

Research Article

Effect of Stiffness of Rolling Joints on the Dynamic Characteristic of Ball Screw Feed Systems in a Milling Machine

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Dynamic characteristic of ball screw feed system in a milling machine is studied numerically in this work. In order to avoid the difficulty in determining the stiffness of rolling joints theoretically, a dynamic modeling method for analyzing the feed system is discussed, and a stiffness calculation method of the rolling joints is proposed based on the Hertz contact theory. Taking a 3-axis computer numerical control (CNC) milling machine set ermined as a research object, the stiffness of its fixed joint between the column and the body together with the stiffness parameters of the rolling joints is evaluated according to the Takashi Yoshimura method. Then, a finite element (FE) model is established for the machine tool. The correctness of the FE model and the stiffness calculation method of the rolling joints are validated by theoretical and experimental modal analysis results of the machine tool's workbench. Under the two modeling methods of joints incorporating the stiffness parameters and rigid connection, a theoretical modal analysis is conducted for the CNC milling machine. The natural frequencies and modal shapes reveal that the joints' dynamic characteristic has an important influence on the dynamic performance of a whole machine tool, especially for the case with natural frequency and higher modes.

1. Introduction

Ball screw feed drive system has become a key part of CNC machine tools owing to its advantages of high positioning accuracy and transmission efficiency, long operating life, and less internal heat [1, 2]; therefore its dynamic characteristic has a direct impact on positioning accuracy, processing performance, vibration, and noise characteristic of a machine tool [3, 4]. The feed system is comprised of ball screw assembly, rolling guide pairs, bearings, workbench, body, and other parts through different kinds of mechanical joints; researches have shown that 30% to 50% static stiffness of a machine tool depends on stiffness of joints, more than 90% damping of a machine tool is derived from joints, and more than 60% vibration problems that appear on a machine tool originate in joints [5], so dynamic characteristic of the joints is one of the critical factors that influence the dynamic

performance of the feed system. Researches on identification methods of joints' stiffness and damping parameters and dynamic characteristic analysis of the feed system are always hot issues in related field.

There are two types of joints in the feed system: fixed joints as bolted joints and rolling joints including ball screw assembly, rolling guide paired, and bearing jointed. So far, the identification methods of joints' dynamic characteristic parameters can be summarized into three kinds: theoretical calculation method, experimental test method, and theoretical calculation combined with experimental test method. As for the fixed joints, [6] proposed general theoretical calculation formulas of the fixed joints' stiffness and damping parameters through experimental data fitting, [7] obtained stiffness and damping parameters of the fixed joint through experimental test, [8] identified the bolted joints' dynamic characteristic parameters through theoretical calculation

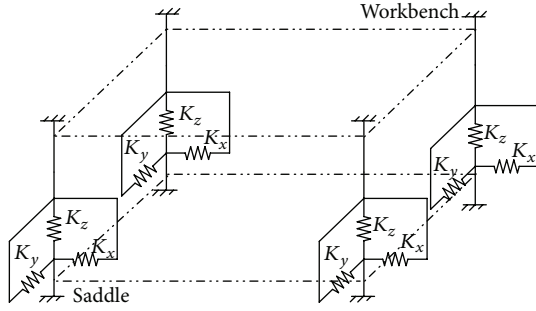


FIGURE 2: Dynamic modeling of the feed system.

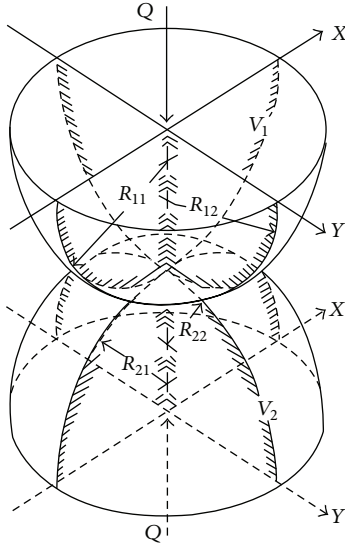


FIGURE 3: Hertz contact model.

3. Stiffness Calculation Method of Rolling Joints

3.1. Hertz Contact Theory. Hertz contact theory is the classical theory to calculate contact deformation and contact stress of elastic body. As shown in Figure 3, two elastic objects V_1 and V_2 resist each other under the pressure of external force Q , and the two objects satisfy the following assumptions [19]: materials of the two objects are homogeneous and isotropic; contact surface is so smooth that there is no tangential friction force existing at contact area; the contact objects only produce elastic deformation that obeys the Hooke law; size of the contact surface is very small compared with the objects' curvature radius. Therefore, in the center of contact area, approaching distance resulting from the two objects that resist each other is [3]

$$\delta = \frac{K}{\pi a} \left(\frac{3Q}{2} \left(\frac{1-u_1^2}{E_1} + \frac{1-u_2^2}{E_2} \right) \right)^{2/3} (\sum \rho)^{1/3}, \quad (1)$$

where K and a are Hertz coefficients, which can be obtained by looking up tables in reference; u_1 and u_2 are Poisson ratio of the two contact objects; E_1 and E_2 are elastic modulus of the two contact objects; $\sum \rho$ is the synthetic curvature at

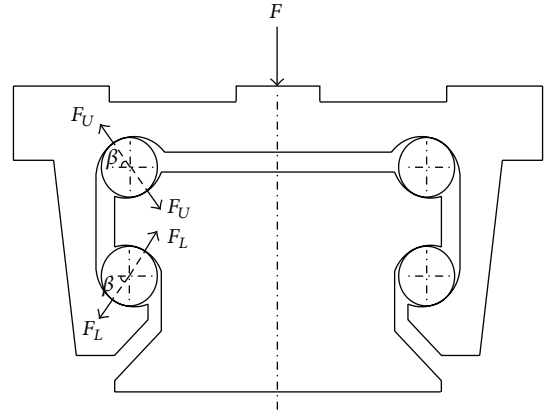


FIGURE 4: Force analysis of rolling guide joint.

contact point; namely, $\sum \rho = \sum_{i=1}^2 \sum_{j=1}^2 (1/R_{ij})$, where R_{ij} ($i = 1, 2; j = 1, 2$) are principal curvatures of the object V_i .

As for the rolling joints of ball screw assembly, rolling guide pairs, and bearings in the feed system, the common feature is that they all contain the contact between ball and groove; therefore the stiffness of rolling joints in feed system can be calculated based on the Hertz contact theory.

3.2. Stiffness of Rolling Guide Pair. Rolling guide pair is comprised of slide block, balls, guides, and so on, assuming that the contacts between ball and slide block groove, between ball and guide groove satisfy the four conditions of the Hertz contact theory; in addition, load is evenly distributed among each backing ball in the same row; then the normal and tangential stiffness of the rolling guide pair can be calculated based on the Hertz contact theory.

Typical structure of the rolling guide pair [3] is shown in Figure 4; there are four balls in joint, total number of the backing balls is z , and pressure angle of the contact between ball and groove is β . Under the pressure of external force F , normal reaction of each ball at up row is F_U , and normal reaction of each ball at low row is F_L . In general, each ball is in compression owing to pretightened force, so the vertical force equilibrium of the slide block is $F + zF_L \sin \beta/2 = zF_U \sin \beta/2$.

Assuming that the pretightened force F_0 is evenly distributed between all backing balls, normal force and initial deformation of each ball induced by pretightened force are P_0 and δ_0 , and the relationship between P_0 and F_0 is $F_0 = \sqrt{2}zP_0 \sin \beta$. Under the pressure of external force F , the deformation of each ball at up row is δ_u , the deformation of each ball at low row is δ_L , and vertical displacement of slide block is δ_n ; thus the compatibility equation of deformation is $(\delta_u - \delta_0) \sin \beta = (\delta_0 - \delta_L) \sin \beta = \delta_n$. Initial deformation δ_0 can be obtained from (1), and $\delta_U = f_U(F_U)$, $\delta_L = f_L(F_L)$. Therefore, normal stiffness of the rolling guide pair can be obtained as $K_n = F/\delta_n$. Slide block is not subject to additional tangential load, so tangential displacement of the slide block relative to the guide rail is $\delta_\tau = (\delta_u - \delta_0) \cos \beta$. Therefore tangential stiffness of the rolling guide pair can be calculated as $K_\tau = zP_0 \cos \beta/2\delta_\tau$ [3].

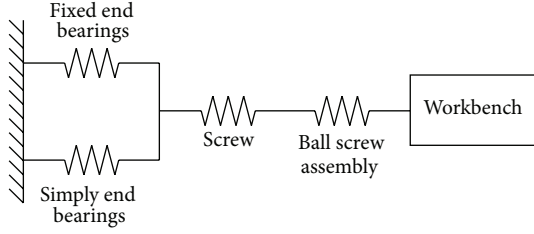


FIGURE 5: Dynamic model of ball screw feed unit.

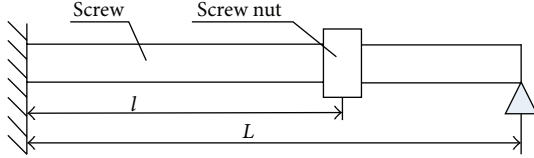


FIGURE 6: Schematic diagram of screw support mode.

3.3. Equivalent Stiffness of Axial Feed Unit. Dynamic model of the axial feed unit is shown in Figure 5; equivalent axial stiffness k_x is the synthesis of bearings axial stiffness, screw axial stiffness, and ball screw assembly axial stiffness. The axial stiffness of the deep groove ball bearing used at the simple end of screw is so small that can be neglected, so $1/k_x = 1/k_s + 1/k_a + 1/k_b$ [3], where k_s is screw axial stiffness; k_a is ball screw assembly axial stiffness; k_b is bearings axial stiffness.

3.3.1. Screw Axial Stiffness. The screw is supported fixed at one end and simply supported at the other, which is shown in Figure 6. Screw axial stiffness can be calculated based on mechanics of materials as $k_s = \pi d^2 E / 4l$, where d is minor diameter of the screw thread; E is elasticity modulus of the screw; l is distance between the screw nut and the fixed end. The workbench and the saddle are usually located at the mid-point of travel range, without loss of generality, the stiffness of the screw nut located at mid-point of screw is taken as its axial support stiffness; namely, $k_s = \pi d^2 E / 2L$.

3.3.2. Ball Screw Assembly Axial Stiffness. Ball screw assembly is comprised of screw, balls, screw nut, and so on, assuming that the contacts between ball and screw groove, between ball and screw nut groove satisfy the four conditions of the Hertz contact theory; in addition (1) centrifugal force and gyroscopic moment induced by balls rotation are so small that can be ignored; (2) axial load is evenly distributed between all backing balls; thus ball screw assembly axial stiffness can be calculated based on the Hertz contact theory.

Ball screw assembly of feed system is pretightened by applying a preload, and external axial load can be neglected; force analysis of the ball screw assembly under the force of preload F_a [3] is shown in Figure 7. Normal reaction of each ball is P , pressure angle of the contact between ball and groove is β , deformation of the contact between ball and nut groove is δ_1 , and deformation of the contact between ball and screw groove is δ_2 . The axial force equilibrium of the screw nut is

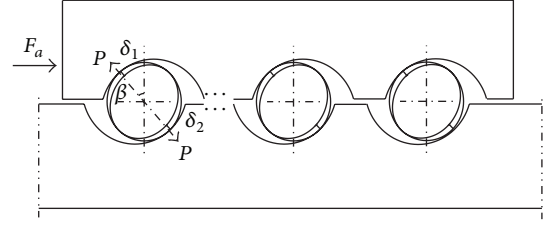


FIGURE 7: Force analysis of ball screw joint.

$F_a - Pz \sin \beta \cos \phi = 0$, where z is total number of backing balls; ϕ is lead angle of the screw. It is calculated from (1) that

$$\delta_i = \frac{K_i}{\pi a_i} \left(\frac{3P}{2} \left(\frac{1 - u_1^2}{E_1} + \frac{1 - u_2^2}{E_2} \right) \right)^{2/3} (\sum \rho_i)^{1/3}, \quad (2)$$

$$i = 1, 2.$$

Synthetic curvature at contact point between each ball and screw nut groove and synthetic curvature at contact point between each ball and screw groove are [3]

$$\sum \rho_1 = \frac{2}{d_b} + \frac{2}{d_b} - \frac{1}{d_b f_1} - \frac{2 \cos \alpha \cos \phi}{d + d_b \cos \alpha}, \quad (3)$$

$$\sum \rho_2 = \frac{2}{d_b} + \frac{2}{d_b} - \frac{1}{d_b f_2} + \frac{2 \cos \alpha \cos \phi}{d - d_b \cos \alpha},$$

where d_b is diameter of ball; f_1 is a form factor, namely, the ratio of screw nut groove's curvature radius to ball radius; f_2 is the ratio of screw groove's curvature radius to ball radius. Axial displacement of screw nut to screw can be obtained from geometrical relationship that $\delta_a = (\delta_1 + \delta_2) / \sin \beta \cos \phi$. Ball screw assembly axial stiffness can be obtained by solving simultaneous (2)-(3) that $K_a = F_a / \delta_a$.

3.3.3. Bearing Axial Stiffness. Bearing is comprised of inner ring, balls, outer ring, and so on. Assuming that the contacts between ball and inner ring, between ball and outer ring satisfy the four conditions of the Hertz contact theory; in addition (1) centrifugal force and gyroscopic moment induced by ball rotation are so small that can be ignored; (2) axial load is evenly distributed between all backing balls; thus bearing axial stiffness can be calculated based on the Hertz contact theory.

Taking the angular contact bearings used at fixed end as an example, the pretightened force F_a is applied on bearings, additional axial and radial forces induced by screw can be neglected, and force analysis of bearings [3] is shown in Figure 8. Pressure angle of the contact between ball and groove is β , normal reaction of each ball is P , number of balls in one bearing is z , deformation of the contact between ball and outer groove is δ_1 , and deformation of the contact between ball and inner groove is δ_2 ; thus the axial force

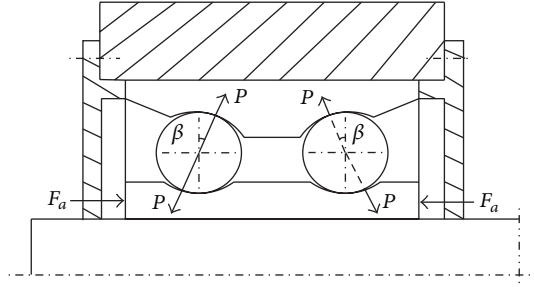


FIGURE 8: Force analysis of bearing joint.

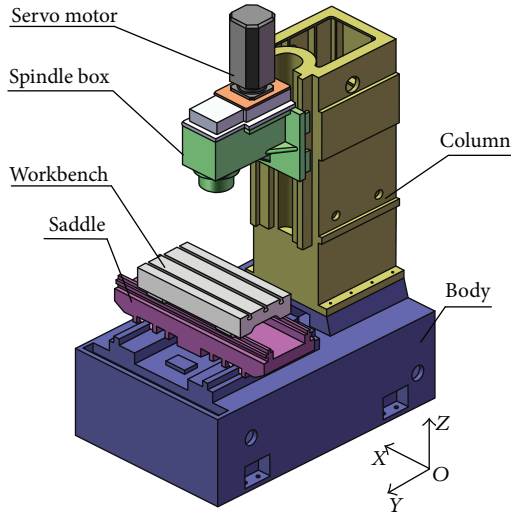


FIGURE 9: Structure diagram of CNC milling machine.

equilibrium of each bearing is $F_a - zP \sin \beta = 0$. It is calculated from (1) that

$$\delta_i = \frac{K_i}{\pi a_i} \left(\frac{3P}{2} \left(\frac{1 - u_1^2}{E_1} + \frac{1 - u_2^2}{E_2} \right) \right)^{2/3} (\sum \rho_i)^{1/3}, \quad (4)$$

$$i = 1, 2,$$

where the meaning of each parameter in (4) is identical with that in (2). Axial displacement of bearings to screw can be obtained from geometrical relationship that $\delta_a = (\delta_1 + \delta_2) \sin \beta$. Bearing axial stiffness can be obtained by solving simultaneous (4) as $k_b = F_a / \delta_a$.

4. Finite Element Modeling

A CNC milling machine with three axes, X, Y, and Z, is determined as research object, its structure is shown in Figure 9, and three feed systems exist between column and spindle box, between body and saddle, and between saddle and workbench, respectively.

4.1. Stiffness Calculation of Fixed Joint. In order to establish FE model of the milling machine more easily, bolted joint between column and body is emphasized while the fixed joints between guide and body, between slide block and

TABLE 1: Stiffness of bolted joints and parameters of stiffness elements.

Direction	Stiffness (N/m)	Number of stiffness elements	Parameters of stiffness elements
Normal	5.07×10^{10}	16	3.12×10^9
Tangential	2.02×10^{10}	16	1.26×10^9

workbench, and between servo motor and spindle box are connected rigidly with MPC184 element in ANSYS.

Takashi Yoshimura studied bolted joints in machine tools. It was concluded that all these joints possess the same dynamic characteristic data per unit area if the average contact pressures at fixed joints are equal. As for the bolted joint between column and body, the contact pressure can be considered as being uniformly distributed when neglecting the influence of bolts distribution and structures physical deformation. Therefore, stiffness of the bolted joints can be obtained through calculating contact pressure and the Takashi Yoshimura method. The column is connected to the body with 16 parallel MATRIX27 stiffness elements; stiffness of the bolted joints and parameters of the stiffness elements are shown in Table 1.

4.2. Stiffness Calculation of Rolling Joints in Feed System. Stiffness of the rolling joints in X, Y, and Z feed systems can be obtained based on the proposed method in Section 3. Support mode of the screw in X and Y feed systems is supported fixed at one end and simply supported at the other, among which a couple of angular contact bearings are used at the fixed end and a deep groove ball bearing is used at the simply supported end; support mode of the screw in Z feed system is supported fixed at both ends, among which a center-oriented thrust ball bearing is used at each end. The calculated stiffness of rolling joints in X, Y, and Z feed systems is shown in Tables 2, 3, and 4, respectively.

4.3. Finite Element Modeling of Milling Machine. Geometric model of the CNC milling machine is built and then is transmitted to HyperMesh to establish its finite element model, during which some points should be focused on as follows:

- (1) *Simplifying Geometric Model.* In order to obtain more reasonable element shape generated by meshing and improve the accuracy and efficiency of calculation and analysis, the geometric model is simplified before building finite element model as follows: little bolt holes and other process holes are neglected; filleting and chamfering are straight lined; motors and other components that have little effect on calculation result are ignored; equivalent mass blocks are substituted for complicated parts inside of the spindle box.
- (2) *Finite Element Meshing.* Element type of the whole model is chosen as Solid92, which is a tetrahedral element with 10 nodes; about 29.4 million Solid92 elements are obtained by meshing.

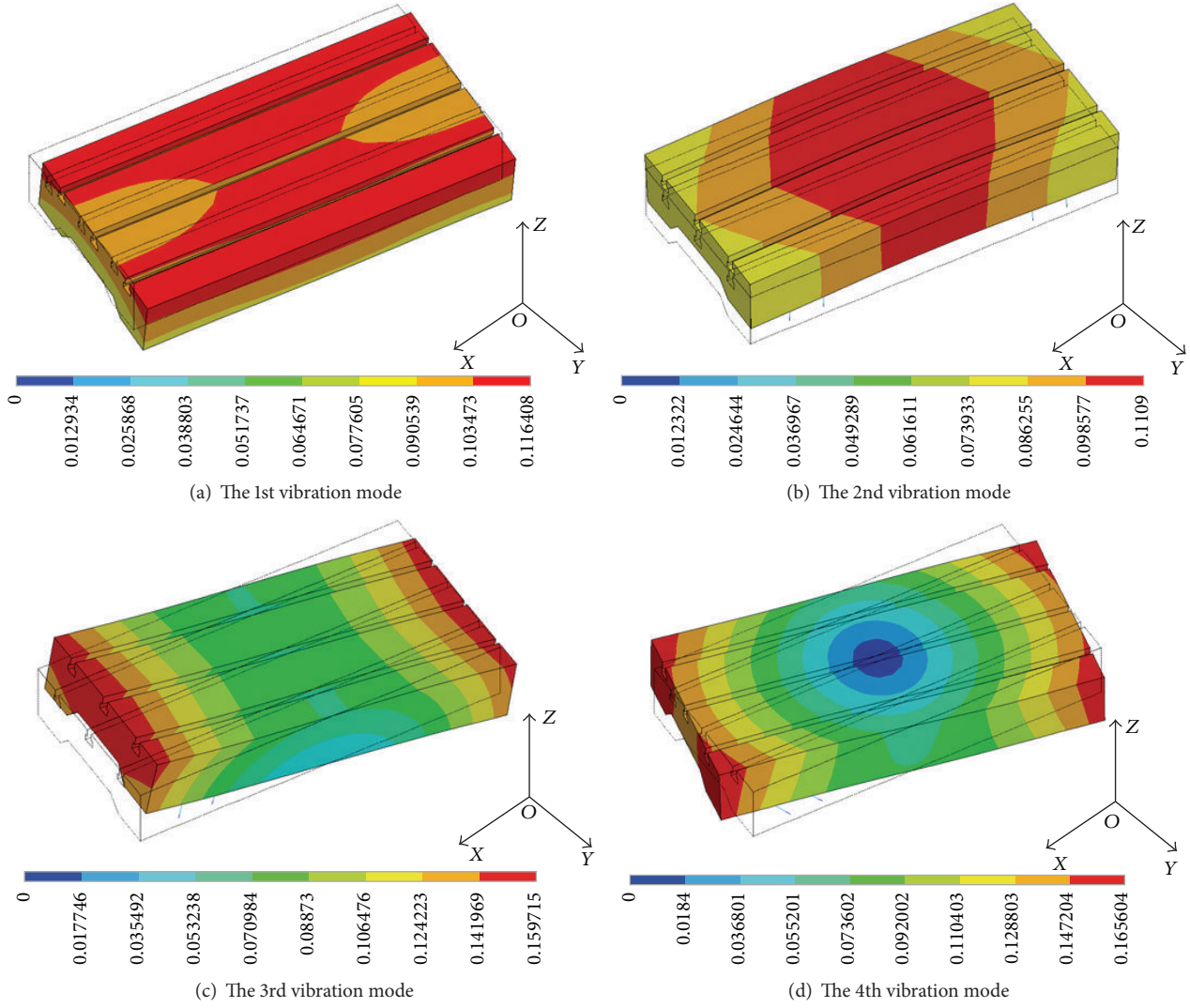


FIGURE 10: Theoretical vibration modes of workbench.

TABLE 2: Stiffness of rolling joints in X feed system.

Normal stiffness of rolling guide K_z (N/um)	Tangential stiffness of rolling guide K_y (N/um)	Axial stiffness of screw k_s (N/um)	Stiffness of ball screw k_a (N/um)	Axial stiffness of bearings k_b (N/um)	Axial equivalent stiffness K_x (N/um)
156	112	443	290	503	130

TABLE 3: Stiffness of rolling joints in Y feed system.

Normal stiffness of rolling guide K_z (N/um)	Tangential stiffness of rolling guide K_y (N/um)	Axial stiffness of screw k_s (N/um)	Stiffness of ball screw k_a (N/um)	Axial stiffness of bearings k_b (N/um)	Axial equivalent stiffness K_x (N/um)
182	131	591	290	503	141

TABLE 4: Stiffness of rolling joints in Z feed system.

Normal stiffness of rolling guide K_z (N/um)	Tangential stiffness of rolling guide K_y (N/um)	Axial stiffness of screw k_s (N/um)	Stiffness of ball screw k_a (N/um)	Axial stiffness of bearing k_b (N/um)	Axial equivalent stiffness K_x (N/um)
167	120	752	165	373	225

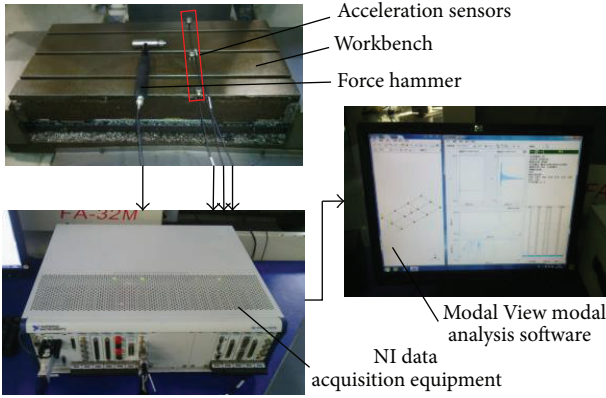


FIGURE 11: Working principle of modal testing system.

- (3) *Modeling of Joints.* According to the dynamic modeling method of the joints and the stiffness parameters calculated based on the proposed method, 28 MATRIX27 elements are used for modeling the joints in X, Y, and Z feed systems.
- (4) *Boundary Conditions.* In accordance with the practical constraint conditions of the CNC milling machine, all DOF (Degrees of Freedom) of the nodes at junction area between the body and the ground are constrained; the established FE model of the whole machine is shown in Figure 9.

5. Verification of the FE Model

In order to verify the validity of the finite element model established above, theoretical and experimental modal analysis of the workbench are conducted. The finite element model of the workbench taking joints' stiffness parameters into consideration is transmitted to ANSYS software, theoretical modal calculation is accomplished based on the Block Lanczos algorithm, and the first four orders natural frequencies and modal shapes are shown in Table 5 and Figure 10 separately.

The hammering method with single-point exciting and multipoints vibration picking is used to conduct experiment modal test. Working principle of modal testing system is shown in Figure 11. Test equipment mainly used in experiment includes Kistler 9724 force hammer, three BK 4525B triaxial micro accelerations, and NI PXIe-1075 data collection system with NI PXIe-4498 dynamic signal acquisition module, and the modal analysis software Modal View is used to complete data collection and processing.

A workbench structure model with measuring points arrangement is built in Modal View as shown in Figures 12 and 13, measure points are set up in the model, and hammering position is fixed at the ninth point.

Basic process of modal test includes workbench, acquisition and processing of input and output signal, calculation of frequency response functions, and identification of modal parameters.

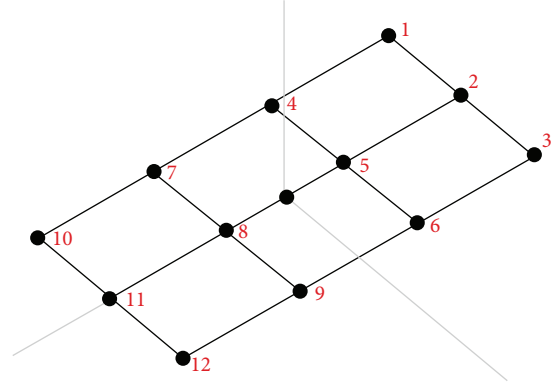


FIGURE 12: Measuring points arrangement of workbench.

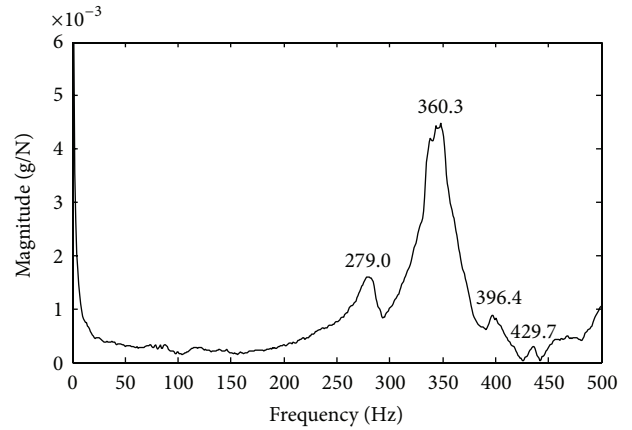


FIGURE 13: A group of measured frequency response functions.

TABLE 5: Comparison of theoretical natural frequencies and experimental natural frequencies.

Modal orders	1	2	3	4
Measured results (Hz)	279.0	360.3	396.4	429.7
Throretical results (Hz)	281.9	363.1	388.6	417.5
Relative error (%)	-1.03	-0.77	2.07	2.92

When the workbench is located at the neutral position, a group of measured FRF is shown in Figure 13, and the first four orders natural frequencies and modal shapes are shown in Table 5 and Figure 14 separately.

It can be seen from Figures 10 and 14 that the 1st vibration mode of the workbench is swing vibration around X-axis, the 2nd vibration mode is bending vibration, the 3rd vibration mode is swing vibration around the Y-axis, and the 4th vibration mode is yawing vibration around the Z-axis. Theoretical modal vibration modes are completely in conformity with experimental modal vibration modes. The comparison of the first 4 orders theoretical natural frequencies and experimental natural frequencies is shown in Table 5; it is clearly demonstrated that the theoretical natural frequencies agree well with the measured natural frequencies, and the maximum relative error is 2.92%.

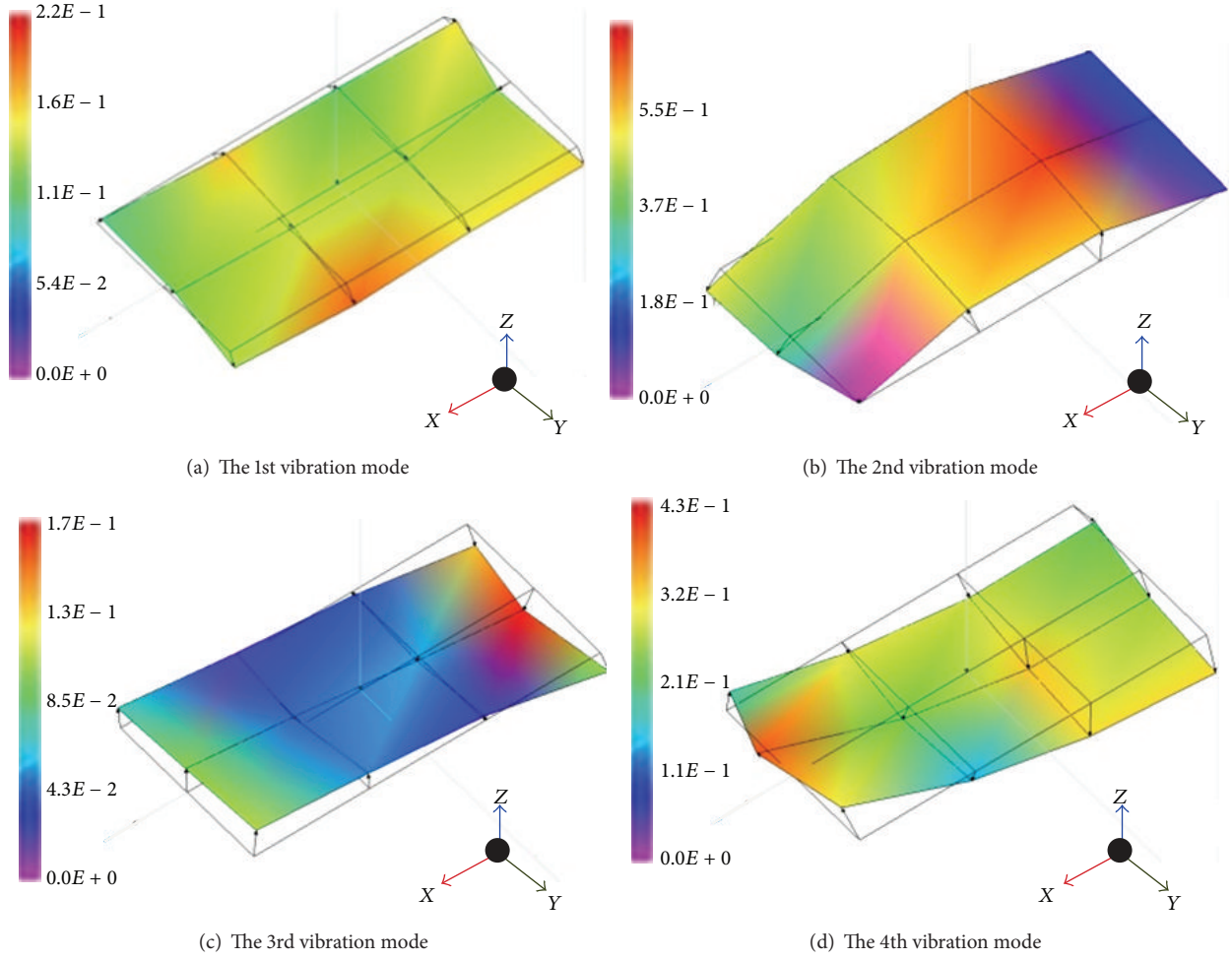


FIGURE 14: Experimental vibration modes of workbench.

The correctness of the finite element model is verified by the comparison of theoretical natural frequencies and modal shapes and their corresponding experimental results, which also indicates that the stiffness calculation method proposed in this paper is right and feasible.

6. Dynamic Characteristic Analysis of CNC Milling Machine

The finite element model of the CNC milling machine is transmitted to ANSYS for modal calculation; the first four orders natural frequencies and modal shapes of the whole machine tool are shown in Table 6 and Figure 15 separately.

It can be seen from Figure 15 that the 1st vibration mode of the machine tool is low order pitching vibration of the spindle and the column, the 2nd vibration mode is swing vibration of the spindle and the column around X-axis, the 3rd vibration mode is high order pitching vibration of the spindle and the column, and the 4th vibration mode is swing vibration of the workbench and the saddle around X-axis.

Furthermore, regardless of joints' stiffness parameters and connecting joints rigidly in finite element model with MPC184 element, theoretical modal calculation is conducted

TABLE 6: Comparison of natural frequencies under the two modeling methods of joints.

Modal orders	1	2	3	4
Flexible connection (Hz)	44.4	49.4	85.5	98.2
Rigid connection (Hz)	66.8	69.8	160.0	178.8
Absolute error (Hz)	22.4	20.1	74.5	80.6

on the model with rigid connection; the first four orders natural frequencies and modal shapes are shown in Table 6 and Figure 16 separately.

It can be seen from Figure 16 that the 1st vibration mode of the machine tool is low order pitching vibration of the spindle and the column, the 2nd vibration mode is swing vibration of the spindle and the column around X-axis, the 3rd vibration mode is high order pitching vibration of the spindle, and the 4th vibration mode is swing vibration of the spindle around Z-axis.

The first four orders vibration modes of the whole machine tool have great difference under the two modeling methods of joints. Vibration modes considering joints stiffness mainly present as local vibration of the spindle

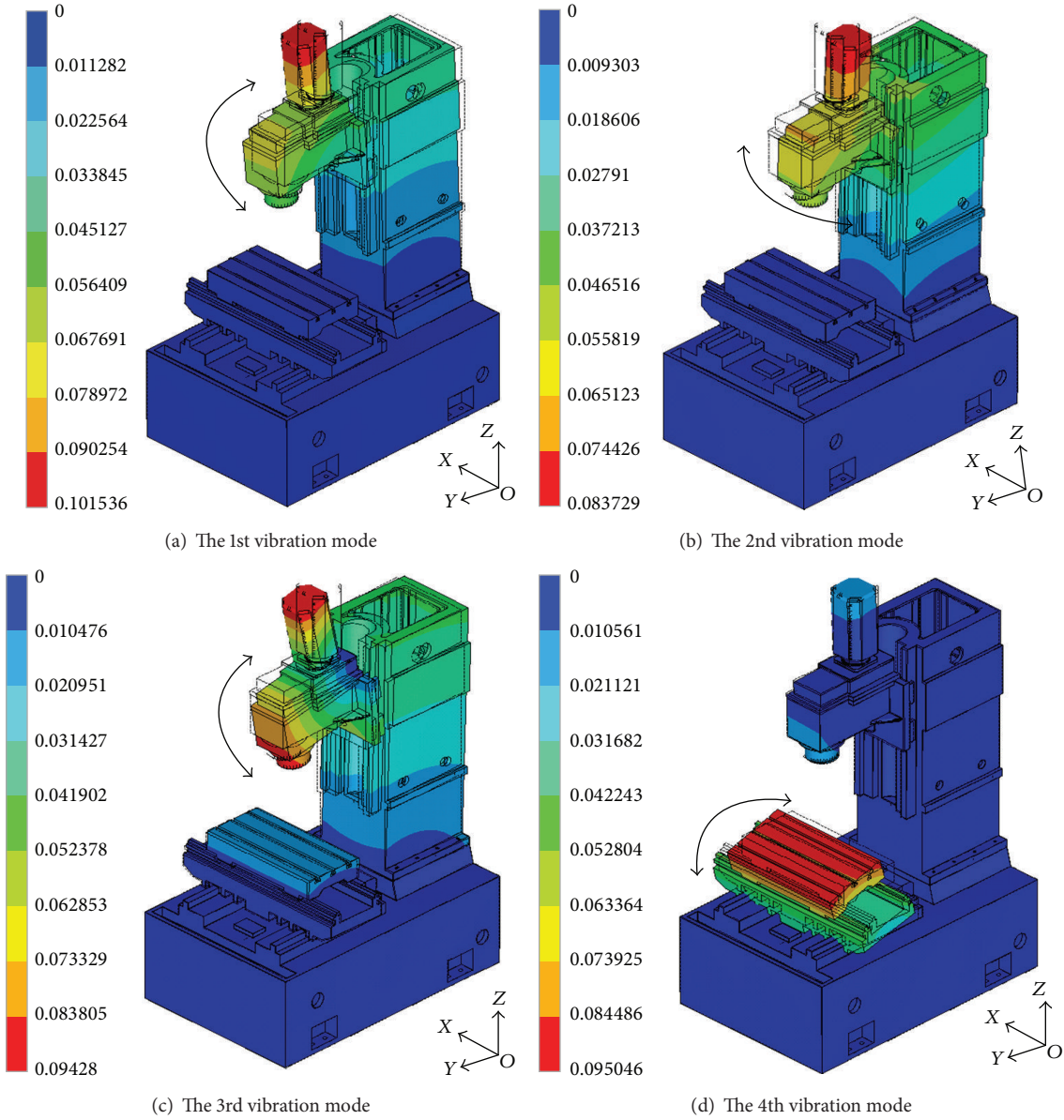


FIGURE 15: Vibration modes of the whole machine on side ring stiffness.

box, the saddle, and the workbench, while vibration modes connecting joints rigidly focus on the overall vibration of the column and the spindle box, the saddle, and the workbench.

Comparison of the first orders natural frequencies is shown in Table 6; it is clearly shown that the error of natural frequencies between the two modeling methods is quite big, and the error increases significantly along with the ascending of modal orders.

The comparison of natural frequencies and modal shapes indicates that joint's dynamic characteristic is one of the key factors that influence the dynamic performance of machine tool, and the influence is much more significant when it comes to high order natural frequencies. Therefore, calculating stiffness parameters of joints in feed system accurately at

designing stage of the machine tool is of decisive significance in obtaining right theoretical analysis results.

7. Conclusions

In allusion to the problem that stiffness of rolling joints is difficult to determine in theoretical modeling and analyzing of a CNC machine tool, a dynamic modeling method of the feed system is discussed, and a stiffness calculation method of the rolling joints is proposed based on the Hertz contact theory; a CNC milling machine finite element model is established, theoretical and experimental modal analysis of the workbench are conducted, theoretical vibration modes are completely in conformity with experimental vibration

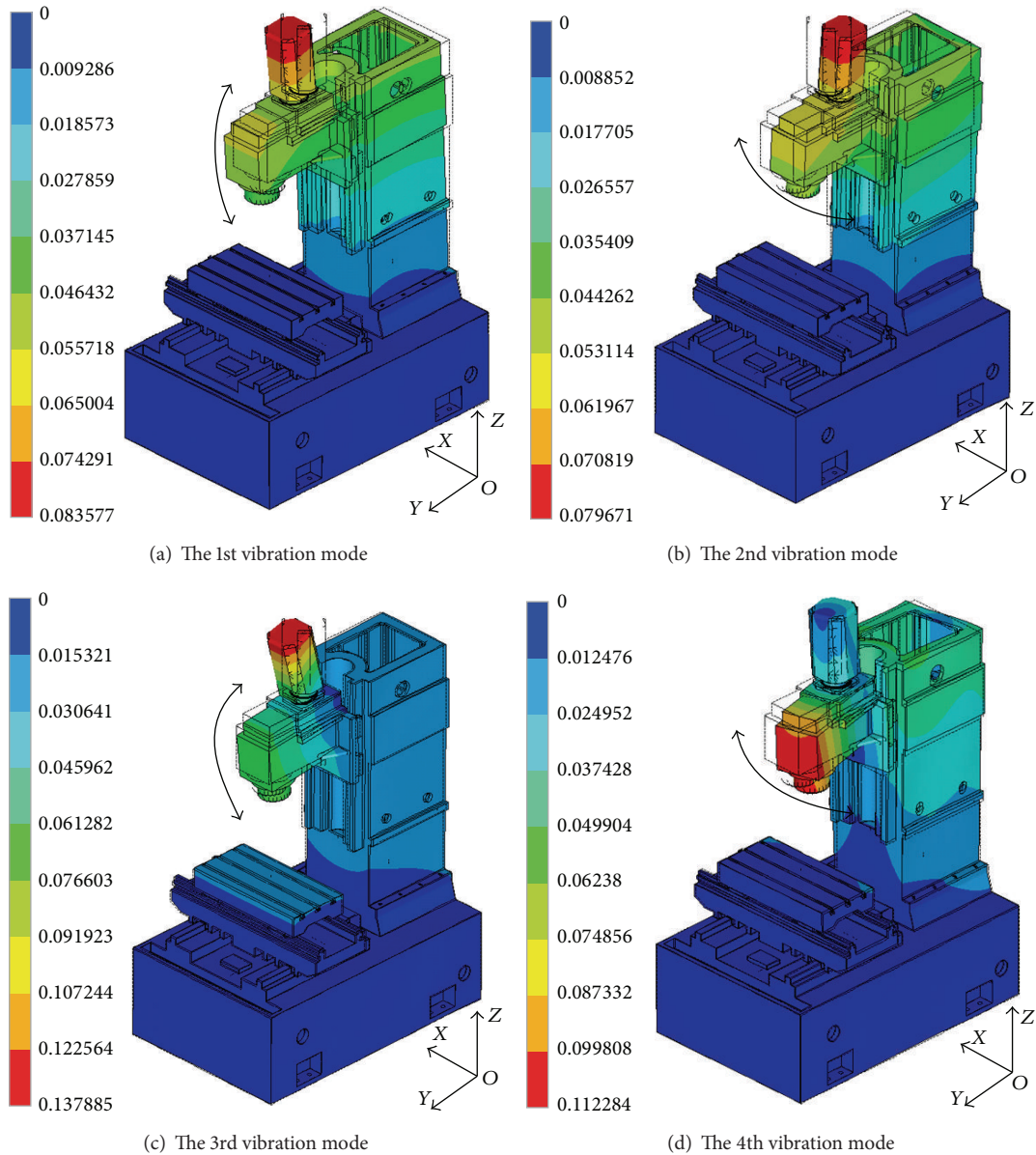


FIGURE 16: Vibration modes of the whole machine with rigid connection.

modes, and the error between theoretical natural frequency and experimental natural frequency is within 2.92%, which verify the correctness of the finite element model and the stiffness calculation method of the rolling joints; under the two modelling methods of joints taking stiffness into consideration and connecting joints rigidly, theoretical modal analysis of the CNC milling machine is implemented, the comparison of natural frequencies and modal shapes indicates that joints' dynamic characteristic parameter is one of the key factors that influence the dynamics performance of a machine tool, and the influence is much more significant when it comes to natural frequencies and modal shapes of high orders; the proposed stiffness calculation method of the rolling joints has the advantages of accurate, reliable,

and good practicability, which laid a foundation for dynamic modeling of the feed system more accurately.

Conflict of Interests

The authors declare that there is no conflict of interests regarding the publication of this paper.

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