



## RESEARCH LETTER

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## Key Points:

- A novel CO<sub>2</sub> proxy calculates past atmospheric CO<sub>2</sub> with improved certainty
- CO<sub>2</sub> is unlikely to have exceeded ~1000 ppm for extended periods post Devonian
- Earth's long-term climate sensitivity to CO<sub>2</sub> is greater than originally thought

## Supporting Information:

- Readme
- 2014GL060457\_cs01.zip
- Text S1

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New constraints on atmospheric CO<sub>2</sub> concentration for the Phanerozoic

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**Abstract** Earth's atmospheric CO<sub>2</sub> concentration ( $c_a$ ) for the Phanerozoic Eon is estimated from proxies and geochemical carbon cycle models. Most estimates come with large, sometimes unbounded uncertainty. Here, we calculate tightly constrained estimates of  $c_a$  using a universal equation for leaf gas exchange, with key variables obtained directly from the carbon isotope composition and stomatal anatomy of fossil leaves. Our new estimates, validated against ice cores and direct measurements of  $c_a$ , are less than 1000 ppm for most of the Phanerozoic, from the Devonian to the present, coincident with the appearance and global proliferation of forests. Uncertainties, obtained from Monte Carlo simulations, are typically less than for  $c_a$  estimates from other approaches. These results provide critical new empirical support for the emerging view that large (~2000–3000 ppm), long-term swings in  $c_a$  do not characterize the post-Devonian and that Earth's long-term climate sensitivity to  $c_a$  is greater than originally thought.

## 1. Introduction

Many basic features of Earth's pre-Quaternary paleoclimate history remain poorly characterized and understood, despite decades of research effort. Proxy methods and long-term carbon cycle models have identified periods of high atmospheric CO<sub>2</sub> concentration ( $c_a$ ) that likely forced increases in global surface temperature and sea level [Berner, 2008; Beerling and Royer, 2011], but quantitative discrepancies and large or unbounded uncertainties surrounding these  $c_a$  estimates preclude confident assessment of Earth's climate sensitivity to  $c_a$  [Royer et al., 2007; Park and Royer, 2011; Royer et al., 2012]. Historically, reconstructions of  $c_a$  for the late Paleozoic, Mesozoic, and early Cenozoic greenhouse climates have been high (>2000 ppm) [Royer et al., 2001; Montañez et al., 2007; Berner, 2008], but re-evaluations of these records suggest more modest values (500–1000 ppm) [Breecker et al., 2010; Royer et al., 2012]. This revised  $c_a$  history implies a higher climate sensitivity to CO<sub>2</sub> [Royer et al., 2012; Hansen et al., 2013], but nearly all proxy-based  $c_a$  estimates for the pre-Cretaceous come from a single method based on carbonates in fossil soils (paleosols) that relies crucially on largely unknown soil respiration rates [Ekart et al., 1999; Breecker et al., 2010; Cotton and Sheldon, 2012]. New, independent, and well-constrained estimates of pre-Cretaceous  $c_a$  are urgently needed to better define Earth's long-term climate sensitivity to CO<sub>2</sub> [Hansen et al., 2013].

The stomatal proxy method for estimating  $c_a$  in paleo-atmospheres exploits the negative correlation between stomatal density ( $D$ , number of stomata per unit leaf area) and the atmospheric CO<sub>2</sub> at which a plant grows, as observed in plant growth chamber experiments [Woodward, 1987]. The correlation has also been shown with  $D$  from herbaria material [Woodward, 1987] and fossil leaves, including Pleistocene and Holocene fossils, where  $c_a$  is known from ice cores [Van de Water et al., 1994]. However, the shape of the relationship between  $D$  and  $c_a$  is specific to each “test” species, so using it quantitatively to reconstruct ancient  $c_a$  from the stomatal density of fossil leaves (or from the normalized equivalent of density, stomatal index [Royer et al., 2001]) relies upon calibration using extant conspecifics. Therefore, estimates from this method are mostly limited to a few species that grew during the Late Cretaceous and Cenozoic and that persist to the present day. A further limitation is that the strong nonlinearity of the empirical calibrations propagates to unbounded upper error limits above  $c_a$  estimates of ~500–1000 ppm [Royer et al., 2001], although the threshold for this effect is higher for some species [Haworth et al., 2011]. A modified method based on the ratio of fossil stomatal density or index to nearest

ecological equivalent broadens the range of candidate taxa [McElwain, 1998], but estimates are considered semi-quantitative at best because the assumed linear scaling between stomatal ratio and the ratio of paleo- to present-day  $c_a$  is untested [Royer et al., 2001].

Mechanistic models of leaf gas exchange are an increasingly viable alternative to traditional empirical methods in paleoclimate and paleoecology reconstructions [Konrad et al., 2008; Grein et al., 2011; Roth-Nebelsick et al., 2014]. Here we estimate  $c_a$  across the Phanerozoic directly from fossil leaves with a new mechanistic approach that is largely free from the above restrictions and uncertainties.

