High-pressure autohydrolysis process of wheat straw for cellulose recovery

2 and subsequent use in PBAT composites preparation

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12 **Abstract**

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- 13 The effect of autohydrolysis (AH) temperature (165 °C, 195 °C, 225 °C) on the structure,
- purity, and recovery yield of the cellulose residue isolated after additional alkaline and
- 15 bleaching steps from wheat straw, was investigated. The processes were quantified for
- mass yields in the different steps and for antioxidants and sugars release during AH.
- 17 AH at 195 °C allowed for the highest cellulose residue yield (83.5%) with purity (~70%)
- and structure similar to the other residues. FTIR and X-ray analyses showed straw
- 19 cellulose (SC) with a type II polymorphism and crystallinity index increasing with AH
- 20 temperature. SC obtained at the end of the entire fractionation process (SC-195°C)
- 21 starting from AH residue-195°C was tested as a reinforcing agent in different percentage
- 22 (0, 2 and 5 % by weight) in poly(butylene adipate-co-terephthalate) (PBAT) films. The
- 23 Young's modulus of the films increased by ~17% with 5 wt.% cellulose, while tensile
- strength and elongation break decreased.

Keywords

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Autohydrolysis, Wheat straw, Cellulose, Crystallinity, Reinforcing agent, PBAT films.

1. Introduction

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29 Being produced in high amount around the world every year, wheat straw is one of the 30 most abundant crop residues and, due to its fibrous composition, it provides a plentiful 31 renewable cellulose resource that can be used for the preparation of nanocomposites 32 (Kalia et al., 2011). 33 Usually, a pre-treatment step of lignocellulosic biomass (such as wheat straw) is required 34 to achieve separation of cellulose from the tight bond with hemicellulose and lignin. 35 There are different types of pre-treatments to remove hemicellulose, and among these, 36 diluted acid hydrolysis is the most effective one (Lee et al., 2014). However, among 37 existing hydrolysis techniques, the autohydrolysis represents a potential interesting method for the pre-treatment of lignocellulosic materials, from both an economic and 38 39 environmental point of view, as no chemicals other than water are required (Carvalheiro 40 et al., 2009). This technique involves heating lignocellulosic materials at high 41 temperature (up to over 200 °C) with compressed water, causing hydrolysis reactions like 42 using a dilute-acid hydrolysis approach. Indeed, high temperature promotes the 43 autoionization of water and the release of acetic acid from acetyl substituents of 44 hemicelluloses with the subsequent formation of hydronium ions which initialize and 45 catalyse the hydrolysis reactions (Garrote et al., 2003; Ruiz et al., 2011). Therefore, the 46 liquid phase, obtained after autohydrolysis, is mainly composed of hemicelluloses-47 derived oligomers and sugars and related degradation products (e.g., furfural and 48 hydroxymethylfurfural) and of acetic acid, as mentioned above (Delbecq et al., 2018). 49 Moreover, liquid phase can also include other compounds, which come from acid-soluble lignin extractives and phenolic compounds, that can be recovered and used as 50 51 antioxidants in food or as precursors for the production of flavorings (e.g., vanillin) 52 (Bauer et al., 2012; De Abreu et al., 2012; Vadivel et al., 2017). However, the phenolic 53 compounds extraction during autohydrolysis is highly affected by temperature

54 (Ballesteros et al., 2017). For this reason, some other extraction techniques are under 55 investigation. For instance, Arauzo et al. (Arauzo et al., 2020) tested three temperatures 56 (200 °C, 230 °C and 260 °C) for hydrothermal delignification to improve the recovery of 57 phenolic compounds from spent coffee grounds with ultrasound assisted extraction. 58 The next steps of alkaline hydrolysis and bleaching (with oxidizing agents) are needed to 59 separate cellulose and lignin, which are the main constituents of the remaining solid phase. Indeed, alkaline hydrolysis disrupts the lignin structure, facilitating the cellulose 60 61 recovery and removes the residual hemicelluloses thanks to the saponification of the 62 cross-linkage present between lignin and hemicellulose (Sun and Cheng, 2002). 63 Furthermore, the subsequent use of an oxidation agent, such as organic peroxide, is 64 another method used to catalyse the delignification process by breaking the ring structure 65 of the lignin, improving the effects of alkaline pre-treatments (Lee et al., 2014). Lignin 66 and part of hemicellulose can be degraded by the oxidation agent depending on the 67 conditions of use. On the other hand, cellulose is slightly affected by treatments, and thus 68 it can be recovered to be valorised (Miron and Ben-Ghedalia, 1982; Ramos et al., 2008). 69 Indeed, cellulose has many applications in different industrial sectors like food, 70 pharmaceutical or, as reported by Trache et al. (Trache et al., 2016), can be used as 71 reinforcing agent in polymer composites for the packaging area. 72 The main focus of this study was to compare autohydrolysis pre-treatment conducted at 73 three different temperatures to assess the effect on the cellulose structure, yield, and 74 polymorphism of the cellulose fibres obtained at the end of the process and to test the 75 addition of such fibres (named as straw cellulose, SC) as a reinforcing agent in 76 poly(butylene adipate-co-terephthalate) (PBAT) films. The latter is gaining interest in 77 recent years due to its properties, such as biocompatibility, biodegradability, and 78 flexibility. However, the high strength generally required in the packaging sector limits 79 the use of PBAT, thus, the addition of SC can be a potential solution to increase its

80 mechanical properties (Botta et al., 2021). Moreover, the higher the crystalline index of 81 the cellulose obtained, the more appropriate it is to be used as a reinforcing agent 82 (Rasheed et al., 2021; Reis et al., 2014). 83 Finally, the liquid recovered from the autohydrolysis process was investigated in terms 84 of total phenolic content and antioxidant activity to evaluate its potential exploitation as 85 a high added value residue. 86

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2. Materials and methods

- 88 The wheat straw (WS) used for this study was supplied by a farmer from Piacenza
- 89 (Northern Italy). The WS was directly milled with a lab hammer mill equipped with a 2
- 90 mm sieve (Thomas Scientific, Model 4 Wiley® Mill, U.S.A.).
- 91 PBAT in pellet form (Ecoflex® F Blend C1200, a commercial product of BASF SE,
- 92 Germany) was used as the matrix. Both polyoxyethylenesorbitan monolaurate (Tween®
- 93 20) and microcrystalline cellulose (STD-MCC) used in the FTIR analysis and for PBAT
- 94 films production were purchased from Sigma-Aldrich. Microcrystalline cellulose
- 95 (VIVAPUR® 105, JRS Pharma) was used for XRD analysis.
- 96 The standards and reagents used were the following: gallic acid (Fluka, Buchs,
- 97 Switzerland), Folin-Ciocalteu reagent (Fluka, Darmstadt, Germany), iron(II) sulfate
- 98 heptahydrate (FeSO₄·7H₂O) (Carlo Erba), iron (III) chloride (FeCl₃), sodium carbonate
- 99 (Na₂CO₃), 6-hydroxy-2,5,7,8-tetramethylchroman-2-carboxylic acid (Trolox), 2,4,6-
- 100 tripyridyl-s-triazine (TPTZ), ethanol (96% v/v), sulfuric acid 96% and hydrochloric acid
- 101 (HCl) (Sigma-Aldrich, St. Louis, MO, USA).

