino acid residues. For an estimation, we counted the number of peptide bonds

Table 5.1: The apparent molar enthalpy for the hydrolysis of BSA by pepsin at different pH and ionic strengths, in 100 mM phosphate buffers. $\Delta H_{protein}$ is the apparent enthalpy per mole of protein, and ΔH_{bond} is the apparent enthalpy per mole of peptide bond cleavages. The hydrolysis ratio is the mole of peptide bond cleavages per mole of protein in the duration of the single injection experiments (Eq. 5.7), measured by the OPA method. Data are the mean \pm standard deviation of duplicates.

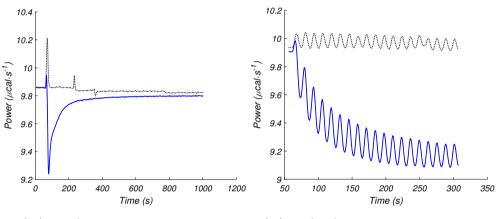
pН	Ionic strength (mM)	$-\Delta H_{protein}$ (kJ mol ⁻¹)	Hydrolysis ratio	$-\Delta H_{bond}$ (kJ mol ⁻¹)
2	43	215 ± 16	48.1 ± 6.8	4.47
2	89	153 ± 18	37.9 ± 6.0	4.04
2	154	232 ± 11	59.3 ± 2.7	3.91
2.5	89	257 ± 6	45.8 ± 1.4	5.61
3	89	251 ± 23	46.5 ± 1.3	5.40
3.5	96	282 ± 78	39.5 ± 2.4	7.14

in BSA that has a cleavage probability $\geq 50\%$ (based on Hamuro's chart of *pepsin specificity by P1-P1' positions*). The total count of these favored peptide bonds is 60, which is roughly in accordance with the hydrolysis ratios we obtained.

Regardless of ionic strength, the 3 ΔH_{bond} values at pH 2 are very similar, while the values vary at different pHs. This confirms the theory of Martin (1998), that the overall free energy change of peptide bond cleavage is largely determined by the free energy of ionization. One should bear in mind that these calculated ΔH_{bond} values are the average of the heat effect in different peptide bond cleavages in BSA. These ΔH_{bond} values were further used for determination of the kinetic parameters.

5.3.2 Fitting kinetic parameters

An example of an ITC multiple-injection experiment is shown in Fig. 5.1B. The vertical distance between the lowest point after each injec-



(A) Single injection experiment (B) Multiple injection experiment

Figure 5.1: Examples of isothermal tiration calorimetry experiments. The solutions used are 300 mM BSA and 1 μ M pepsin in 100 mM phosphate buffers. (A): The solid blue line shows one injection of 5 μ L of BSA into 200 μ L of pepsin solution. The dotted black line shows the blank where 5 μ L BSA solution was injected into the buffer solution without enzyme. (B): The solid blue line shows 19 injections of 2 μ L BSA solution into 200 μ L pepsin solution; the dotted black line shows the blank where the pepsin is absent, 19 injections of 2 μ L BSA solution without enzyme. The injection intervals were 13 seconds.

tion and the blank was regarded as the dQ/dt for calculating the reaction rates (Eq. 5.4). The hydrolysis ratios R_h were used to convert the protein concentration to the 'susceptible' peptide bond concentration, which was regarded as the substrate concentration. The reaction rates were corrected for the enzyme concentration (v/E) with consideration of enzyme dilution during titration, then plotted against the corresponding substrate concentration after each injection (Fig. 5.2).

We compared the effect of the ionic strength on the pepsin kinetics at pH 2. At these conditions, they all showed typical Michaelis-Menten curves. The reaction rate reached the maximum at approximately $4.0 \, \text{s}^{-1}$ when the ionic strength was 43 mM, but plateaued at $3.0 \, \text{s}^{-1}$ and $2.5 \, \mu M \, \text{s}^{-1}$ when the ionic strengths were 89 mM and 154 mM respectively.

When the ionic strength was the same, the reaction rate curves only showed slight differences at pH 2, pH 2.5, and pH 3. At pH 3.5, the reaction rate was drastically lower. Interestingly, after reaching the maximum reaction rate at pH 3 and pH 3.5, a decrease of the reaction rate can be observed when the substrate concentration was further increased. We expect that this decrease in reaction rate could be the result of substrate or product inhibition that is more pronounced at higher pH. This inhibition will be discussed later in this paper.

These data were fitted to the Michaelis-Menten equation (Eq. 5.1). The K_m , k_{cat} and k_{cat}/K_m values are reported in Table 5.2a, the model predictions were plotted in Fig. 5.2. To focus on the initial kinetics of pepsin, only the increasing section of the pH 3 and pH 3.5 data was used to fit the model. The kinetic parameters at pH 2 and different ionic strength are in accordance with the analysis of the reaction rate curves: when the ionic strength increases, the K_m value slightly increases and the k_{cat} values decreases, resulting in lower specificity constants k_{cat}/K_m . Dale and White (1983) proposed that the charge of the amino acid residues at the active site may influence the enzymatic reaction (which corresponds to how protonation is involved in the catalytic activity (Northrop 2001)). They found a correlation between the logarithm of the immobilized ribonuclease activity and the square root

of the ionic strength of the reacting solution. Butré, Wierenga, and Gruppen (2012) reported that the enzymatic hydrolysis rate of whey protein isolate by Alcalase was reduced in the presence of 0.5 M NaCl. They proposed that the decreased rate of hydrolysis would be due to increased structural stability of the protein at high ionic strength. Although the exact mechanism is unclear, the effect of ionic strength on enzymatic kinetics should be considered in food digestion research, since the ionic strength of food is diverse.

When the pH was increased from 2 to 3.5 at similar ionic strengths, we observed a decreasing trend both in k_{cat} and K_m . This suggests that pepsin is indeed less efficient at higher pH due to different protonation of the active site, though the affinity between pepsin and protein substrate may be higher. The difference in affinity may stem from the change in conformation of the pepsin, as well as the protein substrate.

In the pepsin-catalyzed hydrolysis of protein, many different peptide bonds are cleaved spontaneously. The kinetic parameters we observed are the average values of these different cleavages. However, this is still a valuable quantification of pepsin, which offers a better understanding in the function of pepsin in food digestion.

We compared the kinetic parameters for pepsin-catalyzed hydrolysis of BSA to that of small synthetic peptides, that have been extensively investigated and were summarized by Fruton (1970) and Fruton (1976). Some representative data are shown in Table 5.2b. The F-F bond is one of the preferred peptide bonds for pepsin (Hamuro et al. 2008). The kinetic parameters of pepsin with substrate Z-H-F-F-OEt in the pH range from 1.0 to 5.5 are shown in the first part of the table. The K_m values do not show great variation, and they are comparable to the values of BSA as substrate. The K_m values were highest at pH 1.5-2.5 and decreased at higher pH, which agrees with the observation of BSA as substrate. In contrast, the k_{cat} values are more than 10 times lower compared to that of BSA as substrate. Moreover, the maximum activity was reached at around pH 4.5 which is very different from that of BSA as well as hemoglobin (Pletschke, Naudé, and Oelofsen 1995). The kinetic parameters of substrates with the same cleavage site but different length $(Z - (G)_n - F - F - OP4P)$ are shown in the second part of the table. The K_m values were still similar, but the k_{cat} values ranged over three orders of magnitude. The k_{cat} value for Z-G-G-F-F-OP4P is approximately 100 times higher than that of Z-F-F-OP4P, and the values of pepsin with BSA are comparable to that of pepsin with Z-G-G-F-F-OP4P. According to the subsite theory (Powers, Harley, and Myers 1977), the binding of amino acid residues to the subsites may largely affect the pepsin efficiency in bond cleavage. The conformation of pepsin may change when binding to some naturally occurring substrates such as casein, and increase the cleavage efficiency (Foltmann et al. 1981). The short peptides may indicate the bond cleavage preference of pepsin, but they cannot indicate the true kinetics of pepsin with natural occurring substrates. The catalytic efficiency of pepsin is determined by the combination of peptide bond, subsites, and substrate conformation.

5.3.3 Using single injection data to study time dependent pepsin kinetics

The single injection experiment contains more information than solely ΔH_{app} : since every record of power compensation indicates the reaction rate at that moment. At any given time, the number of cleaved peptide bonds P(t) and remaining substrate concentration S(t) can be determined from the integral amount of heat evolved from the reaction (Todd and Gomez 2001):

$$P(t) = \frac{\int_{t=0}^{t} Q(t)}{\Delta H_{bond} \cdot V}$$
(5.8)

$$S(t) = S_{Total} - P(t) \tag{5.9}$$

The degree of hydrolysis can be calculated based on the product concentration:

$$DH = \frac{P(t)}{N_p \times S_{protein}} \times 100\%$$
(5.10)

pН	Ionic strength (mM)	K_m (mM)	k_{cat} (s ⁻¹)	$\frac{k_{cat}/K_m}{(\mathrm{mM}^{-1}\mathrm{s}^{-1})}$	R ²
2	43	0.200 ± 0.020	$4.44 {\pm} 0.08$	22.1	0.981
2	89	0.224 ± 0.015	$3.56 {\pm} 0.05$	15.9	0.991
2	154	0.311 ± 0.030	$2.81 {\pm} 0.06$	9.07	0.982
2.5	89	0.129 ± 0.014	$3.53 {\pm} 0.6$	27.3	0.996
3	89	0.114 ± 0.014	3.33 ± 0.11	29.2	0.991
3.5	96	0.102 ± 0.041	$1.48 {\pm} 0.14$	14.5	0.963

Table 5.2: Kinetic parameters for pepsin-catalyzed hydrolysis of BSA and synthetic peptides.

