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# Understanding the role of household hygiene practices and foodborne disease risks in child stunting: a UKRI GCRF Action Against Stunting Hub protocol paper

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#### ABSTRACT

Introduction Environmental hygiene and food safety are important determinants of child stunting. This research aims to explore the relationship between child stunting and household hygiene practices and behaviours, including the availability of water, sanitation and hygiene (WASH) facilities; the use of safe food and good quality drinking water (especially when used for complementary feeding); hygienic practices in food transport, storage and preparation and the control of cross-contamination from animals, their produce and waste.

**Methods and analysis** This study is part of a wider observational study which aims to investigate the interdisciplinary factors contributing to child stunting using a 'whole child' paradigm. The observational study recruits women during pregnancy in Hyderabad, India, Lombok, Indonesia and Kaffrine, Senegal, and dyads (ie, 500 mother-infant pairs per country) are followed longitudinally up to 24 months after birth. Within the interdisciplinary niche, the study here has developed tools to investigate the potential exposure pathways to environmental pathogen contamination of foods and water. Holistic WASH and food safety data collection tools have been developed to explore exposure pathways at the household level, including: (1) survey questionnaires; (2) spot-checks; (3) biological sampling of drinking water, food and domestic surfaces and (4) direct observation. An integrated analytical approach will be used to triangulate the evidence in order to examine the relationships between child stunting, WASH and food safety behaviours.

Ethics and dissemination Ethical approval of the study was granted by the ethics committee of the LSHTM, RVC, ILRI, ICMR, IIPHG, SEAMEO-RECFON, University of Cheikh Anta Diop. Findings of the study will be disseminated through publication in peer-reviewed journals, relevant international conferences, public engagement events, and policy-maker and stakeholder events.

#### WHAT IS ALREADY KNOWN ON THIS TOPIC

- ⇒ From the moment complementary feeding begins, the chances of exposure to food contamination and infectious disease increase exponentially.
- ⇒ Adequate water, sanitation and hygiene (WASH) and food safety practices can play a key role in reducing infectious disease transmission and child malnutrition.
- ⇒ The contribution of hygiene behaviours and food contamination in driving transmission of infections and childhood stunting requires further investigation.

## **WHAT THIS STUDY ADDS**

- ⇒ Development, application and analysis of integrated WASH and food safety tools, towards a holistic understanding of how these practices and behaviours impact child stunting.
- Evidence towards a new typology of child stunting, with a focus on exposure pathways for pathogens, and to support programmes and policies to minimise stunting in childhood.

## HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

- Understanding of the role of home hygiene and food safety related practices in childhood stunting with a view to identify solutions.
- ⇒ New and more integrated approaches in research and practice that bring together WASH and food safety considerations at the household level to investigate exposure to pathogens.
- ⇒ Evidence on complementarities between food hygiene and WASH in order to help design suitable interventions to combat stunting.

#### INTRODUCTION

The incidence and severity of stunting in childhood is closely linked to exposure to



infection from food, drinking water and the wider environment. Infections associated with contaminated water or insufficient water, sanitation and hygiene (WASH) are responsible for an estimated 21% of the total global burden of diseases,<sup>2</sup> contributing to the outbreak and chronicity of preventable infections such as diarrhoeal diseases and acute respiratory infections, which are the two leading causes of death in children globally.<sup>3</sup> However, three recent randomised controlled trials have assessed the impacts of WASH combination interventions on nutrition in Bangladesh, Kenya and Zimbabwe. The studies were not able to detect any effects on child linear growth, and only in Bangladesh was diarrhoea reduced. Cumming et al have challenged the efficacy of the WASH interventions in addressing common causes of faeco-oral disease in low-income contexts, such as cryptosporidium.<sup>8</sup> More generally, the interventions did not increase the quantity of water supply available to practice domestic hygiene.

In addition, the WHO Foodborne Disease Burden Epidemiology Reference Group (FERG), estimated that approximately 40% of the global burden of foodborne diseases occurs in children under the age of 5years. A common cause of foodborne infections is the consumption of raw or undercooked meat, fish, seafood, eggs, fresh produce and dairy products contaminated by norovirus, Campylobacter, non-typhoidal Salmonella or pathogenic *Escherichia coli*. Food hygiene is a primary concern in the preparation of complementary foods—for example, bottles often cannot be adequately sterilised and perishable complementary foods are often left unrefrigerated or eaten using unclean utensils or with unwashed hands.

Disease transmission from faeces can be foodborne or waterborne (ie, oral ingestion of contaminated food and water), water washed or water scarce (ie, spread through inadequate hand and food hygiene) and water based (ie, transmitted by parasites that penetrate skin in water, such as schistosomiasis or by walking barefoot on contaminated soil in the case of hookworm). For example, theory and evidence suggests that pathogens in foods are a main driver of infant faecal-oral disease. Field studies have demonstrated high bacterial contamination from *E. coli* or Salmonella in weaning foods. Of particular importance for the cleanliness of infant complementary foods are the quality of water, surfaces and utensils used to prepare and eat them 44-16 as well as the quality of the food ingredients procured.

Hence, the availability of WASH and food storage and preparation facilities is likely to determine exposure to infection. Nonetheless, pathogen exposure can also arise when available facilities are used inadequately. For example, cultural norms and beliefs can restrict domestic food and hygiene practices—for example, whether mothers are the principal decision-makers about food preparation and hygiene in the household, or whether women are able to use the same toilet facilities as other household members.<sup>17</sup> However, while contamination of foods during cooking and feeding is common, the

behavioural drivers have not been adequately explored. A recent systematic survey of 350 WASH evaluation projects in low-income and middle-income countries found that around 15% of studies examined nutrition outcomes like stunting, and handwashing before food preparation, but only 5% reported on other food hygiene behaviours, such as whether food was stored appropriately and kitchen utensils were washed.  $^{\rm 18}$ 

While food safety and WASH are interlinked, to date little attention has been paid to understanding the relationships and trade-offs between food safety, WASH and child stunting. The safety of livestock and fish derived foods is a particularly neglected area and there has been no systematic integration of these topics in food safety and WASH protocols. 14 The UKRI GCRF Action Against Stunting Hub (AASH) explores child stunting from the Whole Child Approach, that is, considering different aspects of child development, including biology considerations, the home environment, the educational environment and the food system, that can contribute to thriving childhood. The aim of this study is to examine WASH and food safety elements, their convergence and contributions to child stunting at the household level. It evaluates WASH and food safety practices across households in three study sites with the objective to provide evidence on potential exposure to pathogens through contaminated environment, drinking water, and complementary food, and associated risk factors.

## METHODS AND ANALYSIS Conceptual framework

The manner in which WASH and food safety at the household level can potentially lead to changes in infection and dietary intake, thereby affecting child stunting, is illustrated in figure 1, adapted from the UNICEF's (1990) conceptual framework on the causes of malnutrition.<sup>19</sup> Inadequate WASH practices and food safety can contribute to childhood stunting directly, by exposing infants to infection via complementary foods contaminated during preparation and storage, or through feeding with unclean utensils, including the hands of carers. The safety of foods can also be compromised before arrival at households, throughout the different steps and processes of the food value chain. Those food hazards can be intrinsic to the food produced (eg, pathogens from animal diseases, heavy metal marine contamination), or extrinsic through processing and handling (eg, inadequate hygienic practices, aflatoxin contamination during storage).<sup>20</sup> Mechanisms include nutrient loss (eg, during diarrhoeal episodes), energy used by the immune system (eg, in combating illnesses), and increased intestinal permeability and reduction in nutrients absorption (eg, enteropathy).<sup>21</sup>

In theory, access to adequate WASH facilities enables appropriate drinking water, hygiene and food safety practices, contributing to reduction in transmission of

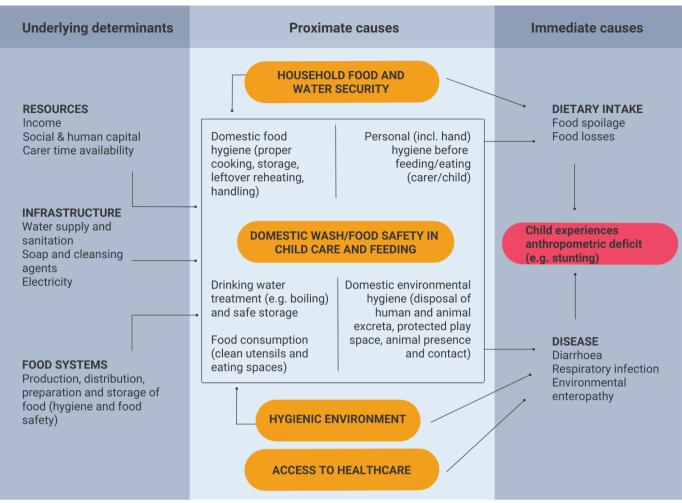


Figure 1 A conceptual framework showing the linkages of WASH and food safety with stunting at the household level. WASH, water, sanitation and hygiene.