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103 2.1 Wheat straw composition

- 104 The WS was chemically characterized in terms of moisture, ashes, proteins, and fat
- 105 content, following specific methods (AOAC, 2007). In addition, the fibrous components

(lignin, cellulose, and hemicellulose) were evaluated according to the structural carbohydrate analysis (Sluiter et al., 2010).

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2.2 Lignocellulosic fractionation

110 For the cellulose recovery, WS was subject to a lignocellulosic fractionation process 111 similar to the one used by Bassani et al. (Bassani et al., 2020) with some modifications 112 (Figure 1). The first step of autohydrolysis (testing three different temperatures) was 113 adopted to replace the classic acid hydrolysis step. 114 The autohydrolysis (AH) was carried out in a high-pressure laboratory reactor 115 (Highpreactor BR-1000, 990 mL, Berghof, Germany) by inserting the milled WS (30 g) 116 and distilled water (solid to liquid ratio 1:20 w/v). The reactor was started with an average 117 heating rate of 5 °C/min until the set temperature was reached (165 °C, 195 °C, and 225 118 °C corresponding to 6, 11, and 24 bar pressures, respectively). The set temperature was 119 maintained for 15 min, setting the stirrer rotation at 150 rpm to homogenize the sample. 120 At the end of the 15 min, the heating was stopped, and the system was let cooling down 121 to approximately 70 °C (no more reaction occurs below this temperature) in a laboratory 122 environment at room temperature. Subsequently, the reactor was opened to promote faster 123 cooling of the sample. Then, the latter was taken out from the reactor and filtered to 124 recover both the solid, that was dried for 24 h at 60 °C, and the liquor, that was stored at 125 4 °C to be characterized. The entire process took about 190, 230 and 260 minutes for AH 126 at 165 °C, 195 °C, and 225 °C, respectively considering the heating phase at 5 °C/min, 127 the 15 minutes at the AH temperature and the final cooling phase to 70 °C. 128 The dried solid residue was weighed to calculate the step mass yield, then treated with an 129 alkaline solution of 4N NaOH (w/v) and successively, the recovered solid was washed 130 with distilled water until neutral pH, dried for 24 h at 60 °C and weighed to calculate the 131 step mass yield. To improve the effects of alkaline pre-treatments (Lee et al., 2014), a bleaching step was carried out with 5% H₂O₂ (v/v) (adjusted to pH 11 with NaOH) under static conditions at room temperatures for 24 h. The solid was then recovered through a filtration step and washed with 50% acid acetic (v/v) followed by washing with distilled water until neutral pH. The final cellulose residue was dried for 24 h at 60 °C and weighed to calculate the total mass and cellulose residue yield. All the steps of the lignocellulosic fractionation process were carried out in triplicate. 2.3 Autohydrolysis liquor analysis 2.3.1 Total phenolic content The liquor recovered from the autohydrolysis process (AH liquor) was analysed for total

The liquor recovered from the autohydrolysis process (AH liquor) was analysed for total phenolic content (TPC) using the Folin-Ciocalteu assay (Bassani et al., 2020). The results were expressed as mg GAE/g of dry matter (dm) of wheat straw (GAE, gallic acid equivalent) using a calibration curve prepared with standard gallic acid in water (Fluka, 100-800 mg/L, $R^2=0.999$).

2.3.2 Antioxidant activity (FRAP assay)

The ferric reducing antioxidant power (FRAP) of the AH liquor was evaluated according to the procedure described by Bassani et al. (Bassani et al., 2020). The results were reported as μ mol Fe(II)/g of dry matter (dm) of wheat straw using a calibration curve obtained with FeSO₄·7H₂O in water (Carlo Erba, 0.2-2 mmol Fe(II)/L, R² = 0.999).

2.3.3 Antioxidant activity (ABTS assay)

The ability of the antioxidant compounds in the AH liquor to reduce the 2,2'-azino-bis(3-ethylbenzothiazoline-6-sulfonic) acid (ABTS) radical was measured as reported by Bassani et al. (Bassani et al., 2020). The results were calculated and expressed as µmol

Tr/g dry matter (dm) of wheat straw using a calibration curve obtained with a Trolox[®] standard (Tr) in 50% ethanol (Sigma Aldrich, 100-500 mg Tr/L, R² = 0.999).

2.3.4 Release of monosaccharides and acetic acid

The concentration of free monosaccharides (glucose, xylose, and arabinose) was evaluated on the AH liquors by enzymatic kits (Megazyme kit, K-FRUGL, K-XYLOSE and K-ARGA). On the same samples, the content of free acetic acid was also measured (Megazyme kit, K-ACETRM).

The structural carbohydrates analysis was performed on both the samples obtained after

2.4 Solid residue analysis

2.4.1 Structural carbohydrates

the autohydrolysis step (AH residues) and the solids resulting at the end of the entire lignocellulosic fractionation process (straw cellulose) as described by Sluiter et al. (Sluiter et al., 2010), with minor modifications.

The solid residue (0.6 g) was mixed with 3 mL of 72% (w/w) sulfuric acid, and the mixture was incubated in an orbital shaker (Ski 4 Argolab) at 30 °C, for 60 min and 180 rpm. The obtained sample was diluted with 84 mL of distilled water to obtain a final sulfuric acid concentration of 4% (w/w). The mixture was then autoclaved at 121 °C for 60 min. After cooling to room temperature, the liquid fraction was separated using Whatman ashless filter paper (N° 589/3) and analysed for acid-soluble lignin (absorbance reading at 320 nm, using the absorbance coefficient of 30 L/(g*cm)), while the acid-insoluble lignin was calculated from the dried solid residue which was left after the determination of moisture and ash content. The sum of these two fractions (%) was used to calculate the total lignin percentage. The % of hemicellulose of the analysed solid residue was estimated from the percentage of xylose in the liquors (Megazyme kit, K-

XYLOSE) multiplied by the correction factor of 0.88 for C-5 sugars (xylose and arabinose). In contrast, the percentage of cellulose was estimated from the percentage of glucose in the liquors (Megazyme kit, K-FRUGL) multiplied by 0.90 for C-6 sugars (glucose, galactose, and mannose).

