

# DNA Damage Sensing by the ATM and ATR Kinases

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In eukaryotic cells, maintenance of genomic stability relies on the coordinated action of a network of cellular processes, including DNA replication, DNA repair, cell-cycle progression, and others. The DNA damage response (DDR) signaling pathway orchestrated by the ATM and ATR kinases is the central regulator of this network in response to DNA damage. Both ATM and ATR are activated by DNA damage and DNA replication stress, but their DNA-damage specificities are distinct and their functions are not redundant. Furthermore, ATM and ATR often work together to signal DNA damage and regulate downstream processes. Here, we will discuss the recent findings and current models of how ATM and ATR sense DNA damage, how they are activated by DNA damage, and how they function in concert to regulate the DDR.

During their lifespan, cells are inevitably challenged by extrinsic and intrinsic stresses that threaten the integrity of their genomes. To survive these adverse conditions and pass on intact genetic information to subsequent generations, cells have evolved a highly organized and coordinated effort to ameliorate genotoxic stress called the DNA damage response (DDR). This response underlies the organismal ability to sense and signal problems in its DNA, to arrest cell-cycle progression (cell-cycle checkpoints) and activate appropriate DNA repair mechanisms, or to eliminate cells with unrepairable genomes. The importance of the DDR network for the development and well being of humans is illustrated by the large variety of diseases

and cancer-predisposition syndromes that have been linked to mutations of DDR genes (Ciccia and Elledge 2010).

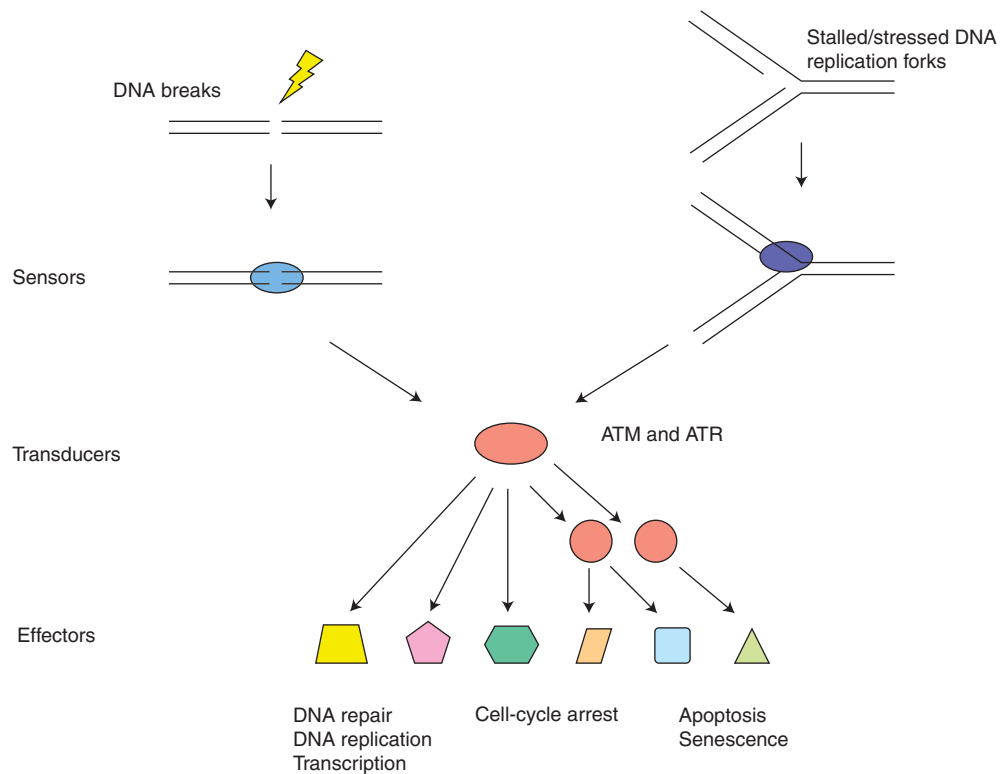
In eukaryotic cells, the cellular response to DNA damage is regulated and coordinated by the DDR signaling pathway. Like classic signal transduction pathways, the DDR uses signal sensors, transducers, and effectors (Fig. 1) (Zhou and Elledge 2000). In contrast to the signal transduction pathways that are activated by ligands of receptor kinases, the DDR signaling pathway is activated by aberrant DNA structures induced by DNA damage or DNA replication stress. The sensors of this pathway are the proteins that directly recognize these aberrant DNA structures and activate the most upstream DDR kinases.



