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B. Pal et al. (Belle Collaboration)

Phys. Rev. Lett. **116**, 161801 — Published 19 April 2016

DOI: 10.1103/PhysRevLett.116.161801

Observation of the decay $B^0_s o K^0 \overline{K}{}^0$

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We measure the decay $B_s^0 \to K^0 \overline{K}{}^0$ using data collected at the $\Upsilon(5S)$ resonance with the Belle detector at the KEKB e^+e^- collider. The data sample used corresponds to an integrated luminosity of 121.4 fb⁻¹. We measure a branching fraction $\mathcal{B}(B_s^0 \to K^0 \overline{K}{}^0) = [19.6^{+5.8}_{-5.1}(\mathrm{stat.}) \pm 1.0(\mathrm{sys.}) \pm 2.0(N_{B_s^0 \overline{B}_s^0})] \times 10^{-6}$ with a significance of 5.1 standard deviations. This measurement constitutes the first observation of this decay.

PACS numbers: 13.25.Hw, 14.40.Nd

trast, the neutral-daughter decays $B^0_s \to h^0 h'^0$ have yet to be observed. The decay $B^0_s \to K^0 \overline{K}{}^0$ [2] is of particular interest because the branching fraction is predicted to be relatively large. In the Standard Model (SM), the decay proceeds mainly via a $b \to s$ loop (or "penguin") transition as shown in Fig. 1, and the branching fraction is predicted to be in the range $(16-27)\times 10^{-6}$ [3]. The presence of non-SM particles or couplings could enhance this value [4]. It has been pointed out that CP asymmetries in $B^0_s \to K^0 \overline{K}{}^0$ decays are promising observables in which to search for new physics [5].

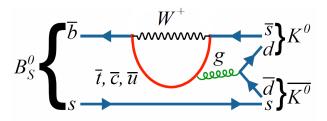


FIG. 1. Loop diagram for $B_s^0 \to K^0 \overline{K}{}^0$ decays.

The current upper limit on the branching fraction, $\mathcal{B}(B_s^0 \to K^0 \overline{K}^0) < 6.6 \times 10^{-5}$ at 90% confidence level, was set by Belle using 23.6 fb⁻¹ of data recorded at the $\Upsilon(5S)$ resonance [6]. Here, we update this result using the full data set of 121.4 fb⁻¹ recorded at the $\Upsilon(5S)$. The analysis presented here uses improved tracking, K^0 reconstruction, and continuum suppression algorithms. The data set corresponds to $(6.53 \pm 0.66) \times 10^6 \ B_s^0 \overline{B}_s^0$ pairs [7] produced in three $\Upsilon(5S)$ decay channels: $B_s^0 \overline{B}_s^0$, $B_s^{*0} \overline{B}_s^0$ or $B_s^0 \overline{B}_s^{*0}$, and $B_s^{*0} \overline{B}_s^{*0}$. The latter two channels dominate, with production fractions of $f_{B_s^{*0} \overline{B}_s^0} = (7.3 \pm 1.4)\%$ and $f_{B_s^{*0} \overline{B}_s^0} = (87.0 \pm 1.7)\%$ [8].

The Belle detector is a large-solid-angle magnetic spectrometer consisting of a silicon vertex detector (SVD), a 50-layer central drift chamber (CDC), an array of aerogel threshold Cherenkov counters (ACC), a barrel-like arrangement of time-of-flight scintillation counters (TOF), and an electromagnetic calorimeter (ECL) comprising CsI(Tl) crystals. These detector components are located inside a superconducting solenoid coil that provides a 1.5 T magnetic field. An iron flux-return located outside the coil (KLM) is instrumented to detect K_L^0 mesons and to identify muons. The detector is described in detail elsewhere [9, 10]. The origin of the coordinate system is defined as the position of the nominal interaction point (IP). The +z axis is aligned with the direction opposite the e^+ beam and is parallel to the direction of the magnetic field within the solenoid. The +x axis is horizontal and points towards the outside of the storage ring; the +y axis points vertically upward.

Candidate K^0 mesons are reconstructed via the decay $K_S^0 \to \pi^+\pi^-$ using a neural network (NN) technique [11]. The NN uses the following information: the K_S^0 momentum in the laboratory frame; the distance along z be-

tween the two track helices at their closest approach; the flight length in the x-y plane; the angle between the K_S^0 momentum and the vector joining the K_S^0 decay vertex to the IP; the angle between the pion momentum and the laboratory-frame direction in the K_S^0 rest frame; the distance-of-closest-approach in the x-y plane between the IP and the two pion helices; and the pion hit information in the SVD and CDC. The selection efficiency is 87% over the momentum range of interest. We also require that the $\pi^+\pi^-$ invariant mass be within 12 MeV/ c^2 (about 3.5 σ in resolution) of the nominal K_S^0 mass [1].