Based on the composition of the WS and that of the solid residues (AH residue and straw cellulose), the cellulose, hemicellulose and lignin recoveries were calculated using the equations below as ratio of the cellulose/hemicellulose/lignin present in the solid after AH or at the end of the whole process, and the cellulose/hemicellulose/lignin present in the starting WS:

$$193 \qquad \text{\% Cellulose recovery} = \frac{g \text{ cellulose}_{solid \text{ residue}}}{g \text{ cellulose}_{WSdm}} \times 100 = \frac{\text{\% cellulose}_{solid \text{ residue}} \times \text{\% process yield} \times g_{WSdm}}{g_{WSdm} \times \text{\% cellulose}_{WSdm}} \tag{1}$$

$$195 \qquad \text{\% Hemicellulose } recovery = \frac{g \text{ hemicellulose}_{solid \text{ residue}}}{g \text{ hemicellulose}_{WSdm}} \times 100 = \frac{\text{\% hemicellulose}_{solid \text{ residue}} \times \text{\% process yield} \times g_{WSdm}}{g_{WSdm} \times \text{\% hemicellulose}_{WSdm}} \tag{2}$$

197 % Lignin recovery =
$$\frac{g \ lignin_{solid} \ residue}{g \ lignin_{wSdm}} \times 100 = \frac{\% \ lignin_{solid} \ residue}{g \ wSdm} \times \% \ process \ yield \times g_{wSdm}}{g_{wSdm} \times \% \ lignin_{wSdm}}$$
(3)

- 2.4.2 Scanning electron microscope (SEM) observation
- The surface aspect of the three straw cellulose residues was evaluated using a scanning electron microscope system (Fei Quanta FEG 250 Esem) in high vacuum. The samples were processed with a mini deposition of Au (99.99% purity) by Sputtering Metal (Balzers MED 010) for high resolution metallization.

- 2.4.3 Fourier-transform infrared spectroscopy (FTIR)
- FTIR spectroscopic analysis was carried out on both the AH residues compared to the original WS sample, and the straw cellulose (named as SC-165°C, SC-195°C and SC-

- 225°C) compared to a standard sample of microcrystalline cellulose (STD-MCC) (Sigma 208 209 Aldrich). 210 The analysis was performed by Transmission on KBr pellets using a Vertex FTIR 211 (Bruker). Pellets were prepared for each sample by incorporating approximately 1-2 mg 212 of each sample over 150 mg of KBr salt. Scans were performed with a resolution of 4 cm⁻¹ in the 500-4000 cm⁻¹ range. A 213 214 background scanning for correction was performed before data collection. 215

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- 216 2.4.4 X-ray analysis and crystallinity
- 217 The crystallinity of the three straw cellulose residues obtained at the end of the entire lignocellulosic fractionation process (SC-165°C, SC-195°C, and SC-225°C) was 218
- 219 analysed by X-ray diffractometry (XRD).
 - The XRD measurements were obtained with Rigaku SmartLab (CoKα radiation), using commercial microcrystalline cellulose (STD-MCC) (VIVAPUR®, JRS Pharma) as a control. The samples were lightly compacted for XRD measurements and the corresponding crystallinity was evaluated by fitting (Bruker Topas software) cellulose polymorph II ($1\overline{10}$) (110), (020) and ($3\overline{1}\overline{1}$)/($3\overline{1}1$) diffractions together with background and broad amorphous diffraction between $(1\overline{1}0)$ and (110) to the measurements results in 2θ range of 5-45°. The crystallinity (%) was determined from the ratio between fitted crystalline diffraction peak area and total diffraction (crystalline and amorphous) area as reported previously (Jin et al., 2016).
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- 2.5 Films production 230
- 231 2.5.1 Preparation of the PBAT/cellulose films
- 232 PBAT/SC films were prepared by extrusion. Prior to use, the PBAT pellets, the SC-195°C
- and the STD-MCC were dried for 24 h at 40 °C. PBAT, cellulose (total mass 80 g, 233

cellulose content 0, 2, and 5 wt.%), and Tween 20 at 1 wt.% were mixed for a few minutes.

The mixtures were then placed again in the oven at 40 °C overnight.

Afterwards they were labeled according to the percentage of cellulose used (0, 2 and 5 wt.%) as PBAT, PBAT/SC-195°C-2, and PBAT/SC-195°C-5, respectively. In addition, a PBAT composite containing 2 and 5 wt.% STD-MCC, labeled as PBAT/STD-MCC-2 and PBAT/STD-MCC-5, respectively, were prepared for comparison. Films from each blend were prepared in a twin-screw extruder (Scamex) using a flat die with 10 cm width. The temperature profile used in the extruder, between inlet and outlet, was from 150 °C to 190 °C with a screw rotation speed of 70 rpm. After processing, the obtained films were rolled and cooled to room temperature using a three rolls puller calander (Scamex). Ultimately, before being characterized, the prepared films (about 2 m length, 5 cm width,

2.5.3 Mechanical and morphological properties of the PBAT/cellulose films

and 150 µm thick) were conditioned at 25 °C and 50% relative humidity for two days.

Mechanical properties of the composite films (PBAT/SC and PBAT/STD-MCC) were measured by uniaxial tensile tests along the film extrusion direction on a dual column testing machine (Instron 3365). Samples of 4 mm width and 25 mm useful length of the conditioned films were stretched at a rate of 50 mm/min. Five measurements were conducted for each film sample, and from the stress-strain curves, the values of Young's modulus (MPa), tensile strength (MPa), and elongation at break (%) were calculated. The surface of composite films was imaged by placing a small sample on a metallic stub using conductive carbon tape. Cross section of the films was obtained by freeze fracturing composite films in liquid nitrogen and attaching them with conductive carbon tape to a 90" mount stub. After that, all samples were coated with 10 nm of gold and analyzed on a scanning electron microscope (JEOL JSM-6490LA) using a secondary electron detector

259 (SEI). The microscope was operated at 5 kV and 78 μA and the images were taken at a magnification of 500 \times .

262 2.6 Statistical analysis

ANOVA analysis, with subsequent Tukey's significant difference test (p-value of 0.05), was used to compare the data obtained from each experiment using Graphpad Prism software (version 8.4.3). All the data obtained in triplicate were reported as mean \pm standard deviation (SD).

