1	The Association of Metformin, Other Antidiabetic Medications, and Statins with the		
2	Incidence of Colon Cancer in Type 2 Diabetes Patients		
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# 31 **Conflict of interest**

- 32 Mikko Marttila is employed by Orion Corporation. Orion Corporation had no role in the study
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- 38

# 39 Abbreviations

- 40 ADM = Antidiabetic medication
- 41 CC = colon cancer
- 42 CRC = colorectal cancer

43	CI =	confidence	interval

- 44 DDD = defined daily dose
- 45 FinDM = Diabetes in Finland database
- 46 HMG-CoA = 3-hydroxy-3-methylglutaryl coenzyme A
- 47 HR = hazard ratio
- 48 ICD-10 = International Statistical Classification of Diseases and Related Health Problems, 10th
  49 revision
- 50 T2D = type 2 diabetes

Micro-abstract: Metformin and statins may have anticancer effects, with plausible cellular
 mechanisms. Our register study of 306,317 individuals found no evidence for a protective effect of
 antidiabetic medications, including metformin or statins, against colon cancer.

55 Abstract

56 Background: Metformin and statins may have anticancer effects, with plausible cellular

mechanisms. However, the association of these agents with the risk of colorectal cancer (CRC) isunclear.

59 Materials and methods: This was a retrospective cohort study on a large population (N = 316,317)

60 of persons with type 2 diabetes (T2D). The data were obtained from the Diabetes in Finland

61 database and the Finnish Cancer Registry. In a full cohort analysis, hazard ratios (HRs), with their

- 62 95% confidence intervals (CIs) for ever use versus never use were estimated using a multiple
- 63 Poisson regression model. A nested case-control design within the cohort was employed to examine
- 64 the association of colon cancer (CC) with the defined daily dose (DDD) of medication, and the data

from this were analyzed by conditional logistic regression. The analyses were adjusted for thepatient's age, sex, and duration of diabetes.

67 **Results:** In total, 1,351 cases of CC were diagnosed during 1996–2011. Insufficient evidence was

68 found for an association of ever use of metformin (HR: 1.01, 95% CI: 0.90-1.14), other oral

69 antidiabetic medications (ADMs) (HR: 1.05, 95% CI: 0.93-1.19), insulin (HR: 1.02, 95% CI: 0.86-

1.22), and statins (HR: 0.94, 95% CI: 0.84-1.05) with the incidence of CC in the full cohort

analysis. The results from the case–control analysis were similar, with no consistent trend in the

72 incidence of CC by the cumulative dose.

73 **Conclusions:** This study found insufficient evidence for an association between metformin, insulin,

74 other oral T2D medications, or statins and the incidence of CC.

75 Keywords: Cohort, Colorectal cancer, Epidemiology, Insulin, Nested case-control

76

## 77 Introduction

78 Type 2 diabetes (T2D) is associated with an elevated incidence of colorectal cancer (CRC), and the prognosis of T2D patients with CRC is worse than those without T2D<sup>1,2</sup>. Metformin is a widely 79 80 used biguanide class drug for the treatment of T2D, with reported anticancer effects in preclinical studies<sup>3</sup>. In two recent meta-analyses, the use of metformin among T2D patients was associated 81 with a decreased incidence of CRC<sup>4,5</sup>. However, some observational studies on metformin and 82 cancer have suffered from time-related biases<sup>6</sup>. Two studies designed to avoid bias did not find any 83 association between ever use of metformin and the risk of CRC among individuals with T2D<sup>7,8</sup>. 84 although long-term ( $\geq 5$  y) use appeared to be associated with a reduced risk in a second study<sup>8</sup>. In 85 86 meta-analyses, T2D insulin users were observed to have a greater risk of CRC than did non-insulin users<sup>9,10</sup>. 87