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A. Maréchal and L. Zou



**Figure 1.** The framework of the DDR signaling pathway. Like other signal transduction pathways, the DDR signaling pathway consists of signal sensors, transducers, and effectors. The sensors of this pathway are proteins that recognize DNA structures induced by DNA damage and DNA replication stress. The transducers of this pathway are kinases, including ATM, ATR, and their downstream kinases. The effectors of this pathway are substrates of ATM, ATR, and their downstream kinases. These effectors of ATM and ATR are involved in a broad spectrum of cellular processes that are important for maintenance of genomic stability of organisms.

The DDR signaling pathway consists of a protein kinase cascade as well as mediator proteins that facilitate the phosphorylation events within the DDR network. The effectors of the DDR signaling pathway are substrates of the DDR kinases that participate in a wide spectrum of cellular processes important for genomic stability, such as DNA replication, DNA repair, and cell-cycle control. Although unique in the way through which it is activated, the DDR is a bona fide signal transduction pathway that is primarily driven by protein phosphorylation.

In mammalian cells, the ATM (ataxia-telangiectasia mutated), ATR (ATM- and Rad3-Related), and DNA-PKcs (DNA-dependent protein kinase) kinases are the most upstream

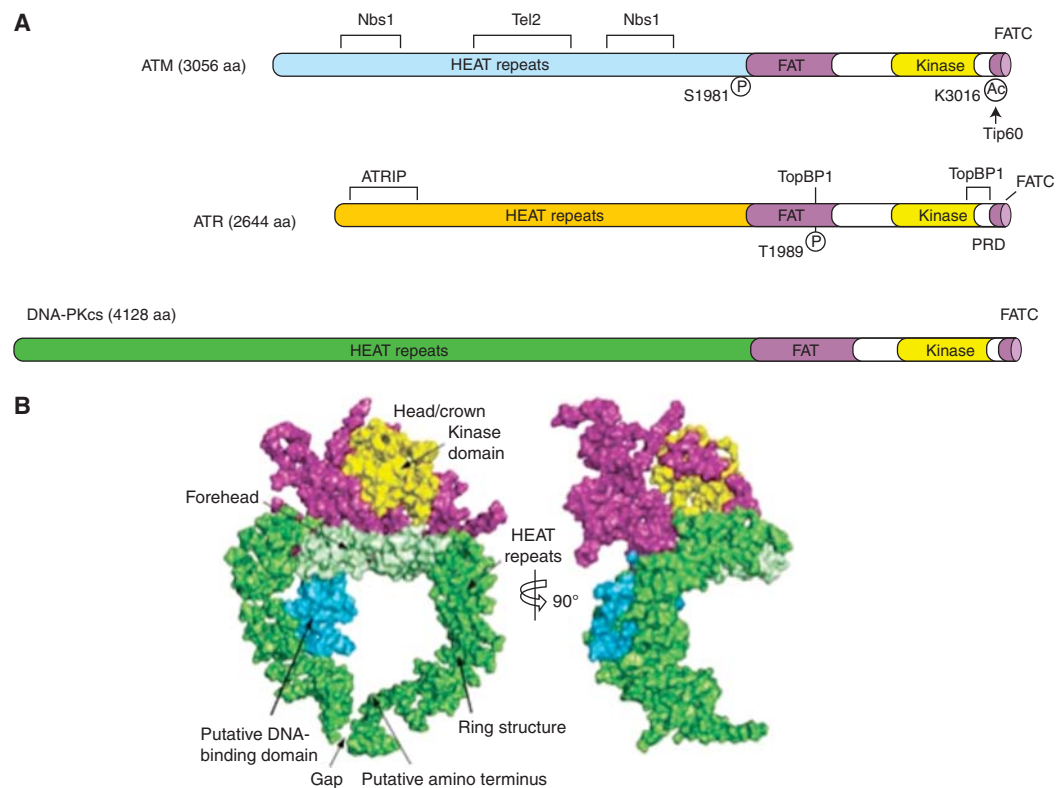
DDR kinases. These large serine/threonine kinases are members of the phosphatidylinositol-3-kinase-like kinase family (PIKKs) (Lempiainen and Halazonetis 2009; Lovejoy and Cortez 2009). In response to DNA damage, hundreds of proteins are phosphorylated at Ser/Thr-Glu motifs and additional sites in an ATM- or ATR-dependent manner, whereas DNA-PKcs appears to regulate a smaller number of targets and play a role primarily in nonhomologous end joining (NHEJ) (Matsuoka et al. 2007; Smolka et al. 2007; Stokes et al. 2007; Bensimon et al. 2010; Beli et al. 2012). ATM and ATR also activate a second wave of phosphorylation through their activation of Chk1, Chk2, and MK2 protein kinases (Matsuoka et al. 1998; Liu et al.