To identify $B_s^0 \to K_S^0 K_S^0$ candidates, we define two variables: the beam-energy-constrained mass $M_{\rm bc} = \sqrt{E_{\rm beam}^2 - |\vec{p_B}|^2 c^2/c^2}$; and the energy difference $\Delta E = E_B - E_{\rm beam}$, where $E_{\rm beam}$ is the beam energy and E_B and $\vec{p_B}$ are the energy and momentum, respectively, of the B_s^0 candidate. These quantities are evaluated in the e^+e^- center-of-mass (CM) frame. We require that events satisfy $M_{\rm bc} > 5.34~{\rm GeV}/c^2$ and $-0.20~{\rm GeV} < \Delta E < 0.10~{\rm GeV}$.

To suppress background arising from continuum $e^+e^- \rightarrow q\bar{q} \ (q=u,d,s,c)$ production, we use a second NN [11] that distinguishes jet-like continuum events from more spherical $B_s^{(*)0} \overline{B}_s^{(*)0}$ events. This NN uses the following input variables, which characterize the event topology: the cosine of the angle between the thrust axis [12] of the B_s^0 candidate and the thrust axis of the rest of the event; the cosine of the angle between the B_s^0 thrust axis and the +z axis; a set of 16 modified Fox-Wolfram moments [13]; and the ratio of the second to zeroth (unmodified) Fox-Wolfram moments. All quantities are evaluated in the CM frame. The NN is trained using Monte Carlo (MC) simulated signal events and $q\bar{q}$ background events. The MC samples are obtained using EVTGEN [14] for event generation and GEANT3 [15] for modeling the detector response. The NN has a single output variable (C_{NN}) that ranges from -1 for background-like events to +1 for signal-like events. We require $C_{\rm NN} > -0.1$, which rejects approximately 85% of $q\bar{q}$ background while retaining 83% of signal decays. We subsequently translate $C_{\rm NN}$ to a new variable

$$C'_{\rm NN} = \ln \left(\frac{C_{\rm NN} - C_{\rm NN}^{\rm min}}{C_{\rm NN}^{\rm max} - C_{\rm NN}} \right),\tag{1}$$

where $C_{\text{NN}}^{\text{min}} = -0.1$ and $C_{\text{NN}}^{\text{max}}$ is the maximum value of C_{NN} obtained from a large sample of signal MC decays. The distribution of C_{NN}' is well-modeled by a Gaussian function.

After applying all selection criteria, approximately 1.0% of events have multiple B_s^0 candidates. For these events, we retain the candidate having the smallest value of χ^2 obtained from the deviations of the reconstructed K_S^0 masses from their nominal values [1]. According to MC simulation, this criterion selects the correct B_s^0 candidate > 99% of the time.

We measure the signal yield by performing an unbinned extended maximum likelihood fit to the variables $M_{\rm bc}, \, \Delta E, \, {\rm and} \, \, C'_{\rm NN}.$ The likelihood function is defined as

$$\mathcal{L} = e^{-\sum_{j} Y_{j}} \cdot \prod_{i}^{N} \left(\sum_{j} Y_{j} \mathcal{P}_{j}(M_{\text{bc}}^{i}, \Delta E^{i}, C_{\text{NN}}^{\prime i}) \right), \quad (2)$$

where Y_j is the yield of component j; $\mathcal{P}_j(M_{\mathrm{bc}}^i, \Delta E^i, C_{\mathrm{NN}}^{\prime i})$ is the probability density function (PDF) of component j for event i; j runs over the two event categories (signal and $q\bar{q}$ background); and i runs over all events in the sample (N). Backgrounds arising from other B_s^0 and non- B_s^0 decays were studied using MC simulation and found to be negligible. As correlations among the variables M_{bc} , ΔE , and C_{NN}' are found to be small, the three-dimensional PDFs $\mathcal{P}_j(M_{\mathrm{bc}}^i, \Delta E^i, C_{\mathrm{NN}}'^i)$ are factorized into the product of separate one-dimensional PDFs.