(b) Synthetic peptides as substrates. Z represents a benzyloxycarbonyl group, OEt represents a methoxy group, OP4P represents a C-terminal 3-(4-pyridyl)propyl-1-oxy group, others are the one-letter abbreviations of amino acids.^aData from Hollands and Fruton (1968), pepsin concentration 2.8×10^{-4} mM, temperature 37 °C. ^bData from Sachdev and Fruton (1969). N.B. Some errors in the original articles concerning the power notation of the units were cross-referenced and corrected.

pН	Ionic strength (mM)	K_m (mM)	k_{cat} (s ⁻¹)	$\frac{k_{cat}}{K_m}$ (mM ⁻¹ s ⁻¹)	Substrate
1.0	-	0.26	0.1	0.39	Z-H-F-F-OEt ^a
1.5	-	0.37	0.13	0.35	Z-H-F-F-OEt ^a
2.0	-	0.33	0.11	0.31	Z-H-F-F-OEt ^a
2.5	8	0.37	0.14	0.37	Z-H-F-F-OEt ^a
3.0	17	0.28	0.15	0.53	Z-H-F-F-OEt ^a
3.5	29	0.21	0.21	0.99	Z-H-F-F-OEt ^a
4.0	35	0.17	0.33	1.90	Z-H-F-F-OEt ^a
4.5	39	0.18	0.47	2.65	Z-H-F-F-OEt ^a
5.0	39	0.09	0.28	2.95	Z-H-F-F-OEt ^a
5.5	43	0.04	0.08	2.65	Z-H-F-F-OEt ^a
2.0	-	0.7	0.49	0.70	Z-F-F-OP4P ^b
3.0	-	0.2	0.74	3.7	Z-F-F-OP4P ^b
2.0	-	1.1	2.2	2.0	Z-G-F-F-OP4P ^b
3.5	-	0.4	3.1	7.8	Z-G-F-F-OP4P ^b
2.0	-	0.8	56.5	70.6	Z-G-G-F-F-OP4P ^b
3.5	-	0.4	71.8	180	Z-G-G-F-F-OP4P ^b

(a) BSA as substrate, at different pH and ionic strength. The uncertainties are the 95% confidence intervals of the fitted parameters.

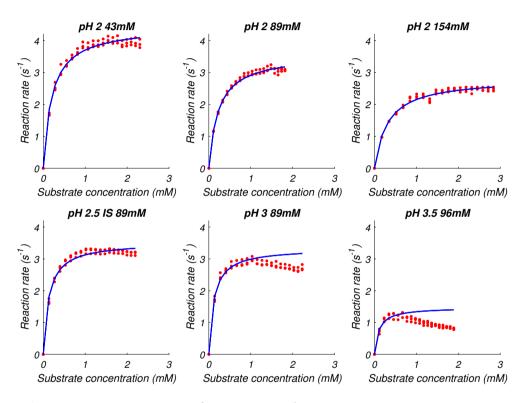


Figure 5.2: Reaction rate of BSA at varied concentrations in 1 μ M pepsin under different pH and ionic strength. The red dots are the data calculated from three repetitions of ITC experiments, the blue lines are the fitted prediction from the Michaelis-Menten model. The pH and ionic strength of all conditions are given above each graph.

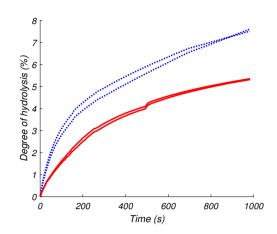


Figure 5.3: Examples of Hydrolysis curves of BSA hydrolyzed by pepsin, using ITC single injection data. Data from two experiments at two conditions were used: dotted blue lines are at pH 2 and ionic strength 43 mM, the solid red lines are at pH 3.5 and ionic strength 96 mM.

where P(t) is the peptide bond cleavage at a certain time (Eq. 5.8), $S_{protein}$ is the protein concentration, N_p is the number of peptide bonds in the protein substrate (N_p = 582 for BSA). Since the single injection experiments were designed to reach substrate depletion, the trends for the kinetics of all six conditions were similar. The data at pH 2 (ionic strength 43 mM) and pH 3.5 (ionic strength 96 mM) were the most contrasting, and are used as examples for this section. The degree of hydrolysis is plotted as a function of time in Fig. 5.3. The curves are typical for protein hydrolysis: the increase was rapid in the beginning of the reaction, and slowed down as the hydrolysis proceeded. The reaction reached a degree of hydrolysis of roughly 8% at 1000 s for pH 2 and ionic strength 43 mM, and about 5% for pH 3.5 and ionic strength 96 mM. This showed the potential application of ITC for studying the degree of hydrolysis, which may be applied to more studies of protein hydrolysis. The advantage of ITC still applies: time-saving and highlysensitive. The ΔH_{bond} needs to be determined by combining the ITC with a measurement of the total peptide bond cleavages, though a single measurement is sufficient.

Since the substrate concentration and the reaction rate at every mo-

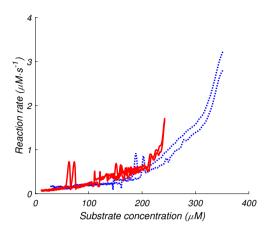


Figure 5.4: Reaction rate of pepsin-catalyzed hydrolysis of BSA, at different remaining substrate concentration during ITC single injection experiments. Duplicates at two conditions are shown: dotted blue lines are at pH 2 and ionic strength 43 mM, the solid red lines are at pH 3.5 and ionic strength 96 mM.

ment can be calculated, we can study the time-dependent kinetics of pepsin concerning substrate concentration. The reaction rate is plotted against the substrate concentration at that same moment (Fig. 5.4), the short delay before reaching the maximum reaction rate was neglected. The curve shape in Fig. 5.4 suggests that the decrease in reaction rate is not simply due to the reduction of substrate concentration like typical Michaelis-Menten kinetics. As the hydrolysis proceeded and the substrate concentration decreased (right to left), the reaction rate dropped rapidly in the beginning and leveled off as the reaction proceeded. In comparison, Todd and Gomez (2001) applied the same integration method on the data of trypsin-catalyzed hydrolysis and obtained typical Michaelis-Menten curves. Therefore, some form of enzyme inhibition had occurred during the pepsin catalyzed hydrolysis of protein.

Did substrate or product inhibition occur? Substrate inhibition cannot explain the rapid decrease of reaction rate during the single injection experiment (Fig. 5.4). It is unlikely that product inhibition occurred, since our preliminary experiments had shown that longer intervals between injections had little impact on the titration curves. This suggests that the hydrolysis was not inhibited by the higher quantity of accumulated final product.

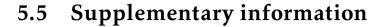
We postulate that "intermediate peptide inhibition" may have occurred. What we observed in Fig. 5.4 was rapid hydrolysis of the more efficient substrate (the substrates that pepsin cleaves faster) in the early stage and slower hydrolysis of less efficient substrate in the later stage. As we showed in Table 5.2b, the pepsin catalyzed hydrolysis rate for different substrates ranged over three orders of magnitude. In the meantime, all substrates do not show large deviation in the K_m values, thus pepsin will not selectively bind the efficient substrates. Once the less efficient substrates appear, they act as the competitive substrate and slow down the overall reaction rate. This phenomenon can be related to the Linderstrøm-lang protein hydrolysis model (Linderstrøm-Lang 1952; Adler-Nissen 1976). We previously showed that whey protein and egg white protein solutions were hydrolyzed by pepsin through a "zipper" type mechanism, where the denatured proteins were hydrolyzed rapidly into intermediate peptides, and the intermediate peptides were hydrolyzed at a slower rate, which causes an accumulation of the intermediate peptides (Q. Luo, Boom, and Janssen 2015). The slower hydrolysis of the intermediate peptides caused a slower overall reaction rate, which can be viewed as "intermediate peptide inhibition". This could also explain why the decrease of reaction rate after reaching maximum in Fig. 5.2 is more pronounce at higher pH. Because the maximum reaction rate was reached earlier when the pH was higher (the K_m values are lower), the inhibition by the accumulating intermediates can no longer be compensated by the increase of reaction rate from adding substrate.

5.4 Conclusion

Due to the limitation of methods and the complexity of protein substrate, previous studies on pepsin kinetics were mostly based on small synthetic peptides, which cannot represent the true kinetics of pepsin with naturally occurring substrates. ITC is a powerful and sensitive tool but challenging for studying the enzymatic hydrolysis of proteins. We combined ITC with the OPA method, and produced reliable kinetic parameters for the pepsin-catalyzed hydrolysis of BSA.

We investigated the effect of pH and ionic strength on the pepsin kinetics using ITC. Pepsin has higher activity at pH 2 than at higher pH values, while its affinity to substrate is lower. At the same pH, pepsin has lower activity and lower affinity when the ionic strength increases. We found contrasting pepsin kinetic parameters of BSA and of small synthetic peptides, and the reaction rate for intact protein is also drastically faster than intermediate peptides.