00	Statins, 5 hydroxy-5 methylgiutaryi-coenzyme A (HMG-COA) reductase minotions, are a class of
89	lipid-lowering medications. Statins are widely used for T2D in Finland, with 46% of those
90	diagnosed with T2D prescribed statins and up to 79% of those diagnosed with T2D and coronary
91	heart disease using statins <sup>11</sup> . Previous research demonstrated antitumor effects of lipophilic statins
92	in vitro <sup>12</sup> . A modest reduction in the risk of CRC was linked to statin usage in a meta-analysis of 40
93	studies <sup>13</sup> .
94	There are fundamental differences in the pathogenesis of colon cancer (CC) and rectal cancer <sup>14</sup> . In
95	this register-based, cohort, nested case-control study, we investigated the association of the use of

- 96 metformin, other antidiabetic medications (ADMs), and statins with the incidence of CC in
- 97 individuals with T2D. This study adhered to STROBE guidelines for observational studies<sup>15</sup>.

00

### 99 Materials and Methods

100

## 101 Study population

102 Data on individuals diagnosed with diabetes were obtained from the Diabetes in Finland database 103 (FinDM), which was created for the purpose of epidemiological monitoring of diabetes in Finland<sup>16</sup>. 104 FinDM is composed of register data from multiple databases: The Care Register for Health and the 105 Hospital Discharge Register from the National Institute for Health and Welfare, the Special Refund 106 Entitlement Register and the Prescription Register from the Social Insurance Institution of Finland, 107 and the Cause of Death Register from Statistics Finland. The Special Refund Entitlement Register 108 and Prescription Register contain information on all drug purchases prescribed by a physician and 109 reimbursed by the Social Insurance Institution of Finland, beginning from 1994, which allows an 110 accurate assessment of statin and ADM usage. Diabetes patients were identified from hospital 111 records, starting from 1969 for inpatients and 1998 for outpatients, or from ADM reimbursements.

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112 Diabetes was categorized as type 1 or type 2 diabetics, mainly according to first-line treatment. 113 FinDM does not contain information about former treatment of diet-controlled diabetes. Thus, in some cases, the duration of diabetes may be longer than indicated in the register. FinDM has good 114 national coverage in Southern Finland when compared to local registers<sup>17</sup>. The data from FinDM 115 were linked with data from the Finnish Cancer Registry, which contains information on almost all 116 cancer cases diagnosed in Finland since 1953<sup>18</sup>. The information includes the date of diagnosis, 117 118 histology, morphology, and spread (local, advanced, or unknown). Completeness of the records has 119 been estimated to be 96% for solid tumors<sup>18</sup>. Dates and causes of death for individuals were 120 obtained from Statistics Finland. Linking was based on personal identification codes, which are 121 unique to each resident of Finland.

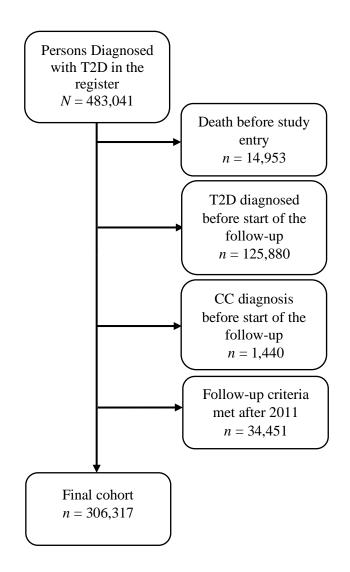
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#### 123 Study cohort

124 The cohort selection process is presented in a flow chart (Fig. 1). Between 1 January 1996 and 31 125 December 2011, 483,041 individuals were diagnosed with T2D. The drug purchase history until the end of the study period was available for all those in the cohort. The follow-up started on the 40th 126 birthday, or 1 year after a diagnosis of T2D, whichever occurred later. We excluded the first year 127 128 after a T2D diagnosis from the follow-up to minimize the risk of reverse causality and detection 129 biases. Patients diagnosed with CC (code C18 of the International Classification of Diseases 10th Revision (ICD-10) prior to the beginning of the follow-up were also excluded. The final cohort 130 131 contained 306,317 individuals diagnosed with T2D.