2000; Reinhardt et al. 2007). Although the functional significance of many of these phosphorylation events remains to be understood, the potential ATM/ATR-regulated substrates are involved in a wide variety of cellular processes that may contribute to the DDR. In the picture emerging from these genome-scale studies, ATM and ATR are the master transducers of DNA signals, and they orchestrate a large network of cellular processes to maintain genomic integrity. In vivo and in vitro studies also suggest that the DNA-damage specificities and functions of ATM and ATR are distinct. Whereas

ATM is primarily activated by double-stranded DNA breaks (DSBs), ATR responds to a broad spectrum of DNA damage, including DSBs and a variety of DNA lesions that interfere with replication. In this review, we will discuss how ATM and ATR are activated by DNA damage, how they are distinct from each other, and how they function in concert to regulate the DDR.

### STRUCTURAL OUTLINES OF ATM AND ATR

ATM, ATR, and other PIKKs all share a similar domain organization (Fig. 2A). The kinase do-



**Figure 2.** Structural outlines of PIKKs. (A) Schematic of the functional domains and selected posttranslational modifications of ATM, ATR, and DNA-PKcs. The bulk of ATM and ATR are composed of a large number (40–50) of  $\alpha$ -helical HEAT repeats. Some of these repeats are involved in the interaction with other proteins. The kinase domains of ATM and ATR are located near their carboxyl termini, and are flanked by FAT and FATC domains. ATM and ATR are autophosphorylated in or near their FAT domains after DNA damage, which regulates their activation. The acetylation of the carboxyl terminus of ATM by Tip60 is also involved in ATM activation. A PIKK regulatory domain (PRD) between the kinase and FATC domains of ATR was shown to modulate ATR activation. (B) Overall view of the DNA-PKcs structure. The front view (left) and side view (right) of DNA-PKcs. The various regions of DNA-PKcs are colored and labeled. (Panel B is from Sibanda et al. 2009; reprinted, with permission, from Nature Publishing Group © 2009.)

A. Maréchal and L. Zou

mains of PIKKs are located near their carboxyl termini, and are flanked by the conserved FAT (FRAP-ATM-TRRAP) and FAT carboxy-terminal (FATC) domains. Large amino terminal and internal regions of ATM and ATR are composed of numerous  $\alpha$ -helical HEAT (Huntington-elongation factor 3-protein phosphatase 2A-TOR1) repeats (Perry and Kleckner 2003). ATM was shown to exist as dimers or oligomers in undamaged cells, and is converted into monomers on activation by DNA damage (Bakkenist and Kastan 2003). ATR, on the other hand, forms a stable and obligate complex with ATRIP (ATR interacting protein) (Cortez et al. 2001). ATRIP also dimerizes or oligomerizes through its coiled-coil domain, but this interaction does not appear to be regulated by DNA damage (Ball and Cortez 2005; Itakura et al. 2005).