## 2. Methods

### 2.1. Model Summary

We assume that photosynthetic gas exchange in past forests functioned under the same constraints as current forests, with the exception that  $c_a$  varied. Changes in  $c_a$  affect the rate of diffusion of  $\text{CO}_2$  from the atmosphere to the sites of its fixation within the leaf, and this in turn affects the rate of biochemical fixation of  $\text{CO}_2$ . Extensive experimental investigations into leaf gas exchange have established the following fundamental biophysical model equating the concentration of  $\text{CO}_2$  in the atmosphere,  $c_a$  (in  $\mu\text{mol mol}^{-1}$ ), with the rate of  $\text{CO}_2$  assimilation by leaves,  $A_n$  (in  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) [Farquhar and Sharkey, 1982; von Caemmerer, 2000]:

$$c_a = \frac{A_n}{g_{c(\text{tot})} \cdot (1 - c_i/c_a)}, \quad (1)$$

where  $g_{c(\text{tot})}$  is the total operational conductance to  $\text{CO}_2$  diffusion from the atmosphere to sites of photosynthesis within the leaf (in  $\text{mol m}^{-2} \text{s}^{-1}$ ) and  $c_i/c_a$  is the ratio of leaf internal  $\text{CO}_2$  concentration ( $c_i$ ) to  $c_a$ . An important physiological quality of the system described by equation (1) is that  $A_n$ ,  $g_{c(\text{tot})}$ , and  $c_i/c_a$  are interdependent, i.e., a change in one affects the others via feedback interactions that operate over short and long timescales [Farquhar et al., 1978; Buckley et al., 2003; Franks et al., 2013].

### 2.2. Determining $g_{c(\text{tot})}$

The total conductance to  $\text{CO}_2$  from the atmosphere to sites of carboxylation within the leaf,  $g_{c(\text{tot})}$ , comprises three main components in series: the leaf boundary layer conductance to  $\text{CO}_2$ ,  $g_{cb}$ , the operational stomatal conductance,  $g_{c(\text{op})}$ , and the mesophyll conductance,  $g_m$ . Operational stomatal conductance  $g_{c(\text{op})}$  is controlled by the plant at some point between approximately zero (closed stomata) and the maximum,  $g_{c(\text{max})}$ , according to prevailing environmental conditions, and can be expressed conveniently as a fraction  $\zeta$  of  $g_{c(\text{max})}$ , i.e.,  $g_{c(\text{op})} = \zeta g_{c(\text{max})}$ . For trees growing naturally under field conditions the mean  $\zeta$  is typically around 0.2 [Franks et al., 2009] (Table S1). The same ratio has also been found for a range of *Arabidopsis* genotypes with different stomatal patterning [Dow et al., 2014]. The total conductance to  $\text{CO}_2$  is therefore

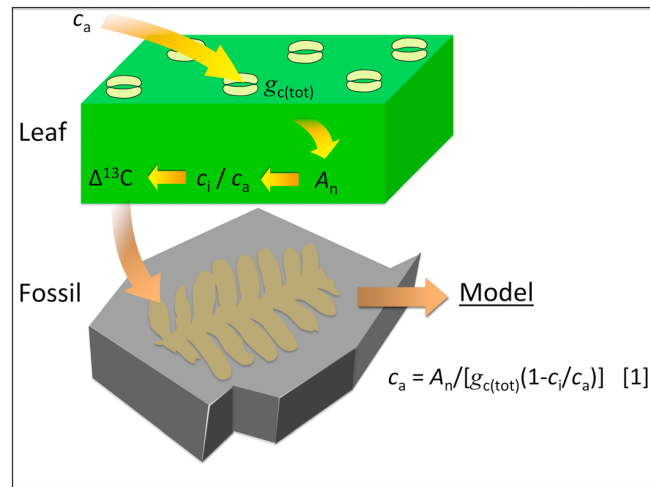
$$g_{c(\text{tot})} = \left( \frac{1}{g_{cb}} + \frac{1}{\zeta g_{c(\text{max})}} + \frac{1}{g_m} \right)^{-1}. \quad (2)$$

Equation (2) is the standard form for hypostomatous leaves. For amphistomatous leaves the term  $((1/g_{cb}) + (1/\zeta g_{c(\text{max})}))^{-1}$  must be calculated separately for the upper (adaxial) and lower (abaxial) leaf surfaces, added together in parallel, and this then added in series with  $g_m$  to obtain  $g_{c(\text{tot})}$ .

