

1 **Why Brain Oscillations are Improving Our Understanding of Language**

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7 *Abstract:* We review the potential that brain oscillations have for improving our
8 understanding of the processing, evolution and development of natural language. The
9 different ‘grammars’ of brain rhythms can account for different perceptual and cognitive
10 functions, and we argue that this includes language. We aim to address six distinct
11 questions – the What, How, Where, Who, Why, and When questions – pertaining to
12 oscillatory investigations of language. We review how language deficits found in clinical
13 conditions like autism, schizophrenia and dyslexia can be satisfactorily construed in terms
14 of an abnormal, disorder-specific pattern of brain rhythmicity. Lastly, we argue that an
15 eco-evo-devo approach to language is compulsory.

16 *Keywords:* Oscillations, gamma, delta, theta, cross-frequency coupling, schizophrenia,
17 autism, Neanderthals

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20 *1. Introduction*

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22 During the last 150 years, neurolinguistic research has mostly focused on mapping
23 language to the brain. The advent of various neuroimaging facilities (MRI, EEG/MEG,
24 PET) has allowed neurolinguists to draw very precise maps of the ‘language-ready’ brain
25 (that is, our species-specific brain configuration that allows us to learn and use language),
26 both in pathological and neurotypical populations. It is now evident that language results
27 from the coordinated activity of several widespread brain networks, encompassing
28 different areas of both hemispheres (e.g. Poeppel et al., 2012; Chai et al., 2016, among
29 many others). Nonetheless, as Poeppel (2012) has often stated, “mapping is not
30 explaining”.

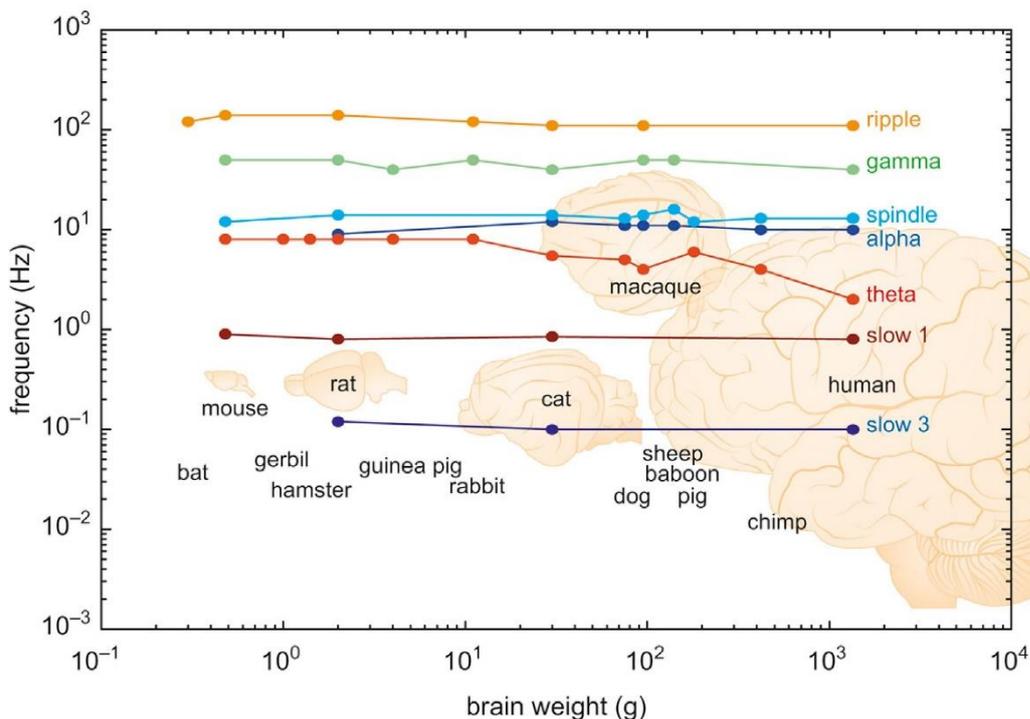
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32 Research into neural oscillations can allow us to circumvent this crucial limitation of
33 neurolinguistics and provide robust, motivated explanations of how the brain processes
34 language. Oscillations enable the construction of coherently organised neuronal
35 assemblies through establishing transitory temporal correlations. They reflect
36 synchronised fluctuations in neuronal excitability and are grouped by frequency, with the
37 most common rhythms being delta (δ : ~0.5-4Hz), theta (θ : ~4-8Hz), alpha (α : ~8-12Hz),
38 beta (β : ~10-30Hz) and gamma (γ : ~30-150Hz). These are generated by various cortical
39 and subcortical structures, and form a hierarchical structure since slow rhythms phase-
40 modulate the power of faster rhythms (see Buzsáki and Draguhn, 2004; Buzsáki and
41 Watson, 2012).

