1 Impacts of permafrost degradation on infrastructure

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Abstract

Degradation of permafrost damages infrastructure and can jeopardize the sustainable development of polar and high-altitude regions. Warming and thawing of ice-rich permafrost is related to several natural hazards, which can pose a serious threat to the integrity of constructions and the economy. In this Review, we explore the extent and costs of observed and predicted infrastructure damages, and methods to mitigate adverse consequences of permafrost degradation. We also present the diversity of permafrost hazards and problems associated with construction and development in permafrost areas. Finally, we highlight seven topics to support sustainable infrastructure in the future. The observed damages are substantial and cumulative problems of infrastructure can be exacerbated owing to the increasing human activity in permafrost areas and climate change. It has been estimated that from one-third to more than half of critical circumpolar infrastructure could be at risk by mid-century. Permafrost degradation-related infrastructure costs could rise to tens of billion US dollars by the second half of the century. To successfully manage with climate change effects in permafrost areas a better understanding is needed about which constructions are likely to be affected by permafrost degradation. Especially, mitigation measures are needed to secure existing infrastructure and future development projects.

Key points 39 40 • Operational infrastructure is critical for sustainable development of Arctic and high-41 42 altitude communities, but the integrity of constructions is jeopardized by degrading 43 permafrost. 44 45 • The extent of observed damages is substantial (up to tens of percentages of infrastructure 46 elements) and is likely to increase with climate warming. 47 48 • From one-third to more than 50% of fundamental circumpolar infrastructure is at risk by 49 mid-century. 50 51 • Engineering solutions to mitigate the effects of degrading permafrost exist but their 52 economic cost is high at regional scales. 53 54 • There is a need to quantify the economic impacts of climate change on infrastructure and 55 occurrence of permafrost-related infrastructure failure across the permafrost areas. 56 57 • Future development projects should conduct local-scale infrastructure risk assessments 58 and apply mitigation measures to avoid detrimental effects on constructions, socio-59 economic activities, and ecosystems in permafrost areas under rapidly changing climatic

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Introduction

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Polar and high-altitude regions have received increased attention in research, media and political discussion owing to the observed unprecedentedly rapid and substantial changes in the environment^{1,2}. While there have been numerous reports on shrinking glaciers and ecosystems changes^{3,4}, less attention has been devoted to permafrost degradation (warming and thawing of permafrost)^{5,6} and its implications⁷⁻¹². As more than one-fifth of the Northern Hemisphere's exposed land surface is classified as permafrost region¹³, the lack of documentation and guidance is clearly a shortage. In addition to the potential adverse effects on climate, ecosystems and earth surface processes, permafrost degradation will damage infrastructure, the backbone of human activities in remote regions^{2,14-16} (FIG. 1). Especially, degradation of ice-rich permafrost increases the risk of various gradual and abrupt natural hazards, which can impair roads, buildings, pipelines, airports and other types of infrastructure^{6,17-19}. At least 120,000 buildings, 40,000 km of roads and 9,500 km of pipelines were estimated to be located in permafrost areas of the Northern Hemisphere²⁰. Negative effects of permafrost degradation on infrastructure are already evident^{6,21}. In the near future, cumulative problems of infrastructure damage can be exacerbated, if recent projections of infrastructure risks are materialised^{20,22,23}. Up to 70% of fundamental circumpolar infrastructure could be at risk by mid-century²⁰. Beyond the permafrost research community, permafrost-infrastructure interaction has received relatively little consideration in focusing on the impacts of climate warming. This

lack of attention is a significant shortage as the Arctic and high-altitude regions are undergoing significant changes in community patterns and economic activities, creating challenges for decision-makers, planners, and engineers^{8,24}. Despite a general desire to meet the climate targets of the Paris Agreement, the extraction of oil and other natural resources can, in addition to other human activities, increase in the permafrost regions⁸⁻¹⁰. Thus, operational infrastructure is critical to the development and economy of permafrost regions and the environment^{2,15,19,20,23,25,26}. In response to the experienced and projected impacts, communities and decision makers are identifying opportunities for adaptation to manage the impacts of permafrost degradation on infrastructure²⁷⁻³⁰.

In this Review, we provide a stand-alone forward-looking assessment focused first on the fundamental problems associated with construction in permafrost areas, second on permafrost degradation-related hazards affecting infrastructure, third on the extent and costs of observed and predicted infrastructure damages, and fourth on methods to mitigate adverse consequences of permafrost degradation. In the end, we highlight seven topics that should be considered to support operational infrastructure in permafrost areas in the future. Owing to the increasing economic and environmental relevance of the permafrost areas^{8,19,23,24,31}, such a review is of a vital importance for sustainable development of Arctic and high-altitude cold regions.

Building on permafrost

Infrastructure construction faces many challenging problems in permafrost areas²⁷⁻²⁹. As a special foundation soil beneath infrastructure in cold regions, the major difference between permafrost and non-permafrost is the presence of ground ice of variable types and thickness³². Variable ice content and thermal state makes permafrost sensitive to environmental factors, engineering activities and changing properties during the process of freezing and thawing³³. Therefore, infrastructure stability must consider and predict the impact of climatic and environment factors and engineering activities on permafrost^{21,27,28,34}.

Permafrost soil exhibits vastly changeable properties of engineering from thawed to frozen state due to the phase change of water to ice, and vice versa³². Soils, especially fine-grained soils, can show heaving (from less than one cm to 40 cm/a) during the freezing process of the wet soils within the active layer³². Under the action of frost heaving, significant forces (up to 300 kPa) can be generated leading to infrastructure deformation and failure^{32,33}. Conversely, frozen soil with high ice content will show significant strength and volume changes during the thawing process resulting in potential infrastructure deformation and damage³³. In general, frozen soil has higher strength increasing with decreasing temperature due to the ice cement role within the frozen soils. But as the soil temperature increases the strength rapidly decreases³⁵. As the soil temperature rises, the un-frozen water in the ice matrix can exhibit compression and increasing creep rate properties. When the soil temperature surpasses the freezing point, the bearing capacity is greatly reduced

due to increases in excess water and volume displacement, and the soil can no longer satisfy the engineering stability, leading to differential settlement and infrastructure failure³⁶.

Spatial distribution and thickness (up to 1500 m in Russia, 500 m in Canada and 700 m in Alaska) of permafrost varies substantially^{11,13,32}. Additionally, permafrost temperatures (from 0 to about -20 °C) are variable due to climate and local factors such as topography, soil properties, vegetation, snow, and hydrology^{6,11,37}. Local features are highly influential in discontinuous and sporadic permafrost areas, increasing the difficulty of site surveys and engineering solutions. Therefore, engineering geological conditions are required to be accurately explored and spatially predicted to guide engineering design and construction in permafrost areas, to include evaluation of thaw settlement and frost heaving potential as well as changing bearing capacity^{34,38}. Generally additional cost is required for adequate survey in engineering geological conditions³⁹.

Infrastructure in permafrost areas with different types of foundations and architecture leads to highly variable thermal impacts, with potentially large differences for the effects on the underlying permafrost ^{33,40}. Deep foundations rely on adfreeze of ground ice and soil with a pile or pier, and rising ground ice temperature decreases the adfreeze bond, therefore design needs to account for permafrost conditions during entire life span of the structure based on heat source of infrastructure types. Consideration of change in adfreeze bond can alter the design principle of engineering and choice of expected engineering measures of preventing freezing-thawing damage. Structures with high loads can require slab-on-grade design, imparting high heat flux to the frozen ground, while lighter load structures can

utilize an elevated design to decouple the structure from the terrain and maintain the frozen condition^{21,34}.