foodborne and waterborne pathogens and improving nutrient uptake, and ultimately child growth and development.<sup>20</sup> Drinking water may be contaminated at the point of supply, or through transport and storage. 15 16 The availability of clean water is critical for optimal hygiene practices, <sup>10</sup> to address contamination by human and animal faeces,<sup>22</sup> and to prepare food hygienically. Sanitation facilities can enable safe faeces disposal, especially those of young children, which can be particularly pathogenic.<sup>23</sup> Faecal contamination can also come from the presence of domestic and wild animals or pests inside the household or in surrounding spaces.<sup>24</sup> It is expected that these sources of infection become more important as a child starts crawling and interacting with their environment. As such, understanding of the determinants of food and hygiene practises in the household is key to improving infant health and nutrition.<sup>25</sup>

Along with direct exposure, there is also an indirect route from water supply and sanitation facilities to child-hood malnutrition, which operates through the opportunity costs of resource use. The time carers have to observe, correct, and address unhygienic behaviour, and

the scarcity of time in relation to competing activities that need to be done by carers in the home or workplace, may also be detrimental for children's nutritional outcomes. For example, it is typically women and older children, both of whom are more likely than others to be carers of young children, who spend time fetching water, engaging with animal fodder and collecting firewood. This may occur at the expense of adequate childcare and feeding, food preparation, education or rest. When drinking water needs cannot be met affordably, households may need to purchase less food in order to afford enough water to drink. This kind of trade-off is clearly more prevalent for the poorest households, for whom malnutrition is also likely to be highest.

The WASH and food safety approaches, including their scope, evolution and methods are described in table 1. Combining both WASH and food safety approaches provides an opportunity for more effective integration and learning of the two disciplines and their contributions to child growth and development. The two should converge when looking at household units with a central focus such as child stunting.

| Table 1 Characteristics of water, sanitation and hygiene (WASH) and food safety and veterinary public health research |  |  |  |  |
|---|--|--|--|--|
|   | WASH   | Food safety and veterinary public health   |  |  |
| Scope   | To investigate deficiencies in water supply and quality, sanitation and hygiene to improve public health. Also, to evaluate the adequacy of hygiene standard to minimise foodborne diseases risks, and the role of water supply and sanitation access as enabling factors for improving child care, health and nutrition.  | To pursue a comprehensive 'farm to fork', 'stable to table', 'boat to throat' approach to prevent and minimise risks of food contamination at all stages of the food chain from production to human consumption and waste management.  |  |  |
| Sector  | Human-centred with a strong anchor in the public health sector.  | Focus on animal source foods, zoonoses and their respective risks.   |  |  |
| Evolution   | Historically, WASH research has focused on water-related disease transmission from human excreta (faecal-oral diseases). This has contributed to understanding the mechanisms of faecal-oral infection through waterborne, water-washed and water-based routes. Early intervention studies focused on the provision of infrastructure, and information, education and communication.  Current research focuses more on behaviour change communication using bottom-up approaches, and incorporating the 'A (Animals)' into WASH more holistically. <sup>24</sup> | Veterinary public health traditionally looked at all food chain stages to identify where risks (eg, biological, chemical and physical) can emerge and how to prevent or mitigate them. The initial focus was on microbiological aspects with a strong technical dimension.  Veterinary public health now looks at human behaviour more widely, bringing in social science aspects and economics to understand better practices and the motivations behind them. There is a (slow) move towards systems thinking, and a lot of progress in quantitative microbiological and epidemiological studies, detection techniques, etc. |  |  |
| Research<br>methods   | <ul> <li>Interventions (eg, randomised controlled trials).</li> <li>Cross-sectional, questionnaire- based surveys.</li> <li>Longitudinal questionnaire-based surveys.</li> <li>Spot checks.</li> <li>Direct observation.</li> <li>Biological sampling and testing.</li> <li>Risk assessments.</li> <li>Qualitative research on behaviour, practices and percentage.</li> </ul>   | ceptions, including participatory approaches.  |  |  |

## Study design

This is a multicountry longitudinal observational study where women are recruited during pregnancy in Senegal, Indonesia and India and mother–infant pairs are followed up to 24 months after birth. Average stunting prevalences in these three countries selected are medium (17.9% in Senegal) to very high (30.8% in Indonesia and 37.4% in India). This protocol involves multiple approaches to assess WASH and food safety practices in urban or rural households of women enrolled in the study, in Hyderabad city, India, East Lombok, Indonesia and Kaffrine, Senegal, at predefined time points (see AASH observational cohort description in Heffernan *et al* in this supplement, including further details on the study setting, sampling process, participant schedule, data collection and quality control, data management, etc).

## **Data collection**

This WASH and food safety data collection protocol focuses on three areas: exposure pathways to wider environmental pathogens, foodborne hazards and waterborne hazards. Four data collection tools for the household-level have been developed, namely: (1) a module for WASH and food safety to be included to the cohort questionnaire-based interviews (see online supplemental appendix 1); (2) spot-checks (see online supplemental appendix 2); (3) biological sampling and (4) direct observation (see

online supplemental appendix 3). The interviews and spot-checks take place simultaneously during home visits at 9, 12 and 18 months after birth. Data will be collected throughout the different seasons. Direct observation and biological sampling are conducted during a separate subsequent visit at each time point, in a subsample of the cohort. No additional inclusion or exclusion criteria were considered in the selection. Food and water samples are kept to a minimum for the analysis required, namely half filling a 50 mL Falcon tube with food served to the child, and the measure of the container in which the child is normally given drinking water, respectively.

The food safety component of the data collection focuses on nutrient-rich foods with the potential to alleviate stunting, particularly on animal source foods (ASFs). This is due to their important nutritional profile, <sup>29</sup> their documented potential to alleviate stunting <sup>30–33</sup> and their high food safety risk profile. Selected ASFs will be considered at each country, according to their relevance.

Trained enumerators collect data using electronic tablets in all study households, as summarised in table 2. The direct observation tool is recorded on paper and enumerator positioning and previous rapport creation are used as ways to minimise bias. Privacy is maintained by stopping the direct observation on the respondent's request. Data collection tools developed in English are



Summary of the integrated study methods and tools used to assess WASH and food safety at the household level Tool Description Sample size Questionnaire-based Mothers are asked about infant and young child feeding practices, household food Whole cohort interview expenditure and the main household decision-makers and influencers of these (500 households activities. Physical activity questionnaires ask mothers about the times spent on per country) work and housekeeping including collecting water, fodder and fuel. Furthermore, there are questions on water sources, water security, sanitation and handwashing. Other questions focus on the practices of acquisition, transport, storage, preparation of ASF and the points of potential contamination in the household. Finally, other questions refer to the presence and behaviour of animals and potential transmission pathways associated with close proximity to animals, such as direct contact between animals and children or food. The hazards and risks prior to arrival of ASFs in the household are elucidated in a separate component, at the value chain level (see Cooper et al in this supplement). Spot-check Enumerators observe behavioural issues alongside the interview questionnaire. Whole cohort The data are recorded using a check list on the availability and type of sanitation (500 households facilities available at the households (eg, the place for defecation and proximity), per country) handwashing infrastructure (eg, location, availability of water and soap), presence of animals (eg, livestock, pests), food storage facilities and practices (eg, functioning refrigerator) and food preparation (eg, easy to clean equipment). Direct observation Enumerators stay at the household for 3 hours around a feeding event, observing the Subsample mothers perform their daily activities and taking a passive approach to blend into (approximately the background in order to better observe hygiene related behaviours. Structured one-third of full observation include activities related to water collection and storage, as well as cohort in each personal, environmental and food hygiene (food preparation, storage, child feeding, country) handwashing and animal contact). This data collection method is a gold standard for actual observation of behavioural practices. Biological sampling Enumerators collect samples of stored water, foods to be consumed by the children Subsample and testing (as close to the feeding of the child as possible) and a swab of the main food (approximately preparation area at the households. Samples of hands rinse are optional. one-third of full The samples are stored in a coolbox and transported to a specialised laboratory for cohort in each analysis. The samples are processed in-country and tested for overall contamination country) and for selected pathogens (such as Salmonella, Shigella, Escherichia coli and Campylobacter for food and E. coli/faecal indicators for surfaces and water), using standard laboratory protocols (of conventional culture and PCR). Part of the samples collected are used for lab analysis and the remaining part stored under -20°C for future analysis and crosschecking. ASF, animal source foods; WASH, water, sanitation and hygiene.

translated into local languages and backtranslated into English to check for accuracy, and piloted in the field.