The signal PDF is defined as

$$\mathcal{P}_{\text{sig}} = f_{B_{s}^{*0}\overline{B}_{s}^{*0}} \mathcal{P}_{B_{s}^{*0}\overline{B}_{s}^{*0}} + f_{B_{s}^{*0}\overline{B}_{s}^{0}} \mathcal{P}_{B_{s}^{*0}\overline{B}_{s}^{0}} + (1 - f_{B_{s}^{*0}\overline{B}_{s}^{*0}} - f_{B_{s}^{*0}\overline{B}_{s}^{0}}) \mathcal{P}_{B_{s}^{0}\overline{B}_{s}^{0}},$$
(3)

where $\mathcal{P}_{B_s^{*0}\overline{B}_s^{*0}}$, $\mathcal{P}_{B_s^{*0}\overline{B}_s^{0}}$, and $\mathcal{P}_{B_s^{0}\overline{B}_s^{0}}$ are the PDFs for signal arising from $\Upsilon(5S) \to B_s^{*0} \overline{B}_s^{*0}$, $(B_s^{*0} \overline{B}_s^{0} + \overline{B}_s^{*0} B_s^{0})$, and $B_s^0 \overline{B}_s^0$ decays. The $M_{\rm bc}$ and $C'_{\rm NN}$ PDFs are modeled with Gaussian functions, and the ΔE PDFs are each modeled with a sum of two Gaussian functions having a common mean. All parameters of the signal PDF are fixed to the corresponding MC values. The peak positions for $M_{\rm bc}$ and ΔE are adjusted according to small data-MC differences observed in a control sample of $B_s^0 \to D_s^- \pi^+$ decays [8]. As this control sample has only modest statistics, the resolutions for $M_{\rm bc}$, ΔE , and C'_{NN} , and the peak position for C'_{NN} , are adjusted for data-MC differences using a high statistics sample of $B^0 \to D^-(\to K^+\pi^-\pi^-)\pi^+$ decays. For $q\bar{q}$ background, the $M_{\rm bc}$, ΔE , and $C'_{\rm NN}$ PDFs are modeled with an AR-GUS function [16], a first-order Chebyshev polynomial, and a Gaussian function, respectively. All parameters of the $q\bar{q}$ background PDFs except for the endpoint of the ARGUS function are floated in the fit.

The results of the fit are $29.0^{+8.5}_{-7.6}$ signal events and $1095.0^{+33.9}_{-33.4}$ continuum background events. Projections of the fit are shown in Fig. 2. The branching fraction is calculated via

$$\mathcal{B}(B_s^0 \to K^0 \overline{K}^0) = \frac{Y_s}{2 \cdot N_{B_s^0 \overline{B}_s^0} \cdot (0.50) \cdot \mathcal{B}_{K^0}^2 \cdot \varepsilon}, (4)$$

where Y_s is the fitted signal yield; $N_{B_s^0\overline{B}_s^0}=(6.53\pm0.66)\times10^6$ is the number of $B_s^0\overline{B}_s^0$ events; $\mathcal{B}_{K^0}=(69.20\pm0.05)\%$ is the branching fraction for $K_S^0\to\pi^+\pi^-$ [1]; and $\varepsilon=(46.3\pm0.1)\%$ is the signal efficiency as determined from MC simulation. The efficiency is corrected by a factor 1.01 ± 0.02 for each reconstructed K_S^0 , to

account for a small difference in K_S^0 reconstruction efficiency between data and simulation. This correction is estimated from a high statistics sample of $D^0 \to K_S^0 \pi^0$ decays. The factor 0.50 accounts for the 50% probability for $K^0 \overline{K}{}^0 \to K_S^0 K_S^0$ (since $K^0 \overline{K}{}^0$ is CP-even). Inserting these values gives $\mathcal{B}(B_s^0 \to K^0 \overline{K}{}^0) = (19.6^{+5.8}_{-5.1}) \times 10^{-6}$, where the error is statistical.