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3. Results and discussion

3.1 Wheat straw composition and process yield

270 Table 1 shows the obtained chemical composition of WS. These results are in line with 271 those reported in the literature (Kootstra et al., 2009; Pérez et al., 2007; Saleem Khan and 272 Mubeen, 2012). As expected, the mainly fibrous component of this agricultural feedstock 273 is cellulose. Therefore, WS can represent a valid source for the recovery of cellulose. 274 The recovered mass yields (i.e., the ratio between the recovered grams and the initial 275 grams of sample) of the different hydrolysis steps adopted during the process are reported 276 in Table 2. The total recovery yields (%) were calculated as the product of the yields 277 obtained at the end of each treatment. 278 The total mass yields at the end of the process are around 37% for the tests at 165 °C and 279 195 °C, while for the test conducted at 225 °C, the yields are lower (around 22%) and as 280 expected, the autohydrolysis conducted at higher temperatures (225 °C) leads to a higher 281 degradation of the sample and lower mass yields (Table 2). These results are in agreement 282 with a study conducted by Pérez et al. (Pérez et al., 2007), where high pressure and high-283 temperature water treatments were tested on wheat straw. In addition, it is interesting to

underline that the yield of alkaline step, in case of test at 195 °C, is higher than the others leading to a possible future reduction in the usage of NaOH solution, with the related environmental benefit. On the opposite, in case of test at 225 °C, the solid yield at alkaline step is lower due to the harder operating conditions that have led to a structure loss of the wheat straw, thus making it more easily hydrolysed. Finally, in case of test at 165 °C, the residual hemicellulose and lignin in the solid after the autohydrolysis are degraded in the alkaline hydrolysis step, leading to the lower solid yield.

3.2 Autohydrolysis liquors analysis

3.2.1 Total phenolic content and antioxidant activity

The interest in the recovery of phenolic compounds as added-value antioxidant compounds has increased in recent times (Bauer et al., 2012; Garrote et al., 2004; Tilay et al., 2008) and in the perspective of a process that generates few waste streams material, TPC and the antioxidant capacity of the AH liquor were evaluated and reported in Table 3.

The yield of TPC (evaluated by Folin's assay) is higher in the sample obtained from the autohydrolysis treatment at 225 °C. In general, a higher content of phenolic compounds

autohydrolysis treatment at 225 °C. In general, a higher content of phenolic compounds corresponds to a higher antioxidant power. This was confirmed by the FRAP and ABTS assays, two assays that assess the ability of phenols to reduce iron and ABTS radicals, respectively. Indeed, in line with the TPC, the treatment at 225 °C reveals the highest reducing capacity for FRAP and ABTS assays. Therefore, the process of autohydrolysis conducted at higher temperatures (225 °C) tends to better hydrolyse hemicellulose and a fraction of the acid-soluble lignin (which is of phenolic nature), leading to a higher release of phenolic compounds that are normally bound to the cell wall, without decreasing their antioxidant power.

3.2.2 Release of monosaccharides and acetic acid

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The content of monosaccharides released in the liquid after autohydrolysis is reported in Table 4. An increase in xylose release can be noted after treatment at 195 °C compared to 165 °C, indicating increased hydrolysis of hemicellulose. Further increasing the AH temperature (225 °C) brought to a reduction in measured xylose levels probably due to dehydration of D-xylose to furfural during the process (Oefner et al., 1992). In fact, due to the presence of strongly acidic conditions, sugars can be dehydrated, pentoses are transformed to furfural, and hexoses to hydroxyfurfural (Delbecq et al., 2018). In the presence of these compounds, a possible polycondensation reaction may occur, resulting in the formation of aromatic compounds, which are probably responsible for the observed increase in TPC and antioxidant activity (Delbecq et al., 2018). These assumed reactions can also be confirmed referring to the decomposition pathway of relevant organic components of biomass reported by Wüst et al. (Wüst et al., 2020), in the range of 175 °C - 350 °C. Moreover, a condensation reaction with lignin is also likely to occur, leading to the formation of pseudo-lignin, with several examples in literature (Cheng et al., 2018; Hu et al., 2012; Hubbe et al., 2018). In addition, we detected a reduction in the arabinose content with increasing AH temperature. Carvalheiro et al. (Carvalheiro et al., 2009) observed a similar trend in the liquid fraction obtained from the AH of WS conducted at different temperatures (150-240 °C), further supporting the hypothesis of increased hydrolysis of hemicellulose as temperature increases. However, the amount of monosaccharides released (especially the glucose level) in the liquor after AH did not change significantly between the different temperatures tested. Indeed, fibres degrade more forming monosaccharides at higher temperatures, but the latter can further degrade forming sugar degradation product (e.g., hydroxymethylfurfural or methylfurfural) for both a longer treatment time (Bassani et al., 2020) and higher

temperatures treatments (Sidiras et al., 2011). For example, at 225 °C there is more degradation of fibres, but at the same time also of monosaccharides to form sugar degradation products. In addition, the measured concentrations of monosaccharides are lower than those reported by Bassani et al. (Bassani et al., 2020) on a wheat straw sample after treatment with 4.7% sulphuric acid in an autoclave at 121 °C for 45 min, confirming that this process is less aggressive than acid hydrolysis in releasing monomers from fibres (Ruiz et al., 2011). Moreover, it can be noted that the pH tends to slightly decrease as the treatment temperature increases. This behaviour is linked to the increase of the hydrolytic effect of water, which increases with temperature. Indeed, pKa of water and its acid strength are influenced by temperature. For instance, 5.0 and 6.14 are the pH or water at 200°C and 100°C, respectively. Water has a high dielectric constant, allowing it to ionize substances and dissociate them. This effect leads to the break-up of the ester link between the acetyl groups and xylose, causing their solubilization in the liquid fraction producing acetic acid (Pérez et al., 2007). In fact, the level of released acetic acid following delignification of the raw material increased slightly as the temperature increased. The sample treated at the highest temperature (225 °C) produced the highest acetic acid value and, accordingly, the lowest pH. This effect, which couples the acidification of the liquid and the dissociation of water, enhanced the hydrolysis of hemicellulose (Carvalheiro et al., 2009; Garrote et al., 2001).

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3.3 Solid residues characterization

358 *3.3.1 Structural carbohydrates*

The recovery yields and the content of cellulose, hemicellulose, and lignin (acid-soluble and insoluble lignin) of the samples obtained after the autohydrolysis and bleaching steps are reported in Table 5.

