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Assembly Mechanism and the Morphological Analysis of the Robust Superhydrophobic Surface

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Abstract: Robust superhydrophobic surfaces are fabricated on different substrates by a scalable spray coating process. The developed superhydrophobic surface consists of thin layers of surface functionalized silica nanoparticle (SiO₂) bound to the substrate by acrylate-polyurethane (PU) binder. The influence of the SiO₂/PU ratio on the superhydrophobicity, and the robustness of the developed surface, is systematically analyzed. The optimized SiO₂/PU ratio for prepared superhydrophobic surface is obtained between 0.9 and 1.2. The mechanism which yields superhydrophobicity to the surface is deduced for the first time with the help of scanning electron microscopy and profilometer. The effect of mechanical abrasion on the surface roughness and superhydrophobicity are analyzed by using profilometer and contact angle measurement, respectively. Finally, it is concluded that the binder plays a key role in controlling the surface roughness and superhydrophobicity through the capillary mechanism. Additionally, the reason for the reduction in performance is also discussed with respect to the morphology variation.

Keywords: robust superhydrophobic surface; surface assembly mechanism; surface disintegration mechanism

1. Introduction

Recently, studies regarding biomimetic have been actively carried out as attempts to advance material technology. Super-strong fibers mimicking spider fibers and anti-reflective displays mimicking moth eyes are representative examples. Among them, smart surfaces, which mimic the self-cleaning ability of the lotus, have attracted scientific attention for the past few decades [1–3]. It is generally accepted that superhydrophobic surfaces—contact angle >150° and hysteresis <10°—are able to bounce off water droplets without wetting its surface [4–7]. Efforts to mimic natural superhydrophobicity has lead researchers to fabricate artificial superhydrophobic surfaces which can be applied for a variety of applications, including self-cleaning window and panels, anti-icing, water-resistant fabrics, anti-fouling, drag reduction, corrosion resistance, etc., [8–12]. These properties are used to provide waterproof properties to electronic devices [10], or to provide self-cleaning and anti-fouling functions that remove dirt, viruses, and bacteria from medical apparatuses [13].

The essential requirements for a surface to be superhydrophobic is that it should have low surface energy and complex nano-scale surface morphology as suggested by the Cassie-Baxter model [14–18]. Studies have demonstrated that solid surface with increased surface roughness can



enhance superhydrophobicity [6,19]. The rough structure can trap air inside, and pushes off the water droplets which comes in contact with the surface. The underlying mechanism is based on the extreme reduction in contact surface area due to the nanostructure, which helps easy sliding of water droplets [20,21]. Thus, it is necessary to tailor a rough structure for achieving superhydrophobicity.