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43 There are many reasons why oscillations are a promising candidate in this respect; for
44 instance, they are primitive components of brain function and appear to be both domain-
45 general (that is, individual oscillations intervene in different cognitive and perceptual

46 functions) and domain-specific (that is, there exists a specific pattern of coupling between
 47 oscillations related to, and explaining, each cognitive function) (Hancock et al. 2017,
 48 Murphy 2018). Importantly, too, the different “grammars” of brain rhythms accounting
 49 for different perceptual and cognitive functions are believed to be species-specific, but
 50 the atoms encompassing these grammars (that is, the individual rhythms) are shared
 51 across many species (Buzsáki et al., 2013; Brincat and Miller 2015; Esghaei et al. 2015;
 52 Kikuchi et al. 2017; Murphy and Benítez-Burraco, 2018). This circumstance grants a
 53 noteworthy evolutionary continuity to cognitive functions, which is particularly important
 54 in the case of language; meaning, certain elementary computational processes seem to
 55 have oscillatory implementations, and as such small tweaks to their phasal and coupling
 56 properties can yield modifications to their scope and format (Figure 1).
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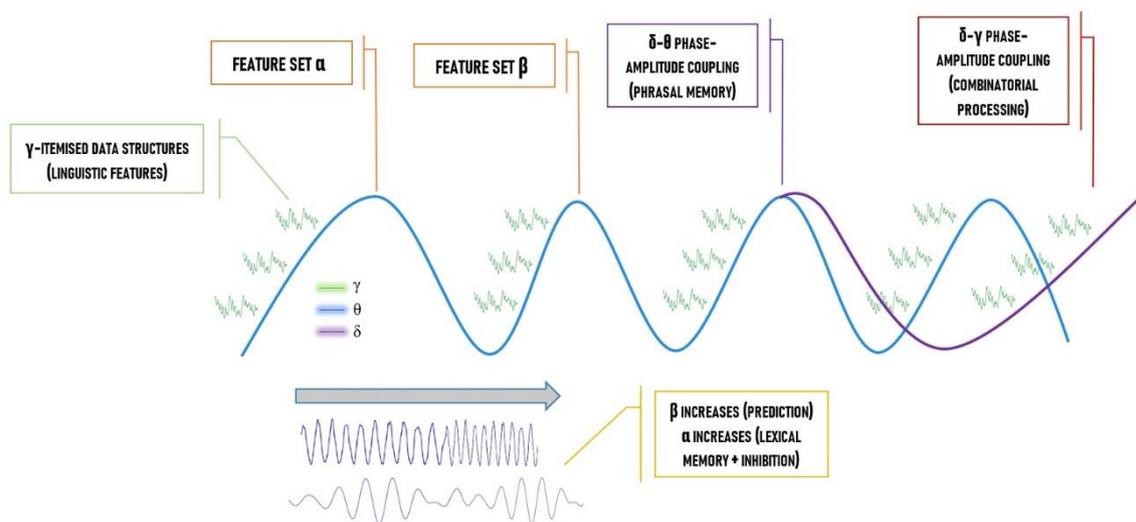
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 60 *Figure 1: The ‘What’ Question: Different types of brain oscillations account for the*
 61 *activity of cortical and subcortical structures. Each mammalian species makes use of a*
 62 *different combination (or ‘grammar’) of a common set of brain oscillations (reproduced*
 63 *from Buzsáki et al. 2013; Figure 2B).*
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 66 **2. Brain Oscillations and the Linguistic Brain**
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68 As also discussed extensively by Poeppel (e.g. Poeppel and Embick 2005), current
 69 neurolinguistic studies suffer from two crucial shortcomings. On the one hand, they rely
 70 on broad distinctions between components of language (syntax vs. semantics,
 71 morphology vs. syntax, etc.), which actually involve multiple neural components,
 72 computations, and representations. On the other hand, the core elements of linguistic
 73 theory (like parts of speech, syntactic operations and the like) do not map onto the core
 74 biological elements identified by neuroscience (neurons, columns, and the like). It is
 75 consequently urgent for us to present a model of language in computational terms that
 76 can be processed by specific parts of the brain in real time.