The autohydrolysis at 225 °C, taking place at a higher temperature, is stronger and results in more significant degradation of the sample, thus reducing the recovery yields, including cellulose recovery yields. Moreover, the increase in temperature allowed us to obtain a higher cellulose percentage content after the autohydrolysis step. In fact, the samples obtained at 195 °C and 225 °C showed ~8% higher value (~46%) than the sample obtained at 165 °C (~38%), since the high temperature led to a better solubilization of hemicellulose (Fernández-Cegrí et al., 2012; Garrote et al., 2003). Table 5 also suggests that the lignin content tends to increase with increasing AH temperature. Several publications have shown that the relative percentage of acidinsoluble lignin (Klason lignin) is greater in the acid pre-treated material than in the starting untreated one. This is because only part of the material is natural lignin, while the remaining material is lignin-like. This type of lignin-like structure, called pseudo-lignin, could be synthesised through condensation reactions catalysed by acids on fragmented polysaccharides or substitution reactions on the aromatic rings of lignin (Shinde et al., 2018). This behaviour was already described in several studies conducted on agricultural residues such as corn stover and WS subject to hydrothermal pre-treatments (Kaparaju and Felby, 2010). Thanks to the subsequent alkaline and bleaching steps, the hemicellulose and lignin content could be further reduced in all three samples, leading to final residues (SC) with higher purity in cellulose (around 70%), especially those from the AH conducted at a

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higher temperature.

3.3.2 Fourier-transform infrared spectroscopy (FTIR)

FTIR is usually used to investigate the changes of chemical functional groups during pretreatments.

The FTIR spectra of WS and AH residues are shown in Figure 2a. The peak at 895 cm⁻¹ 387 388 is assigned to b-(1-4)-glycosidic bond (C-O-C) (Abidi et al., 2014). The intensities of this 389 absorption peak, typical of cellulose, decreased for all the solid residues with increasing 390 temperature. This suggests that with increased temperature, the glycosidic bond is broken, and cellulose is depolymerized. Moreover, the absorption band at 1060 cm⁻¹ and 1515 391 cm⁻¹ is unique to the samples obtained after the autohydrolysis process and can be 392 393 associated at out of plane vibration of aromatic C-H bonds, which may be caused by the 394 presence of the pseudo-lignin produced by dehydration, re-arrangement, and 395 condensation reactions of the sugars (Shinde et al., 2018). Furthermore, the three cellulose bands between 1100 cm⁻¹ and 1115 cm⁻¹ are due to C-O-396 397 C stretching of glucose and other sugars (often associated with pectin or hemicellulose) 398 that can be considered the degradation products of cellulose. In addition, the absorption bands at 1245 cm⁻¹ and 1734 cm⁻¹, associated with C=O 399 400 stretching of hemicellulose, are less intense at temperatures above 195 °C, as expected, 401 being hemicellulose degraded in processes at 195 °C and 225 °C. The autohydrolysis pre-402 treatment at 165 °C did not degrade hemicellulose, and this is in line with the results 403 obtained with structural carbohydrate analysis (Table 5). 404 In the samples AH residues 165 °C, 195 °C, and 225 °C there is a shoulder that becomes a peak at 1706 cm⁻¹ that, together with the vibration at 1648 cm⁻¹ more visible in these 405 406 three samples, is associated with the presence of conjugated carbonyl groups (Sun et al., 2005; Zhang et al., 2010) produced by the hydrolysis of the hemicellulose and lignin. 407 408 The peak at 1610 cm⁻¹ is a carbonyl stretching associated with absorbed water (Sun et al., 409 2005). 410 As reported in Figure 2b, the FTIR spectra of the straw cellulose compared to STD-MCC, 411 do not show a substantial change among each other. The trend of the peak associated with 412 the glycosidic bond is similar between the three analysed samples. Therefore, the

subsequent basic hydrolysis and bleaching treatments seem to reduce the differences found in the samples obtained after the AH step. The main feature of cellulose, between 950 cm⁻¹ and 1150 cm⁻¹, became only slightly less defined. Moreover, the small absorption band at 1640 cm⁻¹ is attributed to the absorbed water in the cellulose (Liu et al., 2005).

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3.3.3 Scanning electron microscope (SEM) observation

SEM observations of the different straw cellulose residues are shown in Figure 3. After bleaching step, all the three samples appear as regular fibre filaments and show a parallel arrangement. Based on the obtained images, it seems that there is no great difference between the three SC residues, except for the sample SC-225°C, which appears to have a more irregular, jagged, and slightly damaged surface, probably as a result of the higher temperature used in the reactor, which led to a partial cellulose degradation.

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3.3.4 X-ray analysis and crystallinity

- 428 The XRD analysis on the straw cellulose obtained at the end of the entire lignocellulosic
- 429 fractionation process (SC-165°C, SC-195°C and SC-225°C), compared to the STD-
- 430 MCC, was carried out to establish if the obtained cellulose was crystalline or if its
- morphological structure had been modified by the high AH temperatures.
- 432 All the cellulose samples obtained from WS show both crystalline and amorphous
- 433 regions. These regions are affected by the different pre-treatments applied to WS, as
- shown in Figure 4.
- Figure 4 shows a typical XRD measurement for cellulose samples from WS. All samples
- show clear and strong diffraction peaks at around 14.2°, 23.3°, and 25.3°. In particular,
- 437 the intensity between peaks at 14.2° and 23.3° decreases when temperature increases,
- with this showing how crystallinity increases with increasing temperatures.

Moreover, all the WS cellulose samples obtained at the end of the lignocellulosic fractionation process showed similar spectra, which means that the different AH temperatures do not cause changes in the structure of the obtained cellulose. However, all three samples showed cellulose polymorph II structure, which is usually obtained irreversibly from cellulose I through treatments with NaOH, and the extent of this conversion is also influenced by the type of lignocellulosic feedstock used (Liu and Hu, 2008; Park et al., 2010); while the STD-MCC sample shows a polymorphic type I. Thus, the parallel-chain crystal structure of the original cellulose I is converted into a more stable anti-parallel arrangement of cellulose II (Moon et al., 2011); this indicates that cellulose II should have better mechanical properties than cellulose I. Indeed, several articles report that in film preparations, the type of polymorphism of cellulose influences its reinforcing capacity and polymer-bonding capacity (Paukszta and Borysiak, 2013). In particular, Dhar et al. (Dhar et al., 2015) reported that the incorporation of cellulose with polymorphism II appreciably improved Young's modulus of PLA films, while their elongation at break significantly decreased compared to cellulose I due to the formation of an intertwined network of hydrogen bonds within the polymer matrix, resulting in improved mechanical and barrier properties. Based on this, all three cellulose samples obtained at the end of the lignocellulosic fractionation process could be suitable for incorporation as reinforcing agents for biodegradable packaging materials. However, some authors (Borysiak and Grząbka-Zasadzińska, 2016; Paukszta and Borysiak, 2013) reported better mechanical properties of the polymers containing cellulose I. Therefore, it would be necessary to directly test both types of polymorphism to assess any differences in the mechanical properties of the final material. Furthermore, the degree of crystallinity of the final SC samples was also evaluated using the peak fitting and deconvolution method. The degree of crystallinity (%) increases