In order to control surface roughness, several methods have been adopted, including the sol-gel process, chemical etching, layer-by-layer assembly, electrostatic spinning, chemical vapor deposition, and lithographic process [2,17–23] However, these methods have issues, such as vulnerable mechanical strength, process complexity, high investment costs, limited substrate selection, and difficulty in mass production. These issues have been raised, but in particular, poor durability in mechanical strength have been emphasized. Remarkable methods have been proposed for enhancing the mechanical properties of superhydrophobic surfaces [24]. One of these methods is the protection of fragile fine-scale surface topographies against mechanical wear by assembling larger scale sacrificial micro-pillars on the polymer surfaces. When the micro-scale structures are built upon surfaces with nano-level roughness, the durability of compression could be improved up to 120 kPa [25]. However, the production process for such structures is complicated, as the self-cleaning function is affected by the ratio and patterns of the hierarchical structures. Meanwhile, studies using the pyramid structure have indicated that superhydrophobicity is retained after mechanical abrasion. When mechanically worn, the nano-roughness is ensured by sacrificing the tips of pyramids [26]. However, the surface is vulnerable under large and continuous stress. To date, the demand for the robustness of the superhydrophobic surface has not subsided. Recently, a smart approach to increase mechanical durability has been proposed by applying superhydrophobic paint on commercial adhesives to implement properties on various substrates, and to promote robustness [27,28]. This is a simple and flexible method that can be used for mass-production. Even though there are plenty of reports about spray-coating of superhydrophobic surfaces on various substrates [29–31], the study lacks knowledge about the assembly mechanism in the early stage of surface formation. In this work, we synthesized superhydrophobic surfaces by spray coating surface treated SiO_2 agglomerates on dissolved polyurethane (PU) (binder) which are deposited on various substrates. In order to investigate the assembly mechanism, the morphology of the superhydrophobic surfaces was controlled systematically by adjusting the weight ratio of the sprayed particles and the binder. The prepared samples were mechanically abraded by using a rubbing machine. The surface with optimal SiO₂/PU ratio presented robustness that maintained its superhydrophobicity after many cycles of mechanical abrasion. The assembly mechanism responsible for superhydrophobicity in these coatings were studied with the help of scanning electron microscopy and roughness analysis. Furthermore, the degradation in superhydrophobicity (as a result of the collapse of the micro-protuberances) is due to the applied stress is also discussed through roughness analysis. The underlying phenomenon for the formation of the superhydrophobic surface is the creation of two-length scale rough surface on the spray-coated substrate. All of the previous studies analyzed only the effect of particle/polymer ratio on the creation of such surfaces, could not shed light into the mechanism of how these micro/nano roughness are created on the surface of dissolved binder. In this article we report the experimental evidence of binder penetration through the porous SiO₂ agglomerates, and through capillary mechanism, which is responsible for the formation of a robust rough surface. The systematic investigation on the assembly mechanism and the behavior of the sprayed SiO₂ particles presented here will help industrialists to develop mechanically durable superhydrophobic surfaces.

2. Materials and Methods

2.1. Preparation of Hydrophobic Solution

A superhydrophobic solution was prepared through different steps. Initially, 2 g of SiO₂ powder (5–15 nm, Sigma Aldrich, Saint Louis, MO, USA) and 40 mL of absolute ethanol were mixed in a beaker. Since SiO₂ is hydrophilic in nature, surface treatment should be done to make it hydrophobic.

It was done by adding 2 mL of Octadecyltrimethoxysilane (OTS, 90%, Sigma Aldrich) into the stirring solution, and the stirring was continued for 2 h. The mixed solution was then poured on to a glass dish and allowed to dry at room temperature for 8 h. The superhydrophobic solution was obtained by dispersing the dried powder in 100 mL of absolute ethanol for 3 h.

2.2. Preparation of Superhydrophobic Coating

Glass slide, aluminum sheet, alumina plate, and artificial fibers were used as the substrate for fabricating superhydrophobic surfaces. For cleaning, all substrates were ultra-sonicated successively for 10 min in ethanol and distilled water, then dried with nitrogen gas. The acrylic polyurethane (PU) resin and curing agent (HS Clear, KCC, Seoul, Korea) prepared in a ratio of 2:1 was stirred manually. The substrate was then spin-coated at 200 RPM with the dissolved PU for 30 s. Immediately, the hydrophobic solution was spray-coated on the surface of the PU coated substrate. In addition, each surface was constructed by systematically adjusting the amount of spray of the superhydrophobic solution. The sample was then cured for 30 min in a hot air oven. The weight of the binder and the sprayed particles was measured after drying completely.

2.3. Characterization

For particle size analysis, the solution was diluted in ethanol and evaluated by measuring contact angle using laser scattering particle size distribution analyzer (LA-950V2, HORIBA, Kyoto, Japan). Superhydrophobicity of the spray-coated substrates were confirmed by contact angle analyzer (Phoenix-10, SEO, Kromtek Sdn Bhd, Shah Alam, Malaysia). The advancing and receding angles of the water droplets on each surface were measured, and the hysteresis was calculated. The surface morphology of each sample was characterized by SEM (SM-300, TOPCON, Tokyo, Japan). Furthermore, the surface roughness measurement of each sample was carried out by Surface Profilometer (Dektak, VEECO, Plainview, NY, USA). For the evaluation of the mechanical durability of superhydrophobic surfaces, the abrasion rubbing machine (KP-M4250, KIPAE, Gyeongju, Korea) was used. The coated substrates under test were fixed on a leveling plate and loaded with a regulated load using a home-made device. We controlled the pressure on coated substrates and measured the changes in contact angle after the abrasion. Ultimately, the durability of the coated surface was identified.