132

133 **Figure 1.** Flow chart of the cohort selection process. T2D = type 2 diabetes, CC = colon cancer





135 We defined CC as a diagnosis with ICD-10 code C18 and ICD-O-3 morphology code M-8140/3.

136 The code includes the following CCs: cancers of the cecum, appendix, ascending colon, right colic

137 flexure, transverse colon, left colic flexure, sigmoid, and unspecified.

138 We evaluated usage of ADM medication in three different categories: metformin, other oral ADMs,

and insulin. The use of statins was assessed as a separate variable. The exposure was defined as

140 beginning 365 days after the first purchase. This allowed a reasonable latency period for the

141 exposure and minimized reverse causality problems. The follow-up time was defined as ever or

never exposed after medication usage criteria were fulfilled. The follow-up ended on the date of CC
diagnosis, death, emigration, or 31 December 2011, whichever occurred earliest.

- 144 A nested case–control study<sup>19</sup> embedded in the cohort was also performed. Up to 20 controls were
- 145 randomly selected for each case subject with CC, individually matched on sex, age, and duration of
- 146 diabetes (182 days) from those cohort members at risk on the date of the CC diagnosis of the case.
- 147 In addition, we evaluated the cumulative effect of medication use, measured by the defined daily
- 148 dose (DDD), on CC risk. The effects of cumulative usage were assessed in a nested case–control
- 149 analysis using the total DDDs purchased during the follow-up
- 150

#### 151 Statistical analysis

The statistical analyses were performed in R environment, version 3.5.2<sup>20</sup>. A person-period file was created using the Lexis tools<sup>21</sup> in the Epi package<sup>22</sup>, which made it possible to split the individual follow-up time of each person simultaneously into appropriate periods of age, duration of T2D, and time-dependent medication use status.

In a full cohort analysis, hazard ratios (HRs), with their 95% confidence intervals (CI) for ever versus never use of each medication were estimated using a multiple Poisson regression model. A piecewise constant hazard pattern was assumed for the effects of current age and the duration of T2D. Age was split into 5-y intervals starting from age group 40–44 y. The duration of T2D was split into four categories: 1 - < 3 y, 3 - < 5 y, 5 - < 8 y, and 8 - < 16 y. The Poisson regression model for the analysis of the full cohort data was fitted using the glm function of R.

In the nested case–control analysis of ever use of any ADMs and statins, HRs, with their 95% CIs were estimated using conditional logistic regression, equivalent to stratified proportional hazards model<sup>19</sup>. For the DDD data, cumulative doses were categorized according to tertiles. In the analysis

of the nested case–control data, the conditional logistic regression model was fitted using the clogit
 function of the survival package<sup>23</sup> of R.

167

## 168 **Results**

- 169 The final cohort included 306,317 individuals, covering 1,632,577 person-years and 1,349 incident
- 170 cases of CC (Table 1). The overall incidence of CC in the cohort was 8.3 per 10,000 person-years,
- 171 with the highest incidence found in the age group 80–89 y. Women accounted for 48.2% of the
- 172 cohort population. The incidence of CC among women was lower than that among men, with an
- estimated HR of 0.75 (95% CI: 0.67-0.84). In the study population, 80.2% had ever used
- 174 metformin, 52.5% had ever used other oral ADMs, and 16.4% had ever used insulin. In addition,
- 175 62.5% of the cohort members had used statins. Other oral ADMs included sulphonylureas (70.8%
- 176 of other oral ADM users), dipeptidyl peptidase-4 inhibitors, glitazones, glinides, guar gum, and
- 177 fixed combinations (Supplementary Table 1).
- In the study cohort, the statins most commonly used were simvastatin (74.7% of statin users) and
  atorvastatin (27.0% of statin users) (Supplementary Table 1), both being classified as lipophilic
  statins.

- 183 **Table 1.** Distribution of person-years in the cohort, incidence rates of colon cancer (CC) (per
- 184 10,000), and number (%) of cases and controls matched for age, duration of diabetes, and
- 185 medication use.