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slightly with increasing temperatures in the reactor, as a result of the degradation of amorphous regions (66.33 ± 5.51^a , 70.33 ± 4.93^a and 85.67 ± 2.08^b for 165 °C, 195 °C and 225 °C, respectively). However, all the produced samples were sufficiently crystalline to reinforce different polymers. Nevertheless, by comparing the three treatment conditions with the cellulose yields and the degree of crystallinity, the best treatment condition turns out to be the one carried out at 195 °C. Indeed, since the SC-225°C showed a very low cellulose yield (below 50%) we decided to take SC-195 °C which presents a higher degree of crystallinity (about 70%) compared to SC-165°C. Therefore, this cellulose (SC-195 °C) was tested in different percentages as a reinforcing agent to produce PBAT-based films.

3.4 Film production

3.4.1 Mechanical and morphological properties of the PBAT/cellulose films

The effect of adding SC-195°C on the mechanical properties of PBAT/cellulose films

was investigated, and the results are shown in Figure 5.

The values obtained for Young's modulus, tensile strength, and elongation at break of PBAT films are in line with the values reported in the literature for this polymer and its blends and composites (Deng et al., 2018; NUNES et al., 2017). The addition of 2 wt.% STD-MCC did not influence the Young's modulus of the PBAT, whereas the addition of SC-195°C at the same concentration led to a significant increase in the elastic modulus of about 9 % compared to PBAT. At the same time, the modulus of the samples containing 5 wt.% cellulose further increased with both SC-195°C and STD-MCC without significant differences. Similar results were observed for Young's modulus in PBAT/TPS/MCC (Reis et al., 2014) and PLA/MCC composites (Haafiz et al., 2013). The increase in modulus with increasing cellulose in PBAT can be attributed to the stiffening action and high crystallinity index of the filler.

In addition, based on the obtained results, it seems that a filler addition of 5 wt.% leads to an increase in Young's modulus, regardless of the type of polymorphism of the used cellulose. In fact, the cellulose used as a control (STD-MCC, from Sigma-Aldrich) presents a type I polymorphism, as reported by Paul et al. (Paul et al., 2021); whereas the SC-195°C sample shows a type II polymorphism (Figure 4). The Figure 5d clearly reveals that for all samples, the tensile strength decreases when the amount of filler increases. The decrease is greater in films containing SC-195°C (reaching a decrease of approximately 42% for both 2 and 5 wt.%, respectively, compared to pure PBAT) than PBAT with STD-MCC (showing tensile strength decreases by 13% and 23% for 2 and 5 wt.% addition, respectively). This result is probably due to the aggregation of cellulose leading to a non-homogeneous dispersion of the filler in the polymer matrix, especially with SC-195°C which presents a larger particle size than STD-MCC, or because of poor interaction between the filler and the matrix (Haafiz et al., 2013). SEM images included in Figure 6 agree with that observation. Figure 6 A-D shows that PBAT composite films' surface presents a similar homogeneous aspect with some protuberances for PBAT/SC-195°C and PBAT/STD-MCC at 2 and 5 wt.% of filler. However, Figure 6 E shows that at the cross-section, the films prepared with 5 wt.% of SC-195°C present a bigger filler size when compared with STD-MCC (Figure 6 F). Therefore, the difference observed in the tensile strength values between the SC-195°C and STD-MCC samples does not seem to be related to the type of polymorphism of the cellulose used, but rather to a different particle size of the employed powder. The elongation at break of PBAT is also reduced with the incorporation of cellulose for all samples. However, non-significant differences are observed between the two concentrations tested; although, a greater reduction in elongation at break is observed with SC-195°C (~28%) than with STD-MCC (~7%), compared to PBAT. These observations of limitation in segmental chain movement of the PBAT during tensile

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517 testing may be ascribed to the stiffening effect of the filler. The elongation at break may 518 be influenced by the quantity of the filler added, the interaction between the latter and the 519 matrix, and its dispersion in the matrix (Dhar et al., 2015; Haafiz et al., 2013). 520 The poor interaction and dispersion of cellulose in the matrix of PBAT due to the 521 tendency to agglomerate led to substantial local stress levels and decreased elongation at 522 break. A similar trend was observed in the study of Reis et al. (Reis et al., 2017), where 523 the TPS/PBAT-based film with higher concentrations of MCC (10%) exhibited lower 524 tensile strength (4.6 \pm 0.1 MPa) and lower elongation at break (147 \pm 34%). On the 525 contrary, Young's modulus values increased with increasing MCC concentration in the 526 film (reaching values of 79.2 ± 13.6 MPa with 10% MCC). Even in the research 527 conducted by Mathew et al. (Mathew et al., 2005) on PLA-based films, both tensile stress 528 and elongation at break were shown to be lower for composites than for pure PLA. The 529 authors showed that an increase in MCC content has a negative impact on the strength of 530 composites compared to pure PLA, whereas the modulus tends to increase with increasing 531 MCC content. 532 In summary, the SC obtained by AH at 195 °C behaves similarly to the STD-MCC when 533 used at 5 wt.%. In contrast, when added at 2 wt.%, SC-195°C produces a PBAT composite 534 with a higher elastic modulus than STD-MCC, even with the aggregation effects caused 535 by the different particle sizes. These results demonstrate that the process followed in this 536 work presents a great potential for the valorisation of WS waste to produce composites, 537 but also that it is still necessary to develop strategies to prevent particle aggregation and 538 favour a homogeneous dispersion of the filler in the matrix.

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4. Conclusions

In the isolation of wheat straw cellulose with an autohydrolysis - alkaline hydrolysis - bleaching process, autohydrolysis at 195 °C led to higher yield (83.5%), comparable

purity (~70%) and intermediate cellulose crystallinity (~70%) than at 165 °C and 225 °C. Liquors from 195°C-AH showed higher monosaccharides and intermediate antioxidant content. Straw cellulose obtained at the end of the entire fractionation process (SC-195°C) starting from AH residue-195°C was tested at 2-5 wt.% as a reinforcement agent in poly(butylene adipate-co-terephthalate) films, leading to increased Young's modulus and decreased tensile strength and elongation at break. Future works should focus on the valorisation of the AH liquors (e.g., in terms of hemicellulose, lignin or bioactive compounds recovery), energy optimization of the process, of both autohydrolysis and alkaline steps, and improvement of the tensile properties of the composite, enhancing filler-polymer adhesion.