3. Results and Discussion

The fabrication process for hierarchical superhydrophobic surfaces was schematically shown in Figure 1. [32,33]. Particle size analysis of the surface treated and dried SiO₂ agglomerates are shown in Figure 1a. In order to make SiO₂ hydrophobic, the agglomerated powder should be surface functionalized with some suitable agent. Sriramulu et al. has investigated the mechanism of functionalization of silane coupling agent, such as OTS on SiO₂ nanoparticles, for application in superhydrophobic surfaces. They demonstrated that surface functionalization of silica nanoparticle reduces the adsorption of water [34]. The alkylalkoxysilanes like OTS can replace the alkoxy group with –OH in the polar solvent. It requires hydroxylated surface as a substrate for their association. The silanes on the surface of SiO₂ replaced with –OH is adsorbed on the substrate, and then they form Si–O–Si bonds through dehydration and polymerization [35,36]. Through the reaction with OTS, the surface energy of the SiO₂ reduced and hydrophobicity is increased [7]. These surface functionalized SiO₂ agglomerates are sprayed onto the dispersed binder film on the substrate and then cured at 60 °C for 30 min. Applying this technique, the surfaces were fabricated by controlling roughness and hydrophobicity on various substrates.



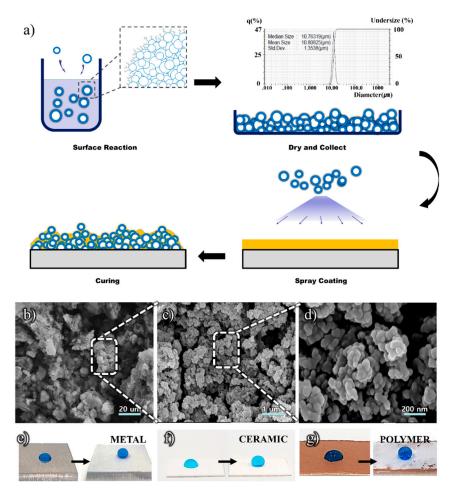
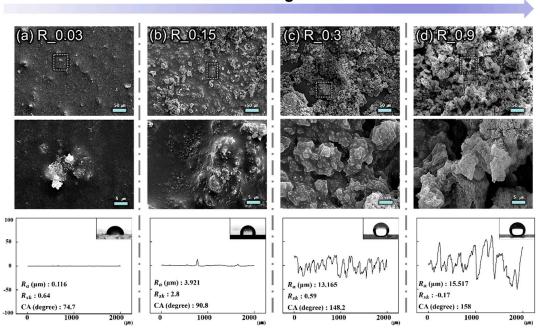


Figure 1. (a) Schematic for the evolution of rough surface using the spray-coating technique, (**b**–**d**) Surface morphology of the superhydrophobic surface structure at different magnifications, (**e**–**g**) Digital images of water droplets on the various superhydrophobic surfaces.

Figure 1b-d shows the surface morphologies of the superhydrophobic surface at different magnifications. It is clear from the figure that fine nanoparticles are clustered to form a surface with higher roughness, which is considered as one of the essential requirements for superhydrophobicity. Additionally, the observed porosity inside the structure can trap air and thereby reduce the contact area of water drops with the surface, which is critical for enhancing superhydrophobicity. In short, superhydrophobicity could be achieved by using hierarchical arrangements of micro/nano-sized structure. Ironically, poor dispersion of SiO_2 nanoparticle is preferably effective in making such a rough hierarchical surface. The proposed technique for making superhydrophobic surface has greater advantages over conventional methods—in this case, there is a wider possibility of application of any type of solid substrate. It is believed that superhydrophobicity is only affected by the assembly of the binder and surface modified particles. For example, on a variety of substrates made of materials, such as metal, ceramic, and polymers, superhydrophobicity was successfully obtained, and is shown in Figure 1e–g. There is no shape change observed for ceramic substrates, due to its inherent rigidity and stiffness. This method is applicable to any substrate to which the binder has good adhesion. In our work, we used acrylate-containing PU as a binder, which has many advantages, including good mechanical properties, low-temperature curability, solvent resistance, etc., [37,38].