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Distilling language into a specific pattern of coupling between different brain oscillations appears feasible. Importantly, this approach satisfactorily accounts for core facets of language according to consolidated linguistic theories. For instance, the combinatorial power of merge (the basic operation in the modern generative approach to language, which combines two syntactic objects to form a new syntactic unit) and the cyclic power of phrasal labeling (the operation which chooses the lexical features to be assigned to the merged syntactic unit) are able to be implemented via various oscillatory interactions such as forms of cross-frequency coupling (Murphy 2015, 2018, Meyer 2018). In the most recent and comprehensive oscillatory model of language comprehension defended in Murphy (2016, 2018) (which goes considerably beyond the discussion of combinatorics, representational accommodation, and prediction presented in Meyer 2018), empirical and conceptual motivations are presented to defend the idea that δ - θ phase-amplitude coupling constructs multiple sets of linguistic syntactic and semantic features, with distinct β and γ sources also being embedded within θ for, respectively, syntactic prediction and conceptual binding. This provides a specific neural code for *recursive hierarchical phrase structure*, the core distinctive feature of human language (reapplying the set-forming operation to its own output), with α also being involved in the early stages of binding (Pina et al. 2018) to synchronize distant cross-cortical γ sites required for the ‘ θ - γ code’ of working memory and to modulate attentional resources (Figure 2).



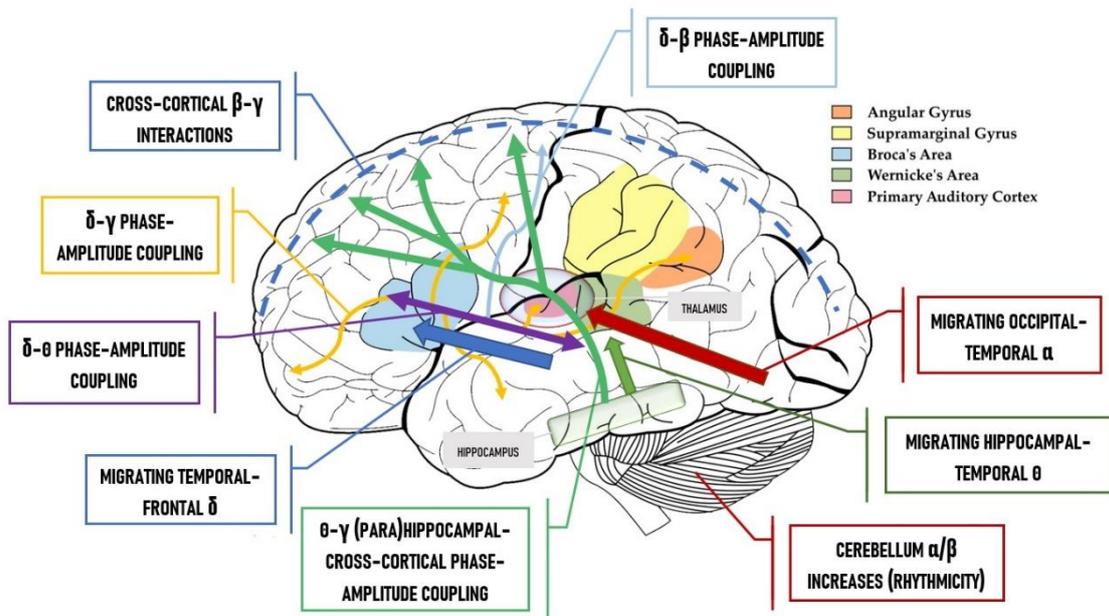
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100 *Figure 2: The ‘How’ Question: A neural code for language, representing the various*
101 *cross-frequency coupling interactions proposed to implement hierarchical phrase*
102 *structure building.*

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Importantly, Murphy (2018) also discusses the high likelihood that travelling oscillations are involved in language comprehension. These are oscillations which move across the brain; meaning, the spiking of neural clusters is coordinated not just across two fixed points (e.g. hippocampus-and left inferior frontal cortex phase-amplitude coupling) but across a particular extended path. These travelling oscillations have recently proven to coordinate neural activity across widespread brain networks and across different temporal windows, and ultimately, to support brain connectivity and function (Zhang et al., 2018). Accordingly, δ waves could cycle across the cortex, building up the syntactic representation phrase-by-phrase and potentially being endogenously reset by a newly constructed phrase, and being coupled to traveling θ waves which perform the same

