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EVOLUTIONARY ALGORITHM WITH A CONFIGURABLE SEARCH MECHANISM

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Abstract

In this paper, we propose a new population-based evolutionary algorithm that automatically configures the used search mechanism during its operation, which consists in choosing for each individual of the population a single evolutionary operator from the pool. The pool of operators comes from various evolutionary algorithms. With this idea, a flexible balance between exploration and exploitation of the problem domain can be achieved. The approach proposed in this paper might offer an inspirational alternative in creating evolutionary algorithms and their modifications. Moreover, different strategies for mutating those parts of individuals that encode the used search operators are also taken into account. The effectiveness of the proposed algorithm has been tested using typical benchmarks used to test evolutionary algorithms.

Keywords: evolutionary algorithm, population-based algorithm, optimization, operator pool, operator selection, individual selection.

1 Introduction

Evolutionary algorithms that base on a population (PBAs) belong to meta-heuristic methods. These are methods of solving (usually optimization) problems that can be described by the concepts defined within them. They do not guarantee finding the optimal solution, but they are well suited for solving NP class problems. Normally, for such problems, the search time for finding an optimal solution is unacceptably long. The effectiveness of meta-heuristic algorithms depends on the effectiveness of the search mechanisms and their configuration (parameter selection).

PBAs are currently often used in real applications as the main and supporting methods alike. They are used in control [2, 25], modeling [5, 6, 26, 37], hardware applications [19, 30], identity verification [52]-[56], measuring systems [48], text recognition [44], etc. They also complement each other well with other Soft Computing methods [5, 9, 12, 28, 33, 34, 39, 42].

Table 1. The main features of the OP1 algorithmproposed in this paper.

| Method | f1 | f2 | f3 | f4 | f5 | f6 | |
|---|-------|-------|-------|--------------|---------------|-------|--|
| Geem Z.W. et al. [17] | yes | no | no | no | no | no | |
| Teodorovic D. et al. [45] | yes | no | no | yes | no | no | |
| Chu S. et al. [7] | yes | no | yes | yes | no | no | |
| Atashpaz-Gargari E. [4] | yes | no | yes | no | no | no | |
| Yang X. [49] | yes | no | no | yes | no | no | |
| Yang X., Deb S [50] | no | no | no | no | no | no | |
| Rashedi E. [36] | yes | no | no | yes | no | no | |
| Tan Y., Zhu Y. [43] | yes | no | yes | yes | no | no | |
| Yang X. [51] | yes | yes | yes | no | no | no | |
| Gandomi A.H., Alavi A.H. [15] | no | yes | yes | no | no | no | |
| Wang B. et al. [46] | yes | yes | no | yes | no | no | |
| Osaba E. et al. [35] | yes | yes | yes | yes | no | no | |
| Mirjalili S. et al. [31] | yes | no | no | no | no | no | |
| Łapa K. et al. [27] | yes | yes | yes | yes | no | no | |
| Łapa K. et al. [29] | yes | yes | yes | yes | yes | no | |
| proposed method | yes | yes | yes | yes | yes | yes | |
| f1 - Does the way the search m | nech | anisi | n w | orks | hav | e an | |
| interpretation? f2 - Does the w | ay tl | he se | earch | n me | char | iism | |
| works fit into the problem under | con | side | ratio | on? f | 3 - Is | s the | |
| way the search mechanism operates assessed/evaluated | | | | | | | |
| and does it include the value of the evaluation function? | | | | | | | |
| f4 - Can the search mechanism work differently for dif- | | | | | | | |
| ferent individuals in the population? ${f f5}$ - Has the search | | | | | | | |
| engine working method been optimized with regard to the | | | | | | | |
| number of operators used? f6 - Does the search mecha- | | | | | | | |

A multitude of applications is one of the factors affecting their intensive development and popularity. Currently, there are over one hundred different population algorithms, and each of them has many variations. Several conclusions follow from this fact. First of all, this field is becoming so extensive that it is difficult to find detailed comparative papers [20]. Existing reviews of populationbased algorithms mainly concern specific methods and their variations [14]. Secondly, the development of new methods shows that universal and effective algorithms in various areas of application are still being sought [1]. Thirdly, the multitude of algorithm variants causes difficulties in choosing one for a specific application. It is also uncertain whether the solution found by one PBA could not be easily improved by using other PBAs. When se-

nism provide flexible specialization of population indi-

viduals?

lecting a PBA, it is also not possible to do it by the trial and error method because the number of PBAs is too high. Fourth, the emergence of new variations of algorithms may raise doubts about the desirability of such actions [40]. Therefore, an interesting research thread concerning PBAs may be creating general methods and using ensembles of different population-based algorithms [13]. This paper is specifically devoted to this topic. The algorithms proposed in it use known mechanisms of searching the solution search space and automatically adapt them to the problem under consideration. This is a new subject, but the solutions we proposed in our previous papers confirm that it is worth further investigation [27, 29].

1.1 Motivation

The primary mechanism of each PBA is the processing of individuals of a population. For this purpose dedicated operators are used. Some examples of such operators include the mutation operator from a Genetic Algorithm [38], and the wolf movement operator from the Grey Wolf Algorithm [31], etc.

If the operator's action on an individual is based on a small change in parameters, such operator is called an exploitation operator. However, if the operator's action is to look up a set of coded parameters in another part of the search space, the operator is then called the exploration operator. Some operators can balance smoothly between exploration and exploitation, or change the mode of operation depending on the distribution of individuals in the population [31]. Such operators can be included in both groups of operators. However, at the same time it is difficult to decide which operator/algorithm is suitable for solving a specific problem being considered.

The problem of proper balancing between exploration and exploitation is also considered in the literature [10, 32]. The effectiveness of PBAs also depends on it. This efficiency is understood as the appropriate quality of generated solutions, convergence and resistance to getting stuck in local optima. For this reason, many papers are published in which their authors create hybrids of different population-based algorithms. This increases the number of used operators and facilitates their temporary activation (depending e.g. on the evaluation of an individual). This is an interesting thread, but it allows one to create a virtually unlimited number of algorithm combinations. Its serious disadvantage is also the rigid connection of PBAs (and their operators) [3, 16, 21]. Furthermore, this method of hybridizing algorithms also causes problems typical for individual methods.

The authors' solutions are based on the use of a pool of evolutionary operators. Therefore, the method of modification of each individual depends on the operator associated with it. During the evolution of the population, individuals may change their operators. Of course, operators that achieve better optimization results can be automatically promoted, protected or propagated in the population. Thanks to this, the search mechanism and compromise between exploration and exploitation change dynamically and adapt to the problem and the temporary needs of the evolution process.

This topic was also dealt with in our previous works. Comments on the obtained results can be summarized as follows:

- **OPn** algorithm [27]. The algorithm used a pool of exploration and exploitation operators. They came from various PBAs. From this set, during its operation, the OPn selects a subset of n operators for each individual and they are used to generate new individuals of the population. The simulations presented in [27] showed that the average number of active operators used by the algorithm changed dynamically during the optimization process and ranged from 3 to 5. The algorithm presented in [27] was focused on designing the structure and parameters of the controller and its filters. For this reason, an important feature of the OPn method was that it was created by combining a genetic algorithm [18] and an algorithm based on the Particle Swarm Optimization (PSO) [24]. The purpose of the genetic algorithm was to select the structure of the controller, while the purpose of the PSO-based part was to optimize the parameters of the controller.
- OP11 algorithm [29]. This algorithm used a pool of operators, similar to the OPn. However, unlike the OPn, it was based on the selection of a single operator for exploration and a single operator for exploitation for each indi-

vidual. A certain disadvantage of the OP11 was the need to allocate each operator from the pool to one of the three groups: exploration, exploitation or universal. This defect, combined with the conclusions from the simulation, encouraged us to develop the method proposed in this paper.

1.2 Novelty elements

In this paper, we propose an approach in which a PBA can use a pool of operators from different PBAs. However, unlike the OPn [27] and OP11 [29] methods, it is based on the selection of a single evolutionary operator. This operator is flexibly selected from the pool of operators during the evolution process. The selection is made independently for each individual of the population and based on the value of its fitness function. This solution facilitates the specialization of individuals of the population, resulting from the use of appropriate operators. In the OPn and OP11 algorithms, the individuals of the population have universal characteristics.

Further on in this paper the basic version of the proposed algorithm will be called the OP1 for short (OP*erator-based algorithm with* 1 *search operator*). The features of this algorithm are shown in Table 1.