We systematically investigated the influence of filler and binder on the roughness of the coated substrates by controlling the powder to binder weight ratio. Figure 2a–d shows the SEM image and roughness profile of the spray-coated substrates with different SiO₂/PU ratio (denoted as R_0.03, R_0.15, R_0.3, R_0.9). The weight of the PU coating was measured in an indirect way—by subtracting the

weight of the substrate before coating from that of the substrate after coating. The same procedure was adopted for measuring the weight of the SiO₂ nanopowder. It should be noted that all the weights were taken on dried samples. After analyzing the SEM images (Figure 2a), it is clear that the low SiO₂/PU weight ratio (R_0.03) is not enough to achieve a high roughness for getting superhydrophobicity. The measured roughness for R_0.03 is 0.116 μ m, the corresponding contact angle is 74.7°, which is well below the par level to achieve superhydrophobicity. Also, the particles are seen almost immersed in the binder matrix, as a result of the action of binder to minimize its surface energy [19,39]. Moving on to Figure 2b, the increased weight ratio (R_0.15) has improved the surface roughness to a much higher value of 3.921 μ m, still, the contact angle is very low (90.8°) to provide superhydrophobicity. Increasing weight ratio to higher values, for example, to 0.3 and 0.9, could enhance the surface roughness to 13.165 μ m for R_0.3 and 15.517 μ m for R_0.9, respectively. Corresponding contact angles are 148.2° and 158°, which clearly indicates that the surface is superhydrophobic. In Figure 2d, a large scale-roughness with 15.517 μ m was detected, but the change of the contact angle and R_a was reduced. The stage of R_0.3 and R_0.9 show the surface completely covered by particles. Thus, the surface roughness increases by the number of the sprayed particle, but tends to be no longer increased above a certain level.



Particle weight ratio

Figure 2. SEM images, roughness profiles and contact angle images of the surface with different weight ratios of the particles to the binder of (**a**) R_0.03, (**b**) R_0.15, (**c**) R_0.3, and (**d**) R_0.9.

The schematics for the SiO₂ nanoparticle assembly mechanism on PU are shown in Figure 3. When agglomerated SiO₂ nanoparticles sprayed on to the surface of the binder, the binder ascends and holds the nanoparticles. As explained earlier, this occurs as a result of the action of binder to minimize surface energy [40,41]. Meanwhile, to minimize the surface energy, it is confirmed that the PU covers the particles (SEM image of Figure 2a). Creation of multimodal texture, is essential for fabrication of superhydrophobic surface with particles having lower hydrophobicity, can be done either by aggregation of particles directly on the substrate or by deposition of dispersed aggregates. Conventionally, these dispersions are directly coated on the substrate. Thus, the peculiarities of the formed structures are the result of the interplay between various forces, such as van der Waals interactions, depletion interaction, ion-electrostatic repulsion and ultimately the image-charge forces and the structural forces, particularly its polarity and magnitude determine the properties of the final system. Since superhydrophobicity is a surface feature, which depends only on the nature of a few

monolayers on the surface, we have the freedom to choose any material which can strongly bond the surface layer with the substrate [32]. In our experiment, we used strongly adhering polyurethane coating on the substrates and sprayed the highly agglomerated and surface functionalized SiO₂ nanoparticles (Agglomerated average particle size = $10 \mu m$) dispersed in ethanol (Figure 1). There is a sharp rise in the binder level above its mean surface, as shown in the cross-sectional SEM image in Figure 3, which let us attribute capillary action of the pre-coated binder as the responsible mechanism for creating such a rough surface with plenty of micro-protuberances. Because of the fine space between the sprayed particles, the binder can effectively infiltrate and surround. Due to the surface tension of the penetrated binder, it was dragged up to the top of the SiO₂ agglomerates. This continuous process would finally yield a rough surface with plenty of hills and valleys, which can trap air inside, and, thus, forms a perfect superhydrophobic surface.