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740 Table 1 Chemical composition of wheat straw used in this study. Results, except moisture, are expressed as weight percentage on a dry weight basis and reported as mean \pm standard deviation. *Obtained with the conversion factor of 5.83.

Component	Wheat straw
Moisture (g/100 g)	8.65 ± 0.04
Ash (g/100 g _{dm})	5.7 ± 0.3
Protein* (g/100 g _{dm})	4.5 ± 0.4
Lipid (g/100 g _{dm})	1.3 ± 0.1
Total Lignin (g/100 g _{dm})	21.3 ± 1.0
Cellulose (g/100 g _{dm})	31.5 ± 0.9
Hemicellulose (g/100 g _{dm})	15.4 ± 1.4

744 Table 2 Recovery mass yields (%) of each step of the process of Figure 1 and recovery mass yields of the entire process (% total). Values reported as mean \pm standard deviation. Values reported different lowercase letters are significantly different (p < 0.05).

Treatment	MASS YIELDS					
temperature °C	% Autohydrolysis	% Alkaline hydrolysis	% Bleaching	% Total		
165 °C	77.5 ± 3.3^{a}	52.4 ± 9.8^{a}	90.4 ± 4.6^{a}	36.4 ± 5.0^{a}		
195 °C	$60.9 \pm 2.7^{\rm b}$	74.6 ± 2.9^{b}	84.8 ± 1.1^{a}	38.5 ± 0.6^a		
225 °C	53.3 ± 0.5^{c}	58.8 ± 3.7^a	68.1 ± 1.7^{b}	21.4 ± 1.6^b		

748 Table 3 Total phenolic content (TPC) and antioxidant activity (evaluated with FRAP and ABTS assays) of 749 autohydrolysis liquors obtained at 3 different temperatures (165, 195 and 225 $^{\circ}$ C). Values expressed on WS 750 dry matter as mean \pm standard deviation. GAE: gallic acid equivalent. Tr: Trolox. Values reported different lowercase letters are significantly different (p < 0.05).

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Treatment temperature °C	TPC mgGAE/gWS _{dm}	FRAP µmolFe(II)/gWS _{dm}	ABTS μmolTr/gWS _{dm}	
165 °C	11.4 ± 0.9^{a}	49.2 ± 2.7^{a}	62.0 ± 5.0^a	
195 °C	24.3 ± 2.0^{b}	167.4 ± 14.0^{b}	105.6 ± 12.4^{b}	
225 °C	30.6 ± 1.7^{c}	206.5 ± 12.9^{c}	136.8 ± 7.4^{c}	

Table 4 Monosaccharide's release of autohydrolysis liquors obtained at three different temperatures (165, 195 and 225 °C). Values reported as mean \pm standard deviation. Values reported different lowercase letters are significantly different (p < 0.05).

Treatment temperature °C	pН	Acetic acid g/L	Free glucose (mg/L)	Free xylose (mg/L)	Free arabinose (mg/L)
165 °C	4.9 ± 0.1^{a}	0.6 ± 0.1^a	88.5 ± 10.0^a	85.2 ± 8.2^a	241.6 ± 3.5^{a}
195 °C	4.2 ±0.1 ^b	$1.1\pm0.1^{\rm b}$	95.0 ± 9.4^a	253.6 ± 31.2^{b}	192.0 ± 20.3^{b}
225 °C	3.74 ± 0.03^{a}	1.41 ± 0.04^{c}	$87.7\pm2.8^{\rm a}$	$21.9\pm1.5^{\rm c}$	Not detected ^c

Table 5 Comparison of structural carbohydrates of solid obtained after the autohydrolysis and bleaching steps. The recovery yields (%) were expressed by calculating the ratio between the content of cellulose, hemicellulose, and lignin, respectively, after the process steps and the initial content in WS dry matter. Values reported as mean \pm standard deviation. Values reported different lowercase letters are significantly different (p < 0.05). *Calculated by difference.

STRUCTURAL	AFTER AUTOHYDROLYSIS			AFTER BLEACHING		
CARBOHYDRATES	165 °C	195 °C	225 °C	165 °C	195 °C	225 °C
% Cellulose recovery	89.0±4.5ª	90.3±2.6ª	77.5±5.5 ^b	79.5±10.8 ^a	83.5±5.5 ^a	48.5±4.3 ^b
% Hemicellulose recovery	45.4±0.5a	6.9±1.5 ^b	1.5±0.3°	4.8±0.9a	1.9±0.3 ^b	0.17±0.01°
% Total lignin recovery	58.9±12.8 ^a	74.3±3.0ab	90.9±2.7 ^b	16.7±2.7 ^{ab}	22.2±2.8a	10.8±1.6 ^b
% Cellulose content of solid residue	38.0±0.7ª	46.7 ± 3.0^{b}	45.7±3.6 ^b	68.1±1.6 ^a	69.83±0.04 ^a	73.0±1.1 ^b
% Hemicellulose content of solid residue	9.1±0.5ª	1.7±0.3 ^b	0.37±0.01°	2.0±0.1ª	0.7±0.1 ^b	0.12±0.01°
% Acid soluble lignin	1.0±0.1ª	1.6±0.1 ^b	2.0±0.2°	0.54±0.02ª	0.44 ± 0.04^{b}	0.43±0.02b
% Acid insoluble lignin	15.3±4.0 ^a	$24.5{\pm}2.0^b$	34.3±1.0°	9.1±0.6 ^a	11.8±1.4a	10.3±1.9a
% Total Lignin content of solid residue	16.3±4.0 ^a	26.1±2.1 ^b	36.3±0.9°	9.7±0.6ª	12.2±1.4 ^a	10.8±1.8 ^a
% Other content of solid residue*	36.6	27.1	17.63	20.2	17.27	16.08

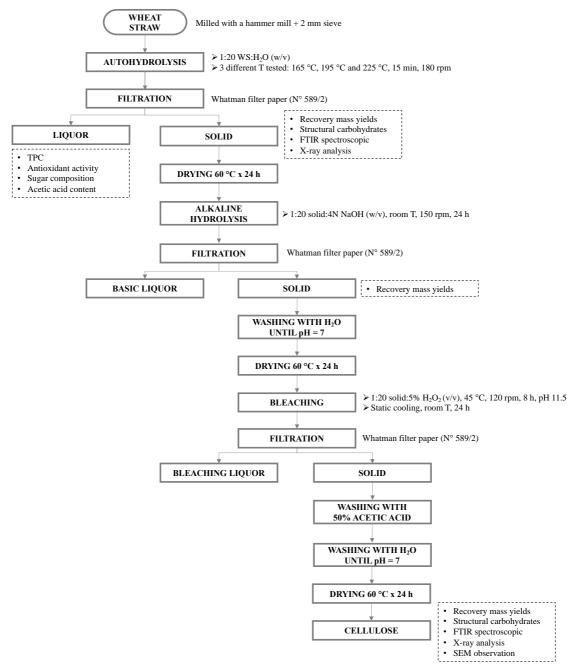


Figure 1 Scheme of the lignocellulosic fraction process applied in this study to recover cellulose from WS.