The original contribution of this paper can be summarized as follows:

- Describes the new OP1 algorithm that makes it possible to flexibly adjust the search space mechanism to the simulation problem. The use of one operator in the OP1 makes it easier to create a pool of operators that the OP1 uses there is no need for each of the pool operators to specify its task: exploration or exploitation of the search space. This algorithm has not been previously presented in the literature.
- Describes the variation of the OP1 algorithm, which is characterized by a specific method of operator selection. It consists in the fact that in the initial phase of the evolution process, exploration operators have a better chance of being drawn. With each step of the algorithm's operation, the chance of random selection of exploitation operators increases linearly. In the basic version of the OP1, the probability of drawing any operator from the pool is constant at every

step of the evolution. This mechanism of operator selection has not been previously presented in the literature. The algorithm that uses this specific mechanism will be called the OP1L.

- Contains the simulation results presenting the dynamics of changes in the search mechanism that occur during the OP1 operation. In particular, it contains the results of the analysis of the average percentage use of the operators, which are included in Section 3.
- Describes the new operator selection method for PBAs that use the operator pool. It determines the strategy of operator exchange in the population and protects the operators of those individuals that have a favorable value of the fitness function. This approach has not been previously presented in the literature.

1.3 Structure of the paper

In Section 2 the proposed OP1 algorithm is described, Section 3 presents the simulation results and in Section 4 the conclusions are drawn.

2 Description of the OP1 algorithm

Comments on the OP1 algorithm proposed in this paper can be summarized as follows:

- In the OP1, each individual of population \mathbf{X}_{ch} (ch = 1, 2, ..., Nind) has been extended with the use of additional parameter $\mathbf{X}_{ch}^{op} = \{X_{ch,1}^{op}\}$ (see Figure 1). It encodes the search operator. This solution makes the OP1 less computationally complex than the OP11 and OPn algorithms, which simultaneously used a larger number of operators.
- In the OP1, a set of used operators (derived from different PBAs) was placed in a common pool (see Figure 1) without distinguishing between exploration, exploitation and universal operators. They are indexed as follows op₁, op₂,..., op_{Nop}. This facilitates the implementation of the operator pool compared to the solution used in the OP11 [29].
- The OP1 algorithm is based on the way the PSO algorithm works [24] (see Algorithm 1). Thus,

in each individual an additional set of parameters (besides $\mathbf{X}_{ch}^{\text{op}}$ and problem parameters $\mathbf{X}_{ch}^{\text{par}}$) representing the best-found-so-far solution encoded in $\mathbf{X}_{ch}^{\text{bst}}$ and individual's velocity $\mathbf{X}_{ch}^{\text{vel}}$ is added (interpreted as in the PSO [24]). In the next steps of the algorithm, the velocity of each \mathbf{X}_{ch} can be gradually decreased depending on the operators used.

2.1 Individual's structure

In the OP1 a single individual's structure \mathbf{X}_{ch} from population **P** is defined as follows

$$\begin{aligned} \mathbf{X}_{ch} &= \left\{ \mathbf{X}_{ch}^{\text{par}}, \mathbf{X}_{ch}^{\text{vel}}, \mathbf{X}_{ch}^{\text{bst}}, \mathbf{X}_{ch}^{\text{op}} \right\} \\ &= \left\{ X_{ch,1}, \dots, X_{ch,Nc} \right\}, \end{aligned} \tag{1}$$

where *Nc* stands for the total number of the parameters in individual \mathbf{X}_{ch} , $\mathbf{X}_{ch}^{\text{par}}$ encodes problem parameters, $\mathbf{X}_{ch}^{\text{vel}}$ encodes velocity parameters \mathbf{X}_{ch} , $\mathbf{X}_{ch}^{\text{bst}}$ encodes the best solution found by individual \mathbf{X}_{ch} , $\mathbf{X}_{ch}^{\text{op}} = \{X_{ch,1}^{\text{op}}\}$ encodes indexes of search operators (see Fig 1 and Table 2).

Further on in the paper, it was assumed that notation ' \mathbf{X}_{ch} : $\mathbf{X}_{ch}^{\text{bst}}$, refers to component $\mathbf{X}_{ch}^{\text{bst}}$ encoded in individual \mathbf{X}_{ch} (ch = 1, 2, ..., Nind).



Figure 1. The structure of population individuals adopted in the OP1 algorithm.

Table 2. A set of the OP1 operators selected for the simulation purposes. Additional functions andnotations used by these operators are shown in Table 3. Additional parameters of the operators are shownin Table 4.

| i | Base operator (type) | $op_i(X_{ch,g}^{\mathrm{par}})$ | | | | | |
|----|-----------------------------------|--|--|--|--|--|--|
| 1 | PSO-global [23] (global) | $c_1 \cdot \mathrm{U}\left(0,1 ight) \cdot \left(X_g^{\mathrm{glb}} - X_{ch,g}^{\mathrm{par}} ight)$ | | | | | |
| 2 | GA-crossover [11] (global) | $\begin{cases} U(0,1) \cdot \left(X_{ch1,g}^{par} - X_{ch,g}^{par}\right) \text{ for Uind } (0,1) < p_c \\ 0 \text{ otherwise} \end{cases}$ | | | | | |
| 3 | DE-crossover [41] (global) | $\begin{cases} F \cdot \left(X_{ch1,g}^{\text{par}} - X_{ch2,g}^{\text{par}}\right) \text{ for } (\text{Uind}(0,1) < CR) \text{ or } (ch = \text{Rind}) \\ 0 \text{ otherwise} \end{cases}$ | | | | | |
| 4 | FFA-movement [49] (global) | $\begin{cases} \beta_0 \cdot \left(X_{ch1,g}^{\text{par}} - X_{ch,g}^{\text{par}} \right) \cdot e^{-\gamma \cdot (\mathbf{X}_{ch1}, \mathbf{X}_{ch})^2} \text{ for } \text{ff}(\mathbf{X}_{ch1}) < \text{ff}(\mathbf{X}_{ch}) \\ 0 \text{ otherwise} \end{cases}$ | | | | | |
| 5 | CS-lévy flights [50] (global) | $\alpha \cdot L(s, \lambda)$ | | | | | |
| 6 | ABC-candidate [22] (global) | $\begin{cases} U(-1,1) \cdot \left(X_{ch1,g}^{par} - X_{ch,g}^{par}\right) \text{ for } ch = \text{RInd} \\ 0 \text{ otherwise} \end{cases}$ | | | | | |
| 7 | BAT-walk [51] (global+local) | $U(-1,1) \cdot \sum_{i=1}^{Nind} \frac{At}{Nind}$ | | | | | |
| 8 | FWA-explosion [43] (global+local) | $\begin{cases} \frac{\mathrm{U}(-1,1)\cdot\hat{A}\cdot\left(\mathrm{ff}(\mathbf{X}_{ch})-\mathrm{ff}_{min}\right)}{\sum_{i=1}^{Nind}\left(\mathrm{ff}(\mathbf{X}_{i})-\mathrm{ff}_{min}\right)} \text{ for } ch \in Rset\\ 0 \text{ otherwise} \end{cases}$ | | | | | |
| 9 | FWA-mutation [43] (global+local) | $\begin{cases} X_{ch,g}^{\text{par}} \cdot \text{Ug}(1,1) - X_{ch,g}^{\text{par}} \text{ for } ch \in Rset \\ 0 \text{ otherwise} \end{cases}$ | | | | | |
| 10 | GWO-move [31] (global+local) | $\frac{1}{3} \cdot \left(\begin{array}{c} X_{ch,g}^{\alpha} + X_{ch,g}^{\beta} + X_{ch,g}^{\gamma} - A_{1} \cdot \left C_{1} \cdot X_{ch,g}^{\alpha} - X_{ch,g}^{\text{par}} \right + \\ -A_{2} \cdot \left C_{2} \cdot X_{ch,g}^{\beta} - X_{ch,g}^{\text{par}} \right - A_{3} \cdot \left C_{3} \cdot X_{ch,g}^{\gamma} - X_{ch,g}^{\text{par}} \right \end{array} \right)$ | | | | | |
| 11 | PSO-best [23] (local) | $c_2 \cdot \mathrm{U}\left(0,1 ight) \cdot \left(X^{\mathrm{bst}}_{ch,g} - X^{\mathrm{par}}_{ch,g} ight)$ | | | | | |
| 12 | GA-mutation [11] (local) | $\begin{cases} U(-1,1) \cdot m_r \text{ for } U(0,1) < p_m \\ 0 \text{ otherwise} \end{cases}$ | | | | | |
| 13 | BAT-movement [51] (local) | $\mathrm{U}\left(f_{\min}, f_{\min} + f_{\max}\right) \cdot \left(X_{g}^{\mathrm{glb}} - X_{ch,g}^{\mathrm{par}}\right)$ | | | | | |
| 14 | FFA-walk [49] (local) | $\alpha \cdot U(-0.5, 0.5)$ | | | | | |
| 15 | CS-random walk [50] (local) | $\mathbf{\alpha} \cdot s \cdot \mathbf{H} \left(p_a - \mathbf{U} \left(0, 1 \right) \right) \cdot \left(X_{ch1,g}^{par} - X_{ch2,g}^{par} \right)$ | | | | | |
| 16 | BTO-history [8] (local) | $F^{\text{BTO}} \cdot U(0,1) \cdot \left(X_{ch,g}^{par} - h_i\right)$ | | | | | |