The data of ITC single injection experiments can be used to study the time-dependent kinetics of pepsin. The degree of hydrolysis can be followed continuously. The reaction rate versus substrate concentration plot (Fig. 5.4) did not show a typical Michaelis-Menten curve. We postulated that may be due to the lower efficiency of pepsin towards intermediate peptides during hydrolysis. The kinetic parameters of pepsin towards different substrates and the "intermediate peptide inhibition" both suggest the physiological function of the pepsin to be: stimulating the disintegration of the food bolus by fast hydrolysis of intact proteins, rather than finely digesting the proteins into absorption-ready peptides.



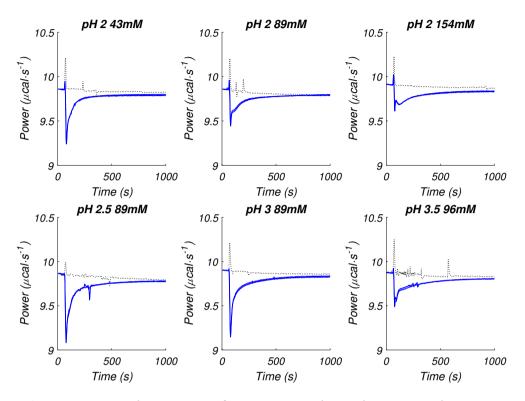


Figure 5.5: Supplementary information: isothermal tiration calorimetry single injection experiments under different pH and ionic strength. The solutions used are 300 mM BSA and 1 μ M pepsin in 100 mM phosphate buffers. The solid blue lines show, two repetitions of 5 μ L of BSA injected into 200 μ L of pepsin solution. The dotted black line shows the blank, where 5 μ L BSA solution was injected into the buffer solution without enzyme. The pH and ionic strength of all conditions are given above each graph.

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Chapter 6

Interactions between acid and proteins under in vitro gastric condition – a theoretical and experimental quantification

This chapter is based on:

Q. Luo, W. Zhan, R. M. Boom, A. E. M. Janssen. "Interactions between acid and proteins under in vitro gastric condition – a theoretical and experimental quantification" *Submitted for publication*.

Abstract

The gastric digestion of proteins is influenced by the pH and the gastric pH fluctuates after food consumption. However, the gastric pH dynamics still need to be quantitatively understood. Protein strongly influences the gastric pH. Therefore, we studied the interaction between acid and proteins, including the buffer reaction and the acid diffusion in protein gels. In theory, the buffer capacity of proteins can be characterized by its content of ionizable amino acid side groups. We validated this by titrating protein solutions and gels with hydrochloric acid. Moreover, the liberated carboxyl and amino groups during enzymatic hydrolysis of protein can also contribute to the buffer capacity. While we expected protons to diffuse faster than pepsin, we found that the penetration distance of acid is comparable to that of pepsin. Moreover, buffer reaction caused the acid to concentrate tenfold in the gel compared to the bulk acid concentration. Therefore, we postulated that the buffer reaction reduces acid diffusivity in gels.

6.1 Introduction

The gastric digestion kinetics of food proteins are influenced by the gastric pH. Pepsin is the major enzyme in the stomach, it has maximum activity between pH 1.5 and 2.5 (Piper and Fenton 1965). Dekkers et al. (2016) studied the proteolytic digestion of mixed β lactoglobulin-Xanthan gels and found a lower digestion rate when using a dynamic pH profile reflecting in vivo conditions than when using a conventional highly acidic (pH 1.9) profile. Food structure may hinder the acid penetration into the food matrix. Mennah-Govela, Bornhorst, and R. P. Singh (2015) found the effective diffusivity of gastric juice into the rice bolus was greater in brown rice than in white rice. Starch hydrolysis by α -amylase may continue in the stomach before the gastric acid penetrates the rice bolus. Floury et al. (2018) studied the breakdown of dairy protein gels during in vitro gastric digestion using time-lapse synchrotron deep-UV fluorescence microscopy. This methodology can follow both the protein content and the acidification of the dairy gel particles. The images of the gels during the digestion process indicated a synergy between acid diffusion and enzymatic reaction.

The gastric pH fluctuates in response to food consumption. When fasting, the human gastric pH is usually around 2 (Scarr et al. 1989; S. Phillips, Hutchinson, and Davidson 1993). After food ingestion, the gastric pH rises depending on the volume and content of the food. The gastric pH gradually decreases, as gastric fluid is being secreted and the food is being digested and emptied from the stomach. Malagelada et al. (1976) studied healthy volunteers after an ordinary meal consists of steak, bread, ice cream and water, gastric pH after ingesting of the meal approximated 5, then fell to pH 3 after 1 h and to pH 2 after 2 h. Sullivan et al. (2014) studied the gastric digestion of α -lactalbumin in healthy volunteers, after taking 250 mL of test drink containing sucrose and α -lactalbumin, the gastric pH increased to nearly 7 but returned to about 2 within 10 min. When the test drink contained oleic acid instead of α -lactalbumin, the gastric pH remained stable. The influence and importance of buffer capacity of foods on the gastric pH has been discussed, while a quantification of such influence is yet absent. We aim here to quantify the buffer capacity of protein, and consequently to better understand the dynamic process of gastric pH change. We also hypothesize that the buffer capacity of protein also has a strong impact on the acid diffusion into the protein based foods.

6.1.1 Theory basis of buffer capacity

Buffer capacity is an important physico-chemical characteristic of proteins. Salaün, Mietton, and Gaucheron (2005) reviewed the buffer capacity of dairy products, while the buffer capacity of monoclonal antibody was also studied (Gokarn et al. 2008; Karow, Bahrenburg, and Garidel 2013). The buffer capacity of protein comes from the ionizable groups on the polypeptide chains, including the side chains of the amino acids, the terminal α -amino groups, and the terminal α carboxyl groups. Thus, the protein solution can be considered as a buffer system composed of these ionizable groups. To simplify the calculation, we used the Brønsted-Lowry acid forms (proton donors) of all the ionizable groups. The acid dissociation constant is defined as:

$$K_{a,i} = \frac{[H^+][A_i^-]}{[HA_i]} \tag{6.1}$$

where $K_{a,i}$ is the acid dissociation constants of the amino acids, and the square brackets denote the concentration of the corresponding components. The logarithmic constants of the acid dissociation (pKa) are most commonly given, rather than that of the base dissociation (pKb). Some pKa values of amino acids are given in Table 6.1.

Assume the total concentration of each relevant amino acid is $c_{a,i}$:

$$c_{a,i} = [HA_i] + [A_i^-] = \frac{[H^+][A_i^-]}{K_{a,i}} + [A_i^-]$$
(6.2)

Group	α-carboxyl	Asp	Glu	His	α -amino	Cys	Tyr	Lys
рКа	3.67	3.67	4.25	6.54	8.00	8.55	9.84	10.40

$$[A_i^-] = \frac{c_{a,i} K_{a,i}}{K_{a,i} + [H^+]}$$
(6.3)

Buffers exhibit the highest resistance to pH changes when the pH equals to their pKa, and the resistance decreases when the pH moves away from their pKa. Therefore, when titrating a protein from its native pH (generally nearly neutral) to lower pH, only the pKa values that are lower than the native pH need to be considered. These pKa values are those of terminal α -carboxyl and side chains of Asp, Glu, and His. We regard the more basic side chains (Tyr, Lys, Arg, etc.) as completely dissociated ions, and assume their sum of concentration is $[B_0^+]$. At the native pH (pH_0) of the protein, the initial charge balance is:

$$[A^{-}] + [OH_{0}^{-}] = [B_{0}^{+}] + [H_{0}^{+}]$$

Therefore, the concentration of $[B_0^+]$ is:

$$[B_0^+] = \sum_{i=1}^n \frac{c_{a,i} K_{a,i}}{K_{a,i} + [H_0^+]} + \frac{K_w}{[H_0^+]} - [H_0^+]$$
(6.4)

where the upper bond of summation n is dependent on the number of relevant amino acids species. During acid titration, when n mol/L of HCl is added into the solution, the charge balance gives:

$$[A^{-}] + [OH^{-}] + [Cl^{-}] = [B_{0}^{+}] + [H^{+}]$$

Substitute the concentrations of the ions into the charge balance:

$$n = [B_0^+] - \sum_{i=1}^n \frac{c_{a,i} K_{a,i}}{K_{a,i} + [H^+]} - \frac{K_w}{[H^+]} + [H^+]$$
(6.5)

The effective concentration of the buffer components still needs to be determined experimentally, since the side chains of amino acids can be hidden inside the protein structure and can not contribute to the buffer capacity of the protein unless the protein is completely unfolded.

6.1.2 Buffer capacity

First proposed by van Slyke 1922, β is a quantitative measure of the resistance of a buffer solution to pH change on addition of hydrogen or hydroxide ions. It is defined as:

$$\beta = \frac{dn}{dpH} \tag{6.6}$$

where dn is an infinitesimal amount of added acid or base and dpH is the resulting infinitesimal change in the cologarithm of the hydrogen/hydrogen ion concentration.