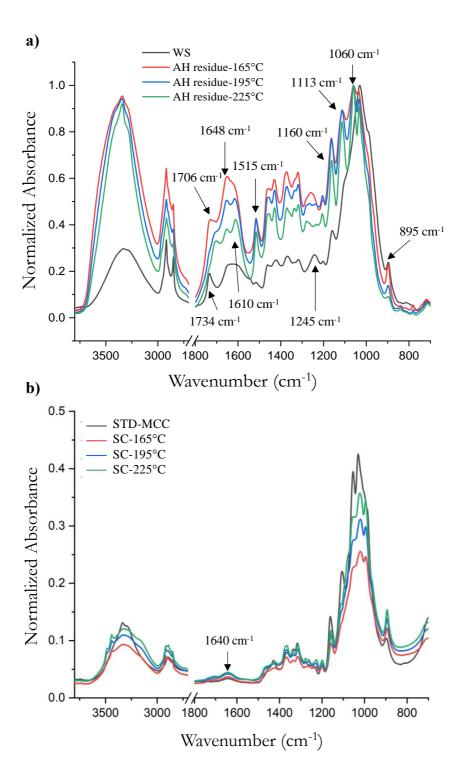


Figure 2 a) The FTIR spectra of WS and the three samples obtained after the autohydrolysis step (AH residues-165, 195 and 225°C). b) FTIR spectra of the three straw cellulose obtained at the end of the entire lignocellulosic fractionation process (SC-165, SC-195 and SC-225°C) in comparison with commercial microcrystalline cellulose STD-MCC (black line).



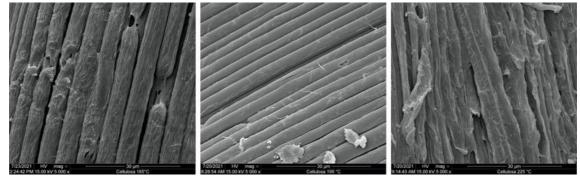


Figure 3 SEM observation of SC-165°C, SC-195°C and SC-225°C, respectively.



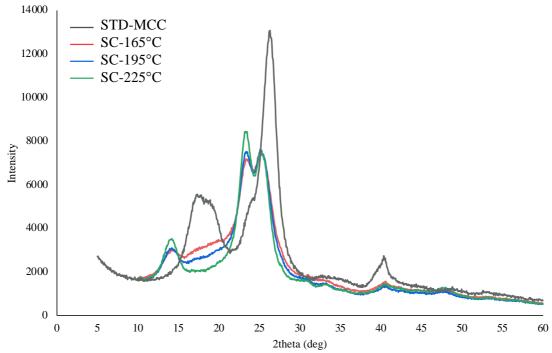


Figure 4 Typical X-ray diffraction measurement results ($CoK\alpha$) for WS cellulose residues obtained through the different autohydrolysis treatments (at 165, 195 and 225 °C) compared to a STD-MCC sample.



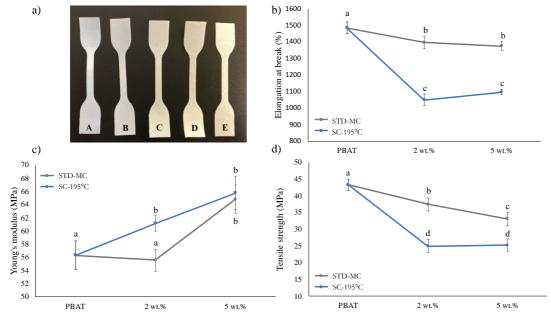


Figure 5 Mechanical properties of pure PBAT films and of PBAT films with different concentrations of cellulose (2 and 5 wt.%). Blue line: straw cellulose (SC) obtained at the end of the entire fractionation process (SC-195°C) starting from AH residue-195°C; grey line: commercial microcrystalline cellulose (STD-MCC). a) Dumbbell samples used for mechanical testing: (A) PBAT, (B) PBAT/STD-MCC-2, (C) PBAT/STD-MCC-5, (D) PBAT/SC-195°C-2, (E) PBAT/SC-195°C-5; b) Elongation at break (%); c) Young's modulus (MPa); d) Tensile strength (MPa). Values reported different lowercase letters are significantly different (p < 0.05).

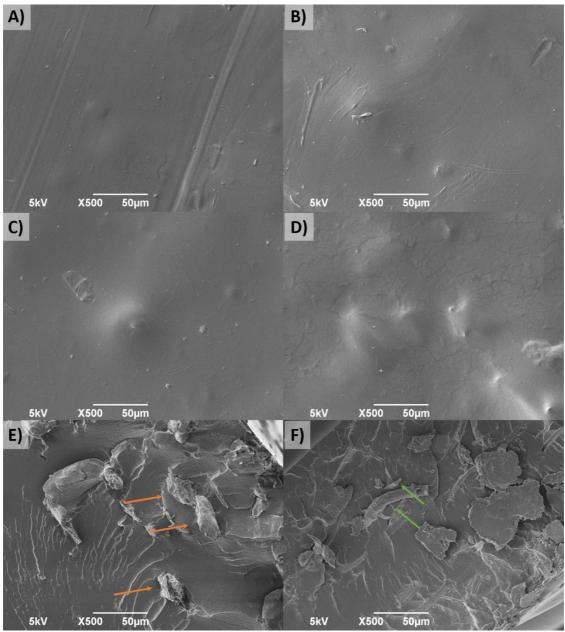


Figure 6 SEM image of the PBAT films with different concentrations of cellulose, from straw cellulose (SC) obtained at the end of the entire fractionation process (SC-195°C) starting from AH residue-195°C, or commercial microcrystalline cellulose (STD-MCC). A) PBAT with 2% STD-MCC; B) PBAT with 2% SC-195°C; C) PBAT with 5% STD-MCC; D) PBAT with 5% SC-195°C; E) cross section of PBAT with 5% STD-MCC, cellulose filler is highlighted with orange arrows; F) cross section of PBAT with 5% SC-195°C, cellulose filler is highlighted with green arrows.