Table 3. Functions and notations used by the operators of the OP1 algorithm considered in the simulations. The set of these operators is shown in Table 2. The Table assumes that function rnd returns a random value from the range $\langle 0, 1 \rangle$ and *D* is the dimension of the simulation problem.

| Symbol | Definition or notation | Description |
|--|--|--|
| U(a,b) | $a + \operatorname{rnd} \cdot (b - a)$ | real random number from the range $\langle a, b \rangle$ |
| $\operatorname{Uind}(a,b)$ | $a + \operatorname{rnd} \cdot (b - a)$ | U(a,b) generated once during a single modi- |
| | | fication of an individual |
| ch1, ch2 | $\langle 1, Nind \rangle$ | indexes of individuals selected using the |
| | | roulette wheel selection method [38] |
| Rind | round $(rnd \cdot D)$ | random index of the parameter encoding the |
| | | solution |
| $dist(\mathbf{X}_a, \mathbf{X}_b)$ | $rac{1}{D}\cdot \sum_{h=1}^{D} \left X_{a,h}^{par} - X_{b,h}^{par} ight $ | distance between individuals \mathbf{X}_a and \mathbf{X}_b |
| $L(s, \lambda)$ | $L(s,\lambda) = \frac{\lambda \cdot \Gamma(\lambda) \cdot \sin(0.5 \cdot \pi \cdot \lambda)}{\pi \cdot s^{1+\lambda}}$ | Lévy distribution (λ was set to 1) |
| $\Gamma(x)$ | $\Gamma(x+1) = x \cdot \Gamma(x), \Gamma(1) = 1$ | Euler's gamma |
| A t | $\int 1 \text{ for } i = 1$ | het loudness |
| Al | $\alpha \cdot At$ for $i > 1$ | bat loudness |
| Rset | the selection method described in [43] | a set of indexes of individuals being fire- |
| | | works [43] |
| $\mathrm{ff}(\mathbf{X}_{ch})$ | the definition depends on the problem | the value of the evaluation function of an in- |
| | | dividual |
| ff_{min} | $\min\left\{\mathrm{ff}\left(\mathbf{X}_{1}\right),,\mathrm{ff}\left(\mathbf{X}_{Nind}\right)\right\}$ | the smallest value of the evaluation function |
| | | of the individual from the entire population |
| Ug(1,1) | $\frac{1}{\sqrt{2\pi}} \cdot e^{-\frac{1}{2} \cdot (U(-2,4)-1)^2}$ | Gaussian distribution with mean 1 and stan- |
| | $\sqrt{2}$ · π | dard deviation 1 for FWA |
| $\mathbf{X}^{lpha}, \mathbf{X}^{eta}, \mathbf{X}^{\gamma}$ | the best individuals of the population | values of parameters of the best individuals of |
| | | the population (wolves from the herd) |
| $[C_1, C_2, C_3]$ | $C_i = 2 \cdot \mathrm{U}(0, 1)$ | coefficient vector 1 for GWO |
| $[A_1, A_2, A_3]$ | $A_i = 2 \cdot a \cdot \mathrm{U}\left(0,1\right) - a$ | coefficient vector 2 for GWO |
| а | $-\frac{2}{Nsteps} \cdot step + 2$ | component linearly decreasing from 2 to 0 |
| | <i>F</i> | over iterations ($step = 1, 2,, Nsteps$) |
| H(x) | $\frac{d}{dx}\max\left\{x,0\right\}$ | Heaviside function |
| h_i | permuting (h_i) | historical population [8] |

| Parameter | Range | Value | Description | |
|-----------------------|------------------------------|-------|-------------------------------------|--|
| W | $\langle 0.80, 1.00 \rangle$ | 0.80 | the constriction factor (PSO [23]) | |
| <i>c</i> ₁ | $\langle 1.50, 2.50 \rangle$ | 2.00 | social parameter (PSO [23]) | |
| F | $\langle 0.00, 1.00 \rangle$ | 0.50 | mutation factor (DE [41]) | |
| CR | $\langle 0.00, 1.00 \rangle$ | 0.50 | crossover constant (DE [41]) | |
| β_0 | $\langle 0.90, 1.10 \rangle$ | 1.00 | attractiveness at step 0 (FFA [49]) | |
| γ | $\langle 0.50, 2.00 \rangle$ | 1.25 | attractiveness constant (FFA [49]) | |
| S | $\langle 0.00, 0.30 \rangle$ | 0.15 | step size (CS [50]) | |
| α | $\langle 0.10, 0.30 \rangle$ | 0.20 | scaling factor (CS [50]) | |
| λ | $\langle 0.00, 1.00 \rangle$ | 0.50 | switching parameter (CS [50]) | |
| α | $\langle 0.90, 1.00 \rangle$ | 0.95 | loudness constant (BAT [51]) | |
| Â | $\langle 0.10, 2.00 \rangle$ | 1.05 | amplitude constant (FWA [43]) | |
| <i>c</i> ₂ | $\langle 1.50, 2.50 \rangle$ | 2.00 | cognitive parameter (PSO [23]) | |
| p_m | $\langle 0.05, 0.50 \rangle$ | 0.25 | mutation probability (GA [11]) | |
| p_c | $\langle 0.50, 0.90 \rangle$ | 0.70 | crossover probability (GA [11]) | |
| m_r | $\langle 0.01, 0.20 \rangle$ | 0.10 | mutation range (GA [11]) | |
| f_{min} | $\langle 0.00, 0.50 \rangle$ | 0.25 | minimum wavelength (BAT [51]) | |
| fmax | $\langle 0.00, 1.00 \rangle$ | 0.50 | maximum wavelength (BAT [51]) | |
| α | $\langle 0.01, 0.20 \rangle$ | 0.10 | walk constant (FFA [49]) | |
| p_a | $\langle 0.00, 1.00 \rangle$ | 0.50 | switching parameter (CS [50]) | |
| F ^{BTO} | $\langle 3.00, 4.00 \rangle$ | 3.50 | step size amplification (BTO [8]) | |

Table 4. Parameters of the OP1 algorithm operators used in the simulations. The set of the selectedoperators is shown in Table 2. The range of values was determined on the basis of the guidelines given in
the literature.

2.2 Modification of individuals

Modification of parameters in the OP1 is different for components $\{\mathbf{X}_{ch}^{par}, \mathbf{X}_{ch}^{vel}\}$ storing real parameters and \mathbf{X}_{ch}^{op} storing integral parameter. The method of determining parameters \mathbf{X}_{ch}^{bst} was shown in Algorithm 1 (lines 13-15).

Modification of parameters $\mathbf{X}_{ch}^{\text{par}}$ and $\mathbf{X}_{ch}^{\text{vel}}$ of individual \mathbf{X}_{ch} is as follows

$$\begin{cases} X_{ch,g}^{\text{vel}} := w \cdot X_{ch,g}^{\text{vel}} + \operatorname{op}_{X_{ch,1}^{\text{op}}} \left(X_{ch,g}^{\text{par}} \right) \\ X_{ch,g}^{\text{par}} := X_{ch,g}^{\text{par}} + X_{ch,g}^{\text{vel}} \end{cases}, \quad (2)$$

where *w* stands for the inertia weight (usually from range $w \in \langle 0.8, 1.0 \rangle$ [23]), *g* (*g* = 1, 2, ..., *D*) stands for the index of the component in individual \mathbf{X}_{ch} , *D* stands for the search space dimension (related to the simulation problem), op_i (·) stands for search operator *i* (see Table 2).