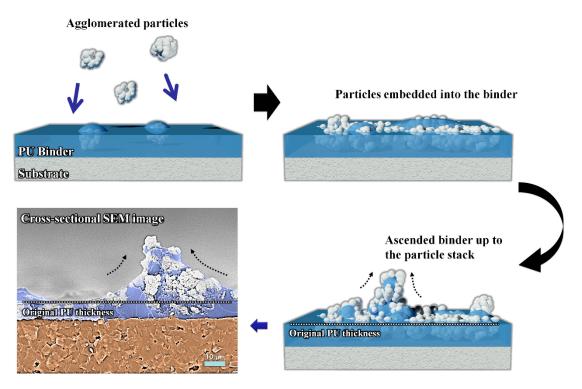


Figure 3. Schematic image of the surface structure assembly mechanism and the fractured SEM image of the sprayed superhydrophobic surface (False color).

One of the obstacles preventing superhydrophobic surfaces from its application in many fields is its poor stability against abrasive wear [42]. It is known that during the friction cycle, the micro-protuberances are easily broken, the surface becomes smoother, and eventually superhydrophobicity will be lost. Polyurethane (PU) based elastomers have a successful history of application on improving mechanical properties of superhydrophobic surfaces, since they can retain the structure even after abrasion. Changhong et al. have fabricated superhydrophobic surfaces on porous Al template using PU elastomers, they could keep superhydrophobicity even after 10,000 cycles of rubbing at 18 cm·s⁻¹ with a load of 2945.7 Pa [43]. As evident from Figure 3, the SiO₂ nanoparticles are completely surrounded by PU binder. The presence of binder in the composite structure can resist or partially absorb mechanical stresses applied to it. The durability of the superhydrophobic surface was evaluated through abrasion testing. Milionois et al. and Bayer et al. have extensively reviewed the available superhydrophobic materials and commented on their mechanical integrity. There are various techniques to analyze mechanical durability of a superhydrophobic surface, such as adhesion tape peeling, sand abrasion test and tangential abrasion test (Table 1) [11,44,45]. In this work,

Table 1. Comparison of abrasion wears resistance with the previous reports on the superhydrophobic surface based on spray coating of composited materials.

Superhydrophobic Material/Method	Total Abrasion Cycles	Load	Reference
MoS ₂ /PU (spray coating)	Over 100 m rubbing distance	500 g	Tang et al. [46]
SiO ₂ /Starch (spray coating)	17	1.1–2.1 kPa	Milionis et al. [47]
SiO ₂ /Siloxane (spray coating)	10	<10 kPa	Chen et al. [48]
SiO ₂ /EAC (spray coating)	10	40 kPa	Tenjimbayashi and Shiratori [49]
Grephene/PU (spray coating)	30	15 kPa	Naderizadeh et al. [45]
SiO ₂ /PU (spray coating)	100	3.138 kPa	Our study