The buffer capacity of a solution can be calculated based on titration experiment, or be predicted based on the composition of the buffer solution:

$$\beta = \frac{dn}{d[H^+]} \frac{d[H^+]}{dpH} = 2.303 \left(\frac{K_w}{[H^+]} + [H^+] + \sum_{i=1}^n \frac{c_{a,i} K_{a,i} [H^+]}{(K_{a,i} + [H^+])^2} \right)$$
(6.7)

The derivative is calculated based on eq.6.5.

6.1.3 Acid uptake during protein hydrolysis

During protein hydrolysis, when a peptide bond is cleaved, a carboxyl and an amino group are released:

 $-CHR'-CO-NH-CHR'' - + H_2O \xrightarrow{enzyme} -CHR'-COOH + NH_2-CHR'' -$

These two groups undergo ionization, which depends on the current pH of the reaction medium and the pK values of the carboxyl and amino groups:

$$-CHR'-COO + H^{+} \Longrightarrow -CHR'-COOH$$
$$NH_{2}-CHR'' - +OH^{-} \Longleftrightarrow NH_{2}-CHR'' - +H_{2}O$$

The ionization status of these groups may cause a change in the pH. This characteristic of protein hydrolysis has been utilized as a method to measure the degree of hydrolysis, namely the pH-STAT method (Rutherfurd 2010; Butré, Wierenga, and Gruppen 2012). The pH-STAT method keeps the pH constant during protein hydrolysis by adding a strong base or acid, depending on the reaction conditions. In the gastric situation, when food protein is being hydrolyzed, the released carboxyl and amino groups create additional buffer capacity. Thus more gastric acid is needed to decrease or maintain a low gastric pH.

6.2 Materials and method

6.2.1 Materials

Whey Protein Isolate (WPI) (Bipro, lot no. JE 034-70-440-6) was purchased from Davisco Food International, Inc. (Le Sueur, USA). This batch of WPI was reported to have a protein content of 97.9 g/100 g dry solid. Pepsin from porcine gastric mucosa (lyophilized powder, 3200 to 4500 units/mg protein, MW = 34.6 kDa), ovalbumin from chicken egg (purity 66%-88%) and all other chemicals used were purchased from Sigma Aldrich (St. Louis, MO, USA). All the water used was obtained from a Milli-Q Integral Water Purification System (Merck Millipore, Billerica, MA, USA).

6.2.2 Gel preparation

Whey protein gel and ovalbumin gel (20 wt%) were prepared in Teflon tubes (diameter 2 cm) incubated in a 90 °C water bath for 30 min, as described previously (Q. Luo, Boom, and Janssen 2015).

The gel suspension for titration experiments was prepared by cutting the gels into small pieces, and ground with an analytical mill (A11 basic, IKA, Staufen im Breisgau, Germany), gel particles with a diameter of approximately 0.9 mm were obtained. The gel particles were then suspended in 25 mL water, with a protein content of 50 g L^{-1} .

6.2.3 Titration

All titration experiments were performed on an 877 Titrino plus Titrator (Metrohm, Herisau, Switzerland). A titrant with 0.5 M HCl and $0.9 \,\mathrm{g} \,\mathrm{L}^{-1}$ NaCl was prepared. Our preliminary experiments have shown that the NaCl can slightly influence the buffer capacity of the protein solution, and the enzymatic hydrolysis is also affected by ionic strength (Dale and White 1983). Therefore, NaCl of physiological concentration was added to the titrant.

Buffer capacity

The buffer capacity of the 50 g L^{-1} protein solutions and protein gel suspensions were determined by stepwise titration from their native pH (about 7-7.1 for whey protein solution, and 6.3-6.6 for ovalbumin solution) to pH 2. During titration, the samples were stirred at 300 rpm, using a magnetic stirrer. The volume increment of the titrator was set at 0.05 mL, and the titration only proceeds when signal drift is lower than 2.5 mV min⁻¹. Under this setting, the duration of each titration was approximately 10 min-20 min. The experiments were performed in triplicate.

From eq. 6.5, the relation between added volume of acid and the pH of the solution can be calculated:

$$\frac{c_{HCl} \times v_{HCl}}{v_0 + v_{HCl}} = \frac{v_0}{v_0 + v_{HCl}} \times \left([B_0^+] - \sum_{i=1}^n \frac{c_{a,i} K_{a,i}}{K_{a,i} + [H^+]} \right) - \frac{K_w}{[H^+]} + [H^+] \quad (6.8)$$

where c_{HCl} is the concentration of the HCl, v_{HCl} is the volume used in the titration, v_0 is the initial volume of the solution, pH is measured during titration.

Transform into an explicit function:

$$v_{HCl} = \frac{v_0}{c_{HCl} - f(pH)} \times \left([B_0^+] - \sum_{i=1}^n \frac{c_{a,i} K_{a,i}}{K_{a,i} + [H^+]} + f(pH) \right)$$
(6.9)

where $f(pH) = [H^+] - K_w/[H^+]$

The pKa values of α -carboxyl and Asp side chain are identical according to the measurement of Thurlkill et al. (2006) (table 6.1), although they differ slightly in other literature. For simplicity, their concentrations were combined and regarded as the total carboxyl groups in the calculation.

Acid uptake during protein hydrolysis

After performing the buffer capacity measurement, the protein solutions or protein gel suspensions were transferred to water-jacketed vessels to keep their temperature at 37 °C. Pepsin stock solution was added to the vessels, reaching a final enzyme activity of 2000 UmL^{-1} . The same titrant was used to stabilize the pH at 2, using the pH-STAT setup of the titrator where the time interval between two measurement points is 7.3 s. The duration of the hydrolysis was 2 h.

6.2.4 Acid diffusion in protein gels

For acid diffusion experiments, the gels were kept in the Teflon tubes. One cap of the tube was removed and the tube was suspended in

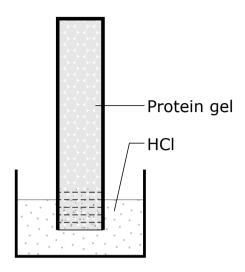


Figure 6.1: Illustration of acid diffusion experiment. Gels of 20 wt% in tubes were soaked in HCl (0.01 M) for 20 h, then sliced and titrated.

150 mL of 0.01 M HCl for 20h. Afterwards, the gels were cut perpendicularly to the axis of the tube (approximately 1 mm thick slices for each tube). 25 mL water was added to the slices and then homogenized using the Ultra-Turrax (Ika Works Inc., USA) at 10 000 rpm for 1 min. The samples were left for 2 hours, and then titrated to pH 9 with 0.1 M NaOH. After the titration, the samples were freeze-dried to measure their dry weight. The acid content in the gel was calculated on a dryweight basis:

$$n_p = \frac{n_{NaOH}}{m_d - m_{NaCl}} \tag{6.10}$$

where n_p is the titratable acid per gram of protein, n_{NaOH} is the mole of NaOH used to titrate the gel from pH2 to pH 9. m_d is the dry mass of the gel slice, m_{NaCl} is the mass of NaCl which was produced during titration. Here we approximated that all sodium in the dry matter presented as NaCl. Then, the titratable acid in the gel slices can be calculated:

$$n_{gel} = \left(n_p - n_{p0}\right) \times \frac{m_d}{m_w} \tag{6.11}$$

where n_{gel} is the titratable acid per gram of gel, n_{p0} is the titratable acid per gram of protein in the blank sample, m_w is the wet mass of the gel slice. The diffusion distance was also based on the wet mass of each gel slice:

$$l = \frac{m_{acc}}{\rho \pi r^2} \tag{6.12}$$

where m_{acc} is the accumulated wet mass of the gel slices up to the center of the correspondent slice, ρ is the density of the gel ($\rho = 1 \text{ g cm}^{-3}$ for both gels, measured by water displacement), r is the radius of the gel slices (r = 1 cm).

6.2.5 Data analysis

Matlab R2016b (MathWorks, Natick, USA) was used for data analysis of the results. Model fitting was done using the nonlinear fitting function *lsqcurvefit* that solves fitting problems using a nonlinear leastsquares approach. The trust-region-reflective algorithm was chosen. The accuracy of fitting was analyzed by determining the coefficient of determination of the fit using the resnorm option of lsqcurvefit. The function *nlparci* was used to extract the 95% confidence interval of the parameters out of the Jacobian matrix.

6.3 Results and discussions

6.3.1 Buffer capacity titration

The buffer capacity of whey protein and ovalbumin solutions and gel suspensions were determined by titration. One example of the titration curve is shown in Fig. 6.2. The buffer capacity was calculated from the experimental data using eq. 6.6, the resulted buffer capacity at different pH were shown in Fig. 6.3. All experiments showed increased buffer capacity when approaching pH 2, due to the water dissociation. For the whey protein solution, a single peak of buffer capacity is shown at approximately pH 3.5. For the ovalbumin solution,

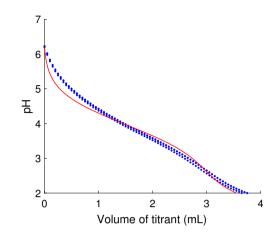


Figure 6.2: Example of buffer capacity titration curves. The blue dots are the data from 3 experiments of 50 g L^{-1} ovalbumin solution titrated by 0.5 M HCl. The solid red line is the model prediction.

the peak is shown near pH 4. The data of the whey protein gel buffer capacity is more scattered. Compare to the ovalbumin solution, a shift of the buffer capacity can be observed in the ovalbumin gel: the slope became more gradual at the pH range from 7 to 4 and the peak shifted to a lower pH. There may be two reasons for the lower reproducibility of the whey protein gel and the shift of buffer capacity of the ovalbumin gel. Firstly, heat denaturation and heat aggregation changed the accessibility of the ionizable side groups. Secondly, although the gels were ground to small particles, they still exhibited diffusion limitation for the protons. The protons took a longer time to access the ionizable side groups deep in the gel matrices. Therefore, the shift of the peak could be due to a delayed response to the addition of acid during titration. For these reasons, only the data of solution samples were used for the model fitting.