Modification of parameter $\mathbf{X}_{ch}^{\text{op}}$ consists in the crossover and mutation of its value. The crossover of parameter $\mathbf{X}_{ch}^{\text{op}}$ is performed when condition Uind $(0,1) < p_c$ for individual *ch* is met. Because the crossover does not create new individuals in the population, but only applies to the modification of

the operator selection parameter, the likelihood of such a change has been set to a small value. Function Uind (*min*, *max*) returns the real random number from the range $\langle min, max \rangle$, $p_c \in (0, 1)$ means the probability of the crossover of an individual \mathbf{X}_{ch} . If individual *ch* meets the given condition (ie. Uind $(0, 1) < p_c$), then the second individual for crossover is selected in the algorithm by the selection method. The considered selection methods are described in Section 2.4. Crossover involves assigning parameter $X_{ch,1}^{op}$ from a selected parent individual. Approaches to \mathbf{X}_{ch}^{op} mutation are described in Section 2.5.

2.3 Evolution process

The OP1 algorithm works according to the scheme shown in Algorithm 1. The algorithm begins its operation with a random initialization of population **P** (line 1). This procedure takes into account the ranges of the parameters encoded in individuals \mathbf{X}_{ch} (*ch* = 1,2,...,*Nind*) of population **P**. Next, population **P** is evaluated using a problemdependent fitness function (line 2). From initialized and evaluated population **P** an individual \mathbf{X}_{glb}^{glb}

with the best value of fitness function $ff(\cdot)$ is selected (line 3). Moreover, for each \mathbf{X}_{ch} (*ch* = 1,2,...,*Nind*) the copy of their parameters \mathbf{X}_{ch}^{bst} is stored, which will be updated in the next steps of the algorithm (lines 4-6). Then, the algorithm goes to the iterative part (lines 7-20), which starts with checking the stop condition. The stop condition may include, for example, taking a specified number of steps (Nsteps), executing an allowable number of fitness function calls $ff(\cdot)$ or reaching by ff $(\mathbf{X}^{\text{glb}})$ a specified threshold. In this part of the algorithm, a modification of population P is performed according to Section 2.2 (lines 9-10). After P has been modified, it is sometimes necessary to check and correct the values of individual parameters **P** taking into account the permissible ranges of changes (line 11). Then, takes place the evaluation of **P** (line 12), a component \mathbf{X}_{ch}^{bst} $(ch = 1, 2, \dots, Nind)$ is updated (lines 13-15) and actualization of \mathbf{X}^{glb} (lines 16-18) is done. After that, the algorithm returns to checking the stop condition (line 7). If it is met, the algorithm presents the solution encoded in X^{glb} (line 21) and terminates its operation.

Algorithm 1 Algorithm OP1 in the case of the problem of minimizing the value of fitness function $ff(\cdot)$.

- 1: initialization of Nind individuals of P
- 2: evaluation of population **P** with the use of fitness function $ff(\cdot)$
- 3: selection of best individual \mathbf{X}^{glb} from \mathbf{P}
- 4: **for** *ch* := 1 **to** *Nind* **do**
- $\mathbf{X}_{ch}: \mathbf{X}_{ch}^{\text{bst}} := \mathbf{X}_{ch} \qquad \triangleright \text{ actualization of } \mathbf{X}_{ch}^{\text{bst}}$ 5: 6: end for while stop condition is not met do 7: for ch := 1 to Nind do 8: modification of $\mathbf{X}_{ch}^{\text{op}}$ of individual \mathbf{X}_{ch} modification of $\mathbf{X}_{ch}^{\text{par}}$ and $\mathbf{X}_{ch}^{\text{vel}}$ of \mathbf{X}_{ch} 9: 10: 11: repair of \mathbf{X}_{ch}
- evaluation of \mathbf{X}_{ch} (ff (\mathbf{X}_{ch}) calculation) if ff $(\mathbf{X}_{ch}) <$ ff $(\mathbf{X}_{ch} : \mathbf{X}_{ch}^{\text{bst}})$ then 12:

13: **if**
$$ff(\mathbf{X}_{ch})$$

14:
$$\mathbf{X}_{ch} : \mathbf{X}_{ch}^{\text{bst}} := \mathbf{X}_{ch}^{\text{ch}}$$

- end if 15:
- $\begin{array}{l} \text{if } \mathrm{ff}\left(\mathbf{X}_{ch}\right) < \mathrm{ff}\left(\mathbf{X}^{\mathrm{glb}}\right) \text{ then } \\ \mathbf{X}^{\mathrm{glb}} := \mathbf{X}_{ch} \end{array}$ 16:
- 17:
- end if 18:
- 19: end for

20: end while

21: presentation of the solution coded in component \mathbf{X}^{par} of individual \mathbf{X}^{glb}

2.4 Selection of individuals

In step 10 of Algorithm 1 components $\mathbf{X}_{ch}^{\text{par}}$ and $\mathbf{X}_{ch}^{\text{vel}}$ of individual \mathbf{X}_{ch} are modified, which involves an appropriate use of the operator indicated by component \mathbf{X}_{ch}^{op} . Some operators (given in Table 2 and others) are based on several individuals of the population. Sometimes they are specific individuals (e.g. in the GWO method), but usually they should be selected accordingly. In the simulations we used typical methods for selecting individuals, i.e. the roulette wheel (RW), the tournament strategy (TS), and the rank strategy (RS) [38].

Mutation of component X_{ch}^{op} 2.5

In the simulation, three approaches to the mutation of $X_{ch,1}^{op}$ are used. In the first one, we assumed that in each step of the algorithm (step =1,2,...*Nsteps*), the probability of drawing any operator from the operator pool is the same

$$X_{ch,1}^{op} = \text{UI}(1, Nop) \text{ for } \text{U}(0, 1) < p_m,$$
 (3)

where function UI(min, max) returns an integral random value from the range $\langle min, max \rangle$, U(min, max) stands for a real random number from the range $\langle min, max \rangle$, $p_m \in (0, 1)$ stands for the mutation probability for individual \mathbf{X}_{ch} . This (static) type of mutation was noted as 'ST'.

In the second approach, an assumption was made that probability of mutation of $X_{ch,1}^{op}$ depends on its fitness function value. Thus, a modified version of equation (3) takes the following form

$$X_{ch,1}^{\text{op}} = \text{UI}(1, Nop) \text{ for} \left(\begin{array}{c} \text{ff}\left(\mathbf{X}_{ch}\right), \\ pmBest, pmWorst, \\ \min_{ch1=1,2,\dots,Nind} \left(\text{ff}\left(\mathbf{X}_{ch1}\right)\right), \\ \max_{ch1=1,2,\dots,Nind} \left(\text{ff}\left(\mathbf{X}_{ch1}\right)\right) \end{array} \right),$$
(4)

where {*pmBest*, *pmWorst*} are the values for parameter p_m for the best and worst individuals (they are algorithm parameters) and $Fpm(\cdot)$ is a linear function, which further ensures normalization of the value of the population fitness function to the range $\langle 0, 1 \rangle$. It is defined as follows

$$\operatorname{Fpm}\left(\begin{array}{c}ff, pmBest, pmWorst,\\ffMin, ffMax\end{array}\right) = \\pmBest + \frac{\left(\begin{array}{c}(ff - ffMin) \\ \cdot (pmWorst - pmBest)\end{array}\right)}{ffMax - ffMin}, \tag{5}$$

where ff stands for the current value of the fitness function of the processed individual. This mutation variation, which is based on the relationship (4) and (linear) (5) was noted as 'LI'.

In the third approach, the entire population of individuals is divided into three groups: (a) individuals with the best value of the fitness function (with a count of *Nbest*), (b) individuals with the worst value of the fitness function (with a count of *Nworst*) and (c) other individuals (equal to Npop - (Nbest + Nworst)). All 3 groups of individuals use formula (3), but with a different value p_m : the first group with $p_m = 0$, the second group with $p_m = 1$, and the third group with p_m specified by the algorithm parameter.

The purpose of this approach is to protect component $X_{ch,1}^{\text{op}}$ of those individuals that encode the best solutions. At the same time, the other components of individuals \mathbf{X}_{ch} in form (1) are modified accordingly to formula (2). This type of mutation was noted as 'PR'. The use of this mutation also requires normalization of the vector components of the fitness function: $ff(\mathbf{X}_{ch})$ (ch = 1, 2, ..., Nind): $(ff(\mathbf{X}_{ch}) - ffMin)/(ffMax - ffMin)$ to range $\langle 0, 1 \rangle$.