The number and size of stomata on leaves, including fossils, were measured to calculate  $g_{c(\text{max})}$ . Stomatal size determines both the maximum stomatal aperture ( $a_{\text{max}}$ ) and the depth ( $l$ ) of the stomatal pore (Figure S2), with  $g_{c(\text{max})}$  given by the basic diffusion equation [Franks and Beerling, 2009]:

$$g_{c(\text{max})} = \frac{d}{v} \cdot D \cdot a_{\text{max}} / \left( l + \frac{\pi}{2} \sqrt{a_{\text{max}}/\pi} \right), \quad (3)$$

where constants  $d$  and  $v$  are, respectively, the diffusivity of  $\text{CO}_2$  in air and the molar volume of air,  $D$  is stomatal density, and  $a_{\text{max}}$  is approximated as a fraction  $\beta$  of a circle with diameter equal to the stomatal pore length  $p$ , i.e.,  $a_{\text{max}} = \beta(\pi p^2/4)$ . Approximate values for  $\beta$  in broad groups of plants are given in Table S2, along with other useful geometric relationships. Over the long term (developmental to



**Figure 1.** Plants as paleo-CO<sub>2</sub> sensors. During photosynthesis, CO<sub>2</sub> assimilation rate ( $A_n$ ) is determined by the difference between atmospheric CO<sub>2</sub> concentration ( $c_a$ ) and leaf internal CO<sub>2</sub> concentration ( $c_i$ ) as well as the total stomatal conductance to CO<sub>2</sub>,  $g_{c(tot)}$  (see Methods). A physiological model equating  $c_a$  with  $A_n$ ,  $g_{c(tot)}$ , and the ratio  $c_i/c_a$  (equation (1)) can be used to derive  $c_a$  at the time of photosynthesis. Central to this approach is the preservation in leaf fossils of the isotopic signal of carbon fixed during photosynthesis,  $\Delta^{13}C$ , from which an assimilation-weighted average value for  $c_i/c_a$  is easily derived (see equations (4) and (5)). Because  $A_n$  adapts to  $c_a$  (equation (6)),  $c_a$  is obtained by solving equations (1) and (6) simultaneously.

evolutionary timescales) leaves alter  $g_{c(max)}$  by changing the density and/or size of stomata [Franks and Beerling, 2009; Franks et al., 2012]. These anatomical alterations increase or decrease  $g_{c(max)}$ , allowing  $g_{c(op)}$  to be optimized for the new  $c_a$  [Franks et al., 2012].

### 2.3. Determining $c_i/c_a$

An estimate of the relative drawdown of CO<sub>2</sub> between the atmosphere and the sites of fixation ( $c_i/c_a$ ) (see equation (1) and Figure 1) can be obtained by measurement of the relative carbon isotope composition,  $\delta^{13}C$ , of fossil leaves. The difference between the  $\delta^{13}C$  of leaf carbon and that of its source in the atmosphere,  $\delta^{13}C_{air}$ , provides a measure of the carbon isotope discrimination by the plant,  $\Delta_{leaf}$  (in parts per thousand, ‰) [Farquhar et al., 1989], and a theoretical relationship was used to relate this to the average  $c_i/c_a$ , weighted by the photosynthetic rate, over the time that the leaf grew [Farquhar et al., 1982]:

$$c_i/c_a = \left[ \frac{\Delta_{leaf} - a}{b - a} \right] \quad (4)$$

where  $a$  is the carbon isotope fractionation due to diffusion of CO<sub>2</sub> in air (4.4‰) [Farquhar et al., 1982],  $b$  is the fractionation associated with RuBP carboxylase (taken here as 30‰) [Roeske and O'Leary, 1984], and  $\Delta_{leaf}$  (‰) is given by [Farquhar and Richards, 1984]:

$$\Delta_{leaf} = \frac{\delta^{13}C_{air} - \delta^{13}C_{leaf}}{1 + \delta^{13}C_{leaf}/1000} \quad (5)$$

with  $\delta^{13}C_{air}$  and  $\delta^{13}C_{leaf}$  in units of ‰. The short-term feedback regulation of  $g_{c(op)}$  tends to maintain  $c_i/c_a$  close to a relatively constant mean value [Wong et al., 1979; Polley et al., 1993; Ehleringer and Cerling, 1995]. This mechanism appears to hold also over long timescales [Franks et al., 2013].

### 2.4. Determining $A_n$

To estimate how  $A_n$  would have changed with long-term changes in  $c_a$  we apply the theory and rationale developed in Franks et al. [2013]. Briefly, in the Farquhar-von Caemmerer-Berry biochemical model for photosynthesis [Farquhar et al., 1980],  $A_n = \min [W_e, W_c]$  where  $W_e$  is the light-limited rate and  $W_c$  is the Rubisco capacity-limited rate. The use of limiting protein resources is optimal when the protein is distributed in the chloroplast such that  $W_c = W_e$  at the average light intensity that the leaf experiences during growth. Under typical conditions plants tend to operate near this point of transition [Von Caemmerer and Farquhar, 1981]. Assuming that adaptation over developmental to evolutionary timescales tends toward this optimal

condition, and that the average incident sunlight has not changed appreciably, we can use the expression for  $W_e$  alone (i.e., independently of  $W_c$ ) to express how  $A_n$  will change as a function of  $c_a$  over long timescales. In the original biochemical model [Farquhar *et al.*, 1980] the expression for  $W_e$  was given in terms of  $c_i$ , but acknowledging that  $A_n$  vs  $c_i$  and  $A_n$  vs  $c_a$  follow essentially the same curve, the same function can be used to describe the relationship between  $A_n$  and  $c_a$  relative to a given reference  $c_a$  (see Franks *et al.* [2013]). With this, and taking reference values for  $A_n$  and  $c_a$  under current ambient conditions as  $A_0$  and  $c_{a0}$  respectively, then  $A_n$  for any given  $c_a$  was obtained from the expression [Franks *et al.*, 2013]:

$$A_n \approx A_0 \frac{[(c_a - \Gamma^*)(c_{a0} + 2\Gamma^*)]}{[(c_a + 2\Gamma^*)(c_{a0} - \Gamma^*)]} \quad (6)$$

where  $\Gamma^*$  is the  $\text{CO}_2$  compensation point in the absence of dark respiration. Although the  $\text{CO}_2$  compensation point is influenced by leaf temperature [Farquhar *et al.*, 1980] there is evidence to suggest that despite widely varying seasonal and latitudinal temperatures, much of the photosynthetic productivity of plants occurs within a relatively narrow band of leaf temperature ranging from about 19°C in boreal systems through to 26°C in tropical systems [Helliker and Richter, 2008; Song *et al.*, 2011]. Applying this assumption, and acknowledging that plant fossil records are weighted toward temperate to tropical systems, we assume a mean leaf temperature during photosynthesis of 25°C, giving  $\Gamma^*$  a mean value of 40  $\mu\text{mol mol}^{-1}$  as a first approximation for the Phanerozoic Eon.

## 2.5. Determining $c_a$

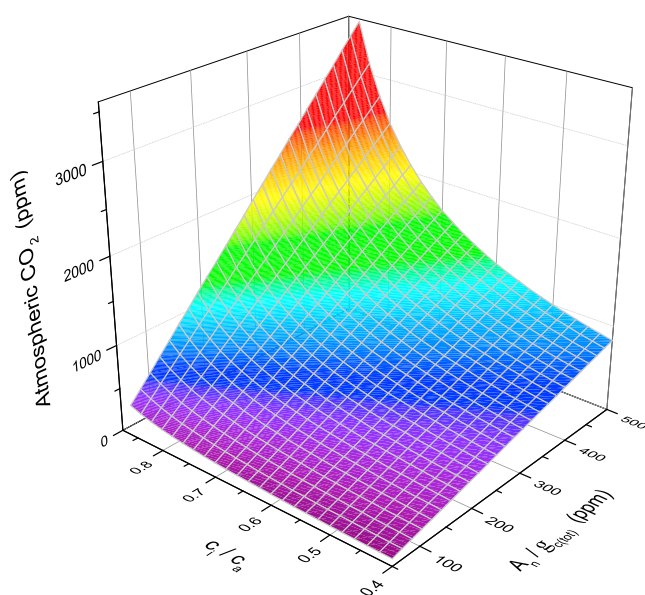
Assuming that over long geological time periods mean  $A_n$  is influenced by  $c_a$  (equation (6)), the calculation of  $c_a$  must address this interdependence. Our approach was to solve equations (1) and (6) simultaneously by iteration, giving  $c_a$  and  $A_n$ , after determining  $g_{c(\text{tot})}$  and  $c_i/c_a$  and all other physiological input variables independently from information in the fossil record and physiological data. Although long-term  $\text{CO}_2$  manipulation experiments are not a perfect representation of geologic-scale processes, analysis of data from these experiments shows that plants generally adapt to  $\text{CO}_2$  according to the trend predicted by equations (1) and (6) [Franks *et al.*, 2013]. A computer program, written in "R," performed the iterative procedure and a full error propagation analysis via Monte Carlo simulations to obtain mean  $c_a$  with 16–84 percentile error. A fully interactive, user-friendly version of this program is available in the supporting information online. Further details on the parameterization of physiological input variables are given in the supporting information.

## 3. Results and Discussion

### 3.1. Model Boundaries and Sensitivity

We utilize the robust mathematical relationship between  $c_a$ , net  $\text{CO}_2$  assimilation rate ( $A_n$ ), total conductance to  $\text{CO}_2$  ( $g_{c(\text{tot})}$ ), and leaf intercellular  $\text{CO}_2$  concentration ( $c_i$ ) (Figure 1, equation (1); see also Methods) [Farquhar and Sharkey, 1982]. Information preserved in the fossil record is used to derive  $c_i/c_a$  and  $g_{c(\text{tot})}$ . The fractionation of carbon isotopes during photosynthesis causes the carbon products used to synthesize leaf tissue to be relatively depleted in the heavier  $^{13}\text{C}$  isotope [Farquhar *et al.*, 1989]. The assimilation-weighted average of this discrimination against  $^{13}\text{C}$ , quantified as  $\Delta^{13}\text{C}$  [Farquhar *et al.*, 1989], is routinely measured in the carbon-based remains of plants preserved as fossils, such as the highly durable leaf cuticle [Beerling *et al.*, 2002]. A correlation between  $\Delta^{13}\text{C}$  and the ratio  $c_i/c_a$  during photosynthesis is described by a well-validated fractionation model (see Methods) [Farquhar *et al.*, 1982], and this was used to determine  $c_i/c_a$  for fossil leaves. Additionally, to enable incorporation of stomatal data from extensive archives of published anatomical studies in which isotopes were not measured, we developed a regression model for  $c_i/c_a$  through the Phanerozoic from a compilation of Phanerozoic fossil plant  $\delta^{13}\text{C}$  (Figure S1;  $c_i/c_a = 0.6 - (6.4 \times 10^{-4})t + (8.9 \times 10^{-6})t^2 - (2.0 \times 10^{-8})t^3$ , with  $t$  in Myr). The second of the fossil-derived terms,  $g_{c(\text{tot})}$ , integrates the major diffusive conductances on each side of the leaf (see equation (2)).

