1	PERSPECTIVE
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3	New approaches in component design for dye-sensitized solar cells
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### **ABSTRACT**

The present perspective presents a number of issues related to the current direction of DSSC research, with emphasis on the transition from iodide-containing electrolyte to charge-transport media (CTMs), electrolytes or solid-state conductors based on inorganic coordination complexes, aiming to attain a better light-to-electricity conversion efficiency, associated with larger photovoltage, and long-term stability. Such a change necessitates the concomitant introduction of novel dyes and counter electrodes. The first part of the perspective introduces an overview of the DSSC field and a number of considerations related to the transition from the triiodide/iodide-based CTM to CTMs based on alternative systems. Subsequently, the recent developments of CTM based on Cu coordination complexes are discussed, from the inorganic physical chemistry point of view, including some highlights about novel dyes associated to these CTMs. Finally, several preparation methods and applications of different types of novel carbonaceous counter electrode substrates, related to the application of the aforementioned novel CTMs, are presented.

### 1. General overview

Dye-sensitized solar cells (DSSC) can be foreseen as cost-efficient candidates for photovoltaic (PV) applications with multifarious manufacturing possibilities and short energy-payback times. Variable colour options with superior optical aesthetics, sustainable processability on a variety of substrates and high performance of this technology under diffuse light conditions could be used for building integrated photovoltaic cells and to power portable electronics. Our recent development of DSSCs based on organic dye sensitizers and Cu-complex redox systems has led to outstandingly high performance under ambient light, reaching a 32% power conversion efficiency under 1000 lux fluorescent light source: this efficiency calculated with respect to the indoor light source not to the standard solar spectrum AM 1.5. (1) This corresponds to a power output of 101 μA/cm² at 1000Lux and outperforms all other types of PV cells made of silicon, lead halide perovskites, organic PV cells and even gallium arsenide (GaAs) cells. Details on the DSSC development in the last 35 years are described in a number of reviews (2,3,12–21,4,22,23,5–11) and in an edited book (24).

In a conventional DSSC, the photoelectrode consists of dye molecules anchored to a wide band gap semiconductor oxide, mostly titanium dioxide (TiO2), forming a high surface area mesoporous photoelectrode (25). Either n-type or p-type oxide substrates can be used in DSSCs, with different types of dye in each case. However, the most efficient DSSCs, of interest to practical applications, are based on n-type oxide substrates. Therefore, only this type of DSSC will be discussed in the present review. Following light absorption, the dye molecules inject electrons to the conduction band of the oxide, and the oxidized dye molecules are regenerated by a species contained in the charge-transport medium (CTM) interposed between the dye-coated oxide layer and a dark counter electrode CE. The species effective in the regeneration reaction can be either a redox mediator in solution (e.g. iodide/tri-iodide, l<sub>3</sub>-/l-, a Cu<sup>II</sup>/Cu<sup>I</sup> coordination complex) or a solid hole conductor (HC). The cycle is completed at a counter electrode CE coated with a thin electrocatalytic layer of e.g. platinum, carbon, or electronically conducting polymer. The mesoporous oxide is usually deposited on a transparent conducting oxide (TCO) substrate, frequently F-doped tin oxide (FTO), with the incident light directed from the FTO side not covered by dye/oxide layer. In the case of some DSSCs of industrial interest, the oxide is deposited on a flexible metal substrate, e.g. stainless steel. In this case, the light is directed from the CE side of the DSSC, with the CE and CTM being sufficiently transparent so that the intensity of light reaching the oxide-supported dye layer is not substantially diminished.

The direction for materials development of DSSC has drastically changed in the last years, with the main emphasis in replacing the I<sub>3</sub>-/I- redox mediator by Co<sup>III/II</sup> and Cu<sup>II/II</sup> coordination complexes. The main motivation has been to reduce the driving force, the Gibbs free energy corresponding to the difference between the redox potential of the mediator and that of the ground state of the dye, for regenerating the oxidized dye by the reduced form of the redox couple.

The highest efficiency systems today for DSSCs are being achieved using organic dyes, mesoporous  $TiO_2$  and  $Co^{III/II}$  or  $Cu^{II/II}$  complex systems. The highest DSSC (not certified) solar-to-electric conversion efficiencies (PCE) exceeding 14% have been achieved by Kakiage et al. (14.3%, illuminated area  $\Sigma$ =0.10 cm²) (26) and by Ji et al. (14.1%,  $Co^{III/III}$  mediator) (27) (14.1%,  $\Sigma$  =0.14 cm²), both with a  $Co^{III/III}$  mediator. The highest certified efficiency of 12.1% for DSSCs has been obtained in EPFL ( $\Sigma$  =0.14 cm²) with the  $Cu^{II/II}$  mediator, which is slightly higher than the previous certified record of 11.9% ( $\Sigma$  =1.0 cm²) with the  $I_3$ / $I^*$  mediator (28). The breakthroughs for the introduction of Co- and Cu-based system in DSSCs were initially announced by the group of Anders Hagfeldt, Gerrit Boschloo and Marina Freitag in Uppsala, Sweden and their collaborators, the groups of Lars Kloo and Licheng Sun in Stockholm, Sweden (29) (30). These approaches has been further developed at EPFL Switzerland (1,31–33). For Cu redox mediators in liquid electrolytes, the highest (not certified) PCE is 12.7% (34). In addition, a solid-state variant of the Cu-complex systems, generated by drying of the electrolyte, exhibited a PCEs of 11%, (35,36) which is the highest reported up-to-date for a solid-state DSSC.

TiO<sub>2</sub> is by far the most popular wide band gap semiconductor and state-of-the art and it still is. The first studies of dye-sensitized porous TiO<sub>2</sub> electrode were performed during the 1980s by the Grätzel group in EPFL-Switzerland. These electrodes were prepared by the thermal decomposition of a solution of a Ti metalloorganic compound and needed the deposition of several thin oxide layers in order to achieve the desired thickness (37) (38). Soon thereafter an alternative type of porous TiO<sub>2</sub>, based on a mesoporous 5-10  $\mu$ m thick layer made of colloidal nanoparticles with diameters of 15-40 nm, was proposed in the seminal Nature paper by O'Regan and Grätzel (39). The size of the TiO<sub>2</sub> particles and the film thickness have, however, to be optimized with respect to the specific dyes and CTMs used. The properties of the mesoporous colloidal electrode have been discussed by Barbé et al. ((25). In the earlier studies, the CTM was a liquid electrolyte containing a redox mediator, mostly triiodide-iodide ( $\Gamma$ <sub>3</sub> / $\Gamma$ ). Larger particles give less surface area and larger pores. The former is a disadvantage for light harvesting and the film thickness need to be adjusted in relation to the light absorption properties of the dyes. For more viscous electrolytes, ionic liquids and solid-state systems larger pores may be needed to avoid mass transport limitations. For organic dyes and Cu/complex electrolytes typically 30 nm sized

nanoparticles are used with a film thickness of 5-10 μm. In this respect, it should be noted that the optimization of the TiO<sub>2</sub> nanomaterials has been an important part of the international DSSC research.