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While thermal disturbance caused by construction or operation of any particular structure can contribute to the degradation of the underlying permafrost, rapidly changing climatic conditions and associated permafrost warming and increase in active layer thickness may exacerbate the problem further leading to reduced strength of frozen soils⁴¹. Thus, climate warming must be properly accounted for during engineering design in permafrost areas⁴². However, choosing the right climatic input to estimate changes in permafrost geotechnical properties is not a trivial task as it requires understanding of biases and uncertainties in climate models^{9,10}. While engineering design can account for the worst case projected climatic scenario (such as Representative Concentration Pathway (RCP) 8.5) such design is not always economically feasible as it requires additional capital expenditures. At the same time, downplaying role of climate change (for example use of RCP 2.6 or not accounting for climate warming) can translate to higher operational expenses during the lifespan of the structure. Meanwhile, protection of the environment also needs to be considered for engineering design and construction because permafrost degradation alters environmental conditions (for example hydrology)³². For some particularly sensitive areas, the additional cost of environmental protection can increase significantly in engineering construction of permafrost areas³⁹. Moreover, climate warming increases the vulnerability of the infrastructure in permafrost areas to resist and/or adjust with the environment changes⁴³. Thus, future infrastructure design and construction practices need to consider the issue of permafrost stability, have the ability to adjust to changing conditions but at the same time evaluate in the context of other factors such as increase in extreme events (such as

flooding) and slope instability⁴⁴. Failure to account for other environmental variables can increase the susceptibility of infrastructure built on permafrost, and increase maintenance and replacement costs^{15,23}.

Permafrost degradation-related hazards

Proactive planning in permafrost area requires knowledge of ground and climate conditions^{32,34}. Moreover, it is important to consider permafrost degradation-related natural hazards (hereafter permafrost hazards) that can jeopardize the integrity of infrastructure under projected warmer and wetter climates^{11,45-48}. Mutually related permafrost hazards include warming of permafrost, thickening of active layer, development of taliks (thawed layers), and thaw-related hazards (thermokarst, mass-wasting processes on slopes, and water-related thermal erosion) (FIG. 2).

Warming of permafrost. It is evident that permafrost warming is a global phenomenon^{49,50}. For example, ground temperatures have increased by 0.29 °C across the permafrost area between 2007 and 2016 (REF¹¹). Increasing ground temperatures are likely to increase the unfrozen water content of the active layer and decrease the ice bonding (cohesion) of soil particles, resulting in a gradual loss of bearing strength³³ (FIG. 2). Moreover, warming of near-surface ice-rich permafrost increases creep rates of common types of foundations and eventual loss of adfreeze bond support for pilings^{41,51}. These changes can greatly reduce permafrost's capacity to carry structural loads imposed by buildings and structures for the

longer term (FIG. 2). In some of the Russian Arctic cities, bearing capacity has decreased by even more than 40% between 1960s and 2000s (REF⁴¹).

Owing to higher rates of warming in the Arctic and high-altitude regions and the thermal properties of frozen ground (for example latent heat associated with phase change) the rise of ground temperatures has been more pronounced in areas characterized by cold permafrost (< -5 °C) when compared to areas with relatively warm permafrost (> -3 °C)¹¹. The rise of temperature in cold permafrost is not as critical as the rise in warm permafrost because ground temperatures close to the melting point are the most detrimental for infrastructure⁵¹. For example, adfreeze bond strength can decrease less than 10% when the temperature rises from -6 to -4 °C, whereas the decrease is ca. 50% when the temperature rises from -3 to -1 °C (REF⁵¹). Because of the projected warming of permafrost^{46,52}, there will be increasing number of engineered structures in hazardous regions with ground temperatures close to 0 °C in the future¹¹.

Thickening of active layer. Along with the higher ground temperatures thicker active layers have been observed across the permafrost areas⁴⁹, although the relationship between permafrost degradation and active layer thickness is not straightforward⁵³. Reported regionally variable but dominantly increasing active layer thicknesses across the circumpolar area during 1990–2015 (REF⁵⁴). The highest observed rates were over 10 cm per year in Central Asian mountains⁵⁴. Thickness of active layer could increase from 0.8 to 6.5 cm per decade by the end of this century, when averaged over all permafrost areas (REF⁵⁵). At the highest, the total increase in active layer thickness could be 120–200 cm on the Qinghai–Tibetan Plateau by 2100 REF⁵⁵. Thickening of active layer could result in increased thaw

settlement during summer and frost heave during winter, and lead to frost-jacking of piles⁵⁶. Moreover, higher active layer thickness could lead to a decrease in frozen-ground adfreeze strength, resulting in an increase in the creep settlement rate of existing piles and footings⁵⁷. The thickened active layer can expose critical foundation elements designed for direct frozen ground bearing or adfreeze, to newly thawed low bearing strength and poorly consolidated soils. However, high variability of active layer response to climate warming limits our understanding of the potential effects of active layer dynamics on infrastructure.

Development of thawed layers. Extreme weather conditions, for example heatwaves and heavy rainfall during the thaw season, are more likely under climate change⁴⁵. An abnormally warm summer can lead to the development of taliks in the top of the permafrost, which decreases the load-bearing strength of the ground and systems supporting infrastructure⁵⁷ (FIG. 2). These residual thaw layers can lead to progressive surface settlement and slope movements. Moreover, current climate models depict an increase in high-latitude precipitation⁴⁵. Therefore, potentially broad and long-lasting impacts of increased precipitation on hydrology and ground thermal conditions should be taken into consideration when estimating infrastructure hazard potential in different regions^{37,48}.

Permafrost thaw hazards. Thaw of frozen ground is critical for engineered constructions because the strength of soil drops substantially as the temperature rises above the melting point and ground ice melts⁵⁸ (FIG. 2). The amount of thaw settlement is mostly related to the soil moisture content (especially ground-ice) and bulk density⁵⁸. If permafrost is ice-rich, the melt of ice can result in thermokarst (and uneven terrain) that threatens the existing

infrastructure but also complicates new construction projects⁵⁹. Based on climate change projections an substantial amount (>30–60%) of near-surface permafrost can be lost by the end of this century^{46,52,60,61}. It is evident that the most pronounced changes in permafrost distribution will occur in warmer permafrost areas. These areas are often the most densely built and populated stressing the high infrastructure risk potential in the near-future⁶².

Periglacial slope processes are common factors affecting constructions with shallow foundations, especially transportation infrastructure⁴⁷ (FIG. 2). Slope processes range from slow mass-movements such as permafrost creep and solifluction to more rapid ones like landslides and earthflows (retrogressive thaw slumps and active-layer detachment slides). Potential hotspots of landslides are sites with abrupt permafrost thaw (that can cover up to 20% of the circumpolar permafrost area)⁶³, particularly close to sea-, lake- and riverbanks where water-induced thermal erosion and abrasion is effective⁶⁴ (FIG. 2). For example, a 60-fold increase in retrogressive thaw slumps was observed in the Canadian Arctic in a 30-year period, mainly owing to particularly warm summer conditions (REF⁶⁵). Thus, the projected increase in summer temperatures and precipitation can increase thermal erosion and masswasting related infrastructure hazards, especially in coastal⁶⁶ and topographically complex regions^{47,65}. Under projected hydrological changes the existing water pathways cannot be adequately designed resulting in overflow and damages to infrastructure^{29,67}.