We determined  $A_n$  based on the assumption that, over the very long term, plants optimize ribulose-1,5 biphosphate (RuBP)-regeneration-limited photosynthesis for the prevailing incident light conditions [Medlyn *et al.*, 2011; Franks *et al.*, 2013], which we assume to be predominantly full sunlight [Kürschner, 1997]. The expression for RuBP-regeneration-limited photosynthesis as a function of  $c_a$  [Farquhar *et al.*, 1980; Franks



**Figure 2.** Model boundaries. Atmospheric CO<sub>2</sub> concentration ( $c_a$ ) is shown for realistic combinations of  $c_i/c_a$  (ratio of leaf intercellular to ambient CO<sub>2</sub> concentration) and CO<sub>2</sub> drawdown from atmosphere to leaf interior (as determined by assimilation rate,  $A_n$ , divided by total conductance to CO<sub>2</sub>,  $g_{c(tot)}$ ).

*et al.*, 2013] (see Methods) may therefore be used to describe the relative change in  $A_n$  with  $c_a$  over the long term. We emphasize that this is not a dynamic stomatal model that predicts instantaneous values; rather it uses the basic gas exchange equation and integrated mean typical values of its components,  $c_i/c_a$ ,  $g_{c(tot)}$ , and  $A_n$ , to determine a mean typical  $c_a$  for those conditions. Because of the interdependence of  $A_n$  and  $c_a$  (Figure 1), equations (1)–(3) are solved simultaneously by iteration to yield  $c_a$  (see Methods). The limits of  $c_a$  estimated with our model are well bounded by the natural operating ranges of the key physiological variables  $A_n$ ,  $g_{c(tot)}$  and  $c_i/c_a$  (Figure 2).

We undertook a thorough analysis of errors in the  $c_a$  calculations by simultaneously propagating uncertainties in all input terms using

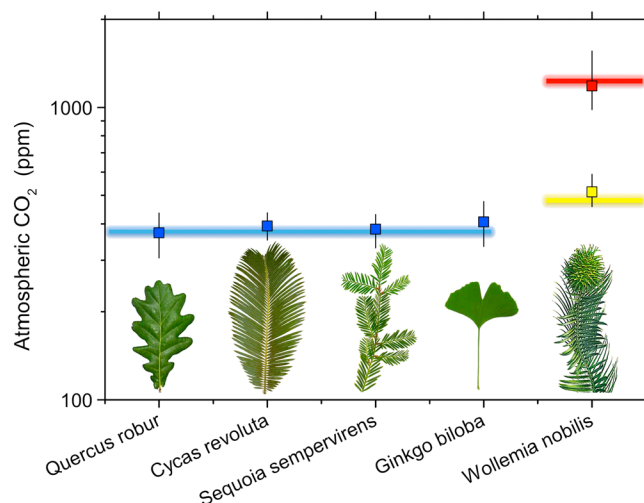
Monte Carlo simulations (10,000 random samples) to characterize uncertainty in model behavior when applied to modern and fossil data sets. Standard errors of the mean (s.e.m.) were measured directly for stomatal dimensions (from which  $g_{c(max)}$  is calculated), the ratio  $g_{c(op)}/g_{c(max)}$ , and the  $\delta^{13}C$  of atmosphere and plant tissue (from which  $c_i/c_a$  is calculated). For the other variables and scaling factors we assigned mean values based on published data and assumed  $\pm 10\%$  of the mean typically represented  $\pm 2$  standard deviation [s.d.; Schulze *et al.*, 1994; Franks and Farquhar, 1999]. Uncertainties in all input terms were modeled to behave in a Gaussian manner.

In comparison to other leading paleo-CO<sub>2</sub> proxies (see supporting information), our new approach is at least as accurate, with the advantage of being free from unbounded errors at high [Royer *et al.*, 2001] or low [Breecker *et al.*, 2010]  $c_a$ . Nonlinearity of the relationship between  $A_n$  and  $c_a$  (see Methods) results in larger absolute errors at higher  $c_a$  compared to lower  $c_a$  for any given error in the model input term  $g_{c(tot)}$ . However, these errors remain well constrained and are relatively consistent as a percentage of the mean  $c_a$ . To test the effects of additional error in the baseline values for  $A_0$  and  $g_{c(op)}/g_{c(max)}$ , we ran the Monte Carlo simulations with  $\pm 20\%$  as 2 standard deviations in these terms, and this resulted in little change ( $< 3\%$ ) in the overall confidence interval of the model outputs.