For a substantial improvement of the DSSC efficiency, of particular importance has been the fact that the largest internal potential drop in DSSCs with organic dyes and  $Cu^{II/I}$ -complex redox systems occurs in the electron injection process from the excited state of the dye to the conduction band of  $TiO_2$ . The position of the conduction band,  $E_C$ , of  $TiO_2$  and also oxides in general can be tuned by varying the surface charge. For example, positive cations such as  $H^+$  and  $Li^+$  shifts  $E_C$  to higher potentials (or lower energies), reducing the possible open circuit photovoltage,  $U_{OC}$ , and molecules with negative charge, such as the nitrogen lone pair on 4-tert butyl pyridine, shifts  $E_C$  higher in energy possibly resulting to larger  $U_{OC}$ . This approach can be used for fine tuning, but for a more substantial increase of  $U_{OC}$  alternative oxides with higher energy  $E_C$  need to be developed.

The upper limit of the DSSC open-circuit voltage for a given redox mediator  $U_{OC(LIM)}$  is determined by the difference between the conduction band edge  $E_C$  and the redox Fermi level of the redox mediator  $E_F(Ox/Red)$ , both measured with respect to a suitable reference electrode. In general, a shift of  $E_F(Ox/Red)$  to a negative direction, corresponding to the shift of the equilibrium electrode potential E (Ox/Red) to the positive direction, is expected to lead to an increase of  $U_{OC(LIM)}$ .

Alternatively, from the semiconductor point of view, a strategy to develop semiconductors with higher E<sub>C</sub> will result to higher open-circuit voltages. From studies in single crystal materials, it is known that for several oxide semiconductors in aqueous solutions, notably zinc stannate (Zn<sub>2</sub>SnO<sub>4</sub>), strontium and barium titanates (SrTiO<sub>3</sub>, BaTiO<sub>3</sub>), and niobium oxide, (Nb<sub>2</sub>O<sub>5</sub>), E<sub>C</sub> lies higher than for TiO<sub>2</sub>. If the same trend is followed for these oxides in nonaqueous solvents and for polycrystalline materials, then it would be advantageous to replace mesoporous TiO<sub>2</sub> by one of the aforementioned alternative semiconductors in mesoporous form. However, other electrode substrate factors determining the DSSC, notably the roughness factor (real to geometric area) should not be detrimental to the DSSC performance.

Apart from liquid-based electrolytes, substantial advances have been achieved with solid-state DSSCs (sDSSCs) based on solid CTMs, also termed hole conductors (HCs). (21) (40) (41), in addition to the aforementioned one based on Cu<sup>II/I</sup>. In the case of organic, metal-free small-molecule HCs, the best attained efficiency has been 8% (42) in a DSSC based on an organic sensitizer and spiro-OMeTAD as HC. As regards conducting polymer-based HCs in DSSCs an effective approach in achieving effective penetration of the HC into the TiO<sub>2</sub> pores is *in-situ* photoelectrochemical polymerization, initiated at the

irradiated dye-coated electrode itself. With PEDOT as HC generated from *bis-EDOT* monomers, a completely solid DSSC showed a top PCE of 7%. (43).

sDSSCs present some advantages as compared to DSSCs with liquid electrolytes. At first, the problems of evaporation and leaking of the electrolyte, requiring rigorous sealing, are avoided in sDSSCs. Another advantage is the lower changes in the solid CTM volume with temperature in sDSSCs as compared to these for liquid CTM. Finally, the photooxidized dye regeneration for several organic HC molecules is faster than for several redox mediators commonly used in liquid electrolyte-based DSSCs. On the other hand, the back reductive electron transfer reaction between oxidized HC and electrons in the mesoporous oxide or the TCO substrate is also faster for solid HCs. In over to overcome this drawback, the presence of a thin TiO<sub>2</sub> blocking layer or underlayer interposed between the porous dye-supporting oxide and the transparent TCO oxide is necessary. An underlayer is also beneficial to the performance of a liquid CTM-based DSSC, both for reducing the dark current and improving the adhesion of the mesoporous oxide layer to the TCO substrate. However, the requirements for the blocking behaviour of an underlayer are more stringent in the case of sDSSCs.

A DSSC configuration intermediate to the liquid-electrolyte DSSC and the solid-state DSSC (sDSSC) is achieved by the immobilization of the electrolyte into a solid matrix. This phase can be either inorganic, e.g. based on aluminosilicates, or organic, e.g. polymeric. Such DSSCs are termed quasi-solid DSSCs. However, in this case the drawbacks associated with the liquid electrolyte are not eliminated but just decreased.

Besides redox couples and hole conductors the key material components in DSSC are the dye and the semiconductor oxide. Some useful insights concerning the choice of DSSC dyes are presented in Section 3.

Organic sensitizers based on the donor- $\pi$ -acceptor (D- $\pi$ -A) motif have been widely explored in solar energy conversion applications. The high molar extinction coefficients, rare-metal-free structures, and most importantly the facile molecular tailoring resulting to tunable PV performances are the main reasons for the development of D- $\pi$ -A sensitizers. (44,45,54,46–53). Compared to classic ruthenium sensitizers, pure organic sensitizers are very promising candidates with comparable high-power conversion efficiency (PCE) and long-term stability, especially for future commercial and practical applications.