For the evaluation, the advancing and receding contact angle was measured before and after the abrasion. The rubbing test was conducted, as shown in the inset of Figure 4, applying 3.138 kPa load on it. In Figure 4, the effect of abrasion on superhydrophobicity was plotted for surfaces with varying powder/binder (R_) ratio. It is primarily understood from the figure that useful results are obtained only for surfaces with R_ from 0.9 to 1.2. In the proposed range, the advancing contact angle is 158° – 159° , and the hysteresis is 4° – 5° before rubbing. Surprisingly, even after rubbing, the advancing contact angle is between 153° and 154°, and hysteresis between 7° and 9°. One of the criteria for superhydrophobic surfaces-hysteresis less than 10° is well maintained from 0.9 to 1.2. It is only possible in a range of ensuring durability by compositing the surface structure mentioned above. For R_ values less than 0.9 and greater than 1.2, there is a big difference between advancing and receding angle before and after abrasion. In surfaces with lower R_{_} ratios, as clear from Figure 2, the roughness is too low. For R_0.2, there was a sudden fall in advancing angle after abrasion from 147° to around 125°, which cannot retain superhydrophobicity. After abrasion, the advancing angle of R_0.4 reduced from 155.6° to 147.2° with a hysteresis of 4.6°. Even a very small change in protuberance height can offer a very high fall in contact angle. For higher R_ values, R_ greater than 1.2 all substrates showed superhydrophobicity before rubbing (advancing angles $\geq 158^{\circ}$, hysteresis $\leq 6^{\circ}$). However, it cannot maintain non-wetting after abrasion, and, consequently, the measured advancing angles of R_1.3 and R_2.1 reduced to 141° and 132°, respectively. Loss of superhydrophobicity is expected due to the poor attachment of SiO₂ nanoparticles with the PU binder, since the spray-coated thickness is above the penetration level of binder. Hence, any type of mechanical wear can wash away the surface roughness and make it flatter. A second observation is that irrespective of the R_ ratio, abrasion has reduced the contact angle of all substrates. In the given fabrication mechanism, even if the structure is broken, due to the destruction of the binder by abrasion, the SiO₂ particles can be exposed on the surface, and the roughness and hydrophobicity can be maintained. Thus, the durability of the surface against mechanical abrasion can be improved to higher levels than the conventional nanostructured superhydrophobic surface. A comparison of the abrasion test with other available literature data are presented in Table 1: The obtained results are promising and comparable with the literature data.



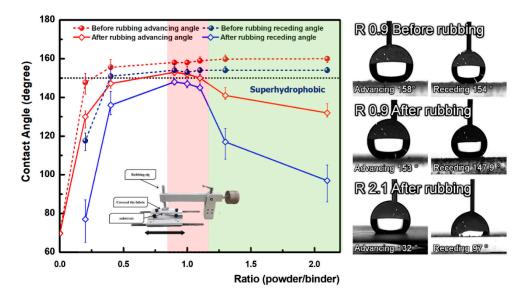


Figure 4. Advancing and receding contact angles with different particle weight ratio surfaces, before and after mechanical abrasion test.

For an in-depth investigation of mechanical abrasion on change in surface structure and performance degradation, we analyzed the degraded surface by SEM and profilometer. Generated roughness and degradation in superhydrophobicity can be evaluated by measuring average surface roughness, R_a and skewness, R_{sk} . The R_a , average roughness, is the arithmetic average of the absolute values of the profile heights over the evaluation length. Skewness is a measure of the symmetry of height distribution and provides details about the number of hills and valleys on the surface. R_a and R_{sk} can be calculated by the following equations [50],

$$R_{\rm a} = \frac{1}{N} \sum_{i=1}^{N} \left| Z_i - \overline{Z} \right| \tag{1}$$

$$R_{\rm sk} = \frac{1}{R_{\rm q}^3} \frac{1}{N} \sum_{i=1}^{N} (Z_i - \overline{Z})^3$$
(2)

where *N* equal to 18,000, is the total number of measured points for a scan length of 2 mm, R_q is root-mean-square (RMS) roughness which is calculated by the profilometer software from the measured height data, \overline{Z} is the mean-height distance, and surface height data Z_i obtained from scan area. SEM images of Figures 2a–d and 5c,d indicate that with an increase in the amount of sprayed articles, the surface roughness also increases. Due to the increased roughness, the number of air pockets also increases, and superhydrophobicity is achieved. However, surface roughness (R_a) itself is not a suitable parameter to explain the superhydrophobicity—we should depend on other factors, such as skewness (R_{sk}), for a complete interpretation. Negative skewness indicates a greater percentage of the profile is above the mean line, and a positive value indicates a greater percentage is below the mean line. When the absolute value of R_{sk} approaches zero, the shape of the surface tends to be regular and symmetric. For example, if R_{sk} is a high positive value, it would tend to have a large number of hills, and few valleys on the surface [50,51]. Since the basic strategy behind constructing superhydrophobic surfaces are creating microscale rough structures with long range order and symmetry, skewness can provide more information on this phenomenon.