114 function. Traveling δ waves could be responsible for patterning spiking from single- to
 115 multi-unit lexical structures in each δ cycle. As such, δ would coordinate the phrasal
 116 construction while θ - γ interactions would support the representational construction of
 117 linguistic feature-sets. Lastly, as Gagol et al. (2018) reveal, δ - γ coupling is involved in
 118 fluid intelligence (solving problems using a range of cognitive faculties on the fly,
 119 spontaneously), whereby δ embeds cross-cortical γ rhythms depending on the cortical
 120 areas needed for the particular task, i.e. geometric reasoning, visual processing etc.
 121 Murphy (2018) proposes that δ - γ coupling may be a generic combinatorial process,
 122 combining representations from within and across domains (Figure 3 contrasts the
 123 classical ‘language areas’ with the model we are proposing, revealing a considerably
 124 greater degree of complexity).
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 128 *Figure 3: The ‘Where’ Question: A cartographic map of where the neural code for*
 129 *language is hypothesized to be implemented. Additional features not discussed in the*
 130 *main text: Prefrontal predictions facilitate δ -entrained speech tracking in anterior*
 131 *superior temporal gyrus, while the cerebellum contributes to rhythmic perceptions and*
 132 *hence aids phrasal processing in frontotemporal regions.*
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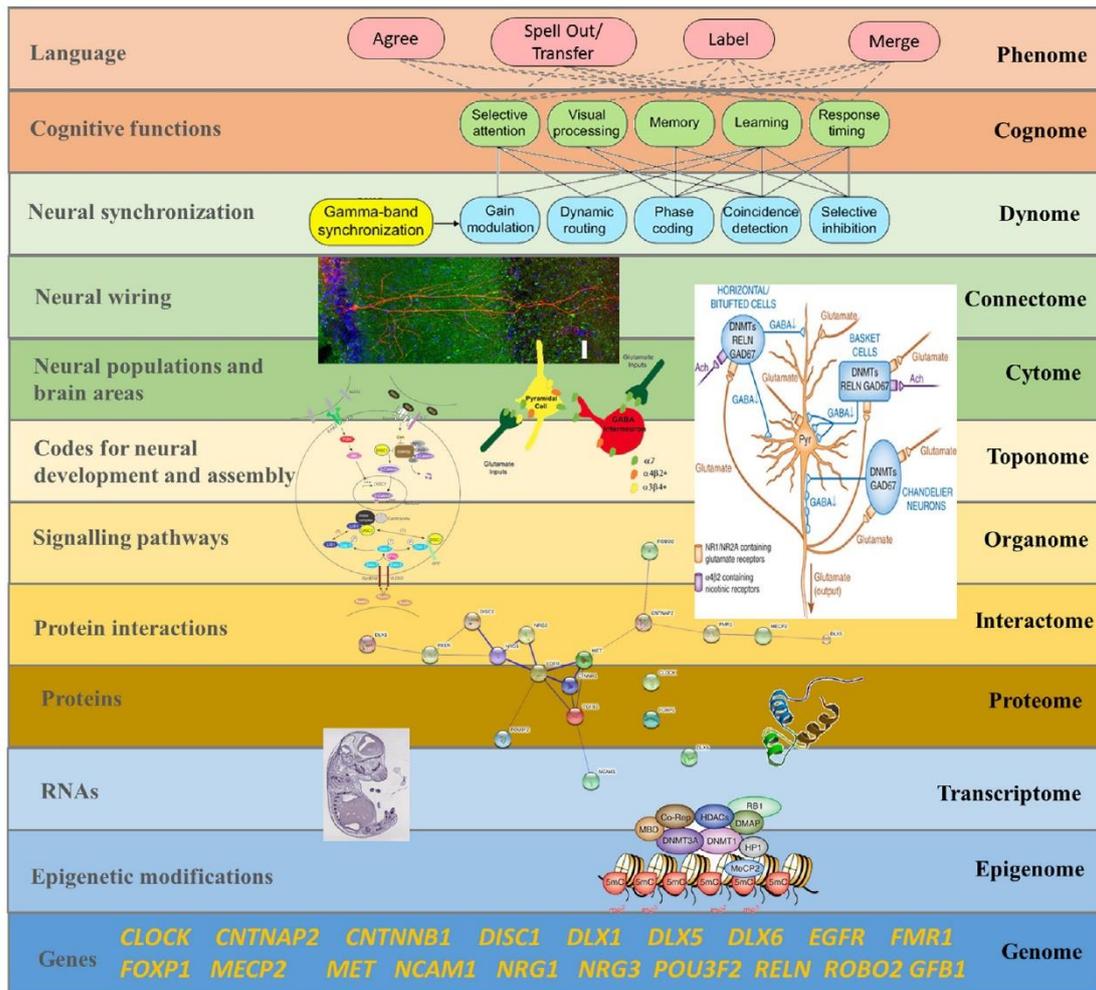
134 Although we refer the reader to Murphy (2018) for the further discussion of the empirical
 135 details, we should briefly mention that there is increasing support for this model. For
 136 instance, Brennan and Martin (2019) analysed a naturalistic story-listening EEG dataset
 137 and showed that δ - γ coupling increases with the number of predicates bound on a given
 138 word (the authors only analysed the central Cz electrode, so further analysis is required
 139 to flesh out the picture). They also discovered an increasing scale of δ - θ coupling
 140 beginning at the point of a word completing a single phrase, through to words completing
 141 two and three phrases. As such, δ - γ and δ - θ coupling increases with predication. Overall,
 142 these observations illustrate how the presently defended analysis of travelling waves can
 143 help explain how such a complex thing as a fragment of discourse, which entails both
 144 linguistic and extralinguistic (i.e. encyclopaedic) knowledge, is processed by the brain.
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147 *3. Brain Oscillations and a Systems-Biology Approach to Language*