In general, most organic sensitizers are synthesized with the D- $\pi$ -A configuration, due to the efficient intramolecular charge transfer (ICT) properties. (55,56) An additional group of electron-withdrawing conjugated components has been recently introduced into the  $\pi$ -bridge of the D- $\pi$ -A framework to function as an auxiliary electron acceptor. The additional acceptor in the D-A- $\pi$ -A motif not only contributes to modulate the energy levels, absorption spectra, and PV performances, but also significantly improves the photostability of sensitizers. (57–59)

 $TiO_2$  is still by far the most popular wide band gap, state-of-the art, semiconductor since the seminal Nature paper by O'Regan and Grätzel (39), in which the use of a colloidal mesoporous layer in DSSCs was proposed. However, a huge number of publications on different nanostructures such as nano-rods and tubes and alternative binary and ternary oxides have created a vast library that can be used to choose optimal morphological, optical and electrical properties for the demand at hand. A comprehensive overview of the various oxide semiconductors used in DSSC can be found in the review by Aumaitre et al (60). Some oxides with potentially higher conduction band energy than  $TiO_2$  are zinc stannate ( $Zn_2SnO_4$ ), strontium and barium titanates ( $SrTiO_3$ ,  $BaTiO_3$ ) and niobium pentoxide ( $Nb_2O_5$ ). The motivation is that the quasi-Fermi level of the semiconductor under illumination can then be higher in energy (lower work function or more negative electrochemical potential) and thus lead to a higher open-circuit cell voltage ( $U_{OC}$ ).

As regards the dark, in the sense of not needing light for its operation, counter electrode, the first generation of dye-sensitized solar cells (DSSCs) was mainly produced by employing counter electrodes (CEs) based on platinum (Pt) as an electrocatalyst material, mostly deposited in microdispersed form on non-transparent TCO substrate. (61)(62) Platinum is the electrocatalyst of choice for a wide range of electrochemical energy conversion devices. Some of the advantages of Pt are their high catalytic activity, conductivity and high chemical stability against iodine species.

Furthermore, Pt it is less expensive than other noble metals with similar properties (e.g. Ir, Rh). Due to its high catalytic activity and chemical stability in the DSSCs, as well as its high transparency for DSSC applications requiring it, e.g. in the case of cells based on dye/TiO<sub>2</sub> deposited on a metal substrate, or in DSSC used as windows in BIPV applications, Pt/TCO remained for a long time as the foremost choice for designing high efficiency solar cells. (63–65) By combining iodide/triiodide redox shuttle-based electrolytes with an efficient Pt-based CEs, the DSSCs have exhibited an impressive (11%) certified solar-to-electrical conversion efficiency. (66)

However, platinum is a precious metal, and the Pt-based CEs may result in a high cost, especially if large-area solar harvesting is desired. Moreover, alternative CEs with even lower transparency may be needed for some applications. Additionally, as a result of the advancements in materials research for DSSCs, the traditional Pt-based CEs were not found compatible for electrolytes containing novel redox shuttles (i.e. cobalt or copper-based) (67,68). Therefore, efforts have been made to investigate and develop alternative CE catalytic counter electrodes, such as carbonaceous materials, discussed in following sections of this review, to achieve not only high solar-to-electrical conversion efficiency but also reproducible and long-term PV performance stability. (69) (70) (71) (72). The field of DSSC CEs has been recently reviewed in the two-volume book by Yun and Hagfeldt (73). In addition to carbons, several other CE materials have been tested. In addition to carbons, three additional categories of electrocatalyst materials are electronically conducting polymers, e.g. polypyrrole, polyaniline, and PEDOT, transition metal inorganic compounds, for example carbides, nitrides, oxides and sulfides, and organic thiolates. A frequently, easy to prepare conducting polymer CE is PEDOT electrodeposited on TCO from an aqueous colloidal EDOT solution with n-dodecyl sulfate (SDS) as supporting electrolyte, as proposed by Ellis et al. (74). Additionally, hybrid materials combining several of components in the aforementioned categories have been considered, e.g. Pt/carbon or conducting polymer /carbon.

### 2 Novel copper redox mediators

Advancements in consumer technologies, with new findings in the field of dye sensitized solar cells (DSSCs), have opened up market niches in which DSSCs can thrive by taking full advantage of their peculiarities. The advantages of DSSCs for indoor applications over other solar cell technologies are threefold. (75) First, different dyes can be developed to exactly match the illumination spectrum of the photon source present in the environment in which they should operate, harvesting their light with high efficiency. Since light bulbs emit in the visible range, the poor efficiency of organic and metalorganic light absorbers in the UV and IR regions over their inorganic counterparts is irrelevant here. Second, DSSCs can be completely made from non-toxic materials. Given the indoor environment, there is a higher chance that these solar cells might get in contact with people during operation. Therefore, in case of failures or breaks, it is important that any leaked or exposed chemical is not harmful to humans. Third, the setbacks of DSSCs, which limit their use at full sun illumination, such as stability and efficiency in full sun conditions, do not play a prominent role in low light conditions. Indeed, DSSCs are currently the highest-performing solar cell technology in a low illumination setup, with efficiencies up to 34% and generated power up to 100  $\mu$ W cm<sup>-2</sup> at 1000 lux for a relatively large 3.2 cm<sup>2</sup> device, outclassing all of their competitors. (76)

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In the world of IT and electronics Artificial Intelligence, Machine Learning, and the Internet of Things are setting a shift in paradigm for how data is acquired and processed; the concepts of smart homes and smart buildings are entering general public daily conversations and news. Networks of sensors coupled with processing units capable of autonomous decisions promise to optimize the use of heating, cooling and lighting in buildings, thus reducing energy consumption. However, there is a concern that the power required to run this optimization network might nullify the energy savings that said network provides. Recently, Freitag and coworkers have demonstrated that DSSCs, when coupled with a supercapacitor as an energy buffer, are capable of powering a completely autonomous sensing and processing network, without the need of batteries or mains power. (76) By taking advantage of light that is already present inside a building, DSSCs ensure that the optimization performed by the intelligent network fully translates to energy savings.