Variable	Value	N	Person-years	Incidence	Cases (%)	Controls (%)
			in cohort	per 10,000		
Total		306,317	1,632,577	8.3	1,349 (100.0)	24,493 (100.0)
Sex	Female	146,078	797,121	8.2	655 (48.6)	11,955 (48.8)
	Male	160,239	835,456	8.3	694 (51.5)	12,538 (51.2)
Age (y)	40-49	38,864	124,470	0.6	7 (0.5)	149 (0.59)
	50-59	99,696	356,633	2.9	102 (7.6)	2,038 (8.1)
	60-69	137,001	493,059	6.5	322 (28.9)	6,185 (24.5)
	70-79	115,171	419,157	12.5	523 (38.8)	9,645 (38.3)
	80-89	64,767	213,556	17.1	365 (27.1)	6,681 (26.5)
	90-107	11,093	25,699	11.7	30 (2.2)	510 (2.0)
Duration of diabetes (y)	1-<3	302,740	531,858	7.5	397 (29.4)	7,603 (29.3)
	3-<5	232,094	388,029	7.4	288 (21.4)	5,757 (22.2)
	5-<8	163,434	385,285	9.1	351 (26.0)	6,636 (25.6)
	8-<16	96,520	327,404	9.6	313 (23.2)	5,937 (22.9)
Metformin use	Ever	246,439	1,114,435	8.0	888 (65.8)	16,297 (65.7)
	Never	129,446	518,142	8.9	461 (34.2)	8,475 (34.2)
Other oral ADM use	Ever	147,676	845,588	9.0	761 (56.4)	13,713 (55.6)
	Never	239,976	786,989	7.5	588 (43.6)	10,961 (65.8)
Insulin use	Ever	50,566	216,062	8.0	173 (12.8)	3,100 (12.6)
	Never	303,508	1,416,515	8.3	1,176 (87.2)	21,607 (87.5)
Statin use	Ever	196,000	843,452	8.2	690 (51.2)	13,125 (52.9)
	Never	196,580	789,125	8.4	659 (48.9)	11,666 (47.1)

The associations of the incidence of CC with the medications studied are reported in Table 2. The estimated HRs, adjusted for age, sex, and duration of diabetes, with their 95% CIs were as follows: ever use of metformin (HR: 1.01, 95% CI: 0.90-1.14); insulin (HR: 1.02, 95% CI: 0.86-1.21); other oral ADMs (HR: 1.05, 95% CI 0.93-1.19); and statins (HR: 0.94, CI 95% 0.84-1.05), each

191 compared to never use. . Similar results were obtained in the nested case-control analysis. No

evidence for an association between increasing cumulative doses and a reduced risk of CC wasfound (Fig. 2).

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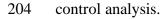
195 Table 2. Adjusted estimated hazard ratios (HR), with their 95% confidence intervals (CIs) for ever 196 use of metformin, insulin, other oral ADMs, or statins and the incidence of CC compared to never 197 use. The full cohort data are based on Poisson regression and the nested case-control data are based 198 on conditional regression, both adjusted for patient age, sex and duration of diabetes.