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Mastering a language and being able to use it, in the way we have sketched in the previous section, depends on having received the proper triggering environmental stimuli during development. But this is only possible because of complex biological processes, which are assembled mostly under genetic guidance. Thousands of biological factors interact to regulate language development and processing. Nevertheless, for many years it was not clear where the specificity of language resides – and if there is much biologically specific at all. Accordingly, although language seems to be a very specialized, human-specific faculty, it undoubtedly relies on biological components, such as its genetic basis, which may not be specific to language since ‘language genes’ contribute to a range of biological functions.

Brain oscillations are highly heritable traits (van Beijsterveldt et al. 1996; Linkenkaer-Hansen et al. 2007, Müller et al. 2017), including oscillations related to language (Araki et al. 2016). Oscillations are both more proximal to gene function (in particular, regulatory function) and less complex than standard cognitive labels. Accordingly, we should expect that gene-oscillations-language links are more robust and explanatory than genes-neuroanatomy-language links (Figure 4). As we have shown in a recent paper (Murphy and Benítez-Burraco 2018), the basic aspects of the language oscillome (that is, the particular phasal and cross-frequency coupling properties of neural oscillations involved in, and accounting for, language) result from genetic guidance, and a confident list of candidate genes for this guidance can be posited. Moreover, a number of linking hypotheses between particular genes and particular oscillatory brain activity implicated in language processing can be posited, suggesting that much of the oscillome is likely genetically-directed; the set of genes implicated here is termed the *oscillogenome*. Importantly, these candidate genes map on to specific aspects of brain function, particularly on to neurotransmitter function, and particularly through dopaminergic, GABAergic and glutamatergic synapses.



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 178 *Figure 4. The 'Who' Question. A systems biology approach to language, focused on the*
 179 *dynamics of cellular and organismal function and on the (emergent) properties of the*
 180 *whole system, is compulsory if one wants to understand how language emerges from these*
 181 *complex interactions (Benítez-Burraco, 2019). It seems that the biological specificity of*
 182 *language may emerge at the oscillomic level (reproduced from Murphy and Benítez-*
 183 *Burraco, 2017; Figure 8)*

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186 **4. Brain Oscillations and Language Disorders**