2.6 **OP1L modification**

The OP1L modification is based on the OP1. However, in the OP1L an assumption that the probability of drawing an operator from the operator pool depends on its mode of operation (exploration or exploitation). In this approach: (a) at the beginning of the evolution process, the chance of selecting exploration operators increases and (b) at the end of the evolution process, the chance of selecting exploitation operators increases. The purpose of this is to try to organize how the operators are randomly selected.

Practical implementation of the OP1L mutation formula replaces formula (3) with the following formula

$$\begin{aligned} X_{ch,1}^{op} &= \\ \begin{cases} \text{UI}(1,Nr+Nu) \text{ for} \\ \begin{pmatrix} U_1(0,1) < p_m \text{ and} \\ pmWorst, \\ 1,Nsteps \end{pmatrix} \end{pmatrix} \\ \text{UI}(Nr+1,Nr+Nu+Np) \text{ for} \\ \begin{pmatrix} U_1(0,1) < p_m \text{ and} \\ U_2(0,1) \ge \text{Fpm} \begin{pmatrix} step, pmBest, \\ pmWorst, \\ 1,Nsteps \end{pmatrix} \end{pmatrix}, \end{aligned}$$

$$(6)$$

where *step* is a current step of the evolution (*step* = 1,2,...*Nsteps*, where *Nsteps* stands for the total number of steps), *Nr* stands for the number of exploration operators (global), *Np* stands for the number of exploitation operators (local), and *Nu* stands for the number of universal operators (that can work as exploration and exploitation-see Table 2). Function Fpm(\cdot) used in equation (6) promotes exploration in the early stages of the algorithm and exploitation in the final stages of the evolution. It is a linear function of the following form

$$\operatorname{Fpm}\left(\begin{array}{c} step, pm_{start}, pm_{stop}, \\ Nsteps_{start}, Nsteps_{stop} \end{array}\right) = \\ \left(\begin{array}{c} step \cdot \left(\begin{array}{c} pm_{start} + \\ -pm_{stop} \end{array}\right) + \\ + \left(\begin{array}{c} pm_{stop} \cdot Nsteps_{start} + \\ -pm_{start} \cdot Nsteps_{stop} \end{array}\right) \end{array}\right)$$
(7)

As was mentioned in Section 1.2, the algorithm that uses dependencies (6) and (7) is called the OP1L.

3 Simulations

The main purpose of the simulation was to compare the OP1 algorithm with the methods: the OPn [27], the OP11 [29] and other popular PBAs whose operators were used in the OP1 operator pool (Table 2).

In the simulations popular test functions from CEC2013 [47] were used. There are 28 functions, and the purpose of each PBA was to search for such arguments for which functions took a minimum. In

all functions, the number of variables (dimension) was set to D = 50.

The assumptions adopted in the simulations are described in Section 3.1, the conclusions from the simulation are summarized in Section 3.2, and a comparison of the results obtained using different PBAs is presented in Section 3.3.

3.1 Simulations assumptions

Assumptions adopted in the simulations can be summarized as follows:

- The stop criterion for the tested PBAs was to perform 1000 steps. Each simulation was repeated 200 times and the results obtained were averaged.
- In the OP1 a pool of 16 operators was used. They are presented in Table 2, wherein Nr = 6, Nu = 4, and Np = 6 (see Section 1.3). In the notation of these operators, functions and symbols were used and they are defined in Table 3. Moreover, for each of these operators, typical (suggested in the literature) values of parameters were adopted. They are listed in Table 4.
- Three methods of selecting individuals were tested: RW, TS, and RS (see Section 2.4). The basic method was RW.
- Three approaches to mutations were tested: ST, LI, PR (see Section 2.5). The following parameters were adopted in them: $p_c = 0.2$, $p_m = 0.1$, pmBest = 0.0, pmWorst = 0.2, and Nbest =Nworst = 25%.

3.2 Simulations conclusions

The conclusions from the simulations can be summarized as follows:

- A summary of standard and average values of fitness function ff(·) for simulation problems C-01 - C-28 is shown in Tables 5-7. The best results were obtained for the case of OPn-RW-ST (Table 7, columns 4 and 5). The OP11 algorithm gave good results for the RW and PR cases (Table 7, rows RW-PR). The OP1 and OP1L algorithms allowed to obtain satisfactory results, but slightly worse than the OPn and OP11 (Table 7, column 5). However, it is difficult to find an algorithm that would generate the best solutions in terms of the value of the fitness function (Tables 5 and 6). For example, an average rating for OPn-RW-ST is 7.6 (Table 7, column 6). An interesting observation also concerns the number of operators used in the OPn. There were on average no more than 2.5 operators used (Table 7, column 7).

- A summary of the value of the fitness functions grouped by selection strategies and mutation strategies of X^{op}_{ch,1} is shown in Table 8. The RW selection method gave the best results (Table 8, column 'Sel' and 'Avg'). As for the mutation method it was the ST method that gave the best results (Table 8, column 'Mut' and 'Avg'). The mutation of the operators with protective thresholds gave the best results for the OP11 algorithm (Table 8, row 'OP11-PR'). For the other algorithms, the results obtained using PR are acceptable.
- A comparison of the average operator utilization by the best PBAs variants (see Table 10), for example, function C-10, is shown in Figure 2. The figure shows that some operators were not used (e.g. the DE-cross). The analysis of the use of operators also shows that the use of some of them increased with algorithm steps (e.g. the GA-cross), while others decreased (e.g. the FFA-move). The dynamics of changes in the use of operators confirm the need to replace them during the evolution process.
- A comparison of the average operator usage for C-05 - C-10 test functions and the OPn-RW-ST algorithm is shown in Figure 3. The figure shows that the number of operators used varies in different ways for different functions. Therefore, it is difficult to indicate a subset of operators that will be suitable for each test function. This behavior of the operator selection mechanism also confirms the ability of the OPn algorithm to adapt to the problem under consideration and the situation in the current step of the evolution process.
- A comparison of the average operator usage for C-05 - C-10 test functions and the OP1-TS-ST algorithm is shown in Figure 4. The figure

| Alg. | Sel. | Mut. | C-01 | C-02 | C-03 | C-04 | C-05 | C-06 | C-07 | C-08 | C-09 | C-10 | C-11 | C-12 | C-13 | C-14 |
|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
| | | ST | 0.00 | 0.08 | 0.14 | 0.08 | 0.00 | 0.15 | 0.40 | 0.20 | 0.00 | 0.09 | 0.28 | 0.48 | 0.43 | 0.03 |
| | RW | LI | 0.00 | 0.23 | 0.15 | 0.15 | 0.00 | 0.18 | 0.65 | 0.00 | 0.37 | 0.05 | 0.36 | 0.51 | 0.37 | 0.05 |
| | | PR | 0.00 | 0.53 | 0.34 | 0.40 | 0.01 | 0.22 | 1.00 | 0.70 | 0.60 | 0.10 | 0.58 | 0.64 | 0.60 | 0.38 |
| | | ST | 0.00 | 0.04 | 0.07 | 0.04 | 0.00 | 0.24 | 0.33 | 0.18 | 0.13 | 0.16 | 0.38 | 0.50 | 0.43 | 0.00 |
| OPn | TS | LI | 0.00 | 0.11 | 0.10 | 0.09 | 0.00 | 0.34 | 0.39 | 0.37 | 0.37 | 0.10 | 0.37 | 0.60 | 0.51 | 0.09 |
| | | PR | 0.01 | 0.38 | 0.26 | 0.37 | 0.02 | 0.35 | 0.68 | 0.64 | 0.53 | 0.18 | 0.70 | 0.76 | 0.69 | 0.31 |
| | | ST | 0.00 | 0.00 | 0.15 | 0.00 | 0.17 | 0.37 | 0.62 | 0.11 | 0.27 | 0.23 | 0.56 | 0.75 | 0.64 | 0.05 |
| | RS | LI | 0.00 | 0.04 | 0.19 | 0.05 | 0.15 | 0.53 | 0.69 | 0.25 | 0.55 | 0.23 | 0.63 | 0.75 | 0.61 | 0.17 |
| | | PR | 0.03 | 0.35 | 0.41 | 0.27 | 1.00 | 0.56 | 0.95 | 0.57 | 0.78 | 0.38 | 0.98 | 0.93 | 0.86 | 0.39 |
| | | ST | 0.00 | 0.35 | 0.30 | 0.73 | 0.00 | 0.07 | 0.54 | 0.86 | 0.56 | 0.00 | 0.00 | 0.00 | 0.00 | 0.76 |
| | RW | LI | 0.00 | 0.32 | 0.15 | 0.48 | 0.00 | 0.14 | 0.59 | 0.49 | 0.53 | 0.02 | 0.26 | 0.33 | 0.35 | 0.55 |
| | | PR | 0.00 | 0.39 | 0.35 | 0.66 | 0.00 | 0.00 | 0.40 | 0.70 | 0.54 | 0.00 | 0.06 | 0.01 | 0.10 | 0.68 |
| | | ST | 0.00 | 0.23 | 0.14 | 0.74 | 0.00 | 0.20 | 0.00 | 0.68 | 0.66 | 0.05 | 0.10 | 0.20 | 0.14 | 0.76 |
| OP11 | TS | LI | 0.00 | 0.16 | 0.00 | 0.43 | 0.00 | 0.26 | 0.36 | 0.75 | 0.63 | 0.05 | 0.35 | 0.31 | 0.33 | 0.52 |
| | | PR | 0.00 | 0.23 | 0.14 | 0.73 | 0.00 | 0.09 | 0.06 | 0.75 | 0.65 | 0.06 | 0.11 | 0.16 | 0.18 | 0.76 |
| | | ST | 0.04 | 0.48 | 0.24 | 0.67 | 0.10 | 0.52 | 0.18 | 0.72 | 0.70 | 0.19 | 0.49 | 0.37 | 0.48 | 0.89 |
| | RS | LI | 0.00 | 0.17 | 0.11 | 0.34 | 0.04 | 0.39 | 0.41 | 0.81 | 0.71 | 0.17 | 0.74 | 0.68 | 0.59 | 0.62 |
| | | PR | 0.01 | 0.43 | 0.28 | 0.64 | 0.11 | 0.37 | 0.24 | 0.78 | 0.63 | 0.21 | 0.54 | 0.48 | 0.40 | 0.76 |
| | | ST | 0.01 | 0.48 | 0.37 | 0.56 | 0.14 | 0.64 | 0.85 | 0.84 | 0.68 | 0.25 | 0.62 | 0.72 | 0.63 | 0.57 |
| | RW | LI | 0.05 | 0.68 | 0.99 | 0.86 | 0.37 | 0.70 | 0.63 | 0.89 | 0.79 | 0.64 | 0.39 | 0.44 | 0.43 | 0.79 |
| | | PR | 0.15 | 0.80 | 0.93 | 0.95 | 0.46 | 0.81 | 0.70 | 0.85 | 0.79 | 0.88 | 0.33 | 0.44 | 0.43 | 0.84 |
| | | ST | 0.00 | 0.42 | 0.15 | 0.52 | 0.06 | 0.64 | 0.38 | 0.69 | 0.75 | 0.27 | 0.67 | 0.81 | 0.68 | 0.55 |
| OP1 | TS | LI | 0.07 | 0.60 | 0.72 | 0.95 | 0.37 | 0.74 | 0.14 | 0.87 | 0.83 | 0.66 | 0.52 | 0.55 | 0.50 | 0.82 |
| | | PR | 0.23 | 0.60 | 0.62 | 1.00 | 0.38 | 0.78 | 0.18 | 0.69 | 0.77 | 0.79 | 0.46 | 0.46 | 0.48 | 0.83 |
| | | ST | 0.03 | 0.41 | 0.28 | 0.45 | 0.25 | 0.68 | 0.51 | 0.81 | 0.89 | 0.43 | 1.00 | 0.94 | 0.81 | 0.65 |
| | RS | LI | 0.86 | 0.91 | 0.97 | 0.94 | 0.73 | 0.97 | 0.33 | 0.94 | 0.98 | 1.00 | 0.86 | 0.77 | 0.74 | 0.87 |
| | | PR | 0.96 | 1.00 | 1.00 | 0.94 | 0.84 | 1.00 | 0.40 | 0.54 | 1.00 | 0.94 | 0.86 | 0.71 | 0.72 | 1.00 |
| | | ST | 0.08 | 0.66 | 0.86 | 0.93 | 0.37 | 0.68 | 0.63 | 1.00 | 0.84 | 0.59 | 0.41 | 0.47 | 0.52 | 0.86 |
| | RW | LI | 0.17 | 0.75 | 0.86 | 0.96 | 0.49 | 0.86 | 0.87 | 0.88 | 0.80 | 0.71 | 0.38 | 0.42 | 0.45 | 0.89 |
| | | PR | 0.01 | 0.60 | 0.42 | 0.62 | 0.28 | 0.65 | 0.91 | 0.80 | 0.79 | 0.28 | 0.71 | 0.82 | 0.72 | 0.47 |
| | | ST | 0.04 | 0.50 | 0.69 | 0.95 | 0.26 | 0.84 | 0.33 | 0.80 | 0.80 | 0.60 | 0.43 | 0.49 | 0.54 | 0.90 |
| OP1L | TS | LI | 0.24 | 0.58 | 0.82 | 0.97 | 0.42 | 0.86 | 0.27 | 1.00 | 0.92 | 0.63 | 0.47 | 0.46 | 0.51 | 1.00 |
| | | PR | 0.01 | 0.47 | 0.19 | 0.52 | 0.13 | 0.61 | 0.40 | 0.51 | 0.79 | 0.27 | 0.69 | 0.84 | 0.79 | 0.61 |
| | | ST | 0.45 | 0.87 | 0.79 | 0.91 | 0.69 | 0.80 | 0.48 | 0.65 | 0.93 | 0.98 | 0.94 | 0.76 | 0.76 | 0.96 |
| | RS | LI | 1.00 | 0.93 | 0.97 | 0.97 | 0.64 | 0.95 | 0.54 | 0.71 | 0.84 | 0.93 | 0.84 | 0.73 | 0.73 | 0.97 |
| | | PR | 0.08 | 0.51 | 0.21 | 0.46 | 0.45 | 0.76 | 0.54 | 0.64 | 0.81 | 0.45 | 0.99 | 1.00 | 1.00 | 0.54 |

Table 5. Normalized and averaged values of fitness function $ff(\cdot)$ for C-01 - C-14 simulation problems.

| Alg. | Sel. | Mut. | C-15 | C-16 | C-17 | C-18 | C-19 | C-20 | C-21 | C-22 | C-23 | C-2 4 | C-25 | C-26 | C-27 | C-28 |
|------|------|------|------|------|------|------|------|------|------|------|------|--------------|------|------|-------------|------|
| | | ST | 0.00 | 0.98 | 0.48 | 0.00 | 0.06 | 0.17 | 0.00 | 0.04 | 0.00 | 0.18 | 0.22 | 0.30 | 0.21 | 0.39 |
| | RW | LI | 0.22 | 0.96 | 0.48 | 0.01 | 0.06 | 0.03 | 0.01 | 0.09 | 0.18 | 0.29 | 0.24 | 0.42 | 0.29 | 0.52 |
| | | PR | 0.24 | 0.96 | 0.60 | 0.22 | 0.10 | 0.23 | 0.08 | 0.33 | 0.31 | 0.52 | 0.56 | 0.70 | 0.46 | 0.73 |
| | | ST | 0.12 | 0.91 | 0.52 | 0.10 | 0.11 | 0.17 | 0.06 | 0.02 | 0.04 | 0.25 | 0.26 | 0.40 | 0.29 | 0.37 |
| OPn | TS | LI | 0.15 | 0.95 | 0.51 | 0.00 | 0.14 | 0.00 | 0.09 | 0.11 | 0.19 | 0.36 | 0.42 | 0.56 | 0.35 | 0.48 |
| | | PR | 0.16 | 0.99 | 0.72 | 0.32 | 0.18 | 0.32 | 0.10 | 0.28 | 0.32 | 0.65 | 0.56 | 0.77 | 0.61 | 0.80 |
| | | ST | 0.21 | 0.96 | 0.65 | 0.10 | 0.20 | 0.17 | 0.07 | 0.00 | 0.25 | 0.44 | 0.52 | 0.67 | 0.56 | 0.55 |
| | RS | LI | 0.23 | 0.97 | 0.70 | 0.17 | 0.24 | 0.07 | 0.12 | 0.10 | 0.32 | 0.54 | 0.71 | 0.82 | 0.66 | 0.83 |
| | | PR | 0.43 | 1.00 | 0.99 | 0.44 | 0.32 | 0.24 | 0.10 | 0.25 | 0.51 | 0.83 | 0.93 | 1.00 | 0.84 | 1.00 |
| | | ST | 0.48 | 0.41 | 0.00 | 0.37 | 0.00 | 0.70 | 0.02 | 0.67 | 0.39 | 0.16 | 0.00 | 0.01 | 0.00 | 0.00 |
| | RW | LI | 0.28 | 0.66 | 0.31 | 0.55 | 0.06 | 0.37 | 0.09 | 0.49 | 0.28 | 0.51 | 0.23 | 0.25 | 0.24 | 0.32 |
| | | PR | 0.43 | 0.36 | 0.04 | 0.39 | 0.00 | 0.67 | 0.04 | 0.72 | 0.35 | 0.13 | 0.02 | 0.00 | 0.02 | 0.13 |
| | | ST | 0.46 | 0.29 | 0.17 | 0.38 | 0.07 | 0.74 | 0.03 | 0.70 | 0.44 | 0.08 | 0.03 | 0.12 | 0.12 | 0.19 |
| OP11 | TS | LI | 0.42 | 0.73 | 0.30 | 0.41 | 0.10 | 0.49 | 0.07 | 0.57 | 0.31 | 0.50 | 0.33 | 0.35 | 0.34 | 0.34 |
| | | PR | 0.47 | 0.30 | 0.12 | 0.34 | 0.06 | 0.66 | 0.10 | 0.69 | 0.43 | 0.14 | 0.04 | 0.17 | 0.17 | 0.20 |
| | | ST | 0.60 | 0.43 | 0.46 | 0.47 | 0.28 | 0.73 | 0.12 | 0.77 | 0.64 | 0.22 | 0.46 | 0.49 | 0.48 | 0.34 |
| | RS | LI | 0.46 | 0.75 | 0.72 | 0.52 | 0.27 | 0.35 | 0.15 | 0.61 | 0.49 | 0.51 | 0.62 | 0.68 | 0.63 | 0.68 |
| | | PR | 0.51 | 0.28 | 0.49 | 0.39 | 0.32 | 0.67 | 0.15 | 0.65 | 0.62 | 0.38 | 0.48 | 0.49 | 0.55 | 0.36 |
| | | ST | 0.46 | 0.33 | 0.62 | 0.19 | 0.35 | 0.44 | 0.14 | 0.48 | 0.51 | 0.60 | 0.68 | 0.47 | 0.50 | 0.45 |
| | RW | LI | 0.73 | 0.06 | 0.27 | 0.81 | 0.47 | 0.91 | 0.25 | 0.85 | 0.71 | 0.25 | 0.40 | 0.36 | 0.42 | 0.34 |
| | | PR | 0.76 | 0.10 | 0.28 | 0.64 | 0.54 | 0.96 | 0.15 | 0.83 | 0.78 | 0.02 | 0.38 | 0.31 | 0.44 | 0.28 |
| | | ST | 0.53 | 0.34 | 0.68 | 0.28 | 0.34 | 0.40 | 0.10 | 0.56 | 0.53 | 0.63 | 0.64 | 0.45 | 0.56 | 0.63 |
| OP1 | TS | LI | 0.73 | 0.16 | 0.48 | 0.82 | 0.57 | 0.95 | 0.16 | 0.76 | 0.82 | 0.10 | 0.46 | 0.35 | 0.52 | 0.37 |
| | | PR | 0.84 | 0.24 | 0.39 | 0.78 | 0.59 | 0.98 | 0.23 | 0.92 | 0.82 | 0.00 | 0.41 | 0.45 | 0.50 | 0.41 |
| | | ST | 0.68 | 0.36 | 0.98 | 0.49 | 0.46 | 0.41 | 0.13 | 0.57 | 0.68 | 0.70 | 0.93 | 0.91 | 0.96 | 0.90 |
| | RS | LI | 0.91 | 0.25 | 0.87 | 0.70 | 0.83 | 0.91 | 1.00 | 0.95 | 0.96 | 0.30 | 0.74 | 0.91 | 0.96 | 0.66 |
| | | PR | 1.00 | 0.10 | 0.86 | 1.00 | 0.89 | 0.90 | 0.22 | 0.92 | 1.00 | 0.13 | 0.78 | 0.92 | 0.90 | 0.64 |
| | | ST | 0.83 | 0.05 | 0.31 | 0.80 | 0.48 | 0.94 | 0.17 | 0.87 | 0.75 | 0.15 | 0.37 | 0.29 | 0.43 | 0.29 |
| | RW | LI | 0.72 | 0.00 | 0.33 | 0.77 | 0.54 | 0.93 | 0.17 | 0.91 | 0.73 | 0.16 | 0.40 | 0.31 | 0.46 | 0.36 |
| | | PR | 0.40 | 0.30 | 0.66 | 0.01 | 0.37 | 0.43 | 0.06 | 0.54 | 0.54 | 0.94 | 0.71 | 0.45 | 0.55 | 0.77 |
| | | ST | 0.82 | 0.04 | 0.45 | 0.71 | 0.59 | 1.00 | 0.17 | 1.00 | 0.77 | 0.18 | 0.41 | 0.37 | 0.51 | 0.42 |
| OP1L | TS | LI | 0.87 | 0.05 | 0.42 | 0.75 | 0.64 | 1.00 | 0.13 | 0.95 | 0.78 | 0.09 | 0.40 | 0.41 | 0.52 | 0.30 |
| | | PR | 0.57 | 0.41 | 0.76 | 0.05 | 0.39 | 0.35 | 0.19 | 0.59 | 0.54 | 1.00 | 0.67 | 0.57 | 0.64 | 0.79 |
| | | ST | 0.85 | 0.09 | 0.81 | 0.69 | 0.91 | 0.94 | 0.26 | 0.98 | 0.99 | 0.14 | 0.78 | 0.97 | 0.90 | 0.62 |
| | RS | LI | 0.88 | 0.07 | 0.87 | 0.86 | 1.00 | 0.90 | 0.23 | 1.00 | 0.96 | 0.33 | 0.79 | 0.88 | 0.86 | 0.56 |
| | | PR | 0.53 | 0.38 | 1.00 | 0.33 | 0.44 | 0.41 | 0.13 | 0.56 | 0.62 | 0.90 | 1.00 | 0.91 | 1.00 | 0.87 |

Table 6. Normalized and averaged values of fitness function $ff(\cdot)$ for C-15 - C-28 simulation problems.

| | | | Averaged | Place by | Times | Averaged | Average |
|------|------|------|------------|----------|-------|---------------|-----------|
| Alg. | Sel. | Mut. | normalized | averaged | host | place for | number of |
| | | | minimum | minimum | Dest | each function | operators |
| | | ST | 0.192 | 1 | 4 | 7.607 | 2.364 |
| | RW | LI | 0.246 | 3 | 1 | 9.429 | 2.367 |
| | | PR | 0.434 | 13 | 0 | 16.464 | 2.353 |
| | | ST | 0.219 | 2 | 1 | 8.643 | 2.336 |
| OPn | TS | LI | 0.276 | 6 | 2 | 10.714 | 2.330 |
| | | PR | 0.452 | 16 | 0 | 17.750 | 2.286 |
| | | ST | 0.331 | 10 | 3 | 14.143 | 2.350 |
| | RS | LI | 0.404 | 12 | 0 | 17.036 | 2.334 |
| | | PR | 0.619 | 31 | 0 | 23.393 | 2.280 |
| | | ST | 0.264 | 5 | 7 | 10.429 | 2.000 |
| | RW | LI | 0.316 | 9 | 0 | 9.857 | 2.000 |
| | | PR | 0.257 | 4 | 5 | 8.929 | 2.000 |
| | | ST | 0.276 | 7 | 1 | 9.214 | 2.000 |
| OP11 | TS | LI | 0.335 | 11 | 1 | 10.679 | 2.000 |
| | | PR | 0.280 | 8 | 1 | 9.643 | 2.000 |
| | | ST | 0.448 | 15 | 0 | 17.964 | 2.000 |
| | RS | LI | 0.472 | 17 | 0 | 18.929 | 2.000 |
| | | PR | 0.436 | 14 | 0 | 17.536 | 2.000 |
| | | ST | 0.485 | 19 | 0 | 19.857 | 1.000 |
| | RW | LI | 0.553 | 22 | 0 | 21.643 | 1.000 |
| | | PR | 0.565 | 26 | 0 | 21.393 | 1.000 |
| | | ST | 0.474 | 18 | 0 | 18.821 | 1.000 |
| OP1 | TS | LI | 0.557 | 23 | 0 | 22.500 | 1.000 |
| | | PR | 0.567 | 27 | 1 | 22.393 | 1.000 |
| | | ST | 0.617 | 30 | 0 | 25.036 | 1.000 |
| | RS | LI | 0.815 | 36 | 0 | 30.214 | 1.000 |
| | | PR | 0.792 | 35 | 0 | 29.214 | 1.000 |
| | | ST | 0.558 | 25 | 0 | 21.857 | 1.000 |
| | RW | LI | 0.582 | 28 | 1 | 22.857 | 1.000 |
| | | PR | 0.528 | 21 | 0 | 21.179 | 1.000 |
| | | ST | 0.558 | 24 | 0 | 22.714 | 1.000 |
| OP1L | TS | LI | 0.587 | 29 | 0 | 23.179 | 1.000 |
| | | PR | 0.512 | 20 | 0 | 21.357 | 1.000 |
| | | ST | 0.747 | 33 | 0 | 28.821 | 1.000 |
| | RS | LI | 0.784 | 34 | 0 | 29.893 | 1.000 |
| | | PR | 0.626 | 32 | 0 | 24.714 | 1.000 |

Table 7. Normalized values of fitness function $ff(\cdot)$ averaged for all simulation problems.

shows that the use of operators changes differently for different test functions, similar to OPn-RW-ST (Figure 3). At the same time, it can be seen that the requirement for each of the individuals of the population to use one operator in the OP1 caused a clear limitation on the use of some operators from the pool, although they were used in the OPn.

Table 8. Normalized values of fitness function $ff(\cdot)$ averaged for all simulation problems and grouped by selection strategies and mutation strategies of X_{ch}^{op} .

| Alg. | Sel. | Avg. | Mut. | Avg. |
|------|------|-------|------|-------|
| | RW | 0.291 | ST | 0.248 |
| OPn | TS | 0.316 | LI | 0.309 |
| | RS | 0.451 | PR | 0.502 |
| | RW | 0.279 | ST | 0.329 |
| OP11 | TS | 0.297 | LI | 0.375 |
| | RS | 0.452 | PR | 0.324 |
| | RW | 0.534 | ST | 0.525 |
| OP1 | TS | 0.533 | LI | 0.642 |
| | RS | 0.742 | PR | 0.641 |
| | RW | 0.556 | ST | 0.621 |
| OP1L | TS | 0.552 | LI | 0.651 |
| | RS | 0.719 | PR | 0.556 |
| | RW | 0.415 | ST | 0.431 |
| AVG | TS | 0.425 | LI | 0.494 |
| | RS | 0.591 | PR | 0.506 |

Table 9. Comparison of the accuracy of different PBAs based on normalized values of evaluation function $ff(\cdot)$ averaged for all simulation problems.

| Alg. | Averaged normalized minimum | Place by averaged minimum |
|------------|-----------------------------------|---------------------------------|
| OPn-RW-ST | 0.000 | 1 |
| OP11-RW-PR | 0.009 | 2 |
| OP1-TS-ST | 0.042 | 3 |
| OP1L-TS-PR | 0.047 | 4 |
| DE | 0.426 | 5 |
| GA | 0.767 | 11 |
| GWO | 0.693 | 9 |
| FWA | 1.000 | 12 |
| PSO | 0.757 | 10 |
| BAT | 0.685 | 8 |
| CS | 0.522 | 7 |
| FFA | 0.481 | 6 |

Table 10. A comparison of the accuracy of the best variants of the OPn, OP11, OP1, OP1L algorithms based on normalized values of fitness function $ff(\cdot)$ averaged for all simulation problems. The values in column 4 were obtained by multiplying the values from columns 2 and 3.

| Alg. | Averaged normalized minimum | Averaged number of operators | Complexity versus minimum |
|------------|-----------------------------------|------------------------------------|---------------------------------|
| OPn-RW-ST | 0.192 | 2.364 | 0.455 |
| OP11-RW-PR | 0.257 | 2.000 | 0.513 |
| OP1-TS-ST | 0.474 | 1.000 | 0.474 |
| OP1L-TS-PR | 0.512 | 1.000 | 0.512 |

Table 11. A comparison of the accuracy of the average results from the OPn, OP11, OP1, OP1L algorithms based on normalized values of fitness function $ff(\cdot)$ averaged for all simulation problems.

The values in column 4 were obtained by multiplying the values from columns 2 and 3.

| Alg. | Averaged normalized minimum | Averaged number of operators | Complexity versus minimum |
|------|-----------------------------------|------------------------------------|---------------------------------|
| OPn | 0.353 | 2.333 | 0.823 |
| OP11 | 0.343 | 2.000 | 0.685 |
| OP1 | 0.603 | 1.000 | 0.603 |
| OP1L | 0.609 | 1.000 | 0.609 |

3.3 Comparison of OP1 with other PBAs

A comparison of the main features of the selected PBAs is provided in Table 1. It shows that the family of the OPn, OP11 and OP1 algorithms gives a new look at the algorithm design process and has several important advantages in the PBAs group. A direct comparison of the accuracy of PBAs is difficult because the authors use different algorithms and parameters of the evolution process. Therefore, to compare the OP1 algorithm with other PBAs, we implemented selected methods and tested them in accordance with the information provided in Section 3.1. These methods include: Operator-based Population n (OPn) [27], Operator-based Population 1+1 (OP11) [29], Differential Evolution (DE) [41], Genetic Algorithm (GA) [11], Grey Wolf Optimizer (GWO) [31], Firework Algorithm (FWA) [43], Particle Swarm Optimization (PSO) [23], Bat Algorithm (BAT) [51], Cuckoo Search (CS) [50], and Firefly Algorithm (FFA) [49].



Figure 2. Comparison of the average operator use by the best PBAs variants (see Table 10) for test function C-10.



Figure 3. Comparison of the average operator usage for test functions C-05 - C-10 and the OPn-RW-ST algorithm.



Figure 4. Comparison of the average operator usage for test functions C-05 - C-10 and the OP1-TS-ST algorithm.

The conclusions from the comparison can be summarized as follows:

- Comparison of the accuracy of different PBAs based on normalized values of fitness function ff(·) averaged for all simulation problems is shown in Table 9. The results obtained for the OPn, OP11, OP1, and OP1L algorithms are an order of magnitude better than those obtained for other PBAs (Table 9). Therefore, the approach to change the search operators during the evolution process seems very effective.
- Comparison of the accuracy of the best variants of the OPn, OP11, OP1, OP1L algorithms based on normalized values of the fitness function is shown in Table 10. The best results and the best ratio of complexity to accuracy were obtained by the OPn-RW-ST (Table 10, columns 2 and 4). The OP1 and OP1L methods obtained acceptable results, but slightly worse than those obtained by the OPn and OP11.
- Comparison of the accuracy of average algorithms OPn, OP11, OP1, OP1L based on normalized values of the evaluation function is shown in Table 11. The best results were obtained for the OP11 algorithm (Table 11, column 2). However, the best ratio of complexity to accuracy was obtained for the OP1 algorithm (Table 11, column 4). Therefore, when the key criterion is the complexity of the algorithm, then the OP1 method which generates acceptable solutions with minimal use of the operator pool can be used. If this is accuracy that is expected the key criterion, then the OP11 method can be used.

4 Conclusions

In this paper, a new population-based algorithm (PBA) is proposed: an evolutionary algorithm with an automatic selection of the search mechanism (OP1). Its most important feature is that for each individual of the population, the evolutionary operator is individually selected. The exchange of operators in a population is thus a dynamic process.

The OP1 algorithm was tested using 28 considered known simulation problems C-01 - C-28. For the OP1 slightly worse results than in the case of the OPn and OP11 were obtained, but these are satisfactory and obtained with minimal use of the operator pool. At the same time, these results are significantly better than those obtained for popular PBAs that did not use the operator exchange mechanism.

The mutation of operators with protective thresholds found in the OP1L variant did not give as good results as expected, but they are acceptable and thus worth further investigation.

Our plans include developing a multipopulation and multi-criteria version of the proposed algorithm.

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