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Redox mediators based on copper complexes, some of which are shown in Fig. 1, play a crucial role in the outstanding performance of DSSCs in ambient lighting. This class of materials is capable of efficiently regenerating a dye with just 100 mV of driving force, (77) thus maximizing the voltage output of the solar cell. Moreover, they can retain this high voltage (close to 1 V) even in low lighting conditions, which is very important to properly power electronic devices with a small number of solar cells connected in series. In addition to yielding a high photovoltage, copper complexes show a reduced recombination rate and a higher diffusion length compared to other popular redox mediators, such as cobalt complexes, providing higher photocurrents and better fill factors in similar systems. (78) Regarding the long-term operational stability of DSSCs, copper complexes have a clear advantage over the most used redox mediator - iodine/iodide - as they are not corrosive. However, liquid DSSCs are not regarded as stable devices in general, due to electrolyte solution leakages over time related to the difficulty of perfectly sealing the device. Furthermore, the presence of liquid in the device is unwelcomed regardless of leakages, because an accidental device breakage would lead to a spillage of chemicals in liquid form. For commercial applications, solid-state DSSCs are considered the most viable option. Solid-state DSSCs have historically lagged behind their liquid counterparts, but this is no longer true thanks to copper complex redox mediators and their ability to give life to "zombie" devices. (35,79) In short, a "zombie" device is a liquid DSSC in which the electrolyte solvent is completely evaporated, leaving behind a dried-out electrolyte solution. Such a device should be non-functional (dead). However, if the redox mediator is comprised of a copper complex (or, with lesser results, a cobalt complex (80)), the device still works very efficiently (a living dead). The most efficient solid-state DSSC fabricated to date features a "zombified" copper complex hole transporting material (Cu(tmby)2) and is even higher

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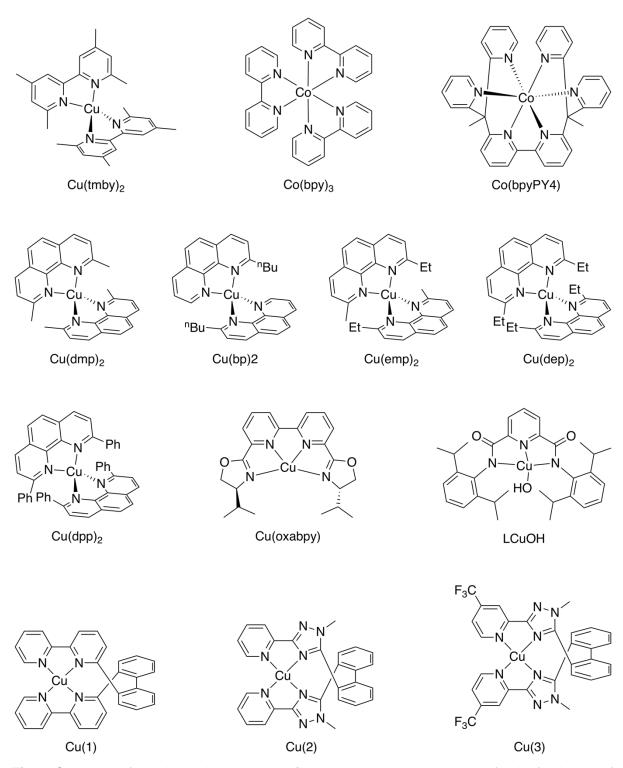
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performing than its liquid counterpart. (36) Copper complexes have reduced energy losses during their transition from a reduced to an oxidized state compared to iodine/iodide (which undergoes a complicated two-electron oxidation process) and cobalt complexes (which transition from high spin to low spin upon oxidation), (43) but are not completely free from them. In particular, Cu(I) employs a tetrahedral geometrical configuration, while Cu(II) ideal geometry is tetragonal or square planar. The most widely used copper complexes in DSSC are comprised of two bidentate ligands, which try to suppress this geometrical transformation by employing bulky moieties in key positions, which hinder the rotation of the two ligands upon oxidation/reduction. A better approach to further reduce this energy loss is to use tetradentate ligands, which have a much more rigid structure and block both complex's oxidation states in the same geometry. Recently, Michaels et al. have demonstrated that the use of a tetradentate ligand (Cu(oxabpy)) indeed reduces voltage losses compared to a bidentate one. (81) The finding of a copper complex with a tetradentate ligand and an ideal redox potential could lead to a new efficiency record for both liquid and solid-state DSSCs in the future. Zerk et al. have conducted experimental work on a tridentate copper complex with a hydroxide counter-ion in the fourth coordination position (LCuOH) to evaluate its reorganization energy. The rate constant for electron selfexchange determined using the Marcus cross relation was  $k_{11} = 3 \times 10^4 \, \text{M}^{-1} \, \text{s}^{-1}$  at  $-88 \, ^{\circ}\text{C}$ , leading to an estimated value of 9 x 106 M<sup>-1</sup> s<sup>-1</sup> at 25 °C, which is one of the highest values known for copperbased redox couples. With further Marcus analysis, they also determined a low reorganization energy,  $\lambda = 0.95 \pm 0.17$  eV, attributed to minimal structural variation between the redox partners. (82) In an interesting study Imahori and coworkers showed copper complexes with several 1,10-phenanthrolinebased ligands with substitutions in the 2,9 positions (Cu(dmp)<sub>2</sub>, Cu(bp)<sub>2</sub>, Cu(emp)<sub>2</sub>, Cu(dep)<sub>2</sub> and Cu(dpp)<sub>2</sub>), for which they attained moderate to high power conversion efficiencies (6–11%) with a high Uoc over 1.0 V. The difference in performance was rationalized by different electron collection efficiencies. (83)



**Fig. 1.** Structures of metal complex electrolytes/hole transporting materials used for the fabrication of liquid/solid state DSSCs discussed in this perspective.

Three copper-based redox shuttles [Cu(1), Cu(2), and Cu(3)] featuring tetradentate neutral polyaromatic ligands were synthesized and evaluated computationally, electrochemically, and in DSSC devices using a benchmark organic dye – Y123 – by Roberta Rodrigues et al. They calculated that the inner-sphere electron transfer reorganization energies ( $\lambda$ = 0.34–0.53 eV) for copper complexes were

significantly lower than that evaluated for the Co(bpy) $_3$  ( $\lambda$ =0.61 eV) redox shuttle. Their rigid tetradentate ligand design promotes more efficient electron transfer reactions leading to an improved  $J_{SC}$  (14.1 mA cm $^{-2}$ ), higher stability due to the chelate effect, and a decrease the loss in  $U_{OC}$  for one of the copper redox shuttle-based devices.(84) A different strategy to increase the performance of copper-based solar cells and to decrease recombination processes was used by Glinka et al. The PV performance of solar cells sensitized with the D205 indoline dye and its new derivative comprising an alkoxysilyl anchoring unit (D205Si) was enhanced in the presence of Cucurbit[7]uril (see Fig. 2) as molecular multi-capper, thanks to a reduced electron recombination between titania and electrolyte. Indoline dyes were also found to be regenerated outstandingly fast by the Cu-based mediator (time constant shorter than 100 ns).(85) Composition and cell parameters of DSSCs fabricated with the discussed metal complex electrolytes are listed in Table 1.