The titration data of whey protein and ovalbumin solutions were fitted into eq. 6.9. No obvious peak can be observed near pH 6.54, and preliminary fitting indicated that the His side groups did not contribute to the buffer capacity of the proteins. Therefore, only the carboxyls and Glu were used in the final fitting. The fitted molar concentrations of the ionizable groups were normalized with the mass concentration

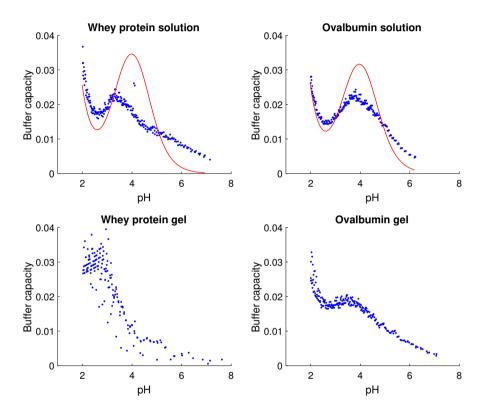


Figure 6.3: Buffer capacity of whey protein and ovalbumin, in solutions and in gels. The blue dots show data from 3 experiments. The red lines show the theoretical buffer capacity calculated from fitted parameters.

of the proteins, which is shown in table 6.2. The fitted parameters were used to predict the theoretical buffer capacity using eq. 6.7, the predicted buffer capacities of the samples are shown in Fig. 6.3.

The buffer capacities of the carboxyl groups and the Glu side groups were joined into one single peak since their pKa values are close. The location of the predicted peak for ovalbumin corresponds to that of the experimental data, while there is a slight discrepancy for that of the whey protein solution. Due to the logarithmic nature of pH and the simplification of parameters, the prediction overestimated the buffer capacity of the protein at the peak, while underestimated the buffer capacity at other pH ranges. This implicates the possible presence of various other buffers in low amount. For example, citrate, lactate, carbonate, acetate, and propionate ions present in the milk, and some of them may remain in the whey protein isolate. Nonetheless, the side groups of Asp and Glu, and the terminal α -carboxyl groups can represent the effective buffer components of whey protein and ovalbumin under gastric condition, which can be used to predict the buffer capacities of given proteins.

6.3.2 Acid uptake during hydrolysis

The protein solutions and protein gel suspensions were hydrolyzed under the pH-STAT condition at pH 2. The acid uptake of ovalbumin

Table 6.2: The effective buffer concentration in whey protein and ovalbumin. The concentrations of the ionizable groups are presented as the mole of the buffering side groups per kilogram of protein. Carboxyls refers to the carboxyl groups on the side chain of Asp and the terminal α -carboxyl groups of the polypeptide chains. Data from 3 titration experiments. The uncertainties are the 95% confidence intervals of the fitted parameters.

	Whey protein	Ovalbumin	<i>R</i> ²
Carboxyls	0.613 ± 0.105	0.591 ± 0.051	0.955
Glu	0.717 ± 0.090	0.626 ± 0.043	0.987

is taken as the example (Fig. 6.4), the acid uptake of other samples also showed similar trends (Data not shown). These curves are typical for protein hydrolysis in pH-STAT experiments. In the pH-STAT method, the degree of hydrolysis (DH) can be calculated using the following equation:

$$DH(\%) = \frac{V_b N_b}{\alpha M_p h_{tot}}$$
(6.13)

where V_b is the volume of added titrant (strong acid or base), N_b is the molar concentration of the titrant, α is the average degree of dissociation of α -amino groups or α -carboxylic groups in a protein, M_p is the mass of protein, h_{tot} is the total number of peptide bonds per gram of protein substrate (Butré, Wierenga, and Gruppen 2012). Therefore, the acid uptake due to hydrolysis is proportional to the degree of hydrolysis.

The total acid uptake during 2h of hydrolysis was compared with the total acid uptake during buffer capacity titration. The amount of acid uptake per kilogram of protein was presented in table 6.3, along with the degree of hydrolysis at 2 h. Whey protein solution consumed 1.67 mol kg⁻¹ of acid during the buffer capacity titration, but only consumed 0.37 mol kg⁻¹ of acid during 2 h hydrolysis. The whey protein gel consumed 1.14 mol kg⁻¹ acid during hydrolysis since heat denaturation eliminates the resistance of the native β -lactoglobulin to pepsin hydrolysis. Moreover, hydrolysis disintegrates the gel particles, which may increase the accessibility of some ionizable groups in the protein aggregates and is reflected in the acid uptake. The acid uptake of ovalbumin solution and gel did not show an obvious difference. They both consumed 1.5 mol kg⁻¹ of acid during buffering, and approximately half the amount of acid during hydrolysis. Therefore, buffering reaction and enzymatic hydrolysis both influence the acid uptake and gastric pH during digestion in the stomach.

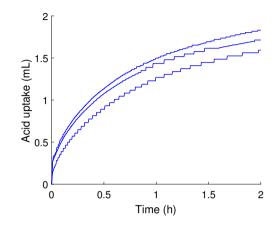


Figure 6.4: Example of acid uptake during 2 h pH-STAT hydrolysis of ovalbumin by pepsin at pH 2. Data from 3 experiments of 50 g L^{-1} ovalbumin solution titrated by 0.5 M HCl.

Table 6.3: Total acid uptake during buffering reaction till pH 2 and 2 h enzymatic hydrolysis. The concentrations are presented as the mole of needed monoprotic strong acid per kilogram of protein. Data from 3 experiments, the uncertainties are the standard deviations.

	Buffering (mol kg ⁻¹)	Hydrolysis (mol kg $^{-1}$)
Whey protein solution	1.67 ± 0.01	0.37 ± 0.03
Whey protein gel	1.37 ± 0.02	1.14 ± 0.13
Ovalbumin solution	1.50 ± 0.02	0.69 ± 0.04
Ovalbumin gel	1.49 ± 0.03	0.72 ± 0.07

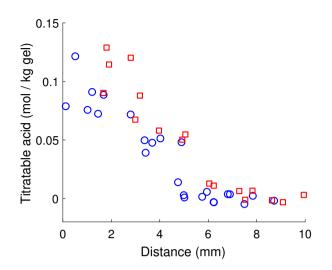


Figure 6.5: Titratable acid content in 20 wt% whey protein gel (blue circles) and 20 wt% ovalbumin gel (red square) after 20 h soaking in 0.01 M HCl. The titratable acid content are presented as the mol of titratable acid per kg of gel wet mass. Distance were adjusted based on the wet mass of the slices. Data from 4 experiments of whey protein gel and 3 experiments of ovalbumin gel.

6.3.3 Acid diffusion

Acid diffusion was assessed by soaking the gels in 0.01 M HCl. After 20 h soaking, the gels were swollen to different extents and expanded over the edge of the Teflon tube. We regarded the swollen slice as the first slice and counted it in the diffusion distance. The acid content in the gels was determined by end-point titration. The result is presented in Fig. 6.5.

We observed a concentration gradient of the acid content, which decreased rapidly along the distance. The acid content dropped to zero at about 5 mm distance in whey protein gels and at 6 mm in ovalbumin gel. We previously found that pepsin can only reach the first 2 mm of the 20 wt% whey protein gels after 6 h (Q. Luo, Borst, et al. 2017). While we expected protons diffuse faster than pepsin, the acid could not penetrate much deeper into the protein gels. Thus, the acid diffusion may also be a limiting factor for pepsin activity inside the protein gel particles. Mennah-Govela, Bornhorst, and R. P. Singh (2015) found that acid reached the deep regions in rice bolus in a 78.4 mm-long syringe after a similar time span, which corresponds to an effective diffusivity of approximately $0.5 \times 10^{-8} \text{ m}^2 \text{ s}^{-1} \cdot 4 \times 10^{-8} \text{ m}^2 \text{ s}^{-1}$. The acid diffusion is therefore more significantly hindered in the protein gels than in the rice bolus. We believe this is due to the different buffer capacity of the matrices.

The acid content in the outer gel slices almost reached 0.1 M in the whey protein gel and 0.13 M in the ovalbumin gel. These concentrations are higher than the concentration of HCl solution used to soak the gels, which is 0.01 M. The concentrated acid content in gels is related to the buffer reaction of the proteins. A proportion of the protons is combined with the ionizable groups and thus fixated on the proteins. This proportion of protons does not contribute to the chemical potential regarding the diffusion process. Therefore, the Fick's law of diffusion cannot be simply applied to the gel matrices with buffer capacity.