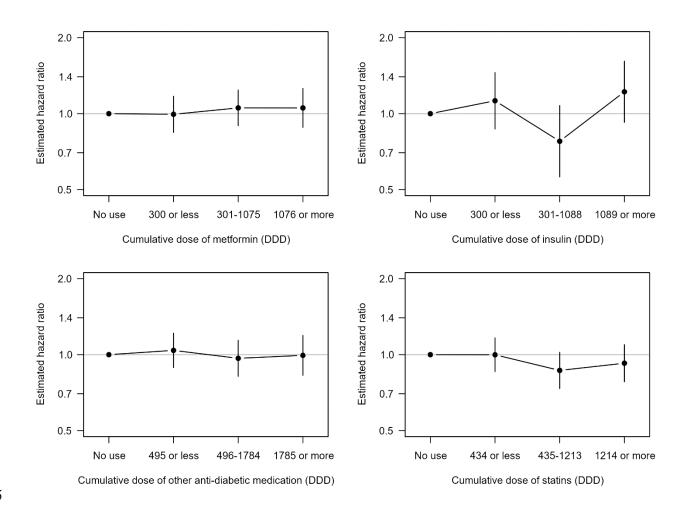
Ever use	Full cohort	Case control	
	HR (95% CI) <sup>a</sup>	HR (95% CI) <sup>a</sup>	
Metformin	1.01 (0.90-1.14)	1.03 (0.90-1.16)	
Other oral T2D medications	1.02 (0.93-1.19)	1.01 (0.89-1.14)	
Insulin	1.00 (0.86-1.21)	1.04 (0.87-1.24)	
Statins Motformin worses other oral	0.94 (0.84-1.05)	0.93 (0.83-1.05)	
Metformin versus other oral ADMs	0.98 (0.84-1.15)	1.02 (0.86-1.21)	

<sup>a</sup>Adjusted for patient sex, age and duration of diabetes

199

Figure 2. Estimated hazard ratios (HRs), with their 95% confidence intervals (CIs) for colon cancer
(CC) by cumulative defined daily dose (DDD) in the different medication groups in the case–







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### 207 Discussion

In this large retrospective cohort study, also including a nested case–control analysis, we found no evidence for an association of the risk of colon cancer (CC) with the use of metformin, other oral ADMs, insulin, or statins in T2D patients.

There is strong evidence for an increased risk of colorectal cancers (CRC) associated with T2D<sup>24</sup>. 211 CRC and T2D also share common risk factors, including obesity<sup>25,26</sup> and high meat intake<sup>27</sup>. A 212 213 biochemical link exists, too, between the two diseases: T2D creates CRC promoting microenvironment through hyperinsulinemia and hyperglycemia<sup>28</sup>. Insulin acts as a growth factor, 214 and higher levels of fasting insulin and C peptide have been associated with increased CRC risk in 215 meta-analysis of 35 studies with 25,566 patients<sup>29</sup>. The same study found an association between a 216 217 biomarker of hyperglycemia, Hba1C, and an increased risk for developing colorectal cancer. Hyperglycemia leads to formation of advanced glycation end products, which have been associated 218 219 with increased proliferation and migration of CRC cells in vitro, in addition to hyperglycemia itself<sup>28</sup>. Hyperglycemia and AGES also lead to increased oxidative stress and inflammation, further 220 221 promoting malignant progression. Other possible links between CRC and T2D include hyperlipidemia, increased inflammation, extracellular matrix alterations and altered microbiota. 222 Multiple anticancer effects of metformin have been reported in preclinical trials in many most solid 223 cancer types, including extensive evidence from basic research on colorectal cancer <sup>30-32</sup>. The most 224 225 commonly reported cancer-killing in vitro effects of metformin include the inhibition of 226 mitochondrial complex 1, activation of AMPK, reduction of glucose levels by glucagon signaling suppression, and induction of cell cycle arrest and apoptosis<sup>3</sup>. Some of these mechanisms, however, 227 might have been due to suprapharmacological doses used in vitro<sup>33,34</sup>. 228

Previous meta-analyses have reported metformin use to be associated with a reduced incidence of both CRC and also colorectal adenomas in T2D patients<sup>4,5,35</sup>. On the other hand, an analysis of 46 observational studies on metformin and various cancers in T2D patients reported that only three of these studies had a low or no risk of bias<sup>36</sup>. Two of these three potentially unbiased studies analyzed the association between metformin and the risk of CRC and found no evidence for a reduced risk<sup>37,38</sup>, which was in line with the results of the present study. Additionally, some observational studies have been criticized for overestimating the possible beneficial effect of metformin through biases, including immortal time bias, time-window bias, and failure to adjust for baseline severity of
 the disease<sup>6,36,39</sup>.

