1	A Randomized, Placebo-Controlled Trial of Beloranib for the Treatment of Hypothalamic Injury-		
2	Associated Obesity		
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27 Abstract

28 Aims: Hypothalamic injury-associated obesity (HIAO) results from damage to the hypothalamus that 29 often occurs with surgical removal/radiation therapy of tumors in the hypothalamic region, such as 30 craniopharyngioma. There is currently no rigorously studied pharmaceutical treatment for the intractable 31 weight gain and cardiometabolic consequences that occur in patients with HIAO. We aimed to assess efficacy, safety, and tolerability of beloranib treatment for 4 to 8 weeks in patients with HIAO. 32 33 Materials and Methods: This Phase 2a, double-blind, placebo-controlled study included 14 patients with 34 HIAO randomized to receive beloranib 1.8 mg or placebo subcutaneously twice weekly for 4 weeks with an optional 4-week open-label extension in which all patients received beloranib. The primary endpoint 35 36 was change in weight from baseline to Week 4. Results: Participants were 64% female, with mean (SD) age 32 (9) years, BMI 43 (7) kg/m<sup>2</sup>, and weight 37 38 126 (22) kg. Compared with placebo (N=4), beloranib 1.8 mg (N=8) resulted in a mean (95% CI) 39 difference in weight of -3.2 (-5.4, -0.9) kg after 4 weeks. Weight loss continued through the 8 weeks in 40 patients randomized to beloranib (mean -6.2 [-8.2, -4.1] kg). Beloranib treatment was associated with 41 improvements in high-sensitivity CRP. Adverse events were mild to moderate. No patients who received 42 beloranib discontinued treatment. 43 Conclusion: Beloranib treatment resulted in progressive weight loss in patients with HIAO that was

comparable to that observed with beloranib in patients with exogenous obesity. These findings indicate anovel mechanism for treating obesity in patients with HIAO.

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Hypothalamic injury-associated obesity (HIAO) is a life-altering complication resulting from
damage to the hypothalamus. The most common etiology of HIAO is craniopharyngioma, but it is
observed with other insults to the hypothalamus [1]. Despite craniopharyngioma having high survival
rates, post-treatment pituitary hormone deficiencies and visual and neurological deficits are common [2],
and at least 50% experience intractable weight gain resulting in severe obesity. Patients with HIAO are at
increased risk for metabolic and cardiovascular disorders and have increased obesity-associated morbidity
and mortality [2, 3].

Treatment options for patients with HIAO are limited. One potential barrier to treatment of HIAO is that most available pharmaceutical agents have putative actions mediated through the hypothalamus. Attempts at caloric restriction or pharmacotherapy have been met with little or only brief success [4, 5]. Even bariatric surgery has shown mixed success [6] and is controversial due to unproven efficacy and significant morbidity and mortality [7]. There are no rigorously studied, accepted pharmacological treatments for obesity in patients with HIAO [2].

Methionine aminopeptidase 2 (MetAP2) inhibitors are a novel class of compounds that lead to marked weight loss in animals and humans [8, 9]. Although not fully elucidated, the mechanism for weight loss associated with MetAP2 inhibitors is believed to be due to a reduction in fat biosynthesis and increased fat oxidation and lipolysis, resulting in reduced fat mass as well as a reduction in caloric intake [9-13]. As patients report a reduction in hunger [9], it is also possible that some MetAP2 inhibitory effects are centrally mediated, or occur through peripheral feedback to the brain, though a mechanism has not been elucidated.

In a Phase 2 clinical trial in patients with exogenous obesity, administration of the MetAP2
inhibitor, beloranib, for 12 weeks produced a dose-dependent reduction in body weight (up to
approximately 11%), improvements in markers of cardiometabolic risk, and a reduction in hunger [9]. In
obese mice with chemically-induced HIAO with gold-thioglucose, beloranib administration consistently

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reduced food intake, body weight, and adipocyte mass. Thus, MetAP2 inhibition may induce weight loss
in humans with compromised hypothalamic regulation of energy balance, such as patients with HIAO.
Development of beloranib has ceased due to an imbalance in venous thromboembolic events in beloranibtreated patients with obesity and Prader-Willi syndrome (manuscript in preparation) or type 2 diabetes
(T2D; manuscript in preparation). Investigation of other MetAP2 inhibitors with similar efficacy but an
improved safety margin to mitigate risk of venous thrombotic events is underway.

The aim of this clinical trial was to investigate the efficacy, safety, and tolerability of beloranib
suspension compared to placebo, administered subcutaneously twice weekly, for 4 weeks in 14 adult
patients with HIAO. The clinical trial included an open-label extension (OLE) in which all patients
received beloranib for an additional 4 weeks.

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#### 83 MATERIALS AND METHODS

84 Study design

This was a double-blind, randomized, placebo-controlled, parallel-group, 4-week study with an optional 4-week OLE. Eligible patients were enrolled at 2 sites in the United States and 2 sites in Australia, between June and September 2014. The Institutional Review Boards at all study sites approved the protocol prior to study initiation. All patients provided written informed consent. Study drug administration could be stopped at any time at the discretion of the Investigator.

Patients were randomized via Medidata Balance (New York, NY), a central Interactive Web
Response System in a 4:3 ratio to beloranib (1.8 mg) or placebo for the 4-week randomized treatment
period. Patients had the option to participate in a subsequent 4-week OLE during which all patients
received beloranib 1.8 mg twice weekly.

94 Blinding was maintained by study Investigators, study staff, and patients throughout the study, 95 except by select pharmacy and designated study drug administrators who did not take part in any other 96 aspects of the study. Beloranib or placebo was administered twice weekly at the study site by a study drug 97 administrator or at the patient's home/work by a home health nurse (study drug administrators were 98 unblinded and were not otherwise involved in the care or evaluation of study subjects) via subcutaneous 99 injection in the lateral abdominal region. Beloranib was prepared by adding diluent (placebo) containing 100 carboxymethylcellose, mannitol, poloxamer 188, and phosphate buffer (pH 7.3) to a single-use vial of 101 beloranib sterile powder. Due to slight differences in coloration (study drug is opaque and placebo is 102 clear) patient blinding was maintained by shielding study drug from the patient's view during the 103 administration process.

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No diet or exercise regimens were administered. All patients were followed for at least 1 week after the last dose of beloranib or placebo.

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106 *Patients* 

107 The study included male and female patients aged 18–65 years with obesity as a consequence of 108 acquired anatomical hypothalamic damage as evidenced by medical history and radiographic evidence of 109 hypothalamic lesion on review of magnetic resonance imaging (MRI). All patients had a minimum of 100% self-reported weight gain within 2 years of diagnosis (considered by the Investigator to be secondary 101 to hypothalamic injury), and body mass index (BMI) e 30 and d60 kg/m<sup>2</sup>. Additional inclusion criteria are 112 detailed in Supplemental Table 1.

113 *Study Outcomes* 

114 The primary outcomes were change in body weight from baseline to Week 4, safety and 115 tolerability. Secondary outcomes included the change in fasting lipids, fasting glucose, self-reported sense 116 of hunger and eating behavior, quality of life, and sleep quality. Exploratory analysis was conducted on 117 biomarkers of lipid metabolism as well as on panels of biomarkers related to cardiometabolic health 118 (Metabolic MAP and Cardiovascular MAP; Myriad RBM, Inc. Austin, TX).

119 Body weight was measured after an overnight fast using a designated calibrated scale. Patients 120 wore a hospital gown and voided immediately prior to being weighted. Fasting hemoglobin A1c 121 (HbA1c), glucose, and insulin levels were measured in patients with T2D. Sense of hunger and eating 122 behavior over the 2 days prior to select study visits were assessed in the fasted state using an 8-Question 123 visual analog scale (8Q-VAS) [14]. Quality of life was assessed using the Impact of Weight on Quality of 124 Life Questionnaire-Lite Version (IWQOL-Lite) [15]. Sleep quality was evaluated using a 3-Question 125 Sleep Quality Questionnaire which assesses sleep latency, duration of sleep, and overall sleep quality. 126 Safety evaluations included assessment of treatment-emergent events (AEs) and serious AEs, 127 physical examination, electrocardiogram, vital signs, concomitant medications, and laboratory 128 parameters.

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#### **129** Statistical Analysis

The sample size was selected to provide preliminary data and was not based on statistical considerations. The safety population included all participants who received at least 1 dose of blinded study drug. The per protocol (PP) population included all randomized patients who received at least 7 of 8 doses of blinded study drug with no major protocol deviations and no dosing errors during the randomized period. The modified intent-to-treat (mITT) population included all randomized patients who received at least 1 dose of blinded study drug and who had at least 1 post-baseline body weight measurement during the randomized treatment period.

137 The primary comparison was the least squares (LS) mean treatment effect between beloranib and 138 placebo at Week 4 in the PP population. Unless otherwise noted, all efficacy data are presented for the PP 139 population. The primary analysis was conducted using an analysis of covariance (ANCOVA) model with 140 absolute or percent change in body weight as the dependent variable, treatment group as a factor, and 141 baseline value as a covariate. Secondary outcomes were tested using an ANCOVA model with treatment 142 group as factors and baseline values as covariates. A 2-sided test at the 5% level was used to determine 143 statistical significance. AEs were summarized by treatment group, system organ class, and preferred term.

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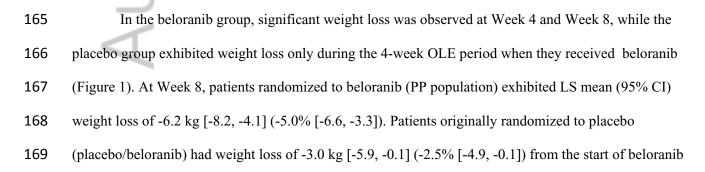
145 RESULTS

A total of 14 patients were randomized to beloranib (N=8) and placebo (N=6) with 13 patients (beloranib n=8; placebo n=5) completing the randomized treatment period and the OLE (Supplemental Figure 1). One patient in the beloranib group missed a single dose; there were no other issues with study drug compliance. During the randomized treatment period, 1 patient discontinued placebo treatment after 3 doses due to an AE of urticaria and 1 additional patient receiving placebo treatment was excluded from the PP population prior to data analysis due to receiving a dose of active drug.

Patient baseline demographics and characteristics were generally similar across treatment groups
(Table 1). The majority of patients were white (78.6%) females (64.3%), with a mean age of 31.9 (range
18 to 48) years, mean BMI of 42.9 (range 30.3 to 54.8) kg/m<sup>2</sup> and mean body weight 126.4 kg (range 89.7
to 166.3). The study included 3 patients with T2D (2 beloranib, 1 placebo). No patients were treated with
glucagon-like peptide-1 (GLP-1) receptor agonists.

157 Body Weight

Body weight decreased from baseline through the end of the randomized treatment period in patients randomized to beloranib but remained stable for patients randomized to placebo (Figure 1 and Supplemental Figure 2). At Week 4, the change in body weight in the PP population was statistically greater for patients randomized to beloranib compared with placebo (LS mean [95% Confidence Interval] difference from placebo: -3.2 kg [-5.4, -0.9; p=0.010]; -2.6% change from baseline [-4.5, -0.7; p=0.013]; Figure 1). The treatment effect on body weight was similar in the mITT population: -3.2 kg (-5.1, -1.2; p=0.005); -2.7% (-4.3, -1.0; p=0.006).



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treatment in the OLE, which was similar in magnitude to that observed during the first 4 weeks oftreatment in patients randomized to beloranib.

The single subject with a disease history of pituitary macroadenoma was randomized to placebo, but exhibited a 1.8 kg (1.5%) mean weight loss during the OLE after starting beloranib treatment. The 1 placebo subject who completed the trial, but was not included in the PP analysis (dosing error) exhibited 0.0 kg difference from baseline at Week 4, and -3.3 kg weight change after 4 weeks of treatment with beloranib, which was similar to that observed in placebo patients included in the PP analysis.

#### 177 Patients with diabetes

178 Two patients with T2D were randomized to beloranib and exhibited weight loss consistent with the

179 overall cohort (-3.2 kg [-2.4%] and -3.9 kg [-2.8%] at the end of the randomized period), whereas the

180 single placebo patient with T2D exhibited no weight change during the randomized period. One patient

181 with T2D did not complete the baseline HbA1c assessment. The remaining patient with T2D in the

beloranib group had an improvement in HbA1c from 8.5% (baseline) to 7.3% at 4 weeks and 6.6% at 8

183 weeks. The patient with T2D in the placebo group had a baseline HbA1c of 7% was 6.7% at 4 weeks

184 (placebo) and 6.1% at 8 weeks (beloranib).

### 185 Markers of Cardiometabolic Status

186 There was no change from baseline in total cholesterol, low-density lipoprotein, high-density 187 lipoprotein, and triglycerides at the end of this 4-week treatment period (Supplemental Table 2). High-188 sensitivity CRP (hsCRP) was highly elevated at baseline in these patients (mean [standard error]: 19.9 189 [3.9] mg/L for beloranib-randomized patients, 14.4 [6.1] for placebo-randomized patients; normal range 190 0-3 mg/L). hsCRP improved rapidly at the onset of beloranib treatment (Figure 2), and placebo patients 191 experienced a similar magnitude of reduction following initiation of beloranib in the OLE. At the follow-192 up 7 days after cessation of study drug treatment, hsCRP concentrations returned to near baseline levels. 193 An exploratory analysis of additional biomarkers related to cardiometabolic health was also conducted 194 (Supplemental Table 2).

#### 195 Markers of Adipocyte Function

196 Adiponectin and leptin, hormones related to metabolic dysregulation with obesity, were 197 significantly improved compared to placebo after 4 weeks of treatment. At the end of randomized 198 treatment, patients treated with beloranib exhibited significantly greater change from baseline in both 199 adiponectin (LS mean difference,  $\pm 1.2 \text{ mg/L}$ ; p<0.05) and leptin (-19.8  $\mu$ g/L; p<0.01; Supplemental Table 200 2) compared with placebo. Beloranib-randomized patients appeared to maintain improvements through 201 the end of the extension period; placebo-randomized patients exhibited improvements in mean 202 adiponectin and leptin during the OLE that were similar in magnitude to those observed by beloranib-203 treated patients during the randomized period (data not shown). 204 Reported Eating Behavior 205 There were no significant differences in change from baseline to the end of the randomized 206 treatment period between beloranib-treated vs. placebo-treated patients for any of the 8 items assessed 207 using the VAS questionnaire in this small subject population over 4 weeks. In the OLE period, 208 prospective food intake indicated favorable mean changes in both treatment arms: -37% (95%

CI: -53%, -21%) for the beloranib group and -54% (-79%, -29%) following beloranib treatment of the
placebo-randomized patients.

211 Safety

During the randomized treatment period, the most frequent AEs were dizziness, headache, and nasopharyngitis, Of these, dizziness was the only event reported with beloranib alone (Supplemental Table 3). One patient in the placebo group withdrew from the study due to a treatment-emergent AE of urticaria at the injection site that occurred following administration of study drug on study day 7; no patients randomized to beloranib discontinued treatment due to an AE in the randomized treatment period. The majority of events were mild in intensity (85% of all AEs) and transient, and there were no severe events in the randomized period.

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During the OLE period, no AE was reported more than once. Similar to the randomized treatment period, AEs were primarily mild in intensity. One severe AE of back pain occurred during the OLE in a patient randomized to beloranib; the Investigator did not attribute this to the study drug. During the OLE, there were no AEs leading to discontinuation of study drug.

223 There were no serious AEs in the study, and there were no clinically meaningful or unexplained 224 changes in vital signs, electrocardiogram measurements, or clinical chemistry measurements. One 225 beloranib-treated subject experienced alanine aminotransferase (ALT) e 3 times the upper limit of normal 226 (ULN) and aspartate aminotransferase (AST) e 5 times the ULN, concurrent with the AEs of viral 227 gastroenteritis and fever. This patient was diagnosed with mild diarrhea/viral gastroenteritis that was 228 subsequently treated with anti-motility medications. ALT and AST levels returned to normal and the 229 patient continued in the study without any subsequent liver function test abnormalities. Two patients 230 randomized to beloranib experienced reduced neutrophil counts during the randomized period; both 231 events resolved during the OLE. One placebo-randomized patient experienced decreased neutrophil count 232 on the last day of beloranib treatment in the OLE, which was mild in intensity and resolved at follow-up. 233 None of these patients reported any AEs consistent with immunosuppression. Assessments of 234 coagulation (activated partial thromboplastin time, prothrombin time, International Normalized Ratio) 235 revealed no treatment differences, and there were no events related to venous thrombotic events. 236 There was a transient increase from baseline in sleep latency as measured by the 3Q Sleep 237 Ouality Ouestionnaire (median increase: +5.0 minutes) with beloranib at Week 2 that subsequently 238 returned to baseline at the next visit approximately 2 weeks later. There was a similar trend for patients 239 previously randomized to placebo (median increase: +5.0 minutes) in the second week of the OLE that 240 was maintained through the end of the OLE.

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242 DISCUSSION

In this randomized, double-blind, placebo-controlled Phase 2a study of beloranib in patients with 243 244 HIAO, beloranib produced statistically and clinically significant weight loss comparable to that observed 245 with beloranib in prior trials in neuroanatomically intact obese patients [9]. Beloranib was associated with 246 greater than 6 kg weight loss from baseline after to 8 weeks in patients with HIAO. This was observed 247 without diet and exercise counseling and without indication of a plateau in weight loss through 8 weeks. 248 HIAO is one of the most recalcitrant forms of obesity, so it is notable that the weight loss observed with 249 beloranib treatment in HIAO patients was comparable to that observed with beloranib in patients with 250 exogenous obesity [9]. This suggests that beloranib has potent effects on body weight that occur via 251 mechanisms that operate in the setting of compromised hypothalamic regulation of energy balance. The 252 patients in this study had long-standing HIAO with average onset of disease occurring a decade prior to 253 enrollment; typically a group considered refractory to treatment [16].

254 Mean weight change in HIAO after bariatric surgery peaks at ~20% in the first year after Roux-255 en-Y gastric bypass (RYGB) or sleeve gastrectomy [6], significantly less than the 35-40% weight loss 256 typical for RYGB in patients with exogenous obesity [17]. Some effects of bariatric surgery are likely 257 mediated through the hypothalamus, perhaps accounting for the decreased efficacy in HIAO. The similar 258 weight loss observed in HIAO and exogenous obesity with beloranib treatment, albeit after 8 weeks, 259 suggests that a primary mechanism of weight loss associated with beloranib is independent of a fully 260 functioning hypothalamus. Additional studies in HIAO, including mechanistic studies and studies of 261 longer duration are required to fully characterize the effect of MetAP2 inhibition in HIAO. 262 Rapid improvement was observed in other biomarkers of inflammation, fatty liver disease,

insulin resistance, and other indicators of cardiovascular risk (Supplemental Table 2). In particular,
hsCRP was extremely elevated at baseline in patients with HIAO (mean 19.9 mg/L), >3 times higher than
that observed in patients with other chronic diseases such as exogenous obesity, T2D, and polycystic
ovary syndrome [18-23]. Elevated hsCRP is associated with development of cardiovascular disease and

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diabetes [24, 25]. hsCRP levels fell rapidly at the onset of beloranib treatment (Figure 2) followed by a
rapid rise after cessation of treatment, suggesting that beloranib may exert salutary effects on hsCRP that
are independent of weight loss.

The mechanisms for the weight-independent effects of MetAP2 inhibition are under investigation.
One hypothesized mechanism for reduced hsCRP with MetAP2 inhibition is via suppression of
extracellular signal regulated kinase (ERK) hyperphosphorylation [26]. Putative ERK-mediated effects of
MetAP2 inhibition that influence inflammation, lipid biosynthesis, and insulin action include suppression
of sterol regulatory element binding protein (SREBP) activity [11, 12] and activation of retinoic acidrelated orphan receptor ± (ROR±) [27].

The weight reduction observed with beloranib in patients with exogenous obesity may be predominantly a result of reduced fat biosynthesis and increased fat oxidation and lipolysis, resulting in reduced adipocyte mass as well as a reduction in measures of hunger and prospective food intake [9-12]. Although the short duration of this study makes it difficult to elucidate the mechanisms responsible for weight loss in HIAO, the trend toward a reduction in prospective food intake observed in this study, in the context of the underlying structural abnormalities of the hypothalamus in these patients, suggest extrahypothalamic actions of appetite regulation mediated by beloranib treatment.

283 In patients with exogenous obesity treated with beloranib, the most commonly reported AEs were 284 insomnia and sleep disorders, followed by gastrointestinal disorders [9]. In patients with HIAO, beloranib 285 was not associated with a sustained increased incidence of insomnia and sleep disorders or 286 gastrointestinal AEs. A possible explanation for the apparent difference in AE pattern is that the medial 287 hypothalamus mediates the effects of beloranib on insomnia, sleep disorders, and gastrointestinal AEs. 288 Thus, patients with HIAO may not experience these AEs to the same degree, if at all. Furthermore, 289 beloranib may have simply attenuated the increased somnolence frequently observed in patients with 290 HIAO [28]. After conclusion of this study, 2 ongoing studies (1 in patients with Prader-Willi syndrome 291 and another in patients with exogenous obesity and T2D) were concluded early as a result of a complete

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clinical hold due to an imbalance in venous thromboembolic events, including 2 patient deaths due to pulmonary embolism, in the beloranib development program. No venous thromboembolic events were reported in the current trial; investigation is underway to elucidate a mechanism for the observed imbalance in venous thromboembolic events.

296 This small proof of concept study was designed to provide initial data on the safety and efficacy 297 of beloranib in patients with HIAO. A limitation is our inability to precisely define the extent of 298 hypothalamic damage as patients were up to 25 years post-diagnosis and uniform MRI imaging was not 299 available. However, weight loss was generally consistent, implying that the location of hypothalamic 300 injury did not dramatically affect the response to treatment with a MetAP2 inhibitor. Improvements in 301 lipids occurred with beloranib treatment in other clinical studies of beloranib in patients with obesity [9, 302 13]. In this study, the small number of subjects and short duration of treatment likely contributed to the 303 lack of a statistically significant change in lipids. Additional studies of longer duration and larger sample 304 size are needed to obtain a better understanding of the effects of beloranib treatment.

This is the first study to demonstrate rapid and significant weight loss with beloranib, a MetAP2 inhibitor, in patients with HIAO. These results support a novel extra-hypothalamic mode of action of beloranib to mediate improvements in weight and markers of adipocyte function and cardiovascular risk. Given the impact on the severe obesity observed in patients with HIAO, MetAP2 inhibitors represent a potential novel non-surgical treatment that warrants further investigation for not only HIAO, but as a potential therapy for other causes of obesity without adequate efficacious therapies. Development of second generation MetAP2 inhibitors is underway.

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#### **317** AUTHOR CONTRIBUTIONS

- 318 JP, MJA, TM and AHS participated as trial Investigators, discussion and interpretation of results, and
- reviewed/edited manuscript. JM participated in conduct of the study, design and conduct of the statistical
- analyses, discussion and interpretation of results, and reviewed/edited manuscript. DK participated in
- design of study, conduct of study, discussion and interpretation of results, and reviewed/edited
- 322 manuscript. All authors approved the final manuscript.
- 323
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- 325 This study was funded by Zafgen, Inc.
- 326
- 327 CONFLICT OF INTEREST STATEMENT
- 328 JP, MJA and TM have nothing to declare. AHS is a consultant for Zafgen, Inc. and has received an
- 329 Investigator initiated grant from AstraZeneca. JM and DK are employed by Zafgen, Inc.
- 330

Author

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## **398** TABLES AND FIGURES

	Placebo	Beloranib
Ţ	(N=6)	(N=8)
Female, n (%)	4 (66.7%)	5 (62.5%)
Age, years	34.3 ± 8.8	30.0 ± 9.5
Body weight, kg	$124.8\pm23.0$	127.6 ± 22.8
BMI, kg/m <sup>2</sup>	42.1 ± 6.0	43.4 ± 8.0
White race, n (%)	4 (66.7%)	7 (87.5%)
Non-Hispanic/non-Latino, n (%)	5 (83.3%)	7 (87.5%)
Etiology of Hypothalamic Injury, n (%)		
Craniopharyngioma	5 (83.3%)	8 (100.0%)
Pituitary macroadenoma	1 (16.7%)	0
Time from confirmed hypothalamic injury, years	11.4 ± 9.4	9.0 ± 10.1
Hormone replacement		
Diabetes insipidus	5 (83.3%)	8 (100%)
Central hypothyroidism	6 (100%)	8 (100%)
Gonadotropin deficiency	5 (83.3%)	6 (75%)
Adrenal insufficiency	5 (83.3%)	7 (87.5%)

## 399 Table 1. Patient Demographics and Characteristics at Screening

400

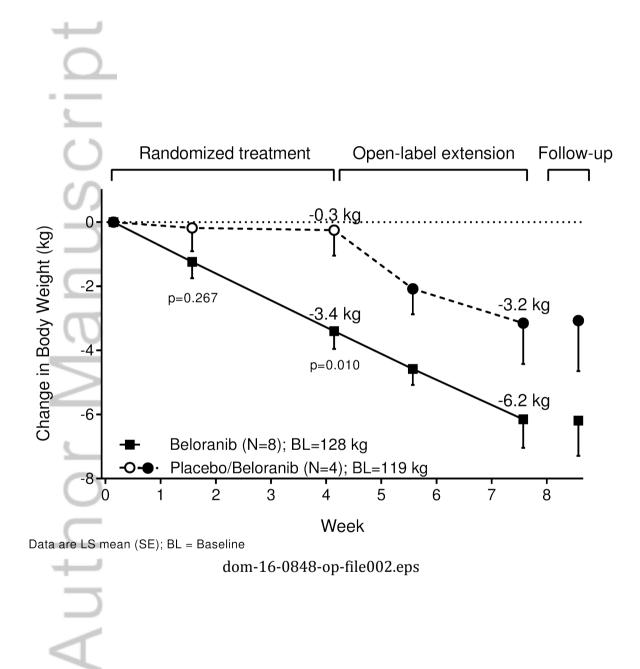
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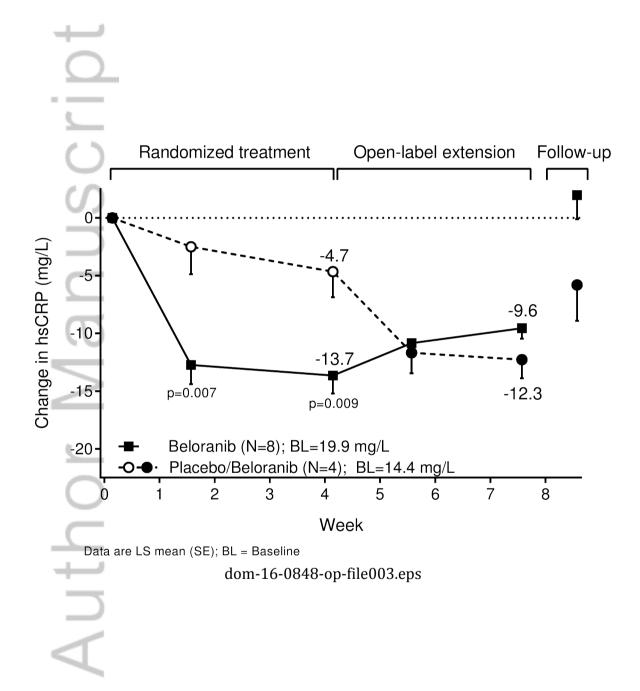
401 Safety Population. Data are mean  $\pm$  SD except where indicated.

402

- 403 FIGURE LEGENDS
- 404 Figure 1. Change in Body Weight
- 405 Data are least squares mean (SE) for the Per Protocol Population. The follow-up visit was 5-10 days after
- the last dose of study drug. BL = baseline.
- 407 Figure 2. Change in hsCRP
- 408 Data are least squares mean (SE) for the Per Protocol Population. The follow-up visit was 5-10 days after
- 409 the last dose of study drug. BL = baseline.

Author Manuso





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