**Table 1.** Electrolyte/dye composition and photovoltaic parameters of DSSCs based on referenced metal complexes.

Electrolyte	Dye	Uoc (V)	J <sub>SC</sub> (mA cm <sup>-2</sup> )	FF (%)	PCE (%)	Reference
Co(bpyPY4)	Y123	0.768	12.1	62	5.68	44
Cu(tmby) <sub>2</sub>	WS-72	1.07	13.8	79	11.7	9
Cu(oxabpy)	Y123	0.92	9.75	69	6.2	45
Cu(bp) <sub>2</sub>	LEG4	0.842	10.6	66.4	5.90	47
Cu(dmp) <sub>2</sub>	LEG4	1.03	10.0	60.9	6.29	47
Cu(emp) <sub>2</sub>	LEG4	0.823	7.52	52.6	3.25	47
Cu(dep) <sub>2</sub>	LEG4	0.813	6.77	46.4	2.56	47
Cu(dpp) <sub>2</sub>	LEG4	0.848	4.18	62.4	2.21	47
Cu(1)	Y123	689	5.7	77	3.1	48
Cu(2)	Y123	693	10.2	72	4.7	48
Cu(3)	Y123	792	7.9	75	4.3	48
Co(bpy) <sub>3</sub>	Y123	818	14.6	73	8.9	48
Cu(tmby) <sub>2</sub>	D205	0.92	6.12	82	4.60	49
Cu(tmby)2	D205Si	0.95	6.40	78	4.75	49

3 (Near) infra-red dyes for building-integrated photovoltaics.

Apart from indoor PV cells, the other potential key application for DSSCs is that of BIPV cells. For a long time, it was believed that nice-looking, colored, electricity-generating windows based on DSSCs would enter the market and generate high revenues. However, while small, artistic windows could definitely have a niche market, from a bulk energy production point of view colored windows do not make sense. In a scenario where DSSCs replace plain glass in large windows, or even full-glass buildings, these cells have to be (almost) colorless, because nobody wants to live behind a colored filter for several hours per day. Fortunately, the emission spectrum of our Sun is very broad, and visible light is only a part (albeit a consistent one) of it. The fabrication of a DSSC absorbing light only in the UV (ultra-violet) and IR (infra-red) regions, especially one the size of a window, would still generate a significant amount of electricity. In recent years, research efforts have focused on the synthesis of efficient near-IR (NIR) and IR dyes exactly for this purpose. Completely colorless dyes have not been discovered yet, but this goal is getting closer and closer.

**Fig. 2.** Structures of dyes used with copper complex electrolytes and of NIR dyes discussed in this perspective.

Extending dye absorption to the NIR region translates to reducing the energy gap between the dye's HOMO and LUMO. In a DSSC, the energy level of significance that is almost always fixed is that of

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titania's conduction band. With that in mind, reducing the dye bandgap involves stabilizing the dye's excited state - to lower the LUMO to an energy level just high enough to properly inject electrons into the mesoporous TiO2 layer – and, more importantly, destabilizing the dye's ground state – to raise the HOMO energy level and reduce the gap to the LUMO. Not many functional groups have low energy transitions that allow absorption in the NIR region; the most studied ones to date are borondipyrromethenes (BODIPY) and squaraines. Dyes based on each of the functional groups have absorption peaks with very high molar extinction coefficients in the order of 105 M<sup>-1</sup> cm<sup>-1</sup> in the farred/NIR region of the spectrum, with peak maxima between 650 and 800 nm. They usually also feature a secondary, less intense absorption peak in the violet/blue region up to 450 nm, with a significant lack of absorption between 450 and 600 nm. The most NIR-shifted dye reported to date for the BODIPY class of materials has been prepared by Kubota et al. and features a peak maximum close to 800 nm both in solution and on titania (dye 6).(86) A DSSC fabricated with such dye has an efficiency of 1.1%, which is only slightly lower than that of a similar but less red-shifted dye (1.4%, dye 5). For what concerns squaraines, Haishima et al. report on seven different dyes with NIR absorption (SQ1-SQ7). (87) The most red-shifted dye has a peak maximum of 820 nm in solution (SQ6, cell efficiency of 0.25%), while the best performing dye leads to a cell efficiency of 1.3% (SQ3, its peak maximum is 796 nm in solution). The structures of the aforementioned dyes are depicted in Fig. 2, while cell parameters of DSSCs fabricated with them are listed in Table 2, together with their maximum absorption wavelength. The quest to colorless DSSCs is not limited to the finding of suitable, efficient dyes. Such devices will also need colorless redox mediators and/or hole transporting materials with suitable energy levels for NIR dye regeneration. (88)

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**Table 2.** Dye/electrolyte composition (with dye's maximum absorption wavelength) and photovoltaic parameters of DSSCs based on referenced NIR dyes.

Dye	λ <sub>max</sub> (nm)	Electrolyte	U <sub>oc</sub> (V)	J <sub>SC</sub> (mA cm <sup>-2</sup> )	FF (%)	PCE (%)	Reference
Dye 5	783	Iodine	0.431	4.98	65	1.40	50
Dye 6	812	Iodine	0.435	3.96	65	1.12	50
SQ1	771	Iodine	0.300	4.39	56	0.74	51
SQ2	784	Iodine	0.300	6.22	47	0.89	51
SQ3	796	Iodine	0.315	9.20	45	1.31	51
SQ4	806	Iodine	0.266	3.81	56	0.56	51
SQ5	818	Iodine	0.276	1.77	59	0.29	51
SQ6	820	Iodine	0.289	1.45	60	0.25	51
SQ7	815	Iodine	0.279	2.05	52	0.30	51

# 4 Carbonaceous materials-based catalyst layers as alternative counter electrodes for novel electrolytes.

Carbon based nanomaterials such as carbon nanotubes (CNTs) and graphene are based on an earth-abundant element, and the availability is unlimited. The price drops significantly below that of the platinum for large scale production. Moreover, these carbon-based nanomaterials have high chemical inertness against corrosive chemicals and surroundings, and are very well suited to be used for the counter electrodes (CEs) in DSSCs. Recent investigations with graphene, single- and multi-walled CNTs (MWCNTs) based CEs have demonstrated comparable catalytic activity, chemical stability, and conversion efficiency, and they potentially have much better conductivity than these based on Pt/TCO. (67,89–92) Therefore, they will be the most promising candidates for a sustainable development of the DSSCs replacing the Pt for large scale production.