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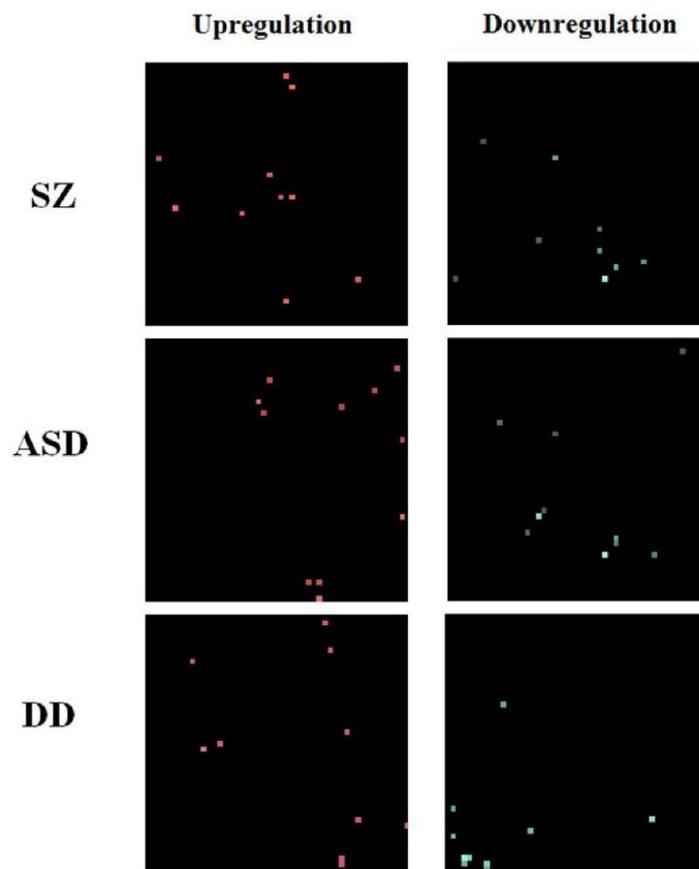
188 Most cognitive disorders entail problems with language. Whereas each disorder can be
 189 said to exhibit a disorder-specific abnormal language/profile (with deficits in the domains
 190 of phonology, grammar, semantics, or language use), each particular deficit are
 191 commonly found in several disorders, to the extent that most of them are shared by
 192 different disorders with different symptomatology and aetiology. This accounts for the
 193 frequent comorbidity of disorders. Moreover, these deficits are only indirectly related to
 194 (broad) cognitive deficits at the bottom. Finally, although most of these conditions have
 195 a genetic basis, the same gene can contribute to more than one cognitive disorder (see
 196 Benítez-Burraco, 2019 for an ample discussion of these problems for clinical linguistics).
 197 This circumstance seemingly explains why the divide between the genetics and
 198 pathophysiology of prevalent cognitive/language disorders like autism spectrum disorder
 199 (ASD), schizophrenia (SZ) or developmental dyslexia (DD) remains open. In recent
 200 years, a number of promising directions have opened up for investigating the neural and

201 genetic basis of these disorders. Due to an emerging body of work concerning the
202 oscillatory dynamics of language processing, it has become possible to associate certain
203 features of the ASD, SZ or the DD neurobiological profile, particularly, language deficits,
204 to abnormal patterns of brain oscillations. Likewise, contemporary developments have
205 allowed researchers to explore the genetic basis of particular oscillatory rhythms in
206 distinct brain regions (e.g. Hancock et al. 2017), as well as the genetic signature of these
207 disorders. All of these developments have allowed us to make promising and insightful
208 linking hypotheses between seemingly unrelated domains in the life and cognitive
209 sciences, to the extent that we can begin to map particular gene mutations to specific
210 abnormal oscillatory profiles which can in turn be used to explain the existence of
211 impairments in language processing in selected cognitive conditions.

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213 In a series of related papers (Benítez-Burraco and Murphy 2016; Murphy and Benítez-
214 Burraco 2016; Jiménez-Bravo et al., 2017; Murphy and Benítez-Burraco, 2018a,
215 Wilkinson and Murphy, 2016) we have shown that the distinctive language deficits found
216 in clinical conditions like ASD, SZ, and DD can be satisfactorily construed in terms of
217 an abnormal, disorder-specific pattern of brain rhythmicity. Interestingly, we have also
218 shown that selected candidate genes for the language oscillogenome exhibit a distinctive,
219 disorder-specific pattern of up- and downregulation in the brain of patients. In other
220 words, the molecular signature of each disorder from this oscillogenomic perspective
221 mostly relies not on the set of genes involved, which are essentially the same, but on their
222 expression patterns in each brain region, which is different in each condition (Figure 5).
223 This contributes to bridging genes (with their disorder-specific expression profile) and
224 oscillations (with their disorder-specific profile too) and language (which is also impaired
225 in a disorder-specific way).

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228 *Figure 5. The ‘Why’ Question. Genes involved in brain rhythmicity exhibit a disorder-*
229 *specific expression profile in the brain of affected people. The figure shows the expression*
230 *grids generated with Enrichr (amp.pharm.mssm.edu/enrichr). Brain regions where genes*
231 *of interest are most upregulated are displayed in red, whereas regions in which genes are*
232 *most downregulated are shown in green (the lighter the colour, the more up- or*
233 *downregulated a gene is) (adapted from Murphy and Benítez-Burraco, 2018; Figure 2).*