### 3.2. Validation Against Modern CO<sub>2</sub> Measurements and Ice Cores

The method for calculating  $c_a$  was validated against independent measurements from three recent time periods. For comparison against current day  $c_a$ ,  $\Delta^{13}C$ , stomatal anatomy, and  $A_0$  were measured on living plants (Figure 3). In this case four extant species were chosen to represent a broad cross section of fossil plants: an angiosperm tree (*Quercus robur*) and four gymnosperms (*Sequoia sempervirens*, *Ginkgo biloba* and *Cycas revoluta*). The mean error in calculated current day  $c_a$  was within 2.6% (Figure 3, compare blue symbols with respect to blue reference line), which is comparable to three other leading CO<sub>2</sub> proxies (mean error rate = 8%, 12%, and 67% for the boron, alkenone, and paleosol carbonate methods; see supporting information). This is improved significantly when the data for all species are pooled, suggesting that CO<sub>2</sub> estimates may be more robust when multiple taxa are used for a given geological time. Model tests with an additional gymnosperm, *Wollemia nobilis*, grown in controlled environment chambers for 8 months at 480 and 1270 ppm atmospheric CO<sub>2</sub> yielded estimates of  $c_a$  that were within 7% of actual (Figure 3, compare yellow and red symbols from model output with yellow and red reference lines, respectively).





**Figure 3.** Model validation with extant species. Modeled  $c_a$  (symbols; error bars span 16–84 percentiles) closely matches the value of  $c_a$  in which the sample leaves grew (colored lines). Blue line represent current ambient atmospheric  $\text{CO}_2$  concentration in which *Quercus robur*, *Cycas revoluta*, *Sequoia sempervirens*, and *Ginkgo biloba* were growing under natural conditions outdoors; yellow and red lines represent, respectively, 480 and 1270 ppm atmospheric  $\text{CO}_2$  concentration inside controlled environment chambers where *Wollemia nobilis* was grown.

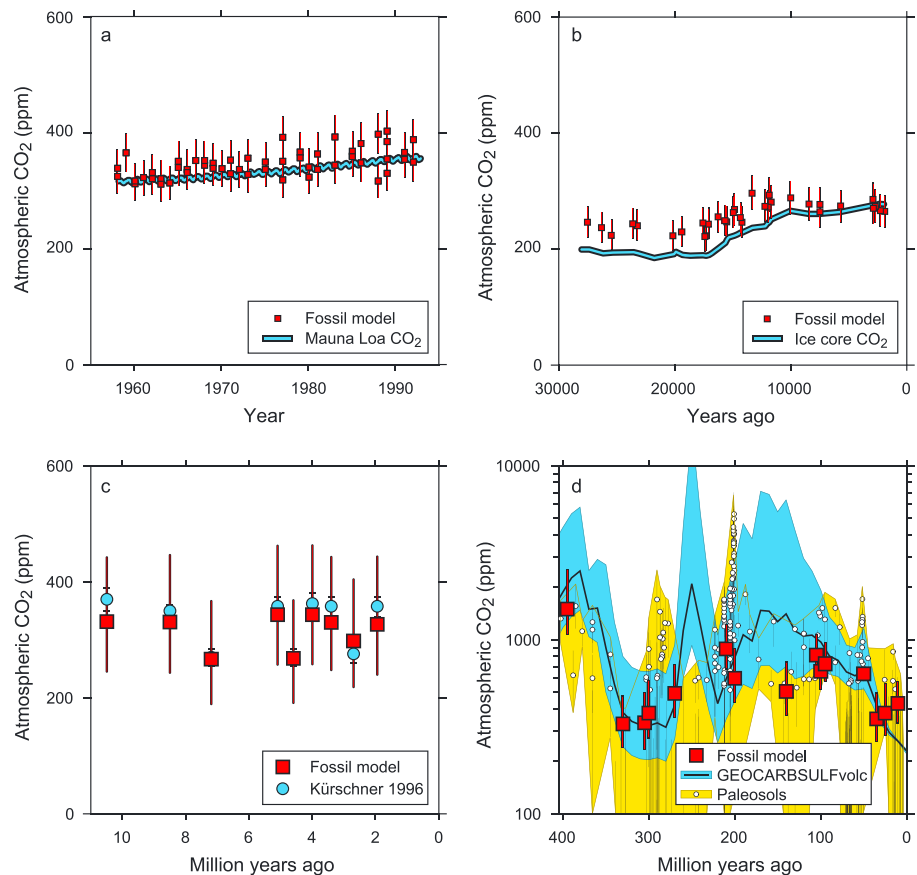
For comparison against the Anthropocene rise in  $c_a$  measured between 1958 and 1992 at the Mauna Loa observatory (Figure 4a) and in ice cores representing the  $c_a$  spanning the Pleistocene-Holocene transition approximately 2–27 kyr before present (Figure 4b), we used measurements of  $\delta^{13}\text{C}$  and stomatal anatomy in naturally preserved leaf material [Wagner *et al.*, 1996; Van de Water *et al.*, 1994]. Calculated  $c_a$  for the period 1958–1992 closely tracks the steady rise in  $c_a$  measured at Mauna Loa (Figure 4a). For the Pleistocene-Holocene transition, modeled  $c_a$  reproduces the sudden rise at ~15–12 kyr before present (Figure 4b). The slight positive offset in the modeled Pleistocene mean  $c_a$  (12–27 kyr before present, Figure 4b) is removed by applying a  $-10\%$  correction to  $A_0$  to account for the  $\sim 1^\circ\text{C}$  lower July growing season