(90)

### 4.1 Counter electrodes for cobalt electrolytes

A thick and porous carbon-based CE as potential alternative catalyst material for DSSCs was first reported by A. Kay and co-workers for efficient triiodide reduction. (93) As a result of realizing the salient features i.e. low cost, high surface area, and promising catalytic activity, this pioneer work has been remained a great motivation for the researchers worldwide to develop other novel carbonaceous catalysts layers, which have also been frequently tested with the novel redox shuttles in the DSSCs. (68,69)

From the electrolyte progress perspective, iodine electrolytes have been surpassed by cobalt electrolytes due to the flexibility in the alteration of their redox potential, which provides an opportunity to achieve a higher open circuit voltage in the DSSCs. Therefore, efforts have also been made to develop novel carbonaceous catalysts for testing with these novel cobalt electrolytes. (93)

In this regard, Kavan et al reported graphene nanoplatelets (GNP) as alternative CE material for DSSC, which revealed striking (9.4%) solar-to-electrical conversion efficiency along with notable (878 mV) open circuit voltage ( $U_{OC}$ ) as a result of observing smaller charge transfer resistance ( $R_{CT}$ ) compared to traditional Pt CEs. (93)

Similarly, Stefik et al developed carbon-graphene nano-composite catalyst materials and investigated the photovoltaic performances of the fabricated DSSCs employing cobalt electrolytes. They also achieved similar conversion efficiencies (> 9%) by varying the amount of graphene in their developed carbon-graphene composites. They reported low  $R_{\rm CT}$  (1  $\Omega {\rm cm^2}$ ) with a 20% weight content of graphene adjusted in formulating the composite, which caused an improved fill factor in the fabricated DSSC compared to Pt CEs (CE) - based DSSCs. (94)

Moreover, Liu et al demonstrated a comparison to prove the superior catalytic activity of carbon black in nanoparticle form as low-cost CE electrocatalytic material for cobalt based electrolytes in DSSCs. (69) The electrochemical behavior of both the carbon black catalyst and traditional Pt/TCO CEs was analyzed by performing cyclic voltammetry (CV) and electrochemical impedance spectroscopy measurements, which suggested lower (0.39  $\Omega$ cm²) RcT values for carbon black CEs than these of Pt nanoparticle-based CEs (1.19  $\Omega$ cm²). Consequently, the DSSCs fabricated with these catalytic carbon black CEs employing Co mediators and Y123 dye exhibited an impressive (8.81%) solar-to-electrical conversion efficiency, indicating the potential of carbon black as one of the potential CEs for these novel electrolytes. (69)

Currently, the highest solar-to-electrical conversion efficiency (14.3%, not certified) reported for a DSSC employed a carbonaceous catalyst (i.e. graphene nanoplatelets) based CE, in conjunction with a cobalt electrolyte and the combination of co-adsorbed ADEKA-1 (alkoxysilyl-anchor dye) and LEG4 (carboxy-anchor organic dye). (26) These preliminary reports about carbonaceous materials not only suggest that carbon-based catalyst materials could not only be categorized as the leading CEs but also point to the potential of overcoming the mass transport limitation for these bulky cobalt electrolytes by providing a large CE surface are, with a positive effect to the overall photovoltaic performance (26,69).

Nevertheless, none of the above-mentioned studies reported long-term stability tests of the fabricated devices, which raises the concern about the chemical stability of these carbonaceous materials during the long-term photovoltaic performance of the fabricated DSSCs. Moreover, most of the electrolytes fabricated to produce these high efficiency devices were prepared with acetonitrile as solvent, (26,95,96) which has not been recognized as a reliable organic solvent due to its possible leakage from the cell channels under stressful conditions. (97–99) Therefore, a combination of high boiling point solvent-based cobalt electrolytes (i.e. γ-butyrolactone or sulfolane) with these catalytic carbonaceous CEs seems an obvious and optimum strategy to handle the aforementioned challenge. (100,101)

### 4.2 Counter electrodes for copper electrolytes

The pioneer research work reported by Freitag, Hagfeldt, Boschloo and co-workers regarding novel copper (Cu) redox mediators suggested new opportunities for both liquid and solid state DSSCs. (29) As a result of providing numerous possibilities such as achieving high (> 1 V) open circuit voltage ( $U_{OC}$ ) and rapid regeneration of adsorbed dye on semiconducting oxide layers (such as  $TiO_2$ ), (31) as well as contributing for an impressive power generation under low light intensities. (1,75) These novel Cu redox shuttles give great motivation to develop the next class of DSSCs which could be used to generate electricity under both outdoors and indoor light intensity conditions.

The initial attempt in testing Cu redox based electrolytes in the DSSCs utilized Pt as the traditional catalyst material, which yielded 1.4% solar-to-electrical energy conversion efficiency. (102) Interestingly, a composite of Pt/Carbon black was incorporated by Bai *et al.* in DSSCs based on copper electrolytes, which exhibited an impressive (7%) solar to electrical power conversion efficiency (η<sub>PCE</sub>); the authors reported lower electron transfer rates for several noble metals, conducting oxides, and carbon black alone as CE substrates, which consequently resulted low fill factors compared to the Pt/carbon black composite catalyst. (103)

Since these aforementioned reports, the electropolymerized PEDOT catalyst layer has been established as the alternative and standard catalyst material in producing high  $\eta_{PCE}$  DSSCs employing Cu electrolytes. (1,31,75) In this regard, Freitag *et al.* and Saygili *et al.* reported DSSCs with very high (> 8-10%)  $\eta_{PCE}$ , which also exhibited an impressive (> 1 V) open circuit voltage ( $U_{OC}$ ) under full sun light intensities. (31,77) Cao *et al.*, have to-date reported the highest (13%)  $\eta_{PCE}$  for a copper mediator-based liquid electrolyte with a slight modification in the traditional DSSC embodiment, i.e. direct contacting of charge extracting layers, which surprisingly does not exhibit short circuiting when assembled with the type of electropolymerized PEDOT catalyst layers (1) discussed earlier.