Observed infrastructure damages

Although several reports have linked widespread damage to infrastructure with climate change, the infrastructure damages on permafrost can easily misattributed to climate

change⁶⁸. For example, the majority of damage to structures in the Russian permafrost areas in the period 1980 to 2000 resulted mainly from poor maintenance rather than climatic change⁶⁹. Consequently, building on permafrost and maintaining operational infrastructure is a highly challenging task even without climate change, but climate warming induced permafrost degradation can exacerbate engineering challenges beyond feasible solutions^{18,70}. While indigenous peoples for centuries had developed intimate knowledge of living and building structures on permafrost, rapidly changing climatic conditions, accelerating rates of costal erosion, permafrost warming and ground subsidence have recently threatened traditional lifestyles, subsistence economies, food security and accessibility among others^{71,72}.

Russia, North America, and Qinghai–Tibet Plateau are central permafrost areas with varying infrastructure features. Russian permafrost regions contain substantial population concentrated mainly in large industrial centres with dense multi-storey buildings whereas other regions on permafrost have less concentrated population residing in one-two storey residential houses. While having smaller housing stock on permafrost, North America and Qinghai–Tibet Plateau are characterized by higher proportion of transportation infrastructure^{27,29}. Trans-Alaska pipeline system, Qinghai–Tibet engineering corridor and Canada's Arctic airports and airstrips are examples of transportation infrastructure that are central for the operation of communities in these permafrost areas^{8,27-29}. Owing to the main infrastructure and socio-economic differences between the regions Russia is characterized by damage of various engineered structures whereas damages of linear infrastructure dominate North America and Qinghai–Tibet Plateau.

Russia and Europe. Almost 65% of land areas of Russia is underlain by permafrost⁷³. More than 60% of settlements and vast majority of population (nearly 90%) in the Arctic permafrost areas are located in Russia⁶². Greenland and Svalbard have a few notable settlements and other infrastructure on permafrost whereas other parts of Europe have relatively little infrastructure in the permafrost area^{62,74}. Russian expansion to the eastern and northern regions have led to permafrost encounters documented as early as 16th century. Centuries of error and trial allowed to gain knowledge and experience of construction on permafrost, which was summarized in the textbooks, construction manuals and regulations as early as the beginning of 20th century^{32,34}. To this date, the Russian permafrost area is unique in unparalleled degree of industrialization and urbanization and host several large cities, such as Vorkuta, Yakutsk, and Norilsk among others⁷⁵. Unlike other parts of permafrost area, that generally characterized by small individual houses and lightweight administrative and industrial facilities that have only few floors, Russia is characterized by large apartment buildings, and heavy-built industrial facilities. It also characterized by focal areas with high population density, and centralized network of heating and utilities all of which makes impacts of human activities on permafrost more concentrated and damages more pronounced. Historically, the ability of foundations to support structures on permafrost was estimated using the climatological data available prior to construction. However, rapidly changing climatic conditions and increasing technogenesis challenged the paradigm^{76,77}.

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The development of geographic information system (GIS) -based approach to geotechnical modelling allowed to bridge the gap between climate change and permafrost geotechnical environment. Using various types of climate input in combination with permafrost

modelling it became possible to provide regional assessments of changes in permafrost bearing capacity under various climatic condition⁴¹. Using gridded-climate input and detailed parameterization of soil conditions in Northwest Siberia REF⁷⁸ found that ability of foundations to support structures have already decreased by 17 % on average with some locations experienced up to 45% decrease relative to 1960-1990 period due to the increasing permafrost temperatures and active layer thickness. Further analysis focused on the Russian cities found that foundation stability has decreased by more than 15–20% in several towns (for example in Salekhard, Noviy Urengoy, and Nadym)⁷⁹.

Climatically-induced permafrost degradation exacerbated by socio-economic transformations leading to dismantling of adequate permafrost monitoring after collapse of the Soviet Union resulted in neglect of the infrastructure in Russian permafrost areas. By the beginning of 21st century majority of buildings on permafrost had deformations, from ca. 10% in Yakutsk and Noril'sk up to 80 % in Vorkuta⁶⁹, with later studies revealing even higher numbers of structures affected by permafrost degradation^{21,76} (Supplementary Table 1; FIG. 3a–c). In Greenland, Svalbard and European mountains, thaw damages are clearly less extensive and common, this owing to the terrain inherently reduced ground ice content, differences in type and size of engineered structures and higher investments to construction and maintenance⁸⁰⁻⁸⁴. For example, of the ca. 1000 infrastructure elements located on permafrost in the French Alps, less than 3% were identified to be damaged owing to permafrost degradation⁸⁴.

North America. More than half of Canadian and 80% of Alaskan's land surfaces are characterized by the presence of permafrost. With sparse population (only ca. 7% of the

Arctic permafrost areas⁶²) and abundant natural resources, the social and economic development of these vast territories depends heavily on a reliable transportation infrastructure⁸⁵, although coastal erosion and relocation of settlements are also issues^{66,71,72}. North America does not have the large industrial centres with densely arranged vertical structures like Russia. Therefore, most of the infrastructure problems are related to horizontal or linear infrastructure. For example, there exist ca. 6800 kms of road and 270 airstrips on permafrost²⁰. Construction of roads, airstrips, railways or other linear infrastructure where snow is removed leads to local ground cooling and formation of artificial dams affecting the surface hydrology, at the same time snow accumulation along the linear types of infrastructure leads to waterlogging and permafrost degradation²⁹. These consequences are naturally common across permafrost areas with various types of linear infrastructure.

Signs of degradation of structures and adjacent land are becoming increasingly evident in Northern Canada and Alaska (FIG. 3). In the North-West Territories, the estimated value of infrastructure at risk due to climate warming is equivalent to 25% of the value of the assets⁸⁶. Surface distortions, depressions and cracks at the edge of embankments, sinkholes, longitudinal cracks, lateral embankment spreading and water ponding along roadside and drainage ditches are the most common problems observed along embankments built on thaw-sensitive permafrost (FIG. 3 d–f; synthesis of several publications in REFs^{87,88}. Several types of degradations are also observed in areas adjacent to linear structures. Amongst others, retrogressive thaws slumps, active layer detachment slides, thermal erosion gullies, and newly developed icing ('aufeis') zones are increasingly threatening the integrity of linear infrastructures. More circumscribed problems such as differential settlement caused by

creeping of ice-rich warm and/or saline permafrost under thick embankments⁸⁹; sudden collapse due to the erosion or melting of ice-wedge⁹⁰ have also been documented recently.