temperature in the Great Basin area at this time [Reinemann *et al.*, 2009]. However, this effect is sufficiently accommodated in the  $\pm 10\%$  error assigned to  $A_0$ , noting that in Figure 4b the ice core  $c_a$  values are mostly within the errors for modeled  $c_a$ . For individual taxa, when input terms are based on well-replicated measurements (s.e.m.  $\sim 5$ – $10\%$  of mean), the 95% confidence interval obtained by Monte Carlo simulations of error propagation is generally within  $-25\%$  to  $+35\%$  of the median  $\text{CO}_2$  estimate (see also Figures 4a–4c).

To further test the model beyond the limits of verification by ice core records, we used  $\delta^{13}\text{C}$  and stomatal dimensions from [Kürschner *et al.*, 1996] to calculate  $c_a$  for the late Neogene to early Pleistocene ( $\sim 10$ – $2$  Myr ago; Figure 4c). In terms of accuracy, the calculated mean  $c_a$  values are remarkably similar to those in [Kürschner *et al.*, 1996], where stomatal index was used as a proxy for  $c_a$ . Note that in this case the errors are not comparable due to different error calculation methodologies. The results show  $c_a$  to be relatively stable between  $\sim 280$  and  $370$  ppm, with low values at approximately  $6.4$  and  $4.4$  Myr ago. These estimates are consistent also with those from other proxy methods [Beerling and Royer, 2011], except that marine alkenone and boron proxies show  $c_a$  peaking close to  $500$  ppm from about  $3$  to  $6$  Myr ago [Seki *et al.*, 2010].

### 3.3. Phanerozoic $\text{CO}_2$

Calculated  $c_a$  is below  $1000$  ppm for most of the Phanerozoic, from the Devonian onwards (Figure 4d, red symbols). Overall, the trend in Phanerozoic  $c_a$  follows that of the GEOCARBSULFvolc long-term carbon cycle model (Figure 4d, black line), except for the late Mesozoic ( $\sim 140$  Myr ago) where the fossil model yields somewhat lower  $c_a$ . The highest  $c_a$  since the Devonian occurs during the Mesozoic greenhouse interval ( $\sim 240$ – $60$  Myr ago) while  $c_a$  is at its lowest, near current day values, during the glacial intervals of the late Paleozoic ( $\sim 300$  Myr ago) and late Cenozoic (last  $34$  Myr). Fluctuations in atmospheric  $\text{O}_2$  throughout the Phanerozoic would have had a small influence on  $\text{CO}_2$  assimilation rate, but the effect of this on the  $c_a$  estimates, including the biggest excursion in  $\text{O}_2$  around the Carboniferous-Permian transition [Berner *et al.*, 2007], is accounted for both through its effects on leaf  $c_i/c_a$  ratios [Beerling *et al.*, 2002] and in the model through the  $\pm 10\%$  error assigned to  $A_0$ . Moreover, elevated  $\text{O}_2$  lowers the estimates of  $\text{CO}_2$ , reinforcing our general conclusion of  $<1000$  ppm  $\text{CO}_2$ .



**Figure 4.** Atmospheric  $\text{CO}_2$  concentration,  $c_a$ , calculated for different geological timescales. All red symbols are  $c_a$  values calculated from fossil leaves (or, for panel a, leaves preserved in peat). Error bars on red symbols span 16–84 percentiles (similar to  $\pm 1 \sigma$ ), unless noted otherwise. (a) Validation against direct measurements of  $c_a$  (blue line) at Mauna Loa observatory, Hawaii, from 1958 to 1994 [Tans and Keeling, 2012], using leaf information for *Betula pendula* from Wagner *et al.* [1996]; (b) validation against measurements of  $c_a$  from ice cores [Smith *et al.*, 1999; Monnin *et al.*, 2004] (blue line) for 27–2 kyr before present, showing the Pleistocene-Holocene transition, using fossil information for *Pinus flexilis* from Van de Water *et al.* [1994]; (c) comparison with stomatal index-based estimates [Kürschner *et al.*, 1996] (blue symbols; associated bars represent s.e.m.) for ~10–2 Myr before present, using fossil information for *Quercus petraea* in Kürschner *et al.* [1996]; error bars represent 18 and 84 percentiles to allow better comparison with the stomatal index-based estimates; (d)  $c_a$  modeled using fossil information for multiple species from published studies (see Table S7), compared with estimates from GEOCARBSULFvolc (black line; 10–90 percentile error in blue; [Berner, 2008; Royer *et al.*, 2007]) and paleosol carbonate (updated from Park and Royer [2011]; error envelope in yellow). Paleosol estimates are revised with downward-corrected soil respiration term  $S(z)$  following Breecker *et al.* [2010]. For paleosol estimates under 500 ppm, only the error ranges are plotted, in keeping with the general loss of precision of this method at low  $\text{CO}_2$ .