On the other hand, a surprising serendipitous discovery was the transformation of copper-based liquid electrolyte into a solid-state hole conductor by evaporation of the solvent acetonitrile during storage, with the DSSC remaining functional in DSSC  $^{WV}$ . This conversion of a traditional DSSC into a solid DSSC opens several interesting opportunities for similar solid state DSSCs embodiments based on solid-state coordination complexes. A DSSC based on a solidified Cu hole conductor exhibited more than 11%  $\eta_{PCE}$  with promising preliminary stability. (35) These preliminary results offer great motivation to develop the technology further by performing intensely further long-term photovoltaic

and chemical stability tests of DSSCs with copper redox systems and various novel CE materials under established standardized stressful conditions. (104,105)

In this respect, for progressing with carbonaceous catalysts-based CEs, Kavan *et al.* investigated the electrochemical behavior of graphene-based CEs in DSSCs with Cu mediator-based liquid electrolytes and demonstrated comparable catalytic activity to that of these based on the electropolymerized PEDOT catalyst and superior to the Pt catalyst (92) Moreover, the same co-workers reported a highly catalytic composite catalyst, which was developed by integrating Pt, platinum oxide (PtOx), graphene oxide, and graphene nanoplatelets, and was tested as CE in DSSCs with Cu electrolytes. (106) The fabricated composite outperformed the stand-alone catalyst materials (i.e. Pt, PEDOT and pure graphene) by showing high catalytic performance which led to very high (9.5% - 11.3%) η<sub>PCE</sub> compared to stand-alone catalyst layers when measured in various light intensities. (106)

Very recently, Hashmi et al demonstrated printed single-walled carbon nanotubes (SWCNTs) as another potential alternative carbonaceous material, which was investigated as CE substrate and was compared with the Pt counter electrode- based DSSCs employing Cu electrolytes. (68) The printed SWCNTs outperformed Pt/TCO with superior catalytic activity i.e. exhibiting very low charge transfer resistance ( $R_{\rm CT} = 2\text{-}2.9~\Omega {\rm cm}^2$ ) when tested with Cu electrolytes in both the complete DSSCs and in symmetrical CE-CE configurations. As a result, the fabricated DSSCs exhibited an impressive 7%  $\pm$  0.4%  $\eta_{\rm PCE}$  with a cell achieving 7.5% and 8.3% conversion efficiencies under full and half sun illumination respectively. (68)

Hence, all these results not only provide preliminary evidence regarding the potential of carbonaceous materials for producing high performance DSSCs with Cu electrolytes but also suggest the need to develop and investigate both stand alone as well as composite carbon-based catalysts, which could also provide high chemical stability along with long term stability that has not yet been established with these novel redox shuttles.

## 4.3 Novel research directions with alternative redox shuttles and carbonaceous materials

By observing the progress being made with both the cobalt and copper redox based electrolyte systems, futuristic research and further developments employing carbonaceous materials as CEs materials can be forecasted.

For instance, the new device designs of DSSCs such as DSSC produced with direct contacting of charge extracting layers or solid-state DSSCs employing Cu redox shuttles as hole conductors offer new opportunities, with printed SWCNT as one of the novel carbonaceous materials which could be used as an alternative catalyst and could contribute for performance improvement by providing high conductivity and high porosity together with high specific surface area to achieve better catalytic performance along with better diffusion for the recently investigated bulky redox shuttles. (107,108)

On the other hand, the carbon black/graphite composite catalysts of the type used with the traditional iodine electrolytes (93,109,110) also provide opportunities to produce more affordable and cost-efficient CEs, which can also be printed via established scalable methods such as screen printing or inkjet printing. Although electropolymerized PEDOT catalyst layers has been standardized and demonstrated with cobalt and copper redox electrolytes, the scalability of such layers has not yet been established by any research group. Contrary to this, fabrication of the printable carbonaceous pastes has not only been demonstrated in several reports but also been available commercially from a number of material providers. Hence, the integration of these cost-efficient carbon black/graphite composites is expected to be integrated in the novel device designs of DSSCs discussed above.

Moreover, similar to the Pt/Graphene composites reported by Kavan *et al.*, (106) the development of more blends of carbonaceous materials and PEDOT may also be forecasted, of the type which has already been reported for both rigid and flexible DSSCs employing iodine electrolytes. (111,112) More interestingly, dry transferable SWCNT films (113), which have been successfully demonstrated in DSSCs and Perovskite solar cells (114,115) can also be opted for as electrocatalysts CEs of these advanced and futuristic DSSCs, with the additional advantage of contributing to the lowering of the CE resistance.

Nevertheless, keeping the current trend in mind with efforts mostly dedicated to improve the solar-to-electrical conversion efficiencies with advanced materials, it may also be forecasted that the futuristic research work for these next generation DSSCs will focus more towards developing more robust devices, for which the long-term device stability corresponding to every combination of materials could be reported, with certified stability tests performed by independent sources. In this regard, several interesting long-term stability studies under standardized stress conditions could be predicted, such as observing the degradation of novel Cu redox mediator-based advanced electrolytes in the DSSCs with both glass photoelectrode (PE) and CE substrates, or, additionally, their corrosive behavior when either

the PE or the CE are metal supported. This way, the best combination of electrolyte and counter electrode materials contributing to robust stability could be established.

# 4. Recently developed processes in preparing carbonaceous counter electrodes

In general, the processing of carbon based nanomaterials is difficult, and previous researches with graphene and CNTs based counter electrodes have used spin and dip coating, (116) inkjet printing, (117) or drop casting (118,119) of graphene containing suspension or composites, these processes are able to prepare conductive graphene thin layer. However, these processes can be hardly controlled to prepare well-ordered graphene thin films, since usually a large amount of such carbon nanomaterials have to be used to achieve the desired catalytic activity. Consequently, the counter electrodes have poor optical transparency or even completely opaque, resulting to the loss of one of the striking features of the DSSCs, i.e. the aesthetic aspect for building integrated photovoltaic cell (BIPV) applications.