As a result of their large sizes, high-resolution structures of ATM and ATR have not yet been revealed. However, the crystal structure of DNA-PKcs was obtained at a 6.6-Å resolution (Fig. 2B) (Sibanda et al. 2009). In complex with a carboxyl-terminal fragment of its cofactor Ku80, DNA-PKcs adopts a ring-shaped structure with an extended arm of  $\alpha$ -helical HEAT repeats. Sitting atop these repeats is the kinase domain surrounded by the FAT and FATC domains. This overall structure of DNA-PKcs agrees with the previously published structures inferred from electron microscopy studies (Rivera-Calzada et al. 2005; Williams et al. 2008). Interestingly, inside the ring of DNA-PKcs, several HEAT repeats are predicted to form a small globular domain that may interact with DNA to trigger conformational changes. Electron microscopy studies of ATM also revealed an arm-like domain suggesting structural resemblance to DNA-PKcs (Llorca et al. 2003).

Whereas their ability to bind DNA remains to be tested, the HEAT repeats of ATM and ATR are known to mediate protein–protein interactions important for the function and regulation of these kinases. ATM interacts with Nbs1, a regulator of ATM activation, via specific internal HEAT repeats (Falck et al. 2005; You et al. 2005). ATR interacts with ATRIP through its amino-terminal HEAT repeats (Ball et al. 2005). It was recently found that the Tel2–Tti1–Tti2 (TTT)

complex interacts with the HEAT repeats of ATM and other PIKKs (Takai et al. 2007, 2010; Hurov et al. 2010). Depletion of different components of the TTT complex not only reduced the levels of ATM, ATR, and other PIKKs, but also compromised the interaction between ATR and ATRIP (Takai et al. 2010). The TTT complex associates with Hsp90 and may act as a PIKK-specific cochaperone to promote proper folding of these large HEAT repeat-containing proteins.

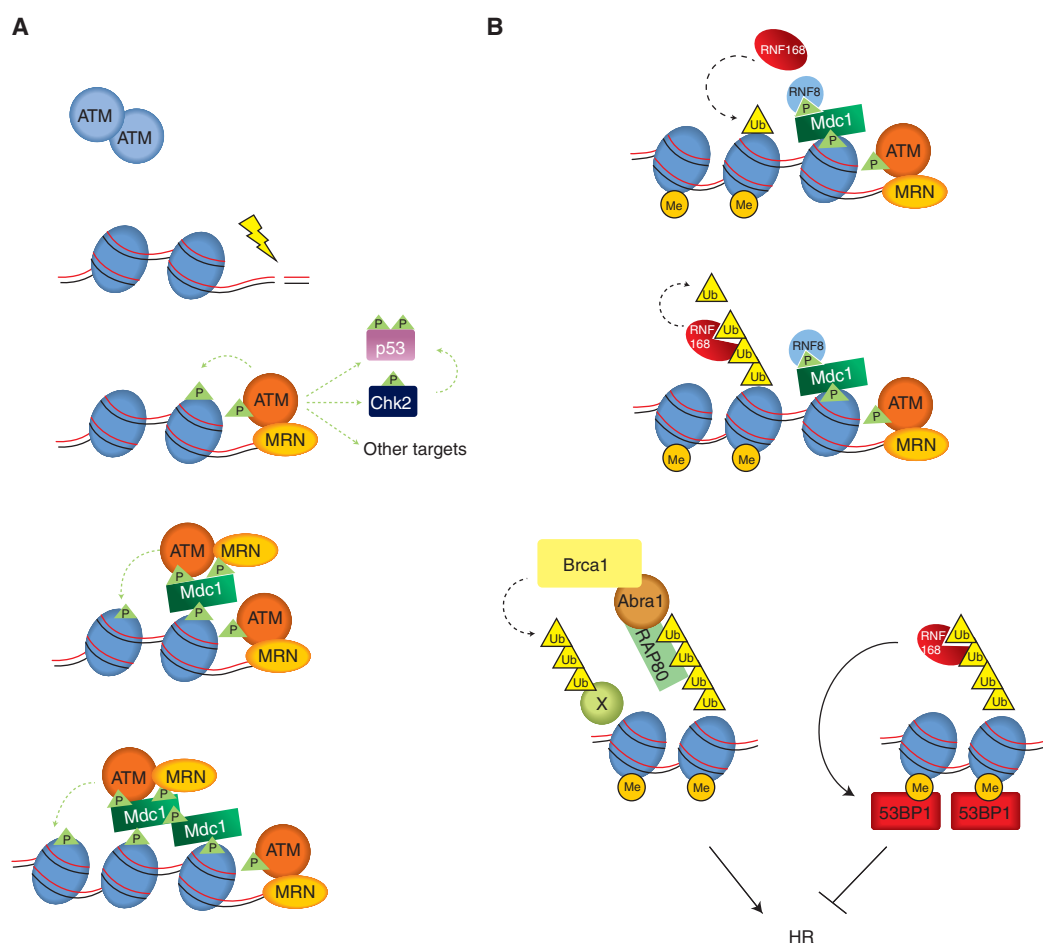
The FAT and FATC domains of ATM and ATR are important regulatory domains. In human cells, the FAT and kinase domains of ATM interact with each other in the absence of DNA damage, which inhibits ATM activity (Bakkenist and Kastan 2003). This interaction between the FAT and kinase domains of ATM is disrupted by the autophosphorylation of Ser1981 in response to DNA damage, alleviating the inhibition of ATM kinase. In mouse cells, however, the autophosphorylation of ATM at Ser1987 (the equivalent of Ser1981 in human ATM) is dispensable for ATM activation (Pellegrini et al. 2006), suggesting that the inhibitory function of the FAT domain may not be evolutionarily conserved. The FAT domain of ATR is phosphorylated at Thr1989 in response to DNA damage and it promotes the stimulation of ATR by TopBP1 (Liu et al. 2011a). The FATC domain of ATM interacts with the acetyltransferase Tip60 and is required for efficient ATM activation through an unknown mechanism (Sun et al. 2005). The FATC domain of ATR is indispensable for its basal kinase activity. Additionally, the PIKK-regulatory domain, a short region located between the FATC and kinase domains of ATR, was shown to interact with TopBP1 and facilitate ATR activation (Mordes and Cortez 2008).