234

235 Just to give a flavour of this systems-biology approach to language disorders that heavily
236 relying on brain oscillations, we discuss the case of ASD. Both structural and functional
237 aspects of language are impaired in ASD. Approximately one third of children with ASD
238 exhibit difficulties with morphosyntax (Tager-Flusberg and Joseph 2003) and both adults
239 and children with ASD typically use a low number of functional words (Tager-Flusberg
240 et al. 1990). This population also integrates and consolidates semantic information
241 differently from neurotypicals when processing sentences (Eigsti et al. 2011). More
242 specific impairments include problems with relative clauses, *wh*-questions, raising and
243 passives (Perovic and Janke 2013). These difficulties all speak to a more general deficit
244 in procedural memory. Concerning the oscillatory basis of these deficits, increased γ
245 power has been documented for individuals with ASD (e.g. Kikuchi et al. 2013), and
246 since this rhythm is involved in the binding of semantic features this finding can likely
247 contribute to a causal-explanatory oscillatory model of language deficits. Kikuchi et al.
248 (2013) additionally found reduced cross-cortical θ , α and β in the ASD brain, while
249 Bangel et al. (2014) documented lower β power during a number estimation task. Given
250 the role of these slower rhythms in cross-cortical information integration, and the major
251 role β likely plays in syntactic processing (Murphy 2018), problems with executing
252 complex syntactic operations like passivization and interpreting *wh*-dependencies seems
253 not too surprising. At the same time, many of the differences in cognition and behaviour
254 found in ASD are seemingly explained by differences in oscillatory activity resulting
255 from pathogenic genetic diversity, mostly in genes indirectly or directly related to
256 GABAergic activity, like *MECP2* (Liao et al., 2012), the genes encoding some of the
257 GABAA-receptor subunits (particularly of $\beta 2$ and $\beta 3$) (Porjesz et al., 2002; Heistek et al.,
258 2010), or *PDGFRB* (Nguyen et al., 2011; Nakmura et al., 2015).

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260 Eventually, these oscillatory anomalies found in cognitive disorders in tandem with an
261 increasingly sophisticated oscillatory model of language (see Section 2 above) can yield
262 predictions about the cortical profile of an individual exhibiting them. Specifically,
263 considering language disorders as ‘oscillopathic’ traits (that is, involving abnormal
264 patterns of brain rhythmicity) is a productive way to generate endophenotypes of the
265 disorders and ultimately, achieving earlier and more accurate diagnoses.

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268 *5. Brain Oscillations and Language Evolution*

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270 As discussed above, language is a complex system. Accordingly, we should expect that
271 specific evolutionary changes in specific components of this complex system prompted
272 the transition from an ape-like cognition to human-cognition, and ultimately resulted in
273 our language-readiness. At present, we have precise characterizations of the recent
274 evolutionary changes in our brain and in our genomic endowment that seemingly account
275 for our language-readiness (see Boeckx and Benítez-Burraco, 2014; Neubauer et al.,
276 2018; Gunz et al., 2019). Nonetheless, as we noted earlier, brain anatomy and brain maps
277 can only provide indirect and rough accounts of how the brain process language.

278 Moreover, because, as we also noted earlier, the specificity of language is seemingly born
 279 at the oscillomic level, and because each species-specific pattern of brain coupling builds
 280 on a shared set of basic rhythms, we should expect as well that the human-specific pattern
 281 of coupling accounting for our language-readiness resulted from selected changes in the
 282 oscillatory signature of the hominin brain. These modifications can be traced via
 283 comparative studies, with humans exhibiting a species-specific richness in possible cross-
 284 frequency couplings (see Murphy 2018 for references and discussion). Regarding extinct
 285 hominins, such as Neanderthals or Denisovans, it is evident that we cannot track the
 286 oscillatory activity of their brains. However, it is possible to rely on available (although
 287 still scarce) information from genes encompassing the language oscillogenome – as
 288 characterised above – to infer the particular changes in phasal and cross-frequency
 289 coupling properties of neural oscillations that resulted in the emergence of core features
 290 of language. Accordingly, several candidates for the language oscillogenome show
 291 differences in their methylation patterns (and hence, in their expression levels) between
 292 Neanderthals and anatomically-modern humans (Table 1). These differences can be
 293 informative of differences in cognitive functions important for language (Murphy and
 294 Benítez-Burraco 2018a); for instance, we can infer that the working memory capacity of
 295 Neanderthals likely differed from that of modern humans due to the differences in θ and
 296 γ expression.
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GENE	FIXED AA CHANGE IN AMHS	POSITIVELY SELECTED IN AMHS	DIFFERENTIALLY METHYLATED IN AMH SKELETAL SAMPLES	ENRICHED IN AMH DMRS	OSCILLOMIC/OSCILLOPATHIC FEATURES
<i>AUTS2</i>		✓	↑(body gene)		Epilepsy
<i>CACNA1C</i>			↑ (body gene)		β , γ
<i>CNTNAP2</i>	✓				α
<i>COL4A2</i>			↑(body gene)		Epilepsy
<i>COMT</i>			↑(downstream the gene)		α
<i>DYRK1A</i>		✓			Inhibition of neural activity
<i>EGR1</i>				✓	Epilepsy
<i>ELP4</i>		✓			High amplitude centrotemporal sharp waves
<i>FMR1</i>	✓				θ , γ
<i>FOXP1</i>		✓	↑(body gene)		Epileptiform discharges
<i>ROBO1</i>		✓			Epilepsy