There has been an increase in the frequency and severity of issues related to permafrost degradation and slope stability along the Alaska Highway (Yukon) (FIG. 3 d and e) as well as the Dempster highway (Yukon and Northwest Territories)⁹¹⁻⁹³. Most of these highways are on permafrost and several sensitive areas have been documented and are monitored by both highway administrations. For example, The Alaska Transportation and Public Facilities, estimated that the state spends 11 million US dollars (\$) annually on permafrost related problems with roads. Several issues, including thermal erosion, ice-wedge degradation and thaw slumps related to permafrost degradation have also been documented on the newly constructed Inuvik to Tuktoyaktuk Highway (Northwest Territories)⁹⁴, on roads and airstrips in Nunavik (Quebec)⁹⁵, and on the Iqaluit airstrip (Nunavut) (FIG. 3 f). In the North-West Territories, thawing permafrost is causing approximately \$41 million (ca. 51 million Canadian dollars) worth of damage to public infrastructure every year⁸⁶.

Qinghai–Tibet Plateau. About 40% of Qinghai–Tibet Plateau is characterized by the presence of permafrost⁹⁶. In Qinghai-Tibet Plateau, including Qinghai Province and Tibet Autonomous Region, the total length of roads and railways is more than 200,000 kms and 3,900 kms, respectively⁹⁷. Climate warming along with thermal influences from engineering construction foster permafrost degradation, seriously threatening the stability of infrastructure on Qinghai-Tibet Plateau^{98,99} (FIG. 3 g–i). In the past years, many economically and societally important transportation infrastructure were constructed, the Qinghai-Tibet Highway (QTH), the Qinghai-Kangding Highway, the Gong-Yu Express Highway, the Qinghai-

Tibet Railway (QTR), and the Qinghai-Tibet DC power transmission line. These infrastructures experienced different extent of distresses and even failure due to rapid degradation of underlying permafrost⁴⁰.

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The QTH underwent major repairs between 1991 and 2011 to stabilize the underlying permafrost, for a total cost of nearly \$0.7 billion (4.5 billion Yuan), about six times of the total costs for building and paving the QTH during 1950-1954 and 1979-1985, respectively. The costs for mitigating damages associated with permafrost have significantly increased the QTH operation cost, up to ca. \$64 million (420 million Yuan) between 1986 and 2007, 1.5 times of total maintenance costs from 1955 to 1985. Although QTH has been maintained and reconstructed several times in the last decades, embankment damages due to the underlying permafrost degradation are evident at 30% of total road length in permafrost areas¹⁰⁰ (FIG. 3 h and i). Majority (85%) of the road damages were produced by thaw settlement and less (15%) by frost heave 100,101. For example, QTH was reconstructed from 1991 to 1999 by increasing the thickness of the embankments, which resulted in new damages (longitudinal and road shoulder cracks)¹⁰⁰⁻¹⁰². These damages were mainly caused by thaw consolidation within roadbed soil under embankments. Meanwhile, QTR opened to traffic in 2006, embankment experienced deformations ranging from 25 mm/a to 75 mm/a^{99,103} due to thawing of underlying permafrost. Also transition sections between bridges and embankments experienced substantial (10–160 cm) deformations from 2006 to 2014 owing to degradation of permafrost¹⁰⁴.

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Projected impacts

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Spatial information of permafrost and infrastructure hazards are of importance to enable planners and policy-makers to identify both high- and low-risk areas when planning future infrastructure at settlement and transport route scales. For example, investments and developments in industry and extraction of natural resources requires extensive maps of permafrost hazards. Moreover, sustainable regional planning and infrastructure management require information on the costs of maintaining current and planning new constructions under climate change 105,106. Since early 2000's the number of geographical hazard assessments has increased along with the development of climate projections^{8,27,28}. The existing circumpolar infrastructure could be affected by degrading permafrost under global warming was first presented geographically by REF¹⁷ (see also REF¹⁰⁷). Comparable regional and national-scale infrastructure hazard mappings with developed data and methodologies have been presented for Russia^{41,51,108,109}, Greenland¹¹⁰, Qinghai–Tibet^{44,111,112} and Alaska¹¹³. For example, REF¹¹⁴ estimated substantial reduction in stability of infrastructure on permafrost in Russia by the mid-21st century. The cities of Salekhard, Norilsk, Yakutsk, and Anadyr were estimated to lose on average 20, 30, 26, 20% of bearing capacity respectively by 2050 under RCP 8.5 scenario. Most of the studies have considered hazard areas by mid or late 21st century acknowledging the relatively short lifespan (often 20–50 years) of infrastructure in the permafrost areas^{27,39}.

Many of the seminal geographical hazard assessments were based on the exploration of changes in active layer thickness in combination with ground ice content^{17,107,108,111}. More recently, other environmental factors such as surficial geology, temperature and thaw of permafrost, and slope gradient have been included into the explorations^{44,110,112,113}. In coastal environments, coastline erosion rates can be used in hazard assessments⁶⁶. Recent circumpolar hazard explorations were presented in REFs^{20,23,115}. The circumpolar distribution of high-hazard areas depend on the considered environmental factors. On one hand, if we consider thickening of active layer and thaw settlement, the high-hazard areas occur in the mid and northern parts of the circumpolar permafrost area with abundant ground ice and pronounced climate warming^{20,22,23,115} (FIG. 4a and d). On the other hand, if we emphasize the thaw of near-surface permafrost and loss of structure bearing capacity, the high-hazard areas form a 'hazard belt' close to the southern margin of polar permafrost^{20,22,23} (FIG. 4b, c, and e). Considering permafrost degradation, ground ice conditions, and surficial geology the most critical areas with high hazard potential for infrastructure damage are the Pechora region, the northwestern parts of the Ural Mountains, northwest and central Siberia, northwest Canada as well as the yedoma areas of Alaska where regional and local scale infrastructure risk assessments are important when planning new infrastructure in future decades^{20,22,23,115} (FIG. 4 and 5b). For example, the Yamal-Nenets region in northwestern Siberia is essential because of the extensive oil and natural gas production fields and high level of industrialization²².

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Despite the seminal nature of the permafrost thaw risk assessments presented in REFs^{17,107} they did not include quantitative estimates of the amount infrastructure in high hazard areas or at risk of damage. Recently, REF²⁰ quantified the detailed amount of infrastructure

elements potentially at risk across the circumpolar permafrost area under climate change. The results showed that 69% of the residential, transportation, and industrial infrastructure is located in areas with high potential for near-surface permafrost thaw by the mid-century (FIG. 5a; Supplementary FIG. 1). Considering ground properties (such as ground ice and frost susceptibility of ground material) in addition to permafrost thaw one-third of the pan-Arctic infrastructures and 45% of the hydrocarbon extraction fields in the Russian Arctic were located in areas where permafrost hazards could jeopardize current infrastructure and future developments²⁰ (FIG. 5b; Supplementary FIG. 2). Owing to the uncertainty in circumpolar geospatial datasets and statistically-based modelling methods, and the fact that the effect of engineered structures on ground thermal regime was not considered, local errors in the determination of hazard potential are likely^{20,115}. In a population study, 42% of the 1162 permanent settlements will become permafrost-free due to thawing by 2050 (REF⁶²). Among the settlements remaining on permafrost, ca. 40% are in high hazard areas⁶².