To understand the effect of buffer capacity to the acid diffusion in gel matrices, we derived a variation of the Fick's law with the assumption that the buffer reaction of protons with the protein is very fast (the detailed derivation is shown in the electronic supplementary information):

$$\frac{\partial}{\partial t} \left(c_1 + \frac{c_1 c_a}{c_1 + K_a} \right) = D \frac{\partial^2 c_1}{\partial x^2}$$
(6.14)

where c_1 is the concentration of the diffusing proton, $c_1c_a/(c_1 + K_a)$ is the concentration of the fixated protons, *D* is the diffusion coefficient of the protons, and *x* is the diffusion distance. For a simplified demonstration, here we only considered one species of ionizable group.

When c_1 is small compared to K_a , that is when the pH is higher than or close to the pKa values, we can approximate that:

$$\frac{c_1 c_a}{c_1 + K_a} \approx c_1 \frac{c_a}{K_a} \tag{6.15}$$

then,

$$\frac{\partial c_1}{\partial t} = \frac{D}{1 + c_a/K_a} \frac{\partial^2 c_1}{\partial x^2}$$
(6.16)

This modified diffusion coefficient indicates that the buffer reaction has a negative influence on the diffusivity of protons, and a higher c_a results in slower diffusion.

As the diffusion proceeds, the local pH in the gel may decrease to lower than the pKa values. When $c_1 \gg K_a$, then we have:

$$\frac{c_1 c_a}{c_1 + K_a} \approx c_a \tag{6.17}$$

Since c_a is a constant, eq. 6.14 will be equivalent to the original Fick's second law, the buffer reaction will no longer have effects on the diffusion process. Therefore, if the initial pH of the gel is higher than the pKa value of the buffering ionizable group, the buffer reaction will hinder the diffusion of protons, and there will be a gradual shift to lower hindrance, till no hindrance when $pH \ll pKa$ in the gel.

6.4 Conclusion

We quantified the buffer capacity of proteins both theoretically and experimentally. The side groups of Asp and Glu, and the terminal α -carboxyl groups are the effective buffer components of whey protein and ovalbumin under gastric condition. We also demonstrated that the hydrolysis of protein can also influence the acid uptake. The quantification of buffer capacity can be used to predict the acid uptake of the proteins and the pH change during gastric digestion even though the buffer capacity and the hydrolysis kinetic parameters of certain proteins need to be determined experimentally.

The acid diffusion in protein gel can be strongly hindered by the buffer reaction of the ionizable groups. Along with pepsin diffusion, the acid diffusion can also be a limiting factor for the enzyme activity in food matrices during gastric digestion. The buffer reaction is one of the multi-scale dynamic processes in gastric digestion. By mechanistically quantifying the interaction of acid and proteins under the gastric condition, we can be one step closer to fully understand the gastric digestion of food.

Supplementary information

To understand the effect of buffer capacity to the acid diffusion in gel matrices, we derived a variation of the Fick's law. We assume the buffer reaction of protons with the protein is instant, and consider the proton balance in a thin slab $A\Delta x$:

(accumulation) = (diffusion in -diffusion out) – (consumed by reaction)

For the diffusing protons (c_1) :

$$\frac{\partial c_1}{\partial t} = D \frac{\partial^2 c_1}{\partial x^2} - r \tag{6.18}$$

where *r* is the rate of buffer reaction. D is the diffusion coefficient of proton in water. For the protons that have reacted with the protein (c_2) :

$$\frac{\partial c_2}{\partial t} = r \tag{6.19}$$

There is no diffusion term because the reacted protons are immobilized by the protein network. Combining eq. 6.18 and eq. 6.19 yields:

$$\frac{\partial}{\partial t}(c_1 + c_2) = D \frac{\partial^2 c_1}{\partial x^2}$$
(6.20)

The correlation between c_1 and c_2 can be calculated from the equilibrium of buffer reaction ($K_a = [H^+][A_i^-]/[HA]$). For a simplified demonstration, here we use one species of ionizable group:

$$K_a = \frac{(c_a - c_2)c_1}{c_2} \tag{6.21}$$

where c_a is the total concentration of the ionizable group ($c_a = [A_i^-] + [HA]$). Therefore:

$$c_2 = \frac{c_1 c_a}{c_1 + K_a} \tag{6.22}$$

Substitute into eq. 6.20:

$$\frac{\partial}{\partial t} \left(c_1 + \frac{c_1 c_a}{c_1 + K_a} \right) = D \frac{\partial^2 c_1}{\partial x^2}$$
(6.23)

When c_1 is small in comparison with K_a , that is when the pH is higher than or close to the pKa values, an approximation can be done:

$$c_2 = \frac{c_1 c_a}{c_1 + K_a} = c_1 \frac{c_a}{K_a} \frac{1}{1 + c_1 / K_a} \approx c_1 \frac{c_a}{K_a}$$
(6.24)

$$D\frac{\partial^2 c_1}{\partial x^2} = \frac{\partial}{\partial t} \left(c_1 + \frac{c_1 c_a}{c_1 + K_a} \right) \approx \left(1 + \frac{c_a}{K_a} \right) \frac{\partial c_1}{\partial t}$$
(6.25)

This results in a modified diffusion coefficient:

$$D' = \frac{D}{1 + c_a/K_a}$$
(6.26)

Therefore, the buffer reaction has a negative influence on the diffusivity of protons, and a higher c_a result in slower diffusion.

As the diffusion proceeds, the local pH in the gel may decrease to lower than the pKa values, which gives a c_1 that is higher than the K_a value. When $c_1 \gg K_a$, then we have:

$$c_2 = \frac{c_1 c_a}{c_1 + K_a} \approx c_a \tag{6.27}$$

Since c_a is a constant here, the buffer reaction will no longer have effects on the diffusion process.

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Chapter 7

General discussion

In this thesis, we mechanistically studied the gastric digestion of protein-based food matrices. This included several physicochemical aspects of the gastric digestion. In this general discussion, these results will be systematically reviewed and interpreted, and the directions for further research will be explored.

7.1 Main findings and conclusions

In **Chapter 2**, we used protein gels as models to study the protein digestion of solid food. Compared to the digestion of protein in solution, we found that the digestion of protein gels was strongly affected by its microstructure. This was evident not only in a slowing down of the hydrolysis, but also in a qualitative change of the apparent enzyme kinetics. In addition, the hydrolytic action of pepsin enhances the disintegration of the protein gels. Since the indiffusion of the pepsin is much slower in a denser matrix, this also leads to qualitatively different attrition and gel collapse. These findings demonstrate the importance of food structure, enzymatic kinetics and diffusion processes in the gastric digestion.

The mutual influence between pepsin action and food structure was further explored in **Chapter 3**, by quantifying the diffusivity of pepsin in whey protein gels. The measured diffusion rates of pepsin in the gels showed that in an inert gel network, the pepsin will be constrained within a thin layer from the gel surface, and is not able to diffuse very fast into the gel. However, scanning electron microscopy indicated that the hydrolytic action of pepsin loosens the microstructure of the whey protein gel surfaces, which accelerates pepsin diffusion. Consequently, the gel disintegration is enhanced by higher pepsin concentrations.

To obtain more understanding of the diffusive behaviour of pepsin, we characterized the diffusivity of pepsin in various protein gel matrices and used existing diffusion models to describe the diffusivity (**Chapter 4**). These models elucidate the phenomena that underlie the

diffusivity of pepsin in any protein gel and show us which the structural parameters of the protein gels are relevant.

The enzyme kinetics of pepsin were characterized at different pH and ionic strengths, using bovine serum albumin as protein substrate (**Chapter 5**). The results at different pH were in accordance with previous studies. While influence of the ionic strength is generally less studied, we showed that this also has a strong impact on the reaction kinetics of pepsin. By comparing with literature data, we found that pepsin is more efficient towards intact protein than towards smaller peptides.

The gastric pH is an important parameter in the digestion, yet a quantitative understanding of the dynamics of the gastric pH is still missing. Among the macronutrients, protein has the strongest influence on the gastric pH change, since it generally contains a high density of charged groups, which may become undissociated when exposed to a low pH. This leads to an effective increase of the pH by the ingested proteins. Therefore, we investigated the buffer capacity of proteins and the acid diffusion in protein gels, both theoretically and experimentally (**Chapter 6**). The acid diffusion into the protein gels was very slow compared to that in water, which is due to the reactive front of the acid that diffuses in, and which first has to react with the locally available charged groups, before the diffusive front can advance.

In summary, this thesis mainly studied some of the physicochemical of gastric digestion, including the enzyme diffusion, enzymatic hydrolysis, buffer reaction, and acid diffusion. The findings show that these processes are interrelated and are all contributing to the disintegration and digestion of food.

7.2 Connecting the functionality of pepsin to the physiology of stomach

Although we focused on the physicochemical processes of gastric digestion, to thoroughly understand the mechanism of digestion, the physiological relevance of these processes should be considered as well.

Stevens and Hume (2004) systematically compared the digestive system of vertebrates, including the stomach physiology. Although the stomach has a similar function in most vertebrates, it is adapted to the diet of the animal. Fig. 7.1 from their book shows the variation of gastric regions based on their epithelial lining. Pepsinogen and HCl are secreted by the proper gastric region. The proper gastric region covers the largest area in the human stomach, just as in the canine stomach. In contrast, the stomachs of ox and llama are dominated by the region of nonglandular stratified squamous epithelium, while their proper gastric regions that occupy approximately 1/2 to 1/3 of the stomach. This different distribution of pepsin-secreting glands suggests that pepsin is more important for a carnivorous and omnivorous diet, rather than for a herbivorous diet. This could be due to the different protein content and food structure in the diets.