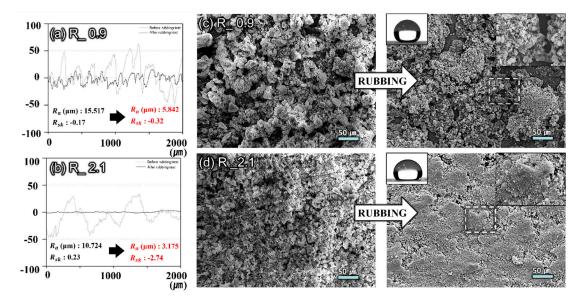


Figure 5. Roughness profiles before and after rubbing the surface with (**a**) R_0.9 (**b**) R_2.1 and SEM images before and after rubbing and contact angle images after rubbing the surface with (**c**) R_0.9 (**d**) R_2.1.

Figure 5a,b shows the roughness data of the surface of R_0.9 and R_2.1 before and after abrasion test. For R_0.9, even though the roughness has undergone a threefold reduction from 15.517 to 5.842 μ m, due to the abrasion, the comparatively similar skewness tells us that the profile is still symmetric and regular. Since the difference in $R_{\rm sk}$ before and after abrasion is negligible, the superhydrophobicity could be maintained [52]. These observations are in well agreement with the surface morphology of R_0.9 (Figure 5c). By contrast, R_2.1 shows a large change in R_a and R_{sk} after rubbing. A remarkable reduction in R_a from 10.7 to 3.17 µm is observed, whereas R_{sk} reached a very low value of -2.74 after prolonged rubbing. The reason for the decreased contact angle can be attributed to the value of skewness. As described earlier, a negative R_{sk} means that the roughness parameter is tilted up. Since $R_{\rm sk}$ shows high negative value, it could be predicted that the surface had few numbers of deep valleys and relatively flat top surface. It is consistent with the SEM images of Figure 5d. In fact, in the analysis by SEM observation, there are no more protuberances observed on the surface of R_2.1 after rubbing (Figure 5d), and a flattened surface is obtained. The flat surface with few deep valleys is not accounted for by the collapse of surface structures as reported previously. This flatness is the result of the removal of particles from the top of the hills and its deposition in the deep valleys. Since R_2.1 contains a quantity of SiO₂ powder greater than its accommodation limit, there can be few layers of particles which are ahead of the penetration limit of PU, are loosely attached. On rubbing, these particles are easily removed from the top and deposited inside the deeper valleys. This process reduces the number of air-pockets, and, hence, suppresses superhydrophobicity, as it depends on the number of air cavities on the top surface. This observation is matching with the SEM image of Figure 5d.

To summarize, the binder, as mentioned in the description of the mechanism, penetrated through the particles, mixed, and combined to form a composite material, which could resist stress and support the particles. With this mechanism, we fabricated a robust superhydrophobic surface based on the penetration of polymer and organic solvent.

4. Conclusions

This paper discusses the fabrication of superhydrophobic surface by spray coating functionalized SiO_2 nanoparticle on PU binder on a different substrate. The robustness of the coating against mechanical abrasions was tested, and its effect on superhydrophobicity is analyzed by contact angle measurement with the support of surface profilometer and SEM analysis. We systematically

investigated the influence of the weight fractions of surface functionalized SiO₂ nanoparticle and PU binder on the superhydrophobic performance of the surface. The capillary action of the dissolved binder through the agglomerated particle is observed and is responsible for holding the particle tightly and keep it protected against mechanical abrasion. This particular behavior of the binder is first identified in our work. The samples with specific ratio demonstrated a contact angle greater than 150° after 100 abrasion cycles, and the optimum ratio for maintaining the surface structure against mechanical abrasion is obtained between 0.9 and 1.2. The relatively high abrasion resistance is believed to be due to the influence of the mechanically strong PU binder employed here. In addition, the principle of performance degradation and the collapse of the surface structure were studied through the roughness analysis. Over the optimized particle ratio, interesting surface changes, due to collapse is observed after rubbing. In particular, a flat surface structure was generated by the unsupported and excessive particle lumps. As the structure changed, the superhydrophobic performance was lowered as well. The design and manufacture with the proposed particle-to-binder ratio could increase the mechanical durability of the superhydrophobic surface.

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