### SENSING OF DOUBLE-STRANDED DNA ENDS BY ATM

DSBs are one of the most deleterious forms of DNA damage. ATM-deficient cells derived from ataxia-telangiectasia (AT) patients are hypersensitive to radiation, and are defective in DSB repair as well as the G<sub>1</sub>/S, intra-S, and G<sub>2</sub>/M checkpoints. In response to DSBs, ATM is rapidly localized to sites of DNA damage and its kinase

activity is elevated (Fig. 3A). The activation of ATM by DSBs leads to the phosphorylation of a number of substrates, such as Brca1, Chk2, and p53, mediating the effects of ATM on DNA repair, cell-cycle arrest, apoptosis, and other downstream processes (Shiloh 2003; Lavin 2008).

One key regulator of ATM activation is the Mre11-Rad50-Nbs1 (MRN) complex. In cells, MRN is one of the first factors recruited to DSBs. In vitro, purified MRN complex can directly bind to double-stranded DNA (dsDNA) ends. Furthermore, MRN is required for the



**Figure 3.** Activation of ATM by DSBs. (A) Recognition of DNA ends and chromatin by ATM. The MRN complex functions as a sensor of DNA ends and activates ATM. The ATM activated by DNA ends (red) phosphorylates substrates such as Chk2 and p53, and the H2AX in flanking nucleosomes. Phosphorylated H2AX ( $\gamma$ H2AX) is recognized by Mdc1, which triggers a feed-forward loop that spreads activated ATM and  $\gamma$ H2AX over large chromatin domains. (B) A ubiquitination cascade at DSBs that promotes the recruitment of Brca1 and 53BP1. The E3 ubiquitin ligase RNF8 is recruited to DSBs via phosphorylated Mdc1. RNF8 promotes another E3 ligase RNF168 to ubiquitinate substrates including histones H2A and H2AX. With the help of the HECT domain ligase HERC2 (not depicted here), RNF168 extends the K63-linked ubiquitin chains on substrates. These ubiquitin chains are recognized by RAP80, which forms a complex with Brca1 through Abraxas. Brca1, which is also a ubiquitin ligase, is critical for HR. RNF168 also promotes the recruitment of 53BP1, which binds the dimethylated lysine 20 of histone H4 (H4K20me2) exposed around DSBs. In contrast to Brca1, 53BP1 antagonizes HR. How the recruitment of Brca1 and 53BP1 is coordinated remains unknown. The dashed green and black lines represent phosphorylation and ubiquitylation events, respectively.