Why is pepsin responsible for this task in the digestion processes? There could be several reasons. First, pepsin has a large active-site cleft that can facilitate large substrate (Kageyama 2014). Second, pepsin is more efficient towards protein while less efficient towards smaller peptides when compared to the intestinal proteases. For example, Maximova and Trylska (2015) found very low k_{cat} for trypsin-catalyzed hydrolysis of casein (0.01 s⁻¹) as the substrate, which is very low compared to our measurement of BSA catalyzed by pepsin in **Chapter 5**, even if considering that we corrected the k_{cat} with the conversion factor of 'susceptible peptide bonds'. Other studies reported high k_{cat} values for trypsin-catalyzed hydrolysis of certain syn-

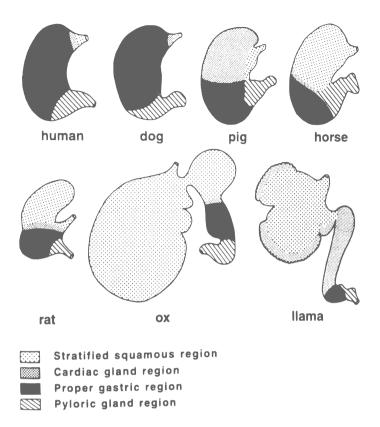


Figure 7.1: Distribution of gastric mucosa in some mammals. The regions are defined by their epithelial lining. Stomachs are not represented on same scale. Taken from Stevens and Hume (2004)

thetic peptides (Tsunematsu, Imamura, and Makisumi 1983; Sears and Clark 1993). This difference in kinetics shows the division of functionality and synergy of pepsin and the intestinal proteases. Pepsin roughly cleaves the proteins into smaller polypeptides, which can then be further cleaved by the intestinal protease cocktail which includes trypsin, chymotrypsin, and several peptidases. The resulted tripeptides, dipeptides, and amino acids are ready to be absorbed by the intestine cells (Whitney and Rolfes 2011).

The varied demand of pepsin secretion in animals with different diets, the contrasting kinetics of pepsin and trypsin, along with the effect of pepsin in the digestion process that we found, all suggest the division of physiological function for pepsin to be the rapid modification of the protein-based food structures for further digestion and absorption, thus maximize the protein utilization. Therefore its digestive role is very tightly coupled to the role of the structure of the food, which is most prominently important in the stomach.

7.3 Towards modeling the gastric digestive processes

With the finding of this thesis, we validated that the physicochemical processes are important in the gastric digestion of food. With a better understanding of the underlying mechanism, models to predict such processes became possible. Here we propose two model concepts on the gastric pH and food disintegration.

7.3.1 Simulation of gastric pH change after food ingestion

In **Chapter 6**, we demonstrated the influence of food proteins on the gastric pH. The gastric pH change during food ingestion and digestion can be predicted, when the experimentally measured data of protein buffer capacity and the physiological conditions are known.

Fig. 7.2 shows the processes involved in the gastric pH change. During fasting, there is only a small amount of residual gastric fluid in the stomach, it ranges from 0 mL-80 mL for different individuals and conditions, with an average of 20 mL (S. Phillips, Hutchinson, and Davidson 1993). After ingestion, food is mixed with the residual gastric fluid. The buffering components in the food react with the HCl in the residual gastric fluid, which will increase the gastric pH. These buffering components are mostly the ionizable groups in the proteins and some other electrolytes. If the food is protein-rich, the buffer capacity of the food is mostly determined by the proteins.

After food ingestion, the stomach starts to secrete more gastric fluid. The exact composition and flow rate varies according to the phase of

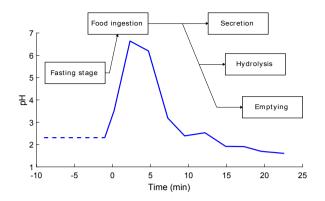


Figure 7.2: Flowchart of the processes involved in the gastric pH change. The solid line shows the gastric pH levels in healthy human subjects after the ingestion of α -lactalbumin test drinks, the dashed line is the average value of the fasting pH level. Gastric pH data from Sullivan et al. (2014).

food ingestion and digestion. Bellmann et al. (2016) estimated the average secretion rate based on literature as 1 mL min^{-1} and the stimulated secretion rate as 3.9 mL min^{-1} . They also reported the average pepsin concentration (1 mg mL^{-1} ; 2500 U mg^{-1}) and HCl (0.1 M). The secreted HCl will react with the buffer components and gradually decrease the gastric pH again.

In the meantime, the proteins in food are hydrolysed by pepsin. The activity of pepsin is limited by increase of the gastric pH, since it has its optimum activity at relatively low pH. In addition, the hydrolysis of the proteins by pepsin liberates α -carboxyl groups which also contribute to the buffer capacity, and thus prolong the period that the gastric pH is relatively high. Due to gastric secretion and gastric emptying, the pepsin concentration itself is time dependent. Last but not least, gastric emptying affects the gastric pH, by changing the amount of acid, buffer components, pepsin, and substrate present.

By combining all these effects, the gastric pH can be quantitatively and dynamically predicted. For fluid foods, one may assume that mixing and transfer is fast; for solid and semi-solid foods, this clearly cannot be assumed, and requires further investigation.

7.3.2 Towards a mechanistic model of protein gel disintegration

As proposed in the objective of this thesis, we aimed to mechanistically understand the gastric digestion of food by studying the physicochemical processes during the digestion. Apart from the empirical models we discussed in **Chapter 1**, some literature on drug release studied the effects of acid diffusion, enzyme diffusion and reaction, and swelling effect (Li et al. 2009; H. Ye et al. 2017). However, they are focused on the release of drugs from the polymer network, rather than the disintegration of the gel networks. The findings of this thesis showed the possibility of a model that use the enzymatic reaction and mass transfer processes to predict the disintegration of protein-based food. Here, the approach to connect these processes will be discussed.

Enzyme concentration

The local pepsin concentration is dependent on the diffusion process, the diffusion is hindered by the gel matrices, which was discussed in **Chapter 3** and **Chapter 4**. The hindered diffusion coefficient in a given matrix can be calculated using the physics-based models in **Chapter 4**.

Reaction kinetics

If the local enzyme concentration and the substrate concentration are known, the reaction kinetics can be calculated. We showed that acid diffusion can also be a limiting factor for the enzyme activity in food matrices during gastric digestion in **Chapter 6**. Therefore, the local pH should be considered when calculating the enzyme kinetics in the food matrices.

It should be noted that the enzyme reaction is based on peptide bond cleavage, and the breakdown of the network is dependent on the remaining protein concentration **on** the network. This conversion

from peptide bond cleavage to network breakdown need to be determined.

Breakdown of the network

The formation of polymer gels requires the protein to minimally have a **critical gelation concentration** c_g . We assume there is a corresponding **critical disintegration concentration** c_d in the digestion process of protein gels. Thus, the **disintegration rate** of the gel particle could be determined by the local concentration of the gel network. When the local concentration of the protein in the gel network dropped to the critical concentration c_d , that layer will dissolve into the environment.

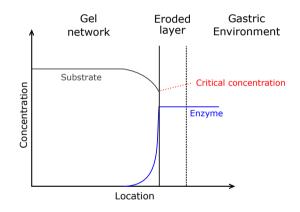


Figure 7.3: Illustration of the proposed protein gel disintegration model.

To sum up, the proposed model used physico-chemical theories along with experimentally measurable parameters. This model can predict the enzyme concentration gradient, the local reaction kinetics, and the substrate concentration gradient in the gel matrices, and finally the disintegration rate of the protein gel. By predicting the disintegration rate, we could have a direct indication of the digestibility of a protein gel based on its physico-chemical properties.

7.4 Other aspects of the gastric digestion processes

There are some other aspects of the gastric digestion process that are worth investigation. Firstly, the swelling of the gel will influence the disintegration process. When the gel swells, it becomes more accessible for the enzyme and its mechanical strength decreases, which makes the gel more susceptible to disintegration. There are theories that address the swelling effects. For example, van der Sman (2015) analysed the swelling of biopolymer gels using scaling laws. H. Ye et al. (2017) considered the swelling effect in a multi-physics model for drug release from a hydrogel.

Secondly, as we discussed in **Chapter 6**, the acid diffusion can also be a limiting factor for the protein hydrolysis inside the gel matrices. Therefore, when considering the enzyme kinetics, both the gastric pH at the macroscale and the pH at the microscale in the food matrices should be taken into account.

Thirdly, the electrostatic interaction in the food matrix and digestion process should also be considered. Both acid diffusion and pepsin diffusion can be influenced by their electrostatic interaction with the gel network. The electrostatic effect in diffusion processes can be addressed by the Nernst–Planck equation (Jasielec et al. 2012; H. Ye et al. 2017).

Lastly, the digestion processes of other food component can be studied using the similar approach. For instance, the digestion of starchbased food matrices can be predicted by studying the diffusion of α amylase into the matrix, with consideration of the pH influence on the α -amylase activity. Mennah-Govela, Bornhorst, and R. P. Singh (2015) demonstrated that the salivary α -amylase can significantly modify the structure of rice bolus and influence the acid diffusion until the gastric acid inactivates the enzyme.

7.5 Outlook of digestion research

By using the physical chemistry concepts and process engineering approaches, we could better understand the digestion at both the microscale and macroscale. Since most food matrices are complex systems, it is challenging to distinguish the important aspects in the simultaneous and dynamic processes. By following the individual physicochemical processes analytically, we can gain insight on their individual effect on the digestion and then consider their interactions systematically. With better knowledge of these individual processes, physic-based predictive models become possible.

Using mechanistic models has many advantages including costefficiency and predictive capability (Datta 2008), but mostly for being able to establish causal relations in complex systems. By following the underlying mechanistic processes and using measurable parameters, these models can confirm whether indeed causal relations between individual phenomena, for example between microscopic processes and macroscopic dynamics, are present. These causal relations will give us not just understanding, but also the capacity to predict out of the range of experimentally assessed conditions.

The ultimate goal of food digestion research is to design better food products in nutritional value, sensory attractiveness, and populationspecific functionality. In silico models can be useful tools in such designing: rather than trial and error, the properties of the product can be better defined and tweaked before it is produced.

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Summary

Summary

The impact of food on health is not only from the sum of the ingredients, but also from its digestive process in the human body. To better design food, scientists need to better understand the underlying mechanisms of digestion. However, food digestion research is challenging due to the complexity of both food and digestion. To tackle this complexity, we can regard food digestion as a series of processes, and investigate it from an engineering perspective.

The aim of the thesis is to study the physicochemical processes in the gastric digestion of protein-based food matrices, to gain quantitative insight and mechanistic understanding of the gastric digestion of protein food. We first explored the overall digestion kinetics of egg white protein and whey protein in solutions and in gels in a static simulated environment (**Chapter 2**). The dry matter loss, degree of hydrolysis and peptide distribution of the proteins in solutions and in gels were followed. The results show that both the action of pepsin and hydrodynamic force are important in the disintegration of protein gels, and the gel microstructure hinders the digestion of protein. These findings showed the importance of food structure, diffusion processes and enzyme kinetics in the gastric digestion, which defined the further exploration of this thesis.

We determined the diffusivity of pepsin in water and in whey protein isolate (WPI) gels by fluorescence correlation spectroscopy (FCS) (**Chapter 3**). The measured pepsin diffusivity indicates that the pepsin is constrained within a thin layer from the gel surface during digestion. Gel composition analysis confirmed this constraint: hydrolysis was observed only in a short distance from the gel surface. Scanning electron microscopy indicated that the surface of whey protein gels was loosened by pepsin, which may accelerate pepsin diffusion and consequently enhance the disintegration of gel surface. To quantitatively characterize the hindrance of the microstructure in the diffusive mobility of the enzyme, we used enhanced green fluorescent protein (EGFP) as the probe to study the diffusivity of proteins in varied protein gel matrices by FCS (**Chapter 4**). The gels with the same volume fraction but different texture do not show differences in protein diffusivity. We tested mathematical models to describe diffusion in protein gel matrices, some models yield good description and offer the possibility to predict enzyme diffusion in diverse food matrices. However, to yield more accurate predictions, electrostatic and enzyme-substrate interaction also need to be considered.

The kinetic parameters of pepsin with its naturally occurring substrate had not been systematically quantified. Therefore, we used isothermal titration calorimetry to study the enzymatic kinetics of pepsin with bovine serum albumin as the substrate (**Chapter 5**). We found that pepsin has a higher activity at pH 2, while its affinity to the substrate is lower. At the same pH, pepsin has lower activity and affinity at higher ionic strengths. Compare to small peptides, pepsin is more efficient towards larger substrates such as proteins. The enzyme kinetics of pepsin suggested its physiological function to be: fast hydrolysis of intact proteins to enhance the disintegration of food.

The gastric digestion kinetics of food proteins is influenced by the gastric pH, and the gastric pH fluctuates in response to food consumption. However, a quantitative understanding of the gastric pH dynamics is missing. Among the macronutrients, protein has the strongest influence on the gastric pH change. Therefore, we investigated the buffer capacity of proteins and the acid diffusion in protein gels, both theoretically and experimentally (Chapter 6). In theory, the buffer capacity of proteins can be characterized by its content of ionizable amino acid side groups, which was validated by titrating protein solutions and gels with hydrochloric acid. Moreover, the liberated carboxyl and amino groups during enzymatic hydrolysis of protein can also contribute to the buffer capacity. While we expected protons to diffuse faster than pepsin, we found that the penetration distance of acid is comparable to that of pepsin. The acid concentrated tenfold in the gel comparing to the bulk acid concentration, which was caused by the buffer reaction. Therefore, we postulated that the acid diffusivity reduction is due to the buffer reaction. With the quantitative knowledge of buffer capacity, the pH change during gastric digestion can be predicted, which was discussed in Chapter 7.

Chapter 7 also reviews and reflects on the previous chapters. The physicochemical processes studied are interrelated and are all contributing to the gastric digestion of food. The physicochemical characteristics of pepsin were relevant to its physiological functionality. A qualitative overall model was proposed. By integrating the physicochemical processes involved, this model has the potential to predict the disintegration and digestion kinetics of protein-based food matrices.

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About the author

Curriculum Vitae

Qi Luo was born on 24th July, 1989 in Yanling, Hunan province, China. After studying in Yanling No. 1 Middle School, he started his bachelor study of Food Science and Engineering at Beijing Forestry University in 2006. In 2011, Qi started his Master program of Food Technology at Wageningen University. He finished his MSc thesis at Food Process Engineering group on the performance of pepsin in simulated gastric digestion, under the supervision of Dr. Anja Janssen. His MSc internship was performed at Danone Research, on the enzymatic Hydrolysis of β -lactoglobulin and α -lactalbumin, under the supervision of Dr. Sergio Oliveira and Dr. Anja Janssen. After obtaining his MSc degree in August 2013, he started his PhD research at Food Process Engineering group under the supervision of Dr. Anja Janssen and Prof. dr. Remko Boom. The results of his PhD research are presented in this thesis.

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List of publications

Q. Luo, R. M. Boom, and A. E. M. Janssen. "Digestion of Protein and Protein Gels in Simulated Gastric Environment." In: *LWT - Food Science and Technology* 63.1, pp. 161–168.

Q. Luo, J. W. Borst, A. H. Westphal, R. M. Boom, A. E.M. Janssen. "Pepsin Diffusivity in Whey Protein Gels and Its Effect on Gastric Digestion." In: *Food Hydrocolloids* 66, pp. 318–325.

Q. Luo, E. Sewalt, J. W. Borst, A. H. Westphal, R. M. Boom, A. E.M. Janssen. "Analysis and modeling of enhanced green fluorescent protein diffusivity in whey protein gels." *Submitted for publication*.

Q. Luo, D. Chen, R. M. Boom, A. E. M. Janssen. "Revisiting the enzymatic kinetics of pepsin using isothermal titration calorimetry." *Submitted for publication*.

Q. Luo, W. Zhan, R. M. Boom, A. E. M. Janssen. "Interactions between acid and proteins under in vitro gastric condition – a theoretical and experimental quantification" *Submitted for publication*.

Overview of completed training activities

Discipline specific activities

Courses

- Reaction kinetics in food science, VLAG, Wageningen, NL, 2014
- Han-sur-lesse Physical Chemistry Winter School, WUR / TU Delft, Han-sur-lesse, BE, 2014
- Advanced Food Analysis, VLAG, Wageningen, NL, 2015
- Programming with Python, MolMed Erasmus MC, Rotterdam, NL, 2016

Conferences and meetings

- 3rd Interantional Conference on Food Digestion, Infogest, Wageningen, NL, 2014
- 4th Interantional Conference on Food Digestion¹, Infogest, Naples, IT, 2015
- The 7th International Symposium on Food Rheology and Structure², ETH Zurich, Zurich, CH, 2015
- 2nd Food Structure and Functionality Forum Symposium², Elsevier, Singapore, SG, 2016
- 2nd Wageningen PhD Symposium², VLAG, Wageningen, NL, 2015
- 16th Food Colloids Conference¹, WUR/TNO, Wageningen, NL, 2016
- 5th International Conference on Food Digestion¹, Infogest, Rennes, FR, 2017

¹Poster

²Presentation

General courses

- VLAG PhD week, VLAG, Baarlo, NL, 2014
- PhD carousel, WGS, Wageningen, NL, 2014
- Scientific Writing, WGS, Wageningen, NL, 2014
- Voice and Presentation Skill Training, WUR Library, Wageningen, NL, 2015
- Career Perspectives, WGS, Wageningen, NL, 2016
- Teaching and supervising Thesis Student, Educational support, Wageningen, NL, 2016

Additional activities

- Preparation of Research Proposal, FPE, Wageningen, NL, 2014
- PhD study tour to Chile and Brazil, FPE, CL/BR, 2014
- PhD study tour to Denmark and Switzerland, FPE, DE/CH, 2016
- Weekly group meetings, FPE, Wageningen, NL, 2014 2016

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