#### **Data management and analysis**

Data are collected at the households in CommCare and checked for accuracy before being transmitted to a central repository and can be downloaded in Excel for analysis. Samples are analysed at laboratories in each of the countries using tailored standard operating procedures

Descriptive, comparative and regression analyses will be used to investigate the impact of WASH and food safety on child stunting. Factors relating to WASH and food safety amenities and practices will be considered simultaneously as external risks or preventive factors influencing the incidence and severity of stunting, using multivariable statistical approaches. To relate the main exposure variables (WASH and food safety factors) with the main outcome (stunting, measured as either z-scores

or presence/absence), multivariable regressions models will be developed. According to our causal framework presented in figure 1, we will adjust this model for potential confounding factors. Some of these confounding factors will be fairly stable (eg, sex, maternal literacy, household facilities, socioeconomic status) and others will be timevarying (eg, age, breastfeeding, environmental hygiene levels). We will avoid intermediate factors (eg, infection rates). Subsequently, we will explore the potential role of the mediators in the causal framework using mediation analysis. Due to the existence of repeated measures conducted over three different time points for each child, we will account for this with a random effect term by individual in the regression model. The model will be a linear regression for stunting measured as a continuous variable, and a logistic (or ordered logistic) regression for stunting (moderate and severe) measured as a dichotomous variable. Due to the fact that in our three

country settings the relevant association might differ, we will estimate the models separately in each country, and afterwards we will conduct individual participant data meta-analysis of the coefficients of interest across the countries. This strategy will allow for an optimal fit of the model in each country and at the same time we will be able to explore the heterogeneity of coefficients across countries and within subgroups (by age, sex and socioeconomic characteristics).

Data triangulation will also be used, first, to address biases in individual data collection tools. For example, known biases occur when measures are reported by participants, particularly by those people for whom illness becomes normalised after repeated bouts of infection.<sup>34</sup> Observation of behaviours, through enumerator presence in the household at certain times of day, can help to mitigate reporting bias. However, surveys and observation may also affect participant motivation, particularly when repeated over long periods. Extensive collection of samples for laboratory testing can be done, but have their own weaknesses.<sup>35</sup> Second, data triangulation through statistical modelling will be used to determine the relationship between WASH and food safety in each of the study contexts, and to explore relevant questions such as whether they are complements or substitutes in child health and nutrition. As such, a mix of approaches is being used in this study to address these potential challenges.

### Patient and public involvement statement

Study participants have not been involved in the general design of the study. However, the study was designed in partnership with local research partners and stakeholders with experience in working at each of the settings. Local enumerators helped finalise the data collection tools during training and pilot testing.

Extensive consultations will be organised with the local communities to understand the ethos of the research including the involvement of participants and the implications of the research. Question and answer sessions will be held during the consultations to answer any questions and/or concerns raised. Study participants and the public will be involved in the dissemination of the study's findings through community discussion or engagement events.

## **DISCUSSION**

Poor food safety and inadequate WASH, including getting in direct contact with animals, their produce and/or their faeces, are considered as important contributors to undernutrition. Cumulatively over the first 2 years of life, these factors can determine linear growth faltering. However, the causes of infant stunting are not exclusive to these factors, and therefore, it is critical to cohesively consider the myriad of determinants involved. The UKRI GCRF AASH is using an interdisciplinary approach to investigate this variety of factors causing stunting with the

aim to identify solutions for the amelioration of growth and development in early childhood at the 'whole-child' level. Within such an interdisciplinary framework, this study design will generate comprehensive evidence on the contribution of WASH, and food safety at the household level.

Challenges to conducting integrated assessment in WASH and food safety in a multicountry study like this are various, including identifying key ASFs and key hazards in a systematic way, or the analysis of pathways linking hygiene, food and water safety, and sanitation data into the typologies of stunting. For this purpose, we developed holistic data collection tools relevant to each study setting, to measure risk factors for exposure to foodborne and waterborne disease. Multiple householdlevel interventions are available in both WASH and food safety but, to date, their effectiveness has not been assessed together, despite the similarity in the exposure mechanisms they are targeting. The effectiveness of relevant interventions and technologies in addressing malnutrition depends on both their biological efficacy, and the degree to which consumers adapt to and use the new approach to their contexts.<sup>8</sup> We propose an approach and suggest data collection tools through which these various underlying and proximate determinants of child growth may be analysed.

The data generated from the study will help pave the way for future interventions to manage factors associated with and aimed at preventing stunting. At the individual level (immediate causes), inadequate practices and knowledge gaps identified will help tailor education and social behaviour change communication interventions. These are aimed at improving intake while reducing disease risks. At the household level (proximate causes), the findings can inform the implementation of more efficient WASH interventions, that ensure healthy environments and better personal hygiene. Ultimately, it can inform advocacy efforts to improve infrastructures and services at the community, regional or even national level (underlying causes).

ASF value chains generally present important potential to improve availability, accessibility, affordability and safety for the most nutritionally vulnerable population groups.<sup>36</sup> This study can provide information on mechanisms through which value chains are linked to stunting. The study also offers information that will help to identify entry points in chains where upgrading may be feasible and agreeable among stakeholders (eg, consumers, government and businesses). Based on secondary dietary and nutritional data, a systematic literature review of food safety hazards in ASF value chains in each study setting and local experts' feedback, commodities of likely relevance are eggs in all countries, milk in India and Senegal, and fish in Indonesia (see AASH food system protocol description in Cooper et al in this supplement for value chain work).

All in all, the described data, combined with data from other workstreams related to epigenetics, microbiome,



gut health and nutrition, education, etc also described in this supplement, this work aims to providing with a powerful piece of evidence for improving the child environment with a 'Whole child' approach.

#### DISSEMINATION

Findings of the study will be disseminated through publication in peer-reviewed journals, presented at relevant international conferences, public engagement events, and policy-maker and stakeholder events. Data generated from the study will be deposited in a publicly available data repository.

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Contributors All authors were involved in the study design and the preparation of the study tools and protocols at different stages; BH, HSW and PD-S drafted the manuscript sections; all other authors critically reviewed the manuscript. PD-S and HSW share first authorship. We want to thank Alessia Gasco for producing the conceptual framework, David Prieto-Merino for his advice on statistics, and Kaitlin Conway and Modou Jobarteh for careful editing of the manuscript.

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Competing interests None declared.

Patient and public involvement Patients and/or the public were involved in the design, or conduct, or reporting, or dissemination plans of this research. Refer to the Methods section for further details.

Patient consent for publication Not applicable.

Ethics approval Ethical approval for the study was granted by the Institutional ethics committees of the London School of Hygiene and Tropical Medicine (17915/RR/17513), the Social Science Research Ethical Review Board at the Royal Veterinary College (URN SR2020-0198) and the International Livestock Research Institute Institutional Research Ethics Committee (ILRI-IREC2020-33). In-country approvals were also granted: the National Institute of Nutrition (ICMR), Ministry of Health and Family Welfare, Government of India (CR/04/I/2021), the Health

Research Ethics Committee, University of Indonesia and Dr Cipto Mangunkusumo Hospital (KET-887/UN2.F1/ETIK/PPM.00.02/2019), and the Comité National d'Ethique pour la Recherche en Santé, Senegal (Protocole SEN19/78). Mothers and relevant family members are explained to all the procedures and processes prior to acceptance, then informed consent is obtained. All data and samples are anonymised with a unique identification number. Withdrawal from the study is allowed at any stage.