Overall, errors are better constrained across the Phanerozoic in the fossil model than in GEOCARBSULFvolc and the revised (downward-corrected soil respiration term  $S(z)$  [Breecker *et al.*, 2010]) paleosol carbonate proxy (Figure 4d; compare height of the blue and yellow bands with hash marks on red symbols) and—especially at high  $c_a$ —traditional stomatal approaches [Royer *et al.*, 2007]. These errors will improve in future applications of the fossil model where  $c_i/c_a$  is calculated from direct measurements of plant  $\delta^{13}\text{C}$  rather than using the regression model, reducing the standard error of estimated  $c_i/c_a$ .

Our results highlight a fundamental transition in Earth's atmosphere following the evolution of forests in the mid Devonian (~390 Myr ago) [Stein *et al.*, 2012]. Up until this point, according to both the GEOCARBSULFvolc and fossil models,  $c_a$  exceeded 1000 ppm. However, for the remainder of the Phanerozoic  $c_a$  was less than 1000 ppm, consistent with the emergence of global forests that captured and sequestered vast amounts of carbon from the atmosphere [Berner, 2003]. Forests also enhanced silicate mineral weathering, further removing  $\text{CO}_2$  from the atmosphere via the deposition of carbonates in deep ocean sediments [Berner, 2003]. We emphasize that our low-resolution record bears most directly on long-term fluctuations in atmospheric  $\text{CO}_2$ ;

we cannot exclude the possibility for short-term CO<sub>2</sub> excursions exceeding 1000 ppm, for example during the mass extinction at the Triassic-Jurassic (T-J) transition ~201 Myr ago [McElwain *et al.*, 1999; Steinhorsdottir *et al.*, 2011].

Our new Phanerozoic CO<sub>2</sub> record provides critical empirical support for the view that  $c_a$  has largely remained under 1000 ppm since the Devonian (the last ~350 Myr) [Breecker *et al.*, 2010]. Until now, this view was based mainly on reanalysis of  $c_a$  estimates from the isotopic composition of carbonates in paleosols, the only extensive proxy record to span most of the Phanerozoic. Compared with the revised paleosol record (Figure 4d, yellow envelope) our calculations of Phanerozoic  $c_a$  produce similar mean values but with less uncertainty, although adjusting the S(z) correction to account for different soil orders could improve the uncertainty in the paleosol record (see supporting information).

It emerges that long-term atmospheric CO<sub>2</sub> concentration since the Devonian is constrained between the lower and upper limits of ~200 to 1000 ppm, possibly via strong negative feedbacks in the geochemical carbon cycle. Terrestrial plants may play a central role in this process. Stabilization of  $c_a$  at the lower limit of 200–250 ppm during the past 24 Myr appears to result from a strong negative feedback in the form of attenuation of silicate rock weathering as terrestrial vegetation approaches CO<sub>2</sub> starvation [Pagani *et al.*, 2009]. Conversely, accelerated silicate weathering at elevated atmospheric CO<sub>2</sub> may be a dominant negative feedback helping to keep  $c_a$  below 1000 ppm.

These new constraints on Phanerozoic  $c_a$  will greatly assist in establishing benchmarks for Earth system sensitivity to CO<sub>2</sub> (also known as “slow-feedback” or “long-term” climate sensitivity). If peak Phanerozoic  $c_a$  is of the order of 1000 ppm then either slow-feedback climate sensitivity is greater than the canonical fast-feedback value of 3°C for 2 × CO<sub>2</sub> [Solomon *et al.*, 2007], or global temperatures have been no warmer than ~6°C above preindustrial conditions. The latter possibility is at odds with most paleotemperature records [Schouten *et al.*, 2003; Tripathi *et al.*, 2003; Pearson *et al.*, 2007; Littler *et al.*, 2011; Royer *et al.*, 2012]. As we face a potential doubling or tripling of  $c_a$  from its preindustrial value by the end of this century [Solomon *et al.*, 2007], a long-term climate sensitivity exceeding 3°C for CO<sub>2</sub> doubling has important ramifications for a range of critical global economic, social, and political issues [Hansen *et al.*, 2013]. The possibility of higher climate sensitivity to CO<sub>2</sub> in the face of inevitable increases in atmospheric CO<sub>2</sub> concentration should be considered within the framework of climate adaptation policy.

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## Erratum

In the originally published version of this article, equation (6) was incorrectly typeset. The equation has since been corrected, and this version may be considered the authoritative version of record.