The systematic uncertainty on $\mathcal{B}(B_s^0 \to K^0 \overline{K}^0)$ arises from several sources, as listed in Table I. The uncertainties due to the fixed parameters in the PDF shape are estimated by varying the parameters individually according to their statistical uncertainties. For each variation the branching fraction is recalculated, and the difference with the nominal branching fraction is taken as the systematic uncertainty associated with that parameter. We add together all uncertainties in quadrature to obtain the overall uncertainty due to fixed parameters. The uncertainties due to errors in the calibration factors and the fractions $f_{B_s^{(*)}\overline{B}^{(*)}}$ are evaluated in a similar manner. To test the stability of our fitting procedure, we generate and fit a large ensemble of MC pseudo-experiments. By comparing the mean of the fitted yields with the input value, a bias of -2.6% is found. We attribute this bias to our neglecting small correlations among the fitted observables. An 0.9% systematic uncertainty is assigned due to the $C_{\rm NN}$ selection; this is obtained by comparing the selection efficiencies in MC and data for the $B^0 \to D^-(\to K^+\pi^-\pi^-)\pi^+$ control sample. We assign a 2.0% systematic uncertainty for each reconstructed $K_S^0 \to \pi^+\pi^-$; this is determined using a $D^0 \to K_S^0\pi^0$ sample. The uncertainty on ε due to the MC sample size is 0.2%. The total of the above systematic uncertainties is calculated as their sum in quadrature. In addition, there is a 10.1% uncertainty due to the number of $B_s^0 \overline{B}_s^0$ pairs. As this large uncertainty does not arise from our analysis, we quote it separately.

TABLE I. Systematic uncertainties on $\mathcal{B}(B_s^0 \to K^0 \overline{K}^0)$. Those listed in the upper section are associated with fitting for the signal yields and are included in the signal significance.

Source	Uncertainty (%)
PDF parametrization	0.2
Calibration factor	+0.9 -0.8
$f_{B_s^{(*)}\overline{B}_s^{(*)}}$	+1.2 -1.1
Fit bias	$^{+0.0}_{-2.6}$
$K_S^0 \to \pi^+\pi^-$ reconstruction	4.0
$C_{\rm NN}$ selection	0.9
MC sample size	0.2
$\mathcal{B}(K_S^0 o \pi^+ \ \pi^- \)$	0.1
Total (without $N_{B_s^0 \overline{B}_s^0}$)	$^{+4.4}_{-5.1}$
$N_{B_s^0\overline{B}_s^0}$	10.1

The signal significance is calculated

as

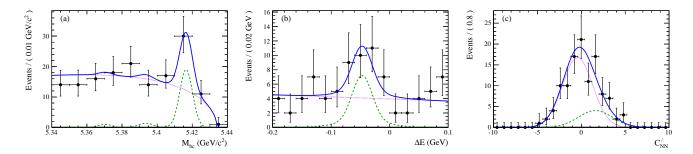


FIG. 2. Projections of the 3D fit to the real data: (a) $M_{\rm bc}$ in $-0.11~{\rm GeV} < \Delta E < 0.02~{\rm GeV}$ and $C'_{\rm NN} > 0.5$; (b) ΔE in $5.405~{\rm GeV}/c^2 < M_{\rm bc} < 5.427~{\rm GeV}/c^2$ and $C'_{\rm NN} > 0.5$; and (c) $C'_{\rm NN}$ in $5.405~{\rm GeV}/c^2 < M_{\rm bc} < 5.427~{\rm GeV}/c^2$ and $-0.11~{\rm GeV} < \Delta E < 0.02~{\rm GeV}$. The points with error bars are data, the (green) dashed curves show the signal, (magenta) dotted curves show the continuum background, and (blue) solid curves show the total. The $\chi^2/(\#$ of bins) values of these fit projections are $0.30, 0.43, {\rm and} 0.26, {\rm respectively}, {\rm which} {\rm indicate} {\rm that} {\rm the} {\rm fit} {\rm gives} {\rm a} {\rm good} {\rm description} {\rm of} {\rm the} {\rm data}.$ The three peaks in $M_{\rm bc}$ arise from $\Upsilon(5S) \to B_s^0 \overline{B}_s^0, B_s^{*0} \overline{B}_s^0 + B_s^0 \overline{B}_s^{*0}, {\rm and} B_s^{*0} \overline{B}_s^{*0} {\rm decays}.$

 $\sqrt{-2\ln(\mathcal{L}_0/\mathcal{L}_{\rm max})}$, where \mathcal{L}_0 is the likelihood value when the signal yield is fixed to zero, and $\mathcal{L}_{\rm max}$ is the likelihood value of the nominal fit. We include systematic uncertainties in the significance by convolving the likelihood function with a Gaussian function whose width is equal to that part of the systematic uncertainty that affects the signal yield. We obtain a signal significance of 5.1 standard deviations; thus, our measurement constitutes the first observation of this decay.

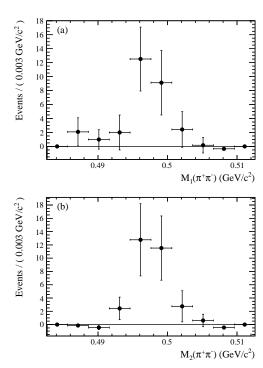


FIG. 3. Background subtracted sPlot distributions of $M(\pi^+\pi^-)$ for the (a) higher momentum and (b) lower momentum K^0_S candidates.

The background subtracted sPlot [17] distributions of

 $M(\pi^+\pi^-)$ are shown in Fig. 3, where the K_S^0 selection is removed for the $\pi^+\pi^-$ pair being plotted. No $B_s^0\to K_S^0\pi^+\pi^-$ contribution is observed. We check this quantitatively by performing our signal fit for events in the mass sidebands of each $K_S^0\left[M(\pi^+\pi^-)\in(0.460,0.485)~{\rm GeV}/c^2\right]$ and $M(\pi^+\pi^-)\in(0.510,0.530)~{\rm GeV}/c^2]$. The extracted signal yields, $-0.7^{+2.9}_{-2.1}$ and $1.6^{+2.2}_{-1.2}$ for the higher momentum K_S^0 and lower momentum K_S^0 , respectively, are consistent with zero. We calculate the expected number of $B_s^0\to K_S^0\pi^+\pi^-$ events in our signal sample using MC simulation and the measured branching fraction, $\mathcal{B}(B_s^0\to K^0\pi^+\pi^-)=15.0\times 10^{-6}$ [18]; the result is 0.001.

In summary, we report the first observation of the decay $B^0_s \to K^0 \overline{K}{}^0$. The branching fraction is measured to be

$$\mathcal{B}(B_s^0 \to K^0 \overline{K}^{\,0}) = (19.6^{\,+5.8}_{\,-5.1}\,\pm 1.0\,\pm 2.0) \times 10^{-6},$$

where the first uncertainty is statistical, the second is systematic, and the third reflects the uncertainty due to the total number of $B_s^0 \overline{B}_s^0$ pairs. This value is in good agreement with the SM predictions [3], and it implies that the Belle II experiment [19] will reconstruct over 1000 of these decays. Such a sample would allow for a much higher sensitivity search for new physics in this $b \to s$ penguin-dominated decay.

ACKNOWLEDGMENTS

We thank the KEKB group for the excellent operation of the accelerator; the KEK cryogenics group for the efficient operation of the solenoid; and the KEK computer group, the National Institute of Informatics, and the PNNL/EMSL computing group for valuable computing and SINET4 network support. We acknowledge support from the Ministry of Education, Culture, Sports, Science, and Technology (MEXT) of Japan, the Japan Society for the Promotion of Science (JSPS), and the Tau-Lepton Physics Research Center of Nagoya University; the Australian Research Council; Austrian

Science Fund under Grant No. P 22742-N16 and P 26794-N20; the National Natural Science Foundation of China under Contracts No. 10575109, No. 10775142, No. 10875115, No. 11175187, and No. 11475187; the Chinese Academy of Science Center for Excellence in Particle Physics: the Ministry of Education, Youth and Sports of the Czech Republic under Contract No. LG14034; the Carl Zeiss Foundation, the Deutsche Forschungsgemeinschaft and the VolkswagenStiftung; the Department of Science and Technology of India; the Istituto Nazionale di Fisica Nucleare of Italy; the WCU program of the Ministry of Education, National Research Foundation (NRF) of Korea Grants No. 2011-0029457, No. 2012-0008143, No. 2012R1A1A2008330, No. 2013R1A1A3007772, No. 2014R1A2A2A01005286, No. 2014R1A2A2A01002734, No. 2015R1A2A2A01003280, No. 2015H1A2A1033649; the Basic Research Lab program under NRF Grant No. KRF-2011-0020333, Center for Korean J-PARC Users, No. NRF-2013K1A3A7A06056592; the Brain Korea 21-Plus program and Radiation Science Research Institute; the Polish Ministry of Science and Higher Education and the National Science Center; the Ministry of Education and Science of the Russian Federation and the Russian Foundation for Basic Research; the Slovenian Research Agency; the Basque Foundation for Science (IKERBASQUE) and the Euskal Herriko Unibertsitatea (UPV/EHU) under program UFI 11/55 (Spain); the Swiss National Science Foundation; the National Science Council and the Ministry of Education of Taiwan; and the U.S. Department of Energy and the National Science Foundation. This work is supported by a Grant-in-Aid from MEXT for Science Research in a Priority Area ("New Development of Flavor Physics") and from JSPS for Creative Scientific Research ("Evolution of Tau-lepton Physics").

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