238 We compared ever-use of medication against never-use. This introduces a risk for potential bias due to possible differences in patient characteristics in these two categories<sup>40</sup>. Treatment might be 239 240 withheld from persons with poor health, due to no perceived benefit in their state, introducing 241 confounding by frailty, thus exaggerating the beneficial effect. Never-users of a medication can 242 have a less severe diabetes and/or less risk factors for a severe disease. Since T2D and CC have 243 common risk factors, including obesity, some never-users of medication might have a lower CC 244 risk, thus resulting in a smaller apparent preventive effect of medication use. Ever-use versus never-245 use also introduces a potential outcome detection bias: persons who use medications are more likely to engage with the medical system, thus leading to increased cancer detection and seemingly 246 247 increased number of cancer cases. The Finnish Cancer Registry contains no data on whether the 248 cancer has been screen detected or not, and no organized screening program has been offered in 249 Finland during our study time period. Colonoscopy screening for asymptomatic patients is not 250 common in the Finnish healthcare system. Choosing an active comparator group, for example 251 persons using a different oral antidiabetic medication than metformin would lead to a more comparable study groups and thus more reliable results with less risk of bias<sup>40,41</sup>. Most of our 252 253 reference studies concerning metformin use and CRC have employed an user versus non-user design<sup>2,4,5,8,35</sup>. 254

Insulin acts as a growth stimulating agent through the insulin-like growth factor system, and previous research has suggested that hyperinsulinemia increases the incidence of various cancer types in T2D patients<sup>42</sup>. Long-acting synthetic insulin analogs might have cancer-promoting effects due to prolonged receptor stimulation, elevated insulin levels, and different receptor interactions as compared to endogenic insulin and short-acting analogs. A number of studies have also reported that insulin use is associated with an elevated risk of CRC in individuals with T2D<sup>9,10</sup>. However, we found no evidence for an association between insulin use and the incidence of CC in our studypopulation.

263 Statins have been hypothesized to exert various effects leading to the inhibition of cancer, including CRC, suppression in preclinical studies<sup>43</sup>. Statins act by inhibiting HMG-CoA reductase, which 264 leads to lower levels of mevalonate, a cholesterol precursor<sup>44</sup>. Tumor cells, especially those found 265 266 in malignant tumors, have a greater demand for products synthetized from mevalonate. Statins also 267 induce cell-cycle arrest by affecting regulatory proteins involved in the cell cycle, and they cause 268 apoptosis in cancer cells. Previous research demonstrated that lipophilic statins, which were almost 269 solely used in our study population, had a greater anticancer effect than did hydrophilic statins. In a 270 meta-analysis of 42 studies, statin use was reported to be associated with a modest reduction in the incidence of CRC<sup>44</sup>. However, a subgroup analysis of 11 studies that analyzed CC separately found 271 272 no evidence for an association of statin use with a reduced risk of CC. The results of this subgroup 273 analysis were similar to ours.

The strengths of our study were the large cohort of individuals with T2D and the use of a database with good national coverage. In addition, our study design minimized the risk of detection and reverse causality biases, as we could adjust for the diabetes duration, amount of drug usage, age, and sex. However, some risk factors, such as dietary intake of fiber, red and processed meat, obesity, alcohol intake, and inflammatory bowel disease, could not be taken into account. As aspirin is available over the counter in Finland, data on aspirin usage were not reported in registers.

280

### 281 Conclusions

Preclinical and epidemiological studies have suggested that metformin and statins might have
anticancer effects. In our study, we found no evidence for an association between the incidence of
CC and the studied medications, with narrow CIs, which was in line with the findings of previous

observational studies designed to avoid common biases. In conclusion, we found no evidence for a
protective effect of metformin, insulin, other oral ADMs, or statins against CC.

287

### 288 Clinical practice points

The association between the risk of CRC and metformin and statins were unclear in previous studies. We found no evidence for an association between metformin, statins, insulin, or other oral T2D medications with the risk of CC. Our study does not support the use of these medications for the prevention of CC. Furthermore, their usage does not seem to increase the risk of CC.

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