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 300 *Table 1. The ‘When’ Question. Selected genes encompassing the language oscillogenome*
 301 *exhibit fixed derived changes in modern humans compared to extinct Neanderthals, either*
 302 *in their regulatory or coding regions, or in their methylation patterns (suggestive of*
 303 *differences in their expression levels) (reproduced from Murphy and Benítez-Burraco,*
 304 *2018b; Table 1).*

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6. Brain Oscillations and an Eco-Evo-Devo Approach to Language

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 309 A growing body of evidence suggests that genomic regions showing signals of positive
 310 selection in our species are enriched in candidates for cognitive conditions entailing

311 problems with language, like ASD (Polimanti and Gelernter, 2017) or SZ (Srinivasan et
312 al., 2016; 2017). These findings are suggestive that these conditions may have mainly
313 developed recently in our evolutionary history. This is seemingly due to the circumstance
314 that the most recently evolved components of human cognition are more sensitive to the
315 deleterious effect of developmental perturbations resulting from factors either internal to
316 the organism or external to it, because of the lack of robust compensatory mechanisms to
317 damage, which are typically found in more ancient biological functions which have been
318 shaped by stronger selective pressures (see Toro et al., 2010 for discussion). In a similar
319 vein, when searching for the basis for genomic trade-offs potentially involved in the
320 evolution of the human brain, Sikela and Searles Quick (2018) have concluded that
321 changes in the genome producing beneficial results might persist despite their ability to
322 also produce diseases and that “the same genes that were responsible for the evolution of
323 the human brain are also a significant cause of autism and schizophrenia” (2018: 2). This
324 is in line with current views of complex diseases as the consequence of the uncovering of
325 cryptic variation resulting from the assorted changes (genomic, demographic,
326 behavioural) promoting the transition from an ape-like biology to a human-specific
327 biology (see Gibson 2009 for details).

328
329 As noted in Section 3 above, a systems biology approach to language is compulsory in
330 order to understand how it emerges from the complex interactions among thousands of
331 biological factors, most notably brain oscillations. It is now clear that because language
332 evolved mostly as a result of specific changes in the developmental path of the hominin
333 brain in response to changes in the environment in which our ancestors lived (the latter
334 encompassing both physical and cultural factors), we need to pay attention to
335 developmental, evolutionary, and ecological aspects. Putting it differently, an eco-evo-
336 devo approach to language is compulsory. This approach should enable us to understand
337 better how language is implemented in the brain, how it evolved, and how it is disrupted
338 in language disorders. What’s more, the evidence we have reviewed suggests that this can
339 be ideally achieved if we focus on brain rhythms. Specifically, brain rhythms might be a
340 better (or perhaps, the optimum) candidate for properly defining the morphospace or
341 adaptive landscape of language growth in the species, either pathological or neurotypical;
342 that is, defining the limited set of language faculties available during development.

343 344 345 7. Conclusions

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347 Overall, the evidence reviewed in this paper suggests that brain oscillations can be the
348 most fruitful approach for understanding how language is implemented in our brain as a
349 result of our evolutionary history. This is not just because they are both domain-general
350 and domain-specific, but because they help explain why and how *processing*, *evolution*
351 and *development* are closely interwoven. Still, although new avenues for research are
352 rapidly opening up, there remain a large number of unanswered questions: Which sub-
353 domains of linguistics have the potential to make greater contact with the life sciences
354 (e.g. pragmatics)? What are the anatomical similarities and differences regarding human
355 and nonhuman temporal processing networks? How does the notion of a travelling
356 oscillator tie in with existing findings concerning the supposedly fixed, regionalised
357 oscillatory activity found in existing EEG and MEG experiments of language processing?
358 How might one test the hypothesis that nonhuman primates exhibit a differently organised
359 array of cortical cross-frequency couplings? Solving these and others complex questions
360 will help refine our oscillatory view of human language.

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