Emerging technologies such as the double self-assembly (DSA) process (120–123) enables the manipulation of low-cost graphene flakes and CNTs to form a well-ordered uniform large-area monolayer at the water/air interface, since it attempts to minimize the system energy. These flakes form a strict monolayer floating on the water surface; however, they are seldom connected to each other due to electrostatic repulsion between the flakes, and a lateral electrical conductivity is not expected if this loose monolayer is deposited on the substrate. In order to force the graphene flakes to connect to each other for achieving the necessary electrical conductivity, the conventional Langmuir-Blodgett (LB) process uses two mechanical barriers moving against each other to compress the floating monolayer of graphene flakes (MGFs), and the deposition of the densified MGFs can be done by dip coating of substrate. However, for the conventional LB process this approach works only on flat hydrophilic surfaces.

The DSA process works without using the mechanical barriers. Instead, surfactant molecules are used to compress the graphene flakes to form a dense monolayer, and the densified multilayer graphene flakes (MGFs) can be easily deposited onto substrates placed beneath it either by pumping out of the water or by dip coating. (121,122) Especially, the DSA process does not require the substrate surface to be hydrophilic, and the resulted dense MGFs can be deposited almost on any substrates, including rigid, flexible, and even on 3D surfaces, attributed to the synergetic interaction of the MGFs and the SDS surfactant molecules. Moreover, the DSA process can be used to deposit other nanomaterials

such as CNTs, (123) and it can be repeated to deposit layer stacks or sandwich with well-controlled morphology. (123)

As shown in Fig. 3, SEM image shows such MGFs connected with each other at the edge for the electrical conductivity, and the optical transmission of the MGFs can be as high as ~90 % over a broad wavelength range. The adhesion of the thin film is quite satisfied due to the strong van der Waals force on the flat surface. (122)

Recently the catalytic activity of various graphene or/and MWCNTs layer or bilayer consisting of a MGFs and a monolayer of MWCNTs in a I<sub>3</sub>-/I- redox shuttle has been successfully tested, (123) which shows enhanced catalytic activities and chemical stability compared with Pt based counter electrode (CE) in ZnO-based DSSCs, resulting in open circuit voltage up to 702 mV, current density of 13.7 mA/cm², and conversion efficiency of 4.1%, and the conversion efficiency exceeded that of the reference cells with Pt based CE. (123) Despite the non-ideal system with the ZnO-based DSSCs still under developing, the key information from this work is that the carbon-based nanomaterials are able to replace the precious Pt metal for the CE application, and perform even better than Pt-based CEs. It is therefore of great interest how such high-performance carbon nanomaterials based transparent conductive CEs behave in optimized systems, where already efficiencies higher than 10% have been demonstrated. (28)

Nevertheless, we believe that the demonstrated properties of the carbon nanomaterials-based transparent conductive CEs meet the requirements for the high performance DSSCs in many aspects, and it is expected that such CEs may contribute greatly to the high performance DSSCs in the following cases:

1. Low-cost, earth-abundant and environmental-friendly carbon nanomaterials replacing Pt for a sustainable development of DSSCs, and lower the cost of the DSSCs.

2. Highly transparent conductive electrodes, already applied in bifacial silicon solar cells (124), could be applied for bifacial DSSCs. The concept of bifacial DSSC is explained by Wu et al. (125) These cells have a much great potential for increasing power output per unit area (equivalent to effective efficiency). A 20-50% (with different back side contribution) increase of the equivalent effective efficiency has been demonstrated in silicon based thin film solar cells. (124) That means, for a

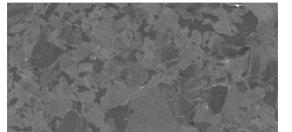
monofacial DSSC with conversion efficiency of 10%, an equivalent effective efficiency will be at around 12-15%.

3. The broadband transmission allow tandem DSSCs, and co-sensitized dyes working at different wavelengths for highly efficient DSSCs, in order to better harvest the energy from the solar spectrum.

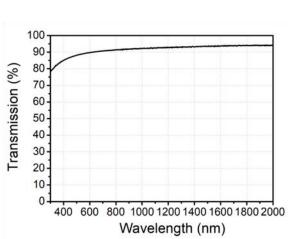
4. Low temperature processing allow the DSSCs be fabricated on low-cost, flexible substrates such as plastics, textiles, this will reduce not only the material cost of the DSSCs, but also the thermal budget for the calcination of the Pt precursor. Furthermore, this feature allows the development of wearable and flexible DSSCs as the alternative avenue of smart e-textile research. (126)

5. Potentially the carbon nanomaterials-based counter electrodes could reach an ultralow sheet resistance by proper engineering such as thermal annealing, doping, surface functionalization, and chemical reduction (127)(128) of the thin film, which is critical for large-area DSSCs.

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b



**Fig. 3:** a. SEM image showing multilayer graphene flakes with them connected to each other at their edges; b. The transmission spectrum of the MGFs (corrected spectrum neglecting the contribution of the glass substrate).

In summary, we have demonstrated the perspective of using carbon-based nanomaterials for the CEs in DSSCs. Especially, we have focused on the reproducible development of the carbon nanomaterial-based high transparency and conductivity CEs prepared by DSA process. It is noted that the performance of the commercial graphene and CNT source materials varies greatly with different production methods; As a result, there will be a lot of work to do to select the proper materials. Furthermore, there is considerable room left for the pre- and post-deposition treatments of the source materials to improve the performance of the final DSSC devices.

### 5. Conclusions

Until around 2010, the most efficient DSSC configurations were based on Ru coordination complex sensitizers with the triiodide/iodide redox system in a non-aqueous electrolyte and a Pt-coated counter electrode. More recently, the situation drastically changer with the introduction of other redox mediators, mainly coordination complexes of cobalt and ruthenium, in conjunction with the replacement of the Ru dye with metal-free organic dyes. This change has been necessitated due to the fact that the deleterious recombination reaction between oxide electrons and oxidized mediator, more pronounced for the oneelectron, kinetically simple, new mediators than for the two-electron, kinetically complex, triiodide/iodide system, is lower. Furthermore, the platinum-based counter electrodes were less performant that alternative counter electrodes, including carbon-based counter electrodes, including recently developed varieties, like graphene and carbon nanotubes. Carbonaceous counter electrodes, based on an inexpensive Earth-abundant element, would be obviously preferable to these based on noble metals for large-scale applications. In this respect, several novel forms of carbonaceous materials introduced to several fields of technology should be also of benefit to dye-sensitized solar cells. Novel materials in DSSCs offer the possibility to adapt them under variable illumination, outdoor or indoor illumination, to a wide range of applications, several of which, at the speculation stage at present, are expected to emerge in the foreseeable future.

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