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## **APPENDIX 1: WASH AND FOOD SAFETY QUESTIONNAIRE**

This questionnaire is administered by trained enumerators to mothers at 3 different time points, to elicit information about food safety and water and sanitation and hygiene practices at home, particularly in relation to infant and young child feeding. Data are collected in tablets on CommCare.

| # WATER SANITATION *(3 TIMES AT 3RD TRIMESTER, 3 & 24 MO)*   |
|--|
| ws_1. What is the main source of drinking water for members of your household?   |
| Piped waterpiped to dwelling   |
| Piped waterpiped to compound yard or plot  |
| Piped waterpiped to neighbor   |
| Public tap / tapstand  |
| Borehole or tubewell   |
| Protected well   |
| Unprotected well   |
| Protected spring   |
| Unprotected spring   |
| Rainwater  |
| Delivered watertanker or truck   |
| Delivered watersmall cart with tank drum   |
| Water kiosk  |
| Packaged waterbottled water  |
| Packaged waterbottled water  Packaged watersachet water  |
| Surface water  |
| Other: specify   |
| ws 1 96x. Other: specify   |
| ws_1_9ox. Other. specify ws_2. What is the main source of water used by members of this household for other purposes, such |
| as cooking and handwashing?  |
| Piped waterpiped to dwelling   |
| Piped waterpiped to compound yard or plot  |
| Piped waterpiped to neighbor   |
| Public tap / tapstand  |
| Borehole or tubewell   |
| Protected well   |
| Unprotected well   |
| Protected spring   |
| Unprotected spring   |
| Rainwater  |
| Delivered watertanker or truck   |
| Delivered watersmall cart with tank drum   |
| Water kiosk  |
| Packaged waterbottled water  |
| Packaged watersachet water   |
| Surface water  |
| Other: specify   |
| ws_2_96x. Other: specify   |
| ws_3. Where is this water collected from?  |
| In own dwelling  |
|  |

In own yard

Elsewhere

ws\_4. How many minutes in total does it take you to go fetch water from this source and come back? (Fill in X minutes)

ws\_5. Do you store drinking water in your home?

Yes

No

ws\_6. Where do you store your drinking water?

In a container with a lid/top on it

In an open container

Container on the roof

ws\_7. In the last 4 weeks/month, how frequently did you or anyone in your household worry you would not have enough water for all of your household needs?

Never (0 times)

Rarely (1-2 times)

Sometimes (3-10 times)

Often (11-20times)

Always (more than 20 times)

ws\_8. In the last 4 weeks/month, how frequently has your main water source been interrupted or limited (eg water pressure, less water than expected, river dried up)?

Never (0 times)

Rarely (1-2 times)

Sometimes (3-10 times)

Often (11-20times)

Always (more than 20 times)

ws\_9. In the last 4 weeks/month, how frequently has there not been enough water to wash clothes?

Never (0 times)

Rarely (1-2 times)

Sometimes (3-10 times)

Often (11-20times)

Always (more than 20 times)

ws\_10. In the last 4 weeks/month, how frequently have you or anyone in your household had to change schedules or plans due to problems with your water situation? Activities that may have been interrupted include caring for others, doing household chores, agricultural work, income-generating activities, sleeping, etc

Never (0 times)

Rarely (1-2 times)

Sometimes (3-10 times)

Often (11-20times)

Always (more than 20 times)

ws\_11. In the last 4 weeks/month, how frequently have you or anyone in your household had to change what was being eaten because there were problems with water (eg, for washing foods, cooking, etc)?

Never (0 times)

Rarely (1-2 times)

Sometimes (3-10 times)

Often (11-20times)

Always (more than 20 times)

ws\_12. In the last 4 weeks/month, how frequently have you or anyone in your household had to go without washing hands after dirty activities (eg, defecating or changing diapers, cleaning animal dung) because of problems with water?

Never (0 times)

Rarely (1-2 times)

Sometimes (3-10 times)

Often (11-20times)

Always (more than 20 times)

ws\_13. In the last 4 weeks/month, how frequently have you or anyone in your household had to go without washing their body because of problems with water (eg, not enough water, dirty, unsafe)?

Never (0 times)

Rarely (1-2 times)

Sometimes (3-10 times)

Often (11-20times)

Always (more than 20 times)

ws\_14. In the last 4 weeks/month, how frequently has there not been as much water to drink as you would like for you or anyone in your household?

Never (0 times)

Rarely (1-2 times)

Sometimes (3-10 times)

Often (11-20times)

Always (more than 20 times)

ws\_15. In the last 4 weeks/month, how frequently did you or anyone in your household feel angry about your water situation?

Never (0 times)

Rarely (1-2 times)

Sometimes (3-10 times)

Often (11-20times)

Always (more than 20 times)

ws\_16. In the last 4 weeks/month, how frequently have you or anyone in your household gone to sleep thirsty because there wasn't any water to drink?

Never (0 times)

Rarely (1-2 times)

Sometimes (3-10 times)

Often (11-20times)

Always (more than 20 times)

ws\_17. In the last 4 weeks/month, how frequently has there been no useable or drinkable water whatsoever in your household?

Never (0 times)

Rarely (1-2 times)

Sometimes (3-10 times)

Often (11-20times)

Always (more than 20 times)

ws\_18. In the last 4 weeks/month, how frequently have problems with water caused you or anyone in your household to feel ashamed/excluded/stigmatized?

Never (0 times)

Rarely (1-2 times)

Sometimes (3-10 times)

Often (11-20times)

Always (more than 20 times)

ws\_19. Is there a latrine or toilet that members of this household use regularly?

Yes

No

ws\_20. Where is this toilet facility located?

In own dwelling

In own yard or plot

Elsewhere

ws\_21. How many households other than your own share this facility?

Enter the enumerator Name

### fh\_1. Is there a designated place for handwashing in the household?

Yes

No

### fh\_2. How often is soap available at this location?

**Always** 

Sometimes

Never

## fh\_3. What were all of the times when you washed your hands (Circle all that apply but do not prompt After each response, ask "any other times?")

After defecating

After cleaning a child/wiping a child's bottom

After changing a babies nappy

Before preparing food

Before eating

Before feeding the child

After touching animals

After touching animal faeces

After handling raw animal source foods

After crop farming activities

Other

Cannot remember/does not know

fh\_3\_96x. Specify other

### **FOOD STORAGE**

## fh\_4. Do you store meat and meat products (except dried meat)?

Yes

No

## fh\_4a. Where do you store raw meat (fresh/chilled/frozen)?

In a fridge

In a freezer

Covered in a cool room (below 5 degrees Celsius)

Uncovered in a cool room (below 5 degrees Celsius)

Covered in a room at room temperature

Uncovered in a room at room temperature

Other, specify

fh\_4a\_96x. Other, specify

## fh\_4b. How long do you store the meat and meat products?

less than 2 hours

| 2 hours to 5 hours   |
|--|
| 5 hours to 12 hours  |
| 12 hours   |
| 1-3 days   |
| 3-7 days   |
| 1 week   |
| 1 month  |
| fh_4c. Where do you store processed meat (ready-to-cook and ready-to-eat)?                         |
| In a fridge  |
| In a freezer   |
| Covered in a cool room (below 5 degrees Celsius)   |
| Uncovered in a cool room (below 5 degrees Celsius)   |
| Covered in a room at room temperature  |
| Uncovered in a room at room temperature  |
| Other, specify   |
| fh_4c_96x. Other, specify  |
| fh_4d. How long do you store the meat and meat products?   |
| less than 2 hours  |
| 2 hours to 5 hours   |
| 5 hours to 12 hours  |
| 12 hours   |
| 1-3 days   |
| 3-7 days   |
| 1 week   |
| 1 month  |
| fh_5. Do you store milk and milk products (except milk powder, evaporated milk or condensed milk)? |
| Yes  |
| No   |
| fh_5a. Where do you store liquid milk (including pasteurized and non-pasteurized)?                 |
| In a fridge  |
| In a freezer   |
| Covered in a cool room (below 5 degrees Celsius)   |
| Uncovered in a cool room (below 5 degrees Celsius)   |
| Covered in a room at room temperature  |
| Uncovered in a room at room temperature  |
| Other, specify   |
| fh_5a_96x. Other, specify  |
| fh_5b. Where do you store solid/semi solid dairy/milk products (e.g cheese, yoghurt, ghee, etc)?   |
| In a fridge  |
| In a freezer   |
| Covered in a cool room (below 5 degrees Celsius)   |
| Uncovered in a cool room (below 5 degrees Celsius)   |
| Covered in a room at room temperature  |
| Uncovered in a room at room temperature  |
| Other, specify   |
| fh_5b_96x. Other, specify  |
|  |

fh\_6. Do you store raw or cooked vegetables and fruits?

Yes

No

fh\_6a. Where do you store raw vegetables?

In a fridge

In a freezer

Covered in a cool room (below 5 degrees Celsius)

Uncovered in a cool room (below 5 degrees Celsius)

Covered in a room at room temperature

Uncovered in a room at room temperature

Other, specify

fh 6a 96x. Other, specify

fh\_6b. Where do you store cooked vegetables and fruit?

In a fridge

In a freezer

Covered in a cool room (below 5 degrees Celsius)

Uncovered in a cool room (below 5 degrees Celsius)

Covered in a room at room temperature

Uncovered in a room at room temperature

Other, specify

fh\_6b\_96x. Other, specify

fh\_7. Do you store food that has been prepared for your child to give it to the child later?

Yes

No

fh\_7a. How do you store food that has been prepared for your child?

Thermos

Jug

Plate or bowl (covered)

Plate or bowl (uncovered)

Cooking pot (covered)

Cooking pot (uncovered)

Other container (covered)

Other container (uncovered)

Other, specify

fh\_7a\_96x. Other, specify

fh\_7b. Where do you store this food?

In a fridge

In a freezer

In a cool room (below 5 degrees Celsius)

In a room at room temperature

Other, specify

fh\_7b\_96x. Other, specify

How long do you store this food?

less than 1 hour

1-2 hours

2 hours to 5 hours

5 hours to 12 hours

12 hours

| 1-3 days   |
|--|
| 3-7 days   |
| Longer than a week   |
| Animals  |
| fh_8. Does your household keep any animals inside or outside the house you are looking after (i.e. not taken care of by others)? |
| Yes  |
| No   |
| fh_9. What type of animals?  |
| Chickens   |
| Ducks  |
| Other birds  |
| Dogs   |
| Cats   |
| Goats  |
| Sheep  |
| Pigs   |
| Cows   |
| Fish   |
| Other animals, specify   |
| fh_9_96x. Other: specify   |
| fh_10. Which of these animals are in the patio, veranda or house any time of the day?  |
| None   |
| Chickens   |
| Ducks  |
| Other birds  |
| Dogs   |
| Cats   |
| Goats  |
| Sheeps   |
| Pigs   |
| Cows   |
| Other animals, specify   |
| fh_10_96x. Other: specify  |
| fh_10a. Is the animal contained in some form of enclosure?   |
| Yes  |
| No   |
| fh_10b. Can the child be in contact with the animals or their faeces?  |
| Yes  |
| No   |
| fh_10c. Can the animal be in contact with food for the child?  |
| Yes  |
| No   |
| ASF1_1. What is the first priority ASF consumed by the child most recently? Select [ASF1]  |
| Chicken  |
| fish   |
| beef   |
| egg  |

In a fridge In a freezer

squid meat ball beef soup chicken soup duck egg fish egg None ASF1\_2. How was this [ASF] packaged when you got it from the source? (select all that apply) No packaging Sealed package Washable container (plastic, glass, steel), open Washable container (plastic, glass, steel), closed Plastic bag Newspaper Other paper Aluminium foil Other foil Container made from natural materials Other, specify ASF1\_2\_96x. ASF\_2\_96x Other: specify ASF1\_3. Did you transport this [ASF] after you got it? No transport means that they either produced it themselves or the ASF was brought to them (e.g. by a trader or neighbour, etc) Yes No ASF1\_3a. How long did you transport the ASF for (to take it home)? less than 5 minutes 5 min to 15 min 15 to 30 min 30 min to 1 hour 1hour to 2 hours 2 hours to 3 hours 3 hours ASF1\_3b. How did you transport this [ASF] after you got it? Chilled (e.g. on ice) At ambient temperature Kept warm (e.g. thermos or thermal food container) Other, specify ASF1\_3b\_96x. Other, specify ASF1\_4. Did you store this [ASF] before preparation? Yes No ASF1\_4a. Was this [ASF] covered when you stored it before preparation? ASF1\_4b. Where did you store this [ASF] before preparation?

In a cool room (below 5 degrees Celsius) In a room at room temperature Other, specify ASF1 4b 96x. Specify, other ASF1\_4c. How long was this [ASF] stored before preparing? minute hour day total minutes total hours total day ASF1\_5. Did you wash this [ASF] before preparation? No Not applicable ASF1\_6. If yes, why did you wash this [ASF]? (multiple answers) To remove visible dirt To remove visible blood To make it safer Because it is a good practice Other, specify ASF1 6 96x. Specify, other ASF1\_7. Did you chop or cut this [ASF] product before preparation? Yes No Not applicable ASF1\_8. If yes, which tool(s) did you use to chop or cut this [ASF]? (multiple answers) **Cutting board** Plate Knife Other, specify ASF1\_8\_96x. Specify, other ASF1 9. How did you prepare this food for the child? Grilling **Baking Frying Boiling** Stewing Simmering Fermenting Heating Mixed in with other ingredients without cooking the ASF (e.g. mixing yoghurt with fruit or adding dried Made smaller portion (e.g. cutting in pieces or butting in a bowl), but did not cook or mix with other ingredients Other, specify ASF1\_9\_96x. Specify, other

ASF1\_10. For how long was this [ASF] cooked?

| 1 E min  |
|--|
| 1-5 min  |
| 5-15 min   |
| 15-30 min  |
| 30-60 min  |
| More than 1 hour   |
| ASF1_11. After preparation, was this [ASF] stored before giving it to the child?             |
| Yes  |
| No .   |
| ASF1_12. After preparation, how long was this [ASF] stored before giving it to the child?    |
| 1-5 min  |
| 5-15 min   |
| 15-30 min  |
| 30-60 min  |
| More than 1 hour, less than 24h  |
| More than 1 day, less than 2 days  |
| More than 2 days   |
| ASF1_13. How did you store this [ASF] that had been prepared for your child?                 |
| Thermos  |
| Jug  |
| Plate or bowl (covered)  |
| Plate or bowl (uncovered)  |
| Cooking pot (covered)  |
| Cooking pot (uncovered)  |
| Other container (covered)  |
| Other container (uncovered)  |
| Other, specify   |
| ASF1_13_96x. Specify, other  |
| ASF1_14. Where did you store this [ASF]?   |
| In a fridge  |
| In a freezer   |
| In a cool room (below 5 degrees Celsius)   |
| In a room at room temperature  |
| Other, specify   |
| ASF1_14_96x. Specify other   |
| ASF2_1. What is the second priority ASF consumed by the child most recently? Select [ASF1]   |
| Chicken  |
| fish   |
| beef   |
| egg  |
| squid  |
| meat ball  |
| beef soup  |
| chicken soup   |
| duck egg   |
| fish egg   |
| None   |
| ASF2_2. How was this [ASF] packaged when you got it from the source? (select all that apply) |
|  |

ASF2\_4b\_96x. Specify, other

minute hour day

ASF2\_4c. How long was this [ASF] stored before preparing?

No packaging Sealed package Washable container (plastic, glass, steel), open Washable container (plastic, glass, steel), closed Plastic bag Newspaper Other paper Aluminium foil Other foil Container made from natural materials Other, specify ASF 2 96x Other: specify ASF2\_3. Did you transport this [ASF] after you got it? No transport means that they either produced it themselves or the ASF was brought to them (e.g. by a trader or neighbour, etc) Yes No ASF2\_3a. How long did you transport the ASF for (to take it home)? less than 5 minutes 5 min to 15 min 15 to 30 min 30 min to 1 hour 1hour to 2 hours 2 hours to 3 hours 3 hours ASF2\_3b. How did you transport this [ASF] after you got it? Chilled (e.g. on ice) At ambient temperature Kept warm (e.g. thermos or thermal food container) Other, specify ASF2\_3b\_96x. Other specify ASF2\_4. Did you store this [ASF] before preparation? Yes No ASF2\_4a. Was this [ASF] covered when you stored it before preparation? No ASF2\_4b. Where did you store this [ASF] before preparation? In a fridge In a freezer In a cool room (below 5 degrees Celsius) In a room at room temperature Other, specify

11

No

total minutes total hours total day ASF2\_5. Did you wash this [ASF] before preparation? No Not applicable ASF2\_6. If yes, why did you wash this [ASF]? (multiple answers) To remove visible dirt To remove visible blood To make it safer Because it is a good practice Other, specify ASF2 6 96x. Specify, other ASF2\_7. Did you chop or cut this [ASF] product before preparation? Yes No Not applicable ASF2\_8. If yes, which tool(s) did you use to chop or cut this [ASF]? (multiple answers) **Cutting board** Plate Knife Other, specify ASF2\_8\_96x. Specify, other ASF2\_9. How did you prepare this food for the child? Grilling **Baking Frying Boiling** Stewing Simmering Fermenting Heating Mixed in with other ingredients without cooking the ASF (e.g. mixing yoghurt with fruit or adding dried Made smaller portion (e.g. cutting in pieces or butting in a bowl), but did not cook or mix with other ingredients Other, specify ASF2\_9\_96x. Specify, other ASF2\_10. For how long was this [ASF] cooked? 1-5 min 5-15 min 15-30 min 30-60 min More than 1 hour ASF2\_11. After preparation, was this [ASF] stored before giving it to the child? Yes

| ASF2_12. After preparation, how long was this [ASF] stored before giving it to the child?    |
|--|
| 1-5 min  |
| 5-15 min   |
| 15-30 min  |
| 30-60 min  |
| More than 1 hour, less than 24h  |
| More than 1 day, less than 2 days  |
| More than 2 days   |
| ASF2_13. How did you store this [ASF] that had been prepared for your child?                 |
| Thermos  |
| Jug  |
| Plate or bowl (covered)  |
| Plate or bowl (uncovered)  |
| Cooking pot (covered)  |
| Cooking pot (uncovered)  |
| Other container (covered)  |
| Other container (uncovered)  |
| Other, specify   |
| ASF2_13_96x. Specify, other  |
| ASF2_14. Where did you store this [ASF]?   |
| In a fridge  |
| In a freezer   |
| In a cool room (below 5 degrees Celsius)   |
| In a room at room temperature  |
| Other, specify   |
| ASF2_14_96x. Specify, other  |
| ASF3_1. What is the third priority ASF consumed by the child most recently? Select [ASF1]    |
| Chicken  |
| fish   |
| beef   |
| egg  |
| squid  |
| meat ball  |
| beef soup  |
| chicken soup   |
| duck egg   |
| fish egg   |
| None   |
| ASF3_2. How was this [ASF] packaged when you got it from the source? (select all that apply) |
| No packaging   |
| Sealed package   |
| Washable container (plastic, glass, steel), open   |
| Washable container (plastic, glass, steel), closed   |
| Plastic bag  |
| Newspaper  |
| Other paper  |
| Aluminium foil   |
|  |

Other foil

Container made from natural materials

Other, specify

ASF 2 96x Other: specify

ASF3\_3. Did you transport this [ASF] after you got it?

No transport means that they either produced it themselves or the ASF was brought to them (e.g. by a trader or neighbour, etc)

Yes

No

ASF3\_3a. How long did you transport the ASF for (to take it home)?

less than 5 minutes

5 min to 15 min

15 to 30 min

30 min to 1 hour

1hour to 2 hours

2 hours to 3 hours

3 hours

ASF3\_3b. How did you transport this [ASF] after you got it?

Chilled (e.g. on ice)

At ambient temperature

Kept warm (e.g. thermos or thermal food container)

Other, specify

ASF3\_3b\_96x. Other, specify

ASF3\_4. Did you store this [ASF] before preparation?

Yes

No

ASF3\_4a. Was this [ASF] covered when you stored it before preparation?

Yes

No

ASF3\_4b. Where did you store this [ASF] before preparation?

In a fridge

In a freezer

In a cool room (below 5 degrees Celsius)

In a room at room temperature

Other, specify

ASF3\_4b\_96x. Specify, other

ASF3\_4c. How long was this [ASF] stored before preparing?

minutes

hour

day

total minutes

total hours

total day

ASF3\_5. Did you wash this [ASF] before preparation?

Yes

No

Not applicable

ASF3\_6. If yes, why did you wash this [ASF]? (multiple answers)

To remove visible dirt To remove visible blood To make it safer Because it is a good practice Other, specify ASF3\_6\_96x. Specify, other ASF3\_7. Did you chop or cut this [ASF] product before preparation? Yes No Not applicable ASF3 8. If yes, which tool(s) did you use to chop or cut this [ASF]? (multiple answers) **Cutting board** Plate Knife Other, specify ASF3\_8\_96x. Specify, other ASF3\_9. How did you prepare this food for the child? Grilling **Baking Frying Boiling** Stewing Simmering Fermenting Heating Mixed in with other ingredients without cooking the ASF (e.g. mixing yoghurt with fruit or adding dried fish to salad) Made smaller portion (e.g. cutting in pieces or butting in a bowl), but did not cook or mix with other ingredients Other, specify ASF3\_9\_96x. Specify, other ASF3\_10. For how long was this [ASF] cooked? 1-5 min 5-15 min 15-30 min 30-60 min More than 1 hour ASF3\_11. After preparation, was this [ASF] stored before giving it to the child? Yes No ASF3\_12. After preparation, how long was this [ASF] stored before giving it to the child? 1-5 min 5-15 min 15-30 min 30-60 min More than 1 hour, less than 24h More than 1 day, less than 2 days More than 2 days

| ASF3_13. How did you store this [ASF] that had been prepared for your child? |  |
|--|--|
| Thermos  |  |
| Jug  |  |
| Plate or bowl (covered)  |  |
| Plate or bowl (uncovered)  |  |
| Cooking pot (covered)  |  |
| Cooking pot (uncovered)  |  |
| Other container (covered)  |  |
| Other container (uncovered)  |  |
| Other, specify   |  |
| ASF3_13_96x. Specify, other  |  |
| ASF3_14. Where did you store this [ASF]?                                     |  |
| In a fridge  |  |
| In a freezer   |  |
| In a cool room (below 5 degrees Celsius)                                     |  |
| In a room at room temperature  |  |
| Other, specify   |  |
| ASF3_14_96x. Other, specify  |  |

## **APPENDIX 2: WASH AND FOOD SAFETY SPOT CHECK**

This checklist is filled by trained enumerators in the households at 3 different time points, to observe and record information about behaviours and facilities in relation to food safety and water and sanitation and hygiene practices at home. Data are collected in tablets on CommCare.

| # WATER SANITATION (SPOT CHECK) *(3 TIMES AT 2ND TRIMESTER, 3 & 9MO)*                       |
|---|
| wash_1. What type of facility is the primary location for defecation?                       |
| Flush toilet to piped sewer system  |
| Flush toilet to septic tank   |
| Flush toilet to pit   |
| Flush toilet to onsite, above ground, open pit  |
| Pour flush toilet to piped sewer system   |
| Pour flush toilet to septic tank  |
| Pour flush toilet to pit  |
| Pour flush toilet to onsite, above ground, open pit   |
| Pit latrine with concrete slab (not pour flush)   |
| Pit latrine without slab (not pour flush)   |
| Bucket  |
| Bag   |
| Open defecation (no facilities)   |
| Other:  |
| Refused observation   |
| wash_1_96x. Specify, other  |
| wash_2. Approximately how many meters is the toilet from the entrance of the household      |
| wash_3. For the following, please note if following indicators of functionality are present |
| wash_3_1. Is the pan working (not broken)   |
| yes   |
| no  |
| wash_3_2. Are there leaves or rubbish blocking the pan?                                     |
| yes   |
| no  |
| wash_3_3. Is there standing water in the pan?   |
| yes   |
| no  |
| wash_3_4. Is there a cover over the pit?  |
| yes   |
| no  |
| wash_3_5. Are there one or two pits?  |
| One   |
| Two   |
| More  |
| wash_3_6. Is there a cover on all the pits?   |
| No  |
| Partial (only to one pit)   |
| Yes, to more than one pit   |
| wash_3_7. Are there flies?  |
|   |

None Some Many wash 4. Can you please show me where members of this household most often wash their hands? Fixed facility (sink/basin)... in dwelling Fixed facility....in yard Mobile object (bucket / jug) No handwashing place in dwelling Refused observation wash\_5. Is there water available at this location? Yes No wash\_6. Is soap available at this location? (Soap includes bar soap, liquid soap, powder detergent, and soapy water but does not include ash, soil, sand or other handwashing agents) Yes No wash\_7. Is the dedicated location located near (within 5 steps) the primary cooking location? Yes No wash\_8. Is the dedicated location located near (within 5 steps) the latrine/toilet Yes No wash\_9. Are there animals located in or around the household? (select all) No animals visible In the cooking area (outside house) In the cooking area (inside house) In the food storing area (outside house) In the food storing area (inside house) In other areas of the house that are not cooking or food storing areas wash\_10. What type of animals (select all) Chickens **Ducks** Other birds Dog Cat Goat Sheep Pig Cow Wild animals Rodents Other animal wash\_10\_96x. Other, specify wash\_11. Are there animal feces located in or around the household? (select all) No animal feces visible In the cooking area (outside house) In the cooking area (inside house) In the food storing area (outside house)

No

In the food storing area (inside house) In other areas of the house that are not cooking or food storing areas In the yard / courtyard # FOOD SAFETY QUESTIONNAIRE \*(1 TIME: AT 6 MO)\* HAND WASHING spot\_1. Can you please show me where members of this household most often wash their hands after using the toilets? Fixed facility (sink/basin)... in dwelling Fixed facility....in yard Mobile object (bucket / jug) No handwashing place in dwelling Refused observation spot\_2. Is there water available at this location? Yes No spot 3. Is soap available at this location? (Soap includes bar soap, liquid soap, powder detergent, and soapy water but does not include ash, soil, sand or other handwashing agents) Yes No spot\_4. Is the dedicated location located near (within 5 steps) the primary cooking location? No spot 5. Is the dedicated location located near (within 5 steps) the latrine/toilet Yes No spot\_6. Can you show me where members of this household most often wash their hands before eating or preparing food? Fixed facility (sink/basin)... in dwelling Fixed facility....in yard Mobile object (bucket / jug) No handwashing place in dwelling Refused observation Same as after toilet spot\_7. Is there water available at this location? Yes No spot\_8. Is soap available at this location? (Soap includes bar soap, liquid soap, powder detergent, and soapy water but does not include ash, soil, sand or other handwashing agents) Yes No spot\_9. Is the dedicated location located near (within 5 steps) the primary cooking location? Yes No spot\_10. Is the dedicated location located near (within 5 steps) the latrine/toilet Yes

spot\_11. Do you see animals in or around the household? (select all)

| N - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -  |
|--|
| No animals visible   |
| In the cooking area (outside house)  |
| In the cooking area (inside house)   |
| In the food storing area (outside house)   |
| In the food storing area (inside house)  |
| In other areas of the house that are not cooking or food storing areas   |
| spot_12. What type of animals (select all)   |
| Chickens   |
| Ducks  |
| Other birds  |
| Dog  |
| Cat  |
| Goat   |
| Sheep  |
| Pig  |
| Cow  |
| Wild animals   |
| Rodents  |
| Other animal   |
| spot_12_96x. Specify other   |
| spot_13. Is it possible for the child to be in contact with these animals (e.g. if they are unrestrained   |
| or the child can access an enclosure)?   |
| Yes  |
| No   |
| spot_14. Is it possible for these animals to be in contact with food (e.g. if animals are unrestrained)  |
| Yes  |
| No   |
| spot_15. Are there visible animal feces located in or around the household? (select all)   |
| No animal feces visible  |
| In the cooking area (outside house)  |
| In the cooking are (inside house)  |
| In the food storing area (outside house)   |
| In the food storing area (inside house)  |
| In other areas of the house that are not cooking or food storing areas   |
| In the yard / courtyard  |
| In the animal enclosure (e.g. chicken coop)  |
| spot_16. Is it possible for the child to be in contact with these faeces (e.g. because they are in areas where the child is or the child can access an enclosure)? |
| Yes  |
| No   |
| spot_17. Is it possible that food gets in contact with these faeces?   |
| Yes  |
|  |
| No   |
|  |
| No   |
| No safe_1. Is there a functioning refrigerator?  |
| No safe_1. Is there a functioning refrigerator? Yes  |
| No safe_1. Is there a functioning refrigerator? Yes No   |

| No   |
|--|
| safe_3. Is there any visible, stored [ASF] not protected from the environment?   |
| Yes  |
| No   |
| safe_4. Is it [ASF] in contact with other food products?   |
| Yes  |
| No   |
| safe_5. Is the area where this [ASF] is kept protected from animals and flies?   |
| Yes  |
| No   |
| safe_6. Is there a kitchen area (a dedicated food preparation area)?   |
| Yes  |
| No   |
| safe_6a. Is the kitchen area free from pest animals (i.e. birds, flies, rats)  |
| Yes  |
| No   |
| safe_6b. Are there anti-pest measures in place in the kitchen area? e.g. nets, traps, insect killers   |
| Yes  |
| No   |
| safe_6c. Is the kitchen area free of visible dirt?   |
| Yes  |
| No   |
| safe_6d. Are cooking utensils clean?   |
| Yes  |
| No   |
| safe_6e. Is the kitchen area easy to clean (e.g. tiles)?   |
| Yes  |
| No   |
| safe_6f. Does the kitchen area have access to running water?   |
| Yes  |
| No   |
| safe_6g. If there is no access to running water, is there stored water in the area where food is being prepared?   |
| Yes, there is water in a container with a lid/top on it  |
| Yes, there is water in an open container   |
| No, there is no water  |
| safe_6h. Is soap available at this location? (Soap includes bar soap, liquid soap, powder detergent, and soapy water but does not include ash, soil, sand or other handwashing agents) |
| Yes  |
| No   |
| safe_7. Is there a food storage area?  |
| Yes  |
| No   |
| safe_7a. In the food storage area, is the storage equipment made of material that is easy to clean   |
| (e.g. metal, plastic)?   |
| Yes  |
| No   |
| safe_7b. Is the food storage area free from pest animals (i.e. birds, flies, rats)   |

| Yes   |
|---|
| No  |
| safe_7c. Are there anti-pest measures in place in the food storage area? e.g. nets, traps, insect killers |
| Yes   |
| No  |
| safe_7d. Is the food storage area free of visible dirt?   |
| Yes   |
| No  |
| safe_7e. Is the food storage area easy to clean (e.g. tiles)?   |
| Yes   |
| No  |
| safe_8. Is there a facility to dispose of food waste?   |
| No  |
| In the cooking area (outside house)   |
| In the cooking area (inside house)  |
| In the food storing area (outside house)  |
| In the food storing area (inside house)   |
| Outside the house remove from cooking or food storing areas   |
| Removed from the household  |
| safe_9. Are the knife and chopping board used to cut vegetables and fruits are clean?                     |
| Yes   |
| No  |
| safe_10.Are the nails of the food handler trimmed?  |
| Yes   |
| No  |

## APPENDIX 3: WASH AND FOOD SAFETY DIRECT OBSERVATION TOOL

This direct observation tool is filled by trained enumerators in the households at 3 different time points, to observe and record information about behaviours related to food safety and water and sanitation and hygiene practices at home, particularly in relation to infant and young child feeding. Food, drinking water and surface samples are subsequently collected for microbiological testing. Data are collected on paper.

## **GCRF Action against stunting Hub**

| Household ID:  | Mother ID:             |                   | Child ID:                     |  |  |  |
|--|------------------------|-------------------|-------------------------------|--|--|--|
| Enumerator ID:   |                        | Supervisor ID     |                               |  |  |  |
| District:  |                        | Sub-county:       |                               |  |  |  |
| Parish:  |                        | Village:          |                               |  |  |  |
| Salter calibration ID:   |                        | Salter calibrat   | tion weight:                  |  |  |  |
| Date of record:  | Day of the week too    | <u>lay</u> :      |                               |  |  |  |
|  |                        |                   |                               |  |  |  |
| Time ARRIVED: (24-hr)  | Monday □               | Friday            |                               |  |  |  |
|  | Tuesday 🗖              | Saturday          |                               |  |  |  |
| Time DEPARTED: (24-hr)   | Wednesda □             | Sunday            |                               |  |  |  |
|  | у                      |                   |                               |  |  |  |
|  | Thursday 🗖             |                   |                               |  |  |  |
|  | Complete at the end    | of the day        |                               |  |  |  |
| Describe the location of the observa   | ntions: Where in the l | nome the obse     | rvation was completed – where |  |  |  |
| the observer sat, what the condition   | ns were when you did   | d the observation | on (weather, lighting, heat)  |  |  |  |
| how busy the home was, etc.  |                        |                   |                               |  |  |  |
|  |                        |                   |                               |  |  |  |
|  |                        |                   |                               |  |  |  |
|  |                        |                   |                               |  |  |  |
|  |                        |                   |                               |  |  |  |
|  |                        |                   |                               |  |  |  |
| Describe who the main caretaker of   | the child was:         |                   |                               |  |  |  |
| Mother   |                        |                   |                               |  |  |  |
| Sibling  |                        |                   |                               |  |  |  |
| Grandmother  |                        |                   |                               |  |  |  |
| Aunt   |                        |                   |                               |  |  |  |
| Caretaker  |                        |                   |                               |  |  |  |
| Other, specify   |                        |                   |                               |  |  |  |
|  |                        |                   |                               |  |  |  |
|  |                        |                   |                               |  |  |  |
|  |                        |                   |                               |  |  |  |
| Please note if caretaker changes during observation: Yes No Mother   |                        |                   |                               |  |  |  |
| Sibling Siblin |                        |                   |                               |  |  |  |
| Grandmother  |                        |                   |                               |  |  |  |
| Aunt   |                        |                   |                               |  |  |  |
| Caretaker  |                        |                   |                               |  |  |  |
| Other, specify   |                        |                   |                               |  |  |  |
|  |                        |                   |                               |  |  |  |
|  |                        |                   |                               |  |  |  |

| Direct observation |
|--------------------|
| Household ID:      |

|                                 | Yes (tick) | Date: dd/mm/yy |
|---------------------------------|------------|----------------|
| Checked by supervisor: (code)   |            |                |
| Checked by co-ordinator: (code) |            |                |
| Data entry completed: (code)    |            |                |

| Activity                                     |    |    | :00 | 0  |    |    | :  | 00 |    |    | :0 | 0  |    |    | _:00 |    | Pilot notes            |
|--|----|----|-----|----|----|----|----|----|----|----|----|----|----|----|------|----|------------------------|
|  | а  | b  | С   | d  | е  | f  | g  | h  | I  | j  | k  | 1  | m  | n  | 0    | р  |                        |
|  | 00 | 15 | 30  | 45 | 00 | 15 | 30 | 45 | 00 | 15 | 30 | 45 | 00 | 15 | 30   | 45 |                        |
| 1. Child Activities                          |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 1.1 Sleeping                                 |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 1.2 Caretaker change                         |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 1.3 Child being cared for by other children  |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 2. Child feces                               |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 2.1 Child nappy or diaper is changed         |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 2.2 Child defecates on floor                 |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 2.3 Child defecates in potty                 |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 2.4 Child feces disposed in toilet           |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 2.5 Child feces disposed in bush / ditch     |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 3. Food preparation                          |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 3.1 Fruit or vegetable washed                |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 3.2 Food preparation surface rinsed (specify |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| surface type)                                |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 3.3 Food preparation surface washed (specify |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| surface type)                                |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 3.4 Utensils rinsed                          |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 3.5 Utensils washed                          |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 3.6 Plates / bowls/ cups rinsed              |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 3.7 Plates / bowls/cups washed               |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 3.8 Child b ottle rinsed                     |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 3.9 Child b ottle cleaned                    |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |
| 4. Food cooking                              |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    | Specify from section 3 |
|  |    |    |     |    |    |    |    |    |    |    |    |    |    |    |      |    |                        |

| Direct observation |  |
|--------------------|--|
| Household ID:      |  |

| Activity   |    |    | :0 | 0  |    |    | :  | 00 | _  |    | :0 | 0  |    |    | _:00 |    | Pilot notes              |
|--|----|----|----|----|----|----|----|----|----|----|----|----|----|----|------|----|--------------------------|
|  | а  | b  | С  | d  | е  | f  | g  | h  | I  | j  | k  | I  | m  | n  | 0    | р  |                          |
|  | 00 | 15 | 30 | 45 | 00 | 15 | 30 | 45 | 00 | 15 | 30 | 45 | 00 | 15 | 30   | 45 |                          |
|  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
|  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    | Specify type in comments |
|  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 4.1 Food fried (ASF / Fruit Veg / Other)             |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 4.2 Food dried (ASF / Fruit Veg / other)             |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 4.3 Food roasted (ASF / Fruit Veg / other)           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 4.4 Food heated in pot, no boiled (ASF/ Fruit Veg /  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| other)   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 4.5 Food boiled (ASF / Fruit Veg/ other)             |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 4.6 Food steamed (ASF / Fruit Veg / Other)           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 4.7 Food arrives processed (ASF / Fruit Veg / Other) |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 4.8 Food added raw / uncooked to dish (ASF / Fruit   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| Veg / Other)   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 5. Food handling                                     |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    | Specify from section 4   |
|  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 5.1 Cooked food served immediately to child          |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 5.2 Cooked food left at room temp / open             |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 5.3 Cooked food placed in cabinet / cupboard         |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 5.4 Cooked food placed in fridge                     |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 5.5 Cooked food covered (loosely)                    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 5.6 Cooked food covered (sealed)                     |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 6. Child feeding                                     |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    | Specify from section 4   |
| 6.1 Child breastfed                                  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 6.2 Child given food that was stored                 |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 6.3 Child given milk                                 |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 6.4 Child given water                                |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 6.5 Flies on food given to child                     |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 6.6 Child fed by caregivers hand                     |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 6.6 Child eating using child's hand                  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 6.7 Child fed/eating using utensils (e.g. spoon)     |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |
| 7. Floor contact                                     |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |                          |

| Direct observation |  |
|--------------------|--|
| Household ID:      |  |

| Activity   |    |    | :0 | 0  |    |    | :0 | 00 |    |    | :0 | 0  |    |    | :00 |    | Pilot notes  |
|--|----|----|----|----|----|----|----|----|----|----|----|----|----|----|-----|----|--|
|  | а  | b  | С  | d  | e  | f  | g  | h  | ı  |    | k  | 1  | m  | n  | 0   | р  |  |
|  | 00 | 15 | 30 | 45 | 00 | 15 | 30 | 45 | 00 | 15 | 30 | 45 | 00 | 15 | 30  | 45 |  |
| 7.1 Child hand contact with floor                |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 7.2 Child hand contact with dirt                 |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 7.3 Child eats dirt / soil                       |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 7.4 Child places objects from the floor in their |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| mouths   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 7.5 Child places hands that have been in contact |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| with the floor in mouth                          |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 8. Animal contact                                |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    | Write down the animal (chickens, ducks, other            |
|  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    | birds, goats, sheep, pigs, cow, dog, cat, other/specify) |
| 8.1 Caretaker hand contact with animals          |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 8.2 Caretaker hand contact with animal feces     |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 8.3 Child hand contact with animals              |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 8.4 Child hand contact with animal feces         |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 9. Toilet use                                    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 9.1 Primary caretaker uses toilet                |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 9.2 Primary caretaker goes for open defecation   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 10. Domestic cleaning                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 10.1 Caretakers washes clothes                   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 10.2 Caretaker / other household member sweeps   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 10.3 Caretaker / other household members cleans  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| floors (with disinfectant / soap)                |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 10.3 Caretakers handles garbage                  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 11. Handwashing / Facewashing                    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 11.1 Caretaker rines hands – water only          |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 11.2 Caretaker washes hands – soap used          |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 11.3 Child's hands rinsed – water only           |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 11.4 Child's hands washed – soap used            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 11.5 Child's face wiped or rinses                |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 11.6 Child's face cleaned                        |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |
| 11.7 Caretaker bathes                            |    |    |    |    |    |    |    |    |    |    |    |    |    |    |     |    |  |

# **Direct observation**Household ID: \_\_\_\_\_

| Activity          |    |    | :0 | 0  | _  |    | :0 | 00 | _  |    | :0 | 0  |    |    | _:00 |    | Pilot notes |
|-------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|------|----|-------------|
|                   | а  | b  | С  | d  | е  | f  | g  | h  | 1  | j  | k  | _  | m  | n  | 0    | р  |             |
|                   | 00 | 15 | 30 | 45 | 00 | 15 | 30 | 45 | 00 | 15 | 30 | 45 | 00 | 15 | 30   | 45 |             |
| 11.8 Child hathed |    |    |    |    |    |    |    |    |    |    |    |    |    |    |      |    |             |

| Household ID:             |              |                        |                |
|---------------------------|--------------|------------------------|----------------|
|                           | Data log Tab | le. Food Sample        |                |
| HH ID:                    |              |                        |                |
| Collection period: During | g feeding    | Directly after feeding | Before feeding |
| Food:                     |              |                        |                |
| Total sample weight       |              |                        |                |
| Ingredients:              |              |                        |                |
| Preparation method:       |              |                        |                |
| Notes:                    |              |                        |                |

| Household ID:                                      |  |
|--|--|
|  | Data log Table. Water Sample   |
| HH ID:   | clock, HH:MM):   |
| Yes (collect water in a collect further water in a | ted with chlorine/aquatabs or is from the municipal system? a small 100 mL whirlpak bag with sodium thiosulfate tablets + 50mL centrifuge tube) a large 250 mL whirlpak bag) |
| Notes:   |  |

| Household ID:  |  |
|--|--|
|  | Data log Table. Surface Sample   |
| Timepoint: 9 month/ 12 n<br>HH ID:<br>Date (DD/MMM/YY):<br>Collection time (24 hour of | clock, HH:MM):   |
|  | d prepared [the surface where foods and utensils are placed during re foods cut up or otherwise processed in any way]? |
| Notes:   |  |