A. Maréchal and L. Zou

rapid localization of ATM to DSBs and its activation in cells, and purified MRN complex is sufficient to directly stimulate the dimeric/oligomeric ATM kinase in the presence of dsDNA (Uziel et al. 2003; Lee and Paull 2005). All of this evidence suggests that MRN is a sensor of DSBs that activates ATM (Fig. 3A).

Although MRN is clearly involved in ATM activation at DSBs, how it activates ATM in a DSB-dependent manner is still not fully understood. In vitro studies using purified proteins suggest that the activation of ATM by MRN requires free dsDNA ends (Lee and Paull 2005). Furthermore, the function of MRN in ATM activation is stimulated by dsDNA in a length-dependent manner (Lee and Paull 2005). Similar observations were also made in *Xenopus* and human cell extracts (You et al. 2007; Shiotani and Zou 2009). In *Xenopus* egg extracts, MRN and ATM not only bind to dsDNA ends but also dsDNA regions flanking the ends (You et al. 2007). In human cell extracts, ATM is not only activated by blunt dsDNA ends, but also by dsDNA ends with short single-stranded DNA (ssDNA) overhangs (Shiotani and Zou 2009). In the presence of short ssDNA overhangs, the junctions of ssDNA and dsDNA are critical for ATM activation. Together, these results suggest that, at DSBs, MRN recognizes dsDNA ends or dsDNA/ssDNA junctions and may move into flanking dsDNA regions to activate ATM. The DNA end-unwinding and exo-/endonuclease activities of MRN were implicated in ATM activation by in vitro studies (Lee and Paull 2005; Jazayeri et al. 2008), although mouse cells expressing a nuclease-deficient mutant of Mre11 were still able to activate ATM (Buis et al. 2008). The DNA end-tethering activity of MRN may also facilitate ATM activation by increasing the local concentration of DNA ends (Dupre et al. 2006). In vitro, MRN directly stimulates the binding of ATM to its substrates even in the absence of DNA (Lee and Paull 2004). The carboxyl terminus of Nbs1 is known to interact with ATM (Falck et al. 2005; You et al. 2005). However, in mouse cells, the carboxyl terminus of Nbs1 is only important for phosphorylation of some but not all ATM substrates (Stracker et al. 2007). Recent crystallographic data of a dimeric cata-

lytic domain of Mre11 and a short Mre11-interacting peptide of NBS1 led to the proposal of a model whereby changes in MRN complex conformation on binding to DNA and ATP might be relayed to ATM through changes in the carboxyl terminus of Nbs1 (Schiller et al. 2012).

### CHROMATIN-MEDIATED DNA-BREAK RECOGNITION BY ATM

Following the initial activation of ATM by DNA ends, ATM triggers a cascade of DDR events on the chromatin flanking DSBs. The key event driving this process is the phosphorylation of the histone variant H2AX by ATM. The DSB-induced phosphorylation of H2AX occurs within minutes after DNA damage, and it rapidly spreads over large chromatin domains (>500 kb) flanking the DNA breaks (Meier et al. 2007; Savic et al. 2009). Whereas H2AX phosphorylation is not critical for phosphorylation of ATM substrates such as Chk2 and p53 (Fernandez-Capetillo et al. 2002; Kang et al. 2005), it is required for accumulation of numerous DNA repair proteins and chromatin-remodeling complexes around DSBs. Cumulative evidence suggests that ATM is part of the chromatin-mediated program at DSBs that promotes DSB repair and coordinates multiple cellular processes in the chromatin domains flanking DSBs (Lukas et al. 2011).

To perform its chromatin-mediated functions, ATM has to specifically recognize the chromatin flanking DSBs. The protein Mdc1 is a key mediator of this process. Through its BRCT domains, Mdc1 directly binds to phosphorylated H2AX (known as  $\gamma$ H2AX) and is required for full-sized  $\gamma$ H2AX foci formation (Stewart et al. 2003; