# MR Imaging of Rectal **Cancer:** Radiomics Analysis to Assess Treatment Response after Neoadjuvant Therapy<sup>1</sup>

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**Purpose:** 

**Materials and** 

**Methods:** 

**Results:** 

**Conclusion:** 

To investigate the value of T2-weighted-based radiomics compared with qualitative assessment at T2-weighted imaging and diffusion-weighted (DW) imaging for diagnosis of clinical complete response in patients with rectal cancer after neoadjuvant chemotherapy-radiation therapy (CRT).

This retrospective study included 114 patients with rectal cancer who underwent magnetic resonance (MR) imaging after CRT between March 2012 and February 2016. Median age among women (47 of 114, 41%) was 55.9 years (interquartile range, 45.4-66.7 years) and median age among men (67 of 114, 59%) was 55 years (interquartile range, 48-67 years). Surgical histopathologic analysis was the reference standard for pathologic complete response (pCR). For qualitative assessment, two radiologists reached a consensus. For radiomics, one radiologist segmented the volume of interest on high-spatialresolution T2-weighted images. A random forest classifier was trained to separate the patients by their outcomes after balancing the number of patients in each response category by using the synthetic minority oversampling technique. Statistical analysis was performed by using the Wilcoxon rank-sum test, McNemar test, and Benjamini-Hochberg method.

Twenty-one of 114 patients (18%) achieved pCR. The radiomic classifier demonstrated an area under the curve of 0.93 (95% confidence interval [CI]: 0.87, 0.96), sensitivity of 100% (95% CI: 0.84, 1), specificity of 91% (95% CI: 0.84, 0.96), positive predictive value of 72% (95% CI: 0.53, 0.87), and negative predictive value of 100% (95% CI: 0.96, 1). The diagnostic performance of radiomics was significantly higher than was qualitative assessment at T2weighted imaging or DW imaging alone (P < .02). The specificity and positive predictive values were significantly higher in radiomics than were at combined T2-weighted and DW imaging (P < .0001).

T2-weighted-based radiomics showed better classification performance compared with qualitative assessment at T2weighted and DW imaging for diagnosing pCR in patients with locally advanced rectal cancer after CRT.

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utcomes for patients with rectal cancer have improved in the past decades, in part because of better staging with high-spatial-resolution rectal magnetic resonance (MR) imaging, better surgical technique, and the use of neoadjuvant chemotherapyradiation therapy (CRT) (1-5). The standard treatment for patients with MR imaging-staged locally advanced rectal cancer is neoadjuvant CRT followed by total mesorectal excision. After neoadjuvant CRT, approximately 50% to 60% of patients are downstaged and 15% to 27% show pathologic complete response (pCR) (6–9). In addition, tumor response has been shown to facilitate margin-free surgical resection and serve as a prognostic factor (6,10-12). Pioneering data from Brazil (7) and subsequent studies (13-15) have shown that selected patients with clinical complete response (cCR) can be safely treated with CRT alone. However, implementing a nonsurgical approach requires strict selection criteria and frequent follow-up, including endoscopy and MR imaging (7,13).

Although the assessment of cCR after neoadjuvant CRT has become increasingly important, especially in nonsurgical management where accurate diagnosis is crucial, it is still a clinical challenge. Digital rectal examination and endoscopy have been used as the main examinations for evaluating tumor response, but they are limited to the luminal view (16) with accuracy ranging

## **Implications for Patient Care**

- Radiomics analysis of T2-weighted images may potentially improve the discrimination between pathologic complete and pathologic partial response in patients with locally advanced rectal cancer after chemotherapy-radiation therapy.
- Radiomics analysis to discriminate pathologic complete and partial response in patients with locally advanced rectal cancer after neoadjuvant therapy can be performed by using two different magnetic fields (1.5 T and 3.0 T).

from 71% to 88% (17,18). Rectal MR imaging has been used to assess cCR by using morphologic (12,19) and/or functional MR imaging sequences (18,20), but these approaches have variable diagnostic performances. After neoadjuvant CRT, most rectal tumors develop fibrosis, which leads to decreased signal intensity on T2-weighted images. Nevertheless, tumors may still exist within a scar, and adding diffusion-weighted (DW) imaging has been shown to improve the prediction of response by improving the depiction of viable tumor after neoadjuvant CRT (19,21). Currently, no consensus exists in the literature on which approach is the most reliable to assess tumor response after neoadjuvant CRT, and a nonsurgical strategy is not currently supported by the National Comprehensive Cancer Network guidelines (6). Therefore, there is an increasing need for evaluation of tumor response by using a variety of techniques.

Radiomics analysis involves computer-based extraction of a large number of quantitative features and has potential for aiding clinical decision making (22). Prior studies have evaluated the use of radiomics analysis in MR imaging for distinguishing cancer from benign tissue or adding information about cancer aggressiveness (21,23–30), as well as for predicting response after CRT (31-33). We hypothesize that MR imaging-based radiomics may add value to the current MR imaging assessment for evaluating patients with locally advanced rectal cancer after CRT, improving on qualitative assessment to differentiate patients with clinical partial response from those with cCR after CRT.

The purpose of our study was to investigate the added value of T2-weightedbased radiomic features compared with the qualitative assessment at T2weighted and DW imaging for diagnosis of cCR in patients with locally advanced rectal cancer after neoadjuvant CRT.

## **Materials and Methods**

#### **Study Population**

The institutional review board approved our retrospective study, which

was compliant with the Health Insurance Portability and Accountability Act, and waived the requirement for informed consent. We searched our retrospectively maintained database for consecutive patients who underwent rectal MR imaging between March 2012 and February 2016. The inclusion criterion was patients with rectal adenocarcinoma who underwent neoadjuvant CRT followed by surgery at Memorial Sloan Kettering Cancer Center from March 2012 to February 2016. The exclusion criteria were as follows: (a) percentage of fibrosis unavailable on pathologic report, (b) recurrent rectal cancer, (c) nonadenocarcinoma rectal cancer, (d) palliative surgery, (e) no rectal MR imaging between CRT and surgery, (f)interval between rectal MR imaging and surgery more than 3 months, (g)mucinous tumor, (h) incomplete tumor coverage at MR imaging, (i) poor image quality, and (i) rectal perforation. The patient selection process is summarized in Figure 1.

Our final study population consisted of 114 of 327 patients: 67 (59%) men

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Abbreviations:
cCR = clinical complete response
CI = confidence interval
CBT = chemotherapy-radiation therapy
DW = diffusion-weighted
NPV = negative predictive value
nCB – nathologic complete response
nPB – nathologic partial response
PPV = participartitic productivo valuo
PFV = positive predictive value
Rr = random lorest
Author contributions:
Guarantors of integrity of entire study, N.H., I.P.; study
concepts/study design or data acquisition or data
analysis/interpretation, all authors: manuscript drafting or
manuscript revision for important intellectual content. all
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Conflicts of interest are listed at the end of this article.

and 47 (41%) women, with a median age of 55 years (interquartile range, 48–67 years). The median age among women was 55.9 years (interquartile range, 45.4–66.7 years) and the median age among men was 55 years (interquartile range, 48–67 years). No statistical difference in age was found between male and female patients.

## **MR Imaging Protocol**

The majority of rectal MR examinations were performed at our institution (109 of 114; 96%) and a few were performed at other institutions (five of 114; 4%). MR images were acquired with different GE Healthcare System platforms (Discovery MR750, Optima MR450w, Signa EX-CITE, and Signa HDxt; Waukesha, Wisc) by using a phase-array coil. The minimum sequence required was high-spatial-resolution axial oblique T2-weighted imaging through the tumor, and DW imaging was used when available. The oblique axial T2-weighted imaging sequence was obtained perpendicular to the long axis of the rectal tumor and/or area after treatment. MR imaging parameters at our institution are summarized in Table 1. Rectal MR images from other institutions fulfilled the minimum standards agreed on by the investigators. The minimum standards were presence of high-spatial-resolution axial oblique T2-weighted imaging through the tumor with section thickness of 3 mm and field of view of 180 mm.

# **Qualitative MR Evaluation**

Two radiologists (N.H. and I.P., with 5 years and 9 years of experience in rectal MR imaging, respectively) reviewed the T2-weighted images of all patients (n = 114) and DW images, including visual assessment of the apparent diffusion coefficient maps in 106 patients (eight patients had suboptimal DW images).

The radiologists were aware that the patients had completed CRT before rectal MR imaging, but they were blinded to endoscopic and histopathologic results. The radiologists visually classified the area after treatment as either cCR or clinical partial response in consensus. The radiologists had the primary staging MR images at their disposal to guide



**Figure 1:** Flowchart summarizes patient accrual. CRT = chemotherapy–radiation therapy, MSKCC = Memorial Sloan Kettering Cancer Center, TME = total mesorectal excision.

#### Table 1

		Repetition Time (msec)/			Section Thickness/	Bandwidth (kHz)/	
Parameter	Sequence	Echo Time (msec)	Matrix	Field of View (mm)	Gap (mm)	FlipAngle (degree)	<i>b</i> Value (sec/mm <sup>2</sup>
Oblique T2-weighted							
imaging							
1.5 T	FSE	4000-6000/120	320 imes 224	180	3/1	31/160	
3.0 T	FSE	4000-6000/120	320 imes 320	180	3/1	41/110	
DWI							
1.5 T	DWI	6000/minimum	128  imes 128	240	5/1	250/90	0,800
3.0 T	DWI	6000/minimum	128  imes 128	240	5/1	250/90	0,800

the delimitation of the tumor bed. The readout sessions were performed daily for a week and a consensus was reached in all studies.

cCR at T2-weighted imaging was defined as no residual intermediate signal intensity in the tumor bed and hypointensity or normalized rectal wall. At DW imaging, the definition of cCR was no high signal intensity on  $b = 800 \text{ sec/mm}^2$ images and no low apparent diffusion coefficient signal in the tumor bed. On the other hand, clinical partial response at T2-weighted imaging was defined as the presence of intermediate signal in tumor bed, and at DW imaging, high signal on  $b = 800 \text{ sec/mm}^2$  images and low apparent diffusion coefficient signal in tumor bed. If the patient had restricted diffusion outside of the tumor bed, or if vague focal high signal at DW imaging was questionable whether it corresponded to the location of the former tumor, then readers did not classify it as clinical partial response.

In addition, the radiologists performed combined assessment of T2 and DW imaging features. If cCR was not seen on either T2 or DW images, then the patient was classified as achieving clinical partial response.

### **Quantitative MR Texture Analysis**

Image segmentation.—The two radiologists who performed qualitative MR evaluation also reviewed all imaging studies to reach a consensus on the treated tumor region within the rectal wall. One of the radiologists (N.H.) manually segmented the entire area after treatment within the rectal wall, excluding equivocal normal rectal wall and mucosal edema on the high-spatial-resolution axial oblique T2-weighted image by using a free open-source software package (ITK-SNAP, version 3.4.0; http://itksnap.org) to provide the volume of interest for computer-based image analysis. When uncertainty existed concerning the treated tumor region, the area was not included in the segmentation.

Texture feature extraction.—Thirtyfour texture measures consisting of first order (n = 4), second-order Haralick (n = 5), Gabor edges at four different orientations  $(0^{\circ}, 45^{\circ}, 90^{\circ}, 135^{\circ})$  and bandwidth (g = 1.4), and second-order texture measures on the Gabor edges  $(5 \times 4 = 20)$  were computed. The firstorder textures captured the moments of T2-weighted MR imaging histogram (mean, standard deviation, kurtosis, and skewness) and were computed within the tumor volume of interest. The Haralick textures (34) (energy, entropy, correlation, contrast, and homogeneity) were computed from gray-level correlation matrix after rescaling the intensities within the volume of interest to lie within 0-255 and by using 24 histogram bins. Haralick textures were computed from T2-weighted MR imaging and Gabor edge images. Gabor edge images were extracted as described in the following paragraph.

Gabor features are orientation-sensitive edge detectors that extract edges at specific orientations and specific spatial scales. A Gabor filter is composed of a Gaussian envelope function superimposed on a sinusoidal wave. First-order histogram-based features summarize the signal intensities within a given volume of interest. On the other hand, secondorder gray-level correlation matrix or Haralick texture measures extract the frequency of local spatial variations in signal intensities within a volume of interest. We used the aforementioned features because these features were found to be relevant for distinguishing patients by outcomes in our previous studies by using MR imaging (25,35).

An in-house software was implemented in MATLAB (version 2015b; MathWorks; Natick, Mass) and C++ by using the Insight ToolKit for computing the Gabor (36) and Haralick texture measures (34).

Classification of treatment outcomes by using texture measures.—A random forest (RF) classifier (37) was trained to separate the patients by their treatment outcomes after balancing the number of patients in each response category by using the synthetic minority oversampling technique (35,38). The features were centered and scaled prior to data augmentation by using the synthetic minority oversampling technique, which increased the number of minority class (pCR, n = 21) to the majority class (pathologic partial response [pPR], n = 93). RF is an ensemble classifier that computes multiple decision tree-based classifiers by using implicit feature selection. Feature selection is performed by selecting features in each tree node that successively increases the purity of class memberships of the data in the descendant nodes. In other words, the RF classifier seeks to partition the data into the different classes by using features that best split the data into the different classes in each node. Gini impurity or the Gini index (37) is a computationally efficient approximation of entropy that is computed at each node split of the RF and captures how well the data can be split into two classes at a particular node in each tree. The Gini index measures the overall probability of misclassification for each feature (f) at a node (n) as follows:

$$G(f) = 1 - \sum_{c=1}^{C} p(f_c | n)^2,$$

where G(f) is the Gini index for a feature f, p(fc|n) is the probability of classifying a feature f into class n, and Cis the number of classes (where C = 2in our case and f = 34). The result of RF classification is a model for distinguishing the data into the constituent classes and a feature importance that shows the relative importance of each feature for the classification. Feature importance assigned by using Gini index corresponds to the rate of accurate classification by a particular feature.

Furthermore, the RF classifier down-weights uninformative features and those that are highly correlated to the informative features, thereby selecting only those that lead to improved accuracy while keeping the number of features that contribute to the overall classification low. Therefore, even when there are a large number of features, highly correlated features would be down-weighted in favor of less correlated features so as to obtain a classifier with few independent features.

The RF classifier was optimized for the number of trees (50, 250, 500, 750, 1000, 1500, 2000) with repeated (n =100) and K = fivefold cross-validation and with all 34 real-valued (noncategorical) features after the same features were centered and scaled. Feature scaling ensured that the RF classifier did not place excessive importance features with larger range compared with other features. The number of predictors (two to six) used for splitting the nodes at each level of the tree was optimized through linear search. The upper limit for the number of features tested in each node was determined as  $(\sqrt{M}, M = 34)$ . Repeated cross-validation was used for training the RF classifier because crossvalidation leads to less biased estimates compared with when using only the outof-bag error estimates produced by the RF classifier (39). The implementation of the RF and the synthetic minority oversampling technique algorithm available in the Caret and DwR package in the R software (version 3.1; R Foundation for Statistical Computing, Vienna, Austria) was used for the analysis.

## **Reference Standard**

The reference standard was the histopathologic report of the surgical resection specimens of the total mesorectal excision. We performed a retrospective chart review of pathologic results and no additional pathologic analysis was done solely for our project. All histopathologic analysis was performed by specialized gastrointestinal pathologists with 10 years to 20 years of experience, and findings were reported in a standardized manner, including tumor stage, percentage of fibrosis, presence of residual tumor, and lymph node status. pCR was defined as stage ypT0N0 (where y means after neoadjuvant treatment and p means pathologic stage).

# **Statistical Analysis**

Statistical comparisons between the continuous valued texture measures and magnet strengths (1.5 T vs 3.0 T) as well as the treatment outcome were performed by using Wilcoxon rank-sum test. Correction for multiple comparisons was performed by using Benjamini-Hochberg method. Machine learning classifier accuracy was determined by using sensitivity and specificity, positive predictive value (PPV), and negative predictive value (NPV). The sensitivity,

# Table 2

# **Characteristics of the Patients**

Characteristic	Total (n = 114)	pCR ( <i>n</i> = 21)	pPR ( <i>n</i> = 93)
Sex (M/F)*			
Male	67 (59)	13 (62)	54 (58)
Female	47 (41)	8 (38)	39 (42)
Age (y)	55 (48–67)	48 (41–61)	56 (49–67)
Interval between CRT and MR imaging (d)	55 (30-64)	33 (24–55)	56 (31–67)
Interval between MR imaging and surgery (d)	29 (20-46)	29 (19–54)	29 (22–43)

Note.—Unless otherwise specified, data are medians, with interquartile ranges in parentheses. CRT = chemotherapy-radiation therapy, pCR = pathologic complete response, pPR = pathologic partial response.

\* Data are medians, with percentages in parentheses.

# Table 3

Sensitivity, Specificity, PPV, and NPV of T2-weighted Imaging, DW Imaging, Combined T2-weighted and DW Imaging, and Radiomics in the Diagnosis of pCR

			Combined T2-weighted	
pCR vs pPR	T2-weighted Imaging	DW Imaging	and DW Imaging	Radiomics*
Sensitivity	12/21 (57) [34, 78]	12/19 (63) [38, 84]	16/19 (84) [60, 97]	100 (84, 100)
Specificity	68/93 (73) [63, 82]	55/87 (63) [52, 73]	49/87 (56) [45, 67]	91 (84, 96)
PPV	12/37 (32) [18, 50]	12/44 (27) [15, 43]	16/54 (30) [18, 44]	72 (53, 87)
NPV	68/77 (88) [79, 95]	55/62 (89) [78, 95]	49/52 (94) [84, 99]	100 (96, 100)

Note.—Unless otherwise specified, data are numerators and denominators, with percentages in parentheses and 95% confidence intervals in brackets. DW = diffusion-weighted, NPV = negative predictive value, pCR = pathologic complete response, pPR = pathologic partial response, PPV = positive predictive value.

\* Data are percentages, with 95% confidence intervals in parentheses.

specificity, PPV, and NPV were estimated for the qualitative diagnosis of cCR by using T2-weighted images, DW images, and both. We compared the sensitivities, specificities, PPV, and NPV between qualitative and quantitative assessment by using an exact McNemar test, whereas PPV and NPV were compared by using the method described in Moskowitz et al (40). *P* values < .05 were considered to indicate a significant difference.

Statistical analyses were performed with software package R and SAS (version 9.4; SAS Institute, Cary, NC).

# Results

# **Patient Characteristics**

The median interval between the end of neoadjuvant CRT and restaging rectal

MR imaging was 55 days (interquartile range, 30–64 days). The median interval between MR imaging after CRT and surgery was 29 days (interquartile range, 20–46 days). Ninety-three patients (82%) achieved pPR at pathologic examination of surgical specimens and 21 (18%) achieved pCR. Among the 93 patients with pPR, there were 39 (41.9%) women and 54 (58.1%) men. Among the 21 patients with pCR, there were eight (38.1%) women and 13 (61.9%) men. Patient characteristics are summarized in Table 2.

## **Qualitative MR Assessment**

The sensitivity, specificity, PPV, and NPV of T2-weighted imaging, DW imaging, and combined T2-weighted and DW imaging for diagnosing pCR are summarized in Table 3. Combined T2weighted and DW imaging achieved a

## Table 4

### Statistical Differences between pCR and pPR between Radiomic Features Determined by Using RF Classifier

	Gini Importance		pCR	pPR		
Feature		Median	Interquartile Range	Median	Interquartile Range	<i>P</i> Value
Energy	0.99	84.5	76.8–97.0	68.1	59.5-82.9	.005
Kurtosis	0.95	3.7	3.2-5.1	4.9	3.8-7.3	.04
Homogeneity	0.82	71.6	66.5-80.9	50.2	33.4-66.5	.005
Gab45.contrast	0.78	63.6	45.4-88.1	95.7	78.7-107.9	.003
Gab45.entropy	0.69	104.8	80.1-114.3	128.3	111.1-140.3	.006
Gab90.contrast	0.66	70.9	36.1-85.1	93.9	76.4-105.0	.006
Contrast	0.61	18.8	13.2-24.4	9.9	6.0-15.8	<.001
Gab0.entropy	0.58	105.5	78.3–118.5	130.1	113.6-140.9	.006
Skewness	0.53	0.56	0.27-1.1	0.92	0.57-1.4	.04
Gab0.contrast	0.51	79.7	30.2-85.2	91.2	77.7-109.1	.006
Standard deviation	0.48	53.6	30.9-91.3	59.5	37.1-91.0	.44
Gab45.correlation	0.45	91.9	62.8-105.0	98.9	88.7-105.4	.32
Gab90.entropy	0.42	105.6	79.3-120.9	129.5	113.5-144.3	.005
Gab135.contrast	0.41	80.2	33.1-97.3	97.1	82.5-107.0	.04
Gab135.entropy	0.39	99.9	77.4–121.5	129.0	111.4-141.0	.006
Correlation	0.39	213.9	208.7-217.3	201.1	194.0-209.7	.005
Gab0.mean	0.39	8.5	6.7-14.8	10.3	8.0-15.1	.43
Gab90.mean	0.37	7.7	5.9–13.7	10.3	8.0-15.0	.36
Gab135.mean	0.35	224.7	131.4-450.0	252.3	149.3-414.4	.96
Gab135.energy	0.35	38.9	22.4-47.7	33.3	29.2-39.4	.77
Gab0.correlation	0.32	91.7	62.0-116.5	99.1	88.4-106.7	.39
Gab45.mean	0.31	8.5	5.9–13.9	10.2	7.9–14.3	.44
Mean	0.30	8.2	5.3-11.6	9.8	6.8-13.8	.39
Gab90.homogeneity	0.28	95.4	63.2-111.5	98.6	90.9-106.6	.44
Gab135.homogeneity	0.27	101.1	80.7-107.1	101.1	89.9-109.9	.44
Gab135.correlation	0.24	90.4	60.3-111.4	98.9	87.4-105.4	.39
Entropy	0.23	156.9	134.9-166.4	148.5	133.4–164.8	.56
Gab90.energy	0.20	38.1	25.8-44.3	35.6	29.9-40.8	.88
Gab0.energy	019	38.9	22.4-50.3	34.8	29.0-40.5	.69
Gab90.correlation	0.19	94.7	58.1-101.9	100.7	89.3-106.9	.26
Gab90.homogeneity	0.18	92.7	76.6-104.4	100.1	85.5-111.1	.26
Gab45.energy	0.18	37.7	26.2-41.6	34.3	29.9-38.6	.78
Gab45.homogeneity	0.17	98.5	77.1–111.1	102.8	92.1-111.2	.32

Note.—Feature relevance was assessed by using mean decrease in Gini index–based feature importance averaged over 100 trials. *P* values are adjusted for false-discovery rate by using Benjamini-Hochberg method. pCR = pathologic complete response, pPR = pathologic partial response, RF = random forest.

sensitivity of 84% and a specificity of 56% for depicting pCR, whereas the NPV and PPV were 94% and 30%, respectively.

# **Quantitative MR Texture Analysis**

Sixty-two (55%) patients were imaged with 1.5-T units, whereas the remaining 52 (45%) were imaged with 3.0-T units. Among the 62 patients imaged with 1.5-T units, there were 24 (38.7%) women and 38 (61.3%) men. Among the 52 patients imaged with 3.0-T units, there were 23 (44.2%) women and 29 (55.8%) men. With the exception of mean of the T2-weighted MR imaging, mean intensity of Gabor edges, and standard deviation of T2-weighted MR imaging, all computed within tumor, no other computed texture measures showed any significant difference by magnet strength (Table E1 [online]).

Figure 2 depicts the relative importance of features computed by using the Gini index as a metric. Second-order texture measures of energy, homogeneity, and contrast were among features that resulted in the largest decrease in the Gini index; energy was the most relevant, homogeneity the third most relevant, and contrast the seventh most relevant feature. Furthermore, patients with pCR had significantly higher energy, higher homogeneity, and lower contrast compared with patients with pPR (Table 4); this indicates that tumors with a homogenous appearance were associated with pCR. The entropy texture computed from T2-weighted MR imaging was among the least relevant features (Table 4). On the other hand, the Gab45 entropy and Gab0

#### Figure 2





Figure 3: Graph shows receiver operating characteristic curve for random forest classifier in differentiating pathologic complete response versus pathologic partial response by using repeated fivefold cross-validation.

Figure 2: Feature importance plot shows mean decrease in Gini impurity. Features that most reduce Gini impurity are those that result in the least misclassification.

entropy textures were the fifth and eighth most relevant features (Fig 2) and were significantly lower in patients with pCR compared with patients with pPR (Table 4). Kurtosis was the only first-order feature found to be among the most relevant features (Fig 2) and tumors with significantly lower kurtosis were associated with pCR (Table 4). Finally, nonrobust features such as the mean computed from the T2-weighted imaging and Gabor edge images, as well as the standard deviation computed from the T2-weighted imaging, were not selected among the top 10 relevant features.

Fourteen of the 34 features were significantly different between pCR and pPR (Table 4). The relative importance of the features computed by using the Gini index is shown in Table 4.

The RF classifier trained by using 50 trees and six variables (for node splits) produced the best performance (Table E2 [online]). The RF classifier achieved an area under the curve of 93% (95% confidence interval [CI]: 0.87, 0.97) for differentiating pCR from pPR (Fig 3), with a sensitivity of 100% (95% CI: 0.84, 1), specificity of 91% (95% CI: 0.84, 0.96), PPV of 72% (95% CI:

0.53, 0.87), and NPV of 100% (95% CI: 0.96, 1).

Figures 4 and 5 demonstrate representative patients of pCR and pPR at T2-weighted imaging and on relevant intensity-based features overlaid on T2weighted images.

## Comparison between Qualitative and Quantitative Analysis

The differences between qualitative and quantitative assessments were significantly different for the vast majority of the results, except when we compared the sensitivity and NPV of RF versus T2-weighted imaging and DW imaging. Table E3 (online) summarizes the comparison between these assessments.

## Discussion

Our study evaluated the ability of qualitative MR imaging features to help differentiate cCR from clinical partial response at T2-weighted imaging, DW imaging, and the combination of both in patients with locally advanced rectal cancer after neoadjuvant therapy. When combined imaging features at T2-weighted and DW imaging were used to diagnose cCR, high sensitivity and NPV were achieved; however, it resulted in low specificity. Overall, our results are in line with prior literature that report accuracy in the diagnosis of pCR ranging from 50% to 90% among different groups and MR imaging sequences tested (20.41-43). When combined imaging features at T2-weighted and DW imaging were used to diagnose cCR, we found higher sensitivity and NPV than previously described (18) (84% and 94% vs 35% and 75%, respectively) and lower specificity and PPV (56% and 30% vs 94% and 75%, respectively). Aside from the expected variation between observers and institutions, this difference might be explained by the fact that previous studies (18) used five levels of confidence for assessing cCR with a cutoff of between levels two and three, compared with use of a binary interpretation in our study.

We then evaluated performance of radiomic features in diagnosing pCR. To the best of our knowledge, there is no published study in the literature comparing radiomics with qualitative evaluation of cCR. In our study, the radiomic classifier demonstrated high diagnostic performance when compared with qualitative assessment at T2-weighted imaging and DW imaging separately. When compared with the assessment of both

#### Figure 4









**Figure 4:** Images show radiomics analysis in a 60-year-old man with rectal adenocarcinoma after completion of chemotherapy–radiation therapy with surgically proven complete response. **(a)** High-spatial-resolution axial oblique T2-weighted image demonstrates treated area with low signal intensity (arrow). **(b–e)** Illustration of intensity-based texture features overlaid on high-spatial-resolution axial T2-weighted images as follows: **(b)** contrast, **(c)** Gabor 45 contrast, and **(d)** homogeneity.

T2-weighted and DW imaging, radiomics had significantly higher specificity and PPV; however, sensitivity and NPV were not statistically different. We postulate that small clusters of residual tumor cells within the residual area after treatment in pPR may explain the difference in texture in pCR and pPR; however, additional studies with direct correlation to whole-specimen histopathologic analysis are necessary to investigate this further. Our results also show that homogeneously appearing tumors or those with high energy and homogeneity were significantly associated with pCR. Although encouraging, radiomics classification was possible only following data augmentation, which could potentially lead to overoptimistic results. However, all reported results were performed by using crossvalidation. Further validation on an independent data set will help determine the potential of the radiomics features for diagnosing patients with pCR. Data augmentation has been used in several studies because of higher prevalence of data imbalance in medical data sets, such as to distinguish between highly aggressive and nonaggressive prostate cancers (35), predict outcomes of patients with non-small cell lung cancers (28), distinguish histopathologic grade of soft-tissue sarcomas (27), and evaluate endometrial carcinoma (26).

The absence of a significant statistical difference for the majority of the computed textures between imagers with different magnetic strengths is an important finding. In our study, only the mean and standard deviation measures showed difference between the imagers, whereas all the textureand edge-based measures that capture the relative differences in the intensities between local regions showed no difference between imagers by magnetic field strengths (1.5 T vs 3.0 T). Given the large number of MR imagers available in the market, a wide variety of equipment exists not only among different facilities but also within the same institution. Hence, the evaluation of robustness of quantitative metrics is of key importance to allow the widespread use of a variety of imaging techniques. Prior studies have studied the repeatability of radiomics features from computed tomographic (CT) images (44,45) and the effect of imaging parameters, including contrast material enhancement and section thickness from CT images on predictability by using radiomics measures (46). To the best of our knowledge, no previous study in the literature has studied the robustness of radiomics features because of differences in field strength at MR imaging.

d.

#### Figure 5









Figure 5: Images show radiomics analysis in a 49-year-old man with rectal adenocarcinoma after completion of chemotherapy-radiation therapy with surgically proven residual tumor and 90% of fibrosis.
(a) High-spatial-resolution axial oblique T2-weighted image demonstrates treated area with low signal intensity (arrow). (b-e) Illustration of intensity-based texture features overlaid on high-spatial-resolution axial T2-weighted images as follows: (b) contrast, (c) Gabor 45 contrast, and (d) homogeneity.

d.

Our study had several limitations. First, the number of patients with pCR is low and our study design did not include patients with cCR on nonsurgical ("watch and wait") management. We might have introduced selection bias by not including patients on nonsurgical management. However, this design was chosen to have the histopathologic reference standard from all patients and a homogeneous data set. Second, our study did not include lymph node evaluation and it is known that lymph node metastasis can be present in patients with pCR (47). Third, the radiologic assessment was performed in consensus, and interreader agreement was not evaluated. Furthermore, considering that only one reader segmented the images, it is impossible to assess the reproducibility of segmentation. Fourth, radiomics was performed only on T2-weighted images to minimize variability in acquisition parameters. We anticipate that the performance of radiomics analyses may improve with the inclusion of other MR imaging sequences, including DW imaging. Fifth, manual segmentation of volume of interest is a time-consuming process; therefore, it is important to develop a user-friendly tool to encourage the use of radiomic measures in daily clinical practice. Last, we did not perform external validation. Therefore, further studies are needed to overcome these limitations and to validate the reported data to provide a better generalization of our results.

In conclusion, our preliminary study shows that the radiomics measures by using cross-validation showed better classification performance compared with the qualitative assessment for diagnosing pCR in patients with locally advanced rectal cancer after neoadjuvant therapy. Although promising, these results are preliminary and require validation on a larger and independent data set to assess the potential for clinical translation. After validation, this radiomic assessment may become a potential imaging biomarker of cCR and complement the current modalities in the management of rectal cancer after neoadjuvant treatment.

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