The replacement costs and damages due to projected changes, including permafrost degradation are available for Alaska^{15,39}, Canada¹¹⁶ and Russia¹¹⁷⁻¹¹⁹. Maintaining stable and safe transportation infrastructure in Alaska and Northern Canada is an important engineering challenge. For example, the costs of permafrost damage to Alaska's publicly owned infrastructure are expected to grow by an estimated 10 to 20 percent, or \$3.6 billion to \$6.1 billion, by 2030⁸⁷. REF¹⁵ estimate the cost due to near surface permafrost thaw for the period 2015–2099 at \$2.1 billion for RCP 8.5 and \$1.6 billion for RCP 4.5.

In the Yukon, nearly 50% of the North Alaska Highway is considered to be highly vulnerable to permafrost thaw and is showing important signs of degradation⁹¹. The cost to maintain these sections of road is estimated to be eight times more expensive than equivalent sections on stable ground¹²⁰. In Russia, the total cost of support and maintenance of road infrastructure due to permafrost degradation from 2020 to 2050 was estimated at ca. \$7.0 billion (422 billion RUB) for the existing network (no additional development), and ca. \$14.4 billion (865 billion RUB) for the modernization scenario based on the additional cost of the construction of new roads and engineering facilities according to development goals outlined in the Transport Strategy of Russian Federation, which is about 0.2 to 0.5 billion per year¹¹⁸. Another study estimated the cost of residential housing replacement due to permafrost degradation and decrease of foundation bearing capacity about \$0.5-0.6 billion per year (30–36 billion RUB) between 2020–2050¹²¹.

The only pan-Arctic study to estimate the costs of permafrost degradation is focused on critical infrastructure such as roads, railways, pipelines, ports, airports and buildings²³. The estimated lifecycle replacement costs to maintain infrastructure on permafrost will require \$15.5 billion by 2059 under RCP 8.5 scenario²³. Linear infrastructure (roads, railways, and pipelines) is expected to be the most affected with pipelines being the most vulnerable type of infrastructure. In addition, damages associated with thaw subsidence and decrease of permafrost bearing capacity were estimated to add additional \$21.6 billion²³. However, the presented estimate was largely constrained by the availability of infrastructure data, especially in the case of Russia. The sustainable development of the permafrost regions urgently requires more detailed assessments of infrastructure costs and risks associated with permafrost degradation and their impacts on communities and the economy.

Mitigation methods

Methods to stabilize infrastructure constructed on permafrost have been used in Russia since well before World War II, and since the 1940's in North America³⁵. The type of permafrost terrain, either thaw-stable or thaw-unstable, can be determined by the inherent ground ice content and by the soil type. Soils are considered 'thaw-unstable' when volumetric ice content is in excess of the natural porosity of the unfrozen soil and when hydraulic conductivity of the soil does not allow for effective dissipation of excess pore pressures during the thawing process. This information on ice content and hydraulic conductivity is critical to determine the type of foundation and the type of adaptation to be used. A common classification of adaptation methods used in North America is 'active' and 'passive' protection systems³⁵. Active systems involve the use of an external source of power to refrigerate permafrost, while passive systems use natural phenomena such as convection, evaporation and/or condensation to cool the ground.

Two main approaches are used in Russia for construction design in permafrost-affected environments^{34,41}. According to 'Principle I' permafrost is used as the foundation and is protected from degradation during the construction and during the life of the structure which is accomplished by decoupling structures from permafrost, such as creating of crawl spaces under the buildings, using passive and active cooling methods. Principle I can be used and developed further for considering climate warming in engineering design in areas with warm permafrost¹²². For example, in Qinghai-Tibet Plateau, 36% of permafrost is considered to be especially warm and extra-unstable (ground temperature > -0.5 °C)¹²³. Under these

conditions, engineering must cool permafrost to ensure stability under climate warming.

Alternatively, 'Principle II' can be used, involving thawing the permafrost before or during the construction and protecting the ground from permafrost aggradation during the life of the structure.

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There exist several mitigation methods (see below and FIG. 6 for different examples)^{29,38,124,125} that can be classified into three main categories: methods based on preventing heat intake to permafrost; methods enhancing ground heat extraction; and embankment reinforcement or ground improvement to help the structure resist permafrost degradation²⁹. The methods can also be classified based on heat transfer mode: methods based on adjusting and controlling heat conduction; methods based on adjusting and controlling heat convection; methods based on heat radiation; and comprehensive methods¹²⁴. In areas of warm-discontinuous permafrost with variable ground ice characteristics alternatives routs either with complete avoidance and/or minimalized encounter of high ice content and warm permafrost soils can be developed. Alternatives are being developed providing methods for full-scale ground ice and permafrost temperature characterization across the project areas^{38,125}, via combined geophysical surveys and prescribed geotechnical drilling. In some cases, proper characterization can prescribe full removal of the ice-rich material when depth of excess ground ice and structural fill material availability are favorable to the project budget and timeline.

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Transportation infrastructure. Considering the impact of climate warming, transportation infrastructure built on thaw sensitive permafrost should be protected, either by cooling the underlying permafrost or by considering pre-thawing to adapt and mitigate the impact of

climate warming^{40,124,126-128} (FIG. 6). Several techniques have been experimented and have proven to be effective in cooling permafrost under transportation embankments. Some of these techniques are now used for large scale applications across the circumpolar high-altitude areas (Supplementary FIG. 3).

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Heat removal techniques have been widely tested and used. Different types and shapes of air convection embankments (ACEs) have been used in Alaska (Thompson Drive¹²⁹), Canada (Alaska Highway¹³⁰; Puvirnituk airstrip¹³¹), and China^{29,132}. For QTR observations showed that embankments with crushed rock structure can adapt to a climate warming of 1.0°C^{40,133}. Thermosyphons have been used within transportation infrastructures in Russia¹³⁴, Alaska¹³⁵, Canada¹³⁶, and China^{123,137}. Other techniques such as the heat drain¹³¹ have also been successfully used to enhance ground heat extraction (FIG. 6). In most cases, the heat extraction systems have successfully cooled the ground during winter, improving thus significantly the heat budget at the ground surface. Recent monitoring results show that beneath a U-shaped ACE, the ground temperature of the permafrost from 4 to 10 m in depth was lowered by 0.5 °C¹³⁸. Based on the experimental work at Beaver Creek in Canada, the presence of a 3 m thick ACE layer across the highway embankment has reduced winter temperatures at the contact between the embankment and natural ground by approximately 5 °C²⁹. Under a heat drain at the Tasiujag test section in Canada, the mean annual temperature at the contact of the embankment and the natural ground was reduced by 2.2 °C, and, at the end of the monitoring period, the permafrost table had risen more than 2 m compared with the reference section²⁹. It should be noted that the cost of these systems is high and their application is generally restricted to limited areas of highly sensitive soils.

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Methods based on the reduction of heat intake during summer have been used to protect permafrost underneath transportation infrastructure, of which can adapt the impact of climate warming and stabilize permafrost (FIG. 6). The most common method is embankment insulation used successfully at several locations in permafrost areas, of which can only mitigate permafrost thawing due to climate warming 139-140. The use of sunsheds to protect embankment slopes 126,130,141, and high albedo surfaces to protect paved embankments^{140,142,143} have also proved to be very effective to impede heat intake during summer. Reduction in the annual average surface temperature by approximately 1 °C and to permafrost aggradation of between 0.5 and 1.0 m under pavements with high-albedo surfaces have been reported by different authors²⁹. For sunsheds, temperatures at the surface of embankment slopes were observed to be 4 to 5 °C lower in summer and 3 °C lower in winter when compared with an unprotected slope. In QTP, the difference was from 8 to 15 °C^{29,144}. Special techniques such as the use of gentle slopes to reduce the adverse effect of snow accumulatio on slopes and the replacement of embankments by a 'dry bridge' widely used on the QTR to minimize disturbance and protect permafrost⁴⁰ can also be considered in special conditions.

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Some new mitigation techniques have been applied in the Gongyu express highway construction in China, for example the combination technique of oriented heat-transfer asphalt pavement and crushed-rock structure¹²³. The first three years of monitoring show that these new techniques prevented rapid permafrost thaw. A field experiment showed that embankment with hollow concrete bricks and ventilation ducts can effectively decrease permafrost temperature at deeper than 15 m depth¹⁴⁵. Moreover, numerical model shows

that embankment with crushed-rock interlayer and perforated ventilation ducts can effectively prevent permafrost thawing for an area where the mean annual air temperature is -4.0 °C and air temperature increases by 0.052 °C/year¹⁴⁶. Although these approaches increase the engineering cost, they can well adapt the underlying permafrost degradation driven by long-term warming of climate.

Pipeline stability depends on its construction mode, elevated or buried, in permafrost areas. Thaw settlement and subsequent frost heave often lead to pipeline deformation, even lose pipeline service function^{147,148}. Heat removal techniques, for example, thermosyphons, different air ventilated duct systems, and energy storage systems, are tested and used to adjust and control the thermal regime of permafrost under the pipelines¹⁴⁹.

Buildings and other vertical structures. Proper characterization of ground ice content and extent through rigorous geotechnical studies provides great advantages for the planning and the design of foundations for vertical infrastructure, whether it is a house, industrial facility, or an elevated road. Selection of construction site in areas of low thaw sensitivity permafrost reduces risk of poor structure performance in a context of warming climate. A good knowledge of permafrost characteristics and conditions is essential to reduce the risk of foundation failure due to permafrost degradation^{27,38,150}. Complete understanding of the subsurface conditions allows the selection of the appropriate foundation techniques^{38,140,151}.

During the Soviet time, rapid urbanization and industrialization of Russian permafrost areas revolutionized permafrost research and engineering¹⁵². One of the most significant developments was introduction of piling foundations (buildings are constructed on elevated

piles that are anchored in permafrost) in Norilsk in mid-1950s¹⁰⁹. Piling foundations allowed to minimize the disturbance of permafrost due to construction and allowed to maintain the permafrost temperature under the buildings as buildings provide shade in the summer and have no snow accumulation in the crawl spaces in the winter therefore protecting the permafrost ¹⁵³. Natural ventilation of crawl spaces can be enhanced by various types of passive or active cooling devises, such as thermosyphons. In QTP, pile foundation is usually used by considering permafrost temperature, ground ice and sites conditions¹⁵⁴. Thermosyphons are used to stabilize permafrost surrounding the piles, if required due to impacts of climate warming or human activities leading to permafrost degradation¹⁵⁴.

Summary and future perspectives

Functional infrastructure is critical for sustainable development of Arctic and high-altitude regions, but the integrity of constructions is jeopardized by degrading permafrost^{2,8,17,28}. The extent of observed damages is considerable and is likely to increase under projected climate change. It should be noted that a substantial proportion of permafrost degradation around infrastructure is caused by the modification of the landscape and disturbance of thermal equilibrium caused by the construction and maintenance of the structure, and not as a result of climate change. In future, cumulative problems of infrastructure damage in permafrost areas can be exacerbated owing to the increasing utilization of natural resources, construction, and climate change. It has been estimated that from one-third to more than half of fundamental circumpolar and high-altitude infrastructure could be at risk by 2050 REF²⁰. Permafrost degradation-related infrastructure costs could rise to some tens of billion US dollars by the mid and late century^{15,22,23,118,121}.

To effectively cope with climate change effects and support sustainable development in permafrost areas, it is critical to firstly develop relevant data resources such as permafrost characteristic, temperature and geotechnical monitoring with proper data archival and exchange, secondly improve permafrost and geotechnical modelling across space and time, thirdly comprehensively map permafrost hazards, fourthly evaluate the economic value of constructions and natural resources at risk across the permafrost area, fifthly improve infrastructure risk assessment approaches, sixthly develop new mitigation measures as well as design and construction practices, and seventhly improve communication and distribution of information among scientists and stakeholders (FIG. 7).

First, it is important to produce high-resolution geospatial data on climate (air temperature) and ground conditions (ground ice content). Different datasets are currently collected by a wide variety of scientists, governmental agencies, and other groups, but coordination and harmonization of data products and accessible (open) publication of datasets need improvements. Moreover, spatial resolution of climate, ground ice, surficial geology and vegetation data are commonly too coarse or observations too scattered for high-resolution modelling of permafrost. Forecasting the changes in environmental conditions for infrastructure in permafrost area is particularly difficult due to the lack of long-term monitoring data (for example ground temperatures in human-disturbed environments). Thus, approaches that enable accurate mapping, monitoring, and prediction of fine-scale climate and ground conditions across large spatio-temporal scales urgently require development and subsequent integration into planning and construction methods on permafrost.

Second, the forthcoming construction projects and infrastructure risk assessments would significantly benefit from high-resolution process-based models of ground thermal regime and of ground ice distribution applicable for large areas. Moreover, there is a practical need for bridging the spatial gap between computationally expensive, short timescale geotechnical models and coarse scaled land surface models. Results of REF¹⁵⁵ suggest that current model-based approaches which do not explicitly consider engineered structures in their designs are likely to underestimate the timing of future damage. Thus, further improved models would be essential in assessing potential infrastructure damages under climate change.

Third, engineering solutions to mitigate the effects of degrading permafrost exist but their economic cost is high at regional scales. Consequently, high-resolution maps of permafrost hazards are of importance to identify risk areas and make provision for mitigation techniques, but detailed engineering solutions need to be based on detailed geotechnical investigation. To identify areas of permafrost hazards process-based methods could be applied at local and regional scales and geospatial data-based methodologies (remote sensing and statistical modelling) at circumpolar scale. For example, detailed hazard maps are needed in land use planning and could be used to identify risks related to the storage of toxic substances (fuels, chemicals, and industrial waste products) to avoid environmental pollution comparable to the diesel spill near the Norilsk City in the Taimyr region in May 2020 REF¹⁵⁶. Moreover, hazard maps are required in economic assessments.

Fourth, there is an urgent need for more detailed calculations of the costs associated with permafrost degradation and its impacts on communities and infrastructure. However, lack of comprehensive and readily available data on infrastructure attributes and location as well as costs of construction and repair limit the development of such assessments. Further complications arise from the general lack of long-term socio-economic and demographic projections targeting permafrost regions. Improved permafrost projections, detailed hazard maps and verified infrastructure databases with construction costs, will enable to assess the economic impacts of permafrost degradation on infrastructure at a circumpolar scale and to justify the cost of mitigation measures.

Fifth, future construction projects should be based on infrastructure risk assessment and management approaches to minimize the risk of failure or poor infrastructure performance under climate stress. Risk assessment can be used to determine the suitability of a project, the appropriate design as well as appropriate maintenance practices. All decisions of this nature are dependent upon the risk tolerance of the project stakeholders. The risk can be reduced either by reducing the probability of occurrence of a hazard or by mitigating its consequences. Mitigation methods reduce the probability of occurrence of permafrost related hazards, compared to traditional design methods, by decreasing the likelihood of permafrost degradation and its associated problems. Alternatively, intensive maintenance can be a good management strategy to minimize the consequences of poor infrastructure performance.

Sixth, new mitigation measures as well as design and construction concepts are needed to control the thermal impacts of climate warming and engineering construction, especially for

discontinuous ice-rich warm-permafrost. It is also important to monitor the effects of mitigation measures on ground thermal regime in different environmental and construction settings. At the same time, new designs are considered to accommodate movements as the permafrost destabilizes, especially for critical infrastructures, for example bridges, tunnels, and large buildings. These creative ideas are critical important for high-speed railway and express highway in permafrost areas under the impact of climate warming.

Finally, more collaboration and better communication between scientists, local people and authorities, industry, and governments are needed for promoting sustainable and resource-efficient infrastructure in the future. Especially, scientists need to be more active in distributing data and study results for engineers and decision-makers. A better dialog between scientists and engineers would help to create design criteria that offer the best alternatives for construction choices and maintenance options. Standardizing best practices for planning, designing, and constructing infrastructure for permafrost conditions, now and in the future, will help balance sustainable growth and development for local community and wider stakeholder needs.

In conclusion, to successfully manage climate change impacts in Arctic and high-altitude, a better understanding is needed about which constructions are likely to be affected by permafrost degradation, where they are located, and how to implement adaptive management, considering the changing environmental conditions. Appropriate mitigation measures are needed to secure existing infrastructure and future development projects, and to protect the nature and societies from environmental disasters.

746 Figure legends

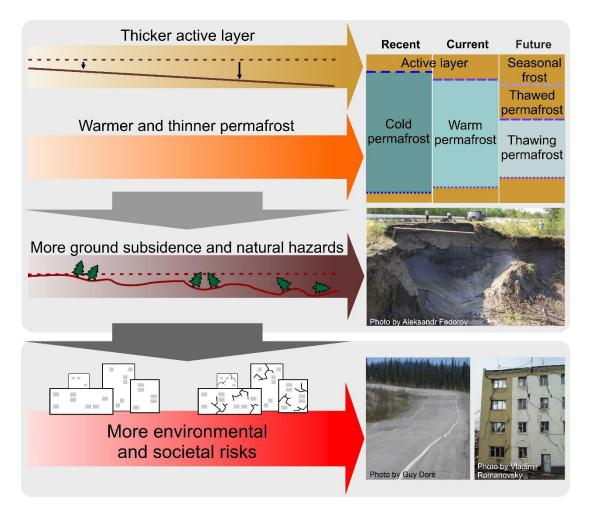


Fig. 1. Degrading permafrost threatens environment and societies by damaging infrastructure. A schematic presentation of degrading permafrost (thickening of active layer and warming and thawing of permafrost) causing natural hazards and environmental and societal risks such as damage of critical infrastructure in polar and high-altitude regions.

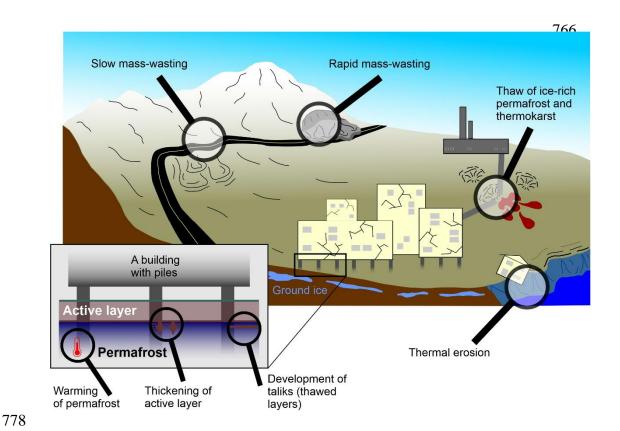


Fig. 2. Permafrost hazards damaging infrastructure. A schematic presentation of permafrost degradation related natural hazards that threatens the integrity of critical infrastructure (roads, pipelines, buildings, and industrial facilities) in permafrost areas (see text for further details on permafrost hazards).



Fig. 3. Infrastructure damages owing to degradation of permafrost. a and b Damaged buildings due to permafrost degradation in Yakutia, Russia (Photos by Ivan Khristoforov). c Below-ground pipeline in crossing an area with ice-rich permafrost and thermokarst development in Yamal-Nenets, Russia. d Longitudinal cracking due to shoulder rotation along the Alaska Highway in Yukon, Canada. e Thermokarst affecting partly the embankment of the Alaska Highway in the Yukon, Canada (Photo by Eva Stephani). f Sinkhole in the Iqaluit runway (Nunavut, Canada). g Embankment deformation of the Gongyu express highway, China (Photo by Chen Ji). h Collapsed bridge of Qinghai-Tibet Highway, China. i Longitudinal cracks of Qinghai-Tibet Highway, China.

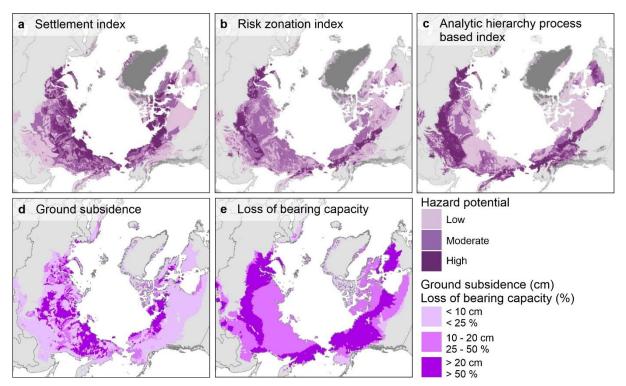


Fig. 4. Geography of permafrost hazards across the circumpolar area. Distribution of high-hazard areas depend on the considered indices and environmental factors. Maps depict a settlement index¹¹⁵, b risk zonation index¹¹⁵, c analytic hierarchy process (AHP) based index¹¹⁵, d ground subsidence²³, and e loss of structure bearing capacity²³. Geohazard indices (a–c) show near-surface permafrost degradation related risks to infrastructure under Representative Concentration Pathway (RCP) 4.5 scenario by the middle of the century (2041–2060)^{20,115}. Settlement index (a) is computed based on the relative increase of active layer thickness and ground ice content, risk zonation index (b) considers type of surface geology (sediment or bedrock), frost susceptibility of ground material, ground ice content and permafrost thaw potential, and AHP based index (c) is based on different factors with varying weights. The factors considered in AHP are ground temperature, ground ice content, relative increase of active layer thickness, fine-grained sediment content and slope gradient (see REF^{20,115} for further details). Modelled ground subsidence (d) and change in bearing capacity (e) are shown between 2005–2010 and 2050–2059, under RCP8.5 scenario (for further details, see REF²³). World Borders dataset is distributed under CC BY-SA 3.0 license (https://creativecommons.org/licenses/by-sa/3.0/) on http://thematicmapping.org/downloads/world_borders.php.

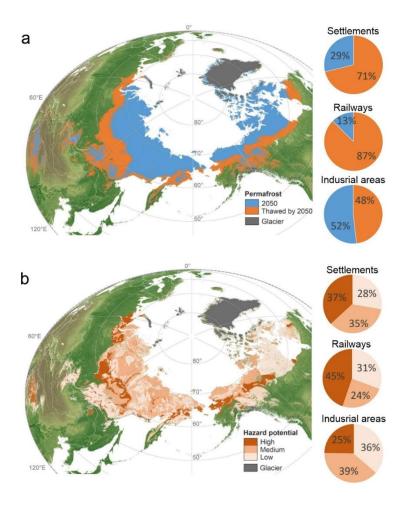


Fig. 5. Circumpolar infrastructure at risk by 2050²⁰. a Proportion of settlements, railways, and industrial infrastructure in areas of near-surface permafrost thaw (orange) and b hazard zones (shades of brown; high-medium-low) based on Representative Concentration Pathway 4.5 scenario by the middle of the century (2041–2060). In b, the hazard potential depicts permafrost degradation related risks of infrastructure damage and the zones were determined based on a consensus of three different geohazard indices (see FIG. 4a–c). Factors considered in the determination of hazard potential were relative increase of active layer thickness, ground ice content, permafrost temperature and thaw, surficial ground materials, and slope gradient (see REF^{20,115} for further details). Owing to the fact that the effect of engineered structures on ground thermal regime and potential abrupt thaw of permafrost were not considered in the infrastructure risk computations in REF²⁰ the presented risk estimates can be conservative.

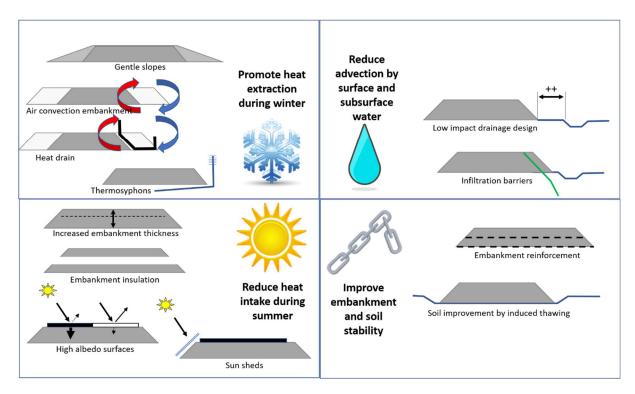


Fig. 6. Schematic illustration summarizing different mitigation methods for transportation infrastructure. Heat extraction in the winter can be promoted by preventing snow accumulation and its insulative effect (gentle slopes) or by enhancing heat transfer by using mechanisms such as convection (air convection embankment, heat drain or air ducts) or phase change (thermosyphons). Heat intake in soils during summer can be reduced by reducing heat transfer by solar radiation (modified surface albedo or sun sheds), or by impeding heat flux to permafrost using thick gravel layers or insulation boards. Advection from surface or subsurface water flow van be reduced by intercepting water at some distance from the embankment or by using impervious membranes. Finally, mechanical performance of embankments can be improved by using reinforcement layers or by using induced thawing to improve soil conditions. (Modified from REF¹⁵⁷)

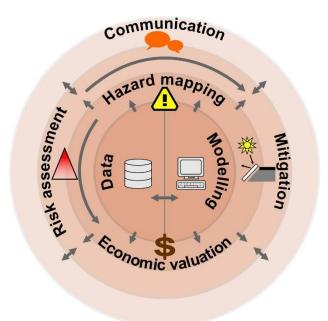


Fig. 7. Potential topics to support sustainable infrastructure in permafrost areas in the future. A schematic presentation with simplified connections between issues that should be considered to secure existing and future infrastructure under climate change (see text for further details). For example, spatially and temporally high-resolution data of permafrost characteristics, including temperature and ground ice content are needed for mapping, planning, and construction purposes. Developed permafrost models could be used to assess infrastructure hazards and economic consequences of climate warming. Moreover, geotechnical models could be used in infrastructure risk assessments and when developing new mitigation methods for construction.

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Glossary 1576 1577 Permafrost: Ground with a temperature remaining at or below 0 °C for at least two 1578 consecutive years. 1579 Warming of permafrost: An increase of permafrost temperature (ground temperature 1580 1581 remains at or below 0 °C also after the warming). 1582 1583 Thaw of permafrost: Increase of permafrost temperature accompanied by melting of ground 1584 ice. 1585 1586 Infrastructure: Facilities with permanent foundations on ice-free land. 1587 1588 Natural hazard: A natural phenomenon that can have a negative effect on humans or the 1589 environment. 1590 1591 Ground ice: A general term referring to all types of ice contained in freezing and frozen 1592 ground. 1593 1594 Active layer: The layer of ground that is subject to annual thawing and freezing in areas 1595 underlain by permafrost. 1596 1597 Bearing capacity: The maximum load a soil or rock, frozen or unfrozen, can support from an 1598 applied load, within a defined measure of accepted strain (movement due to loading).

1599	
1600	Bearing strength: The ability of a soil, sediment, or rock to support the direct application of a
1601	load or stress, either concentrated or diffused, and is measured in force.
1602	
1603	Near-surface permafrost: Permafrost in the topmost ground layers (<10–15 m depth).
1604	
1605	Mass-wasting: Downslope movement of soil or rock on, or near, the earth's surface under
1606	the influence of gravity.
1607	
1608	Adfreeze: The process by which two objects are bonded together by ice formed between
1609	them.
1610	
1611	Frost-jacking: Cumulative upward displacement of objects embedded in the ground, caused
1612	by frost action.
1613	
1614	Permafrost creep: The slow deformation that results from long-term application of a stress
1615	too small to produce failure in the permanently frozen material.
1616	
1617	Solifluction: Slow downslope flow of saturated unfrozen earth materials.
1618	
1619	Retrogressive thaw slump: A slope failure resulting from thawing of ice-rich permafrost.
1620	
1621	Active-layer detachment slide: A slope failure in which the thawed or thawing portion of the
1622	active layer detaches from the underlying frozen material.

1623	
1624	Thermal erosion: The erosion of ice-bearing permafrost by the combined thermal and
1625	mechanical action of moving water.
1626	
1627	Thermokarst: The process by which characteristic landforms result from the thawing of ice-
1628	rich permafrost or the melting of massive ice.
1629	
1630	Bulk density: The weight of soil in a given volume.
1631	
1632	Critical infrastructure: A general term for engineered structures (residential, transportation,
1633	and industrial) important for Arctic and high-altitude communities and the economy.
1634	
1635	Sinkhole in permafrost: A small depression in the ground caused by collapse of the surface
1636	layer due to thaw of ice-rich permafrost.
1637	
1638	Ice-wedge: A massive, generally wedge-shaped body with its apex pointing downward,
1639	composed of foliated or vertically banded ice.
1640	
1641	Talik: A layer or body of unfrozen ground occurring in a permafrost area due to a local
1642	anomaly in thermal, hydrological, hydrogeological, or hydrochemical conditions.
1643	
1644	Yedoma: An organic-rich permafrost with high ground ice content.
1645	

1646	Excess ice: The volume of ice in the ground which exceeds the total pore volume that the
1647	ground would have under natural unfrozen conditions
1648	
1649	Permafrost table: The upper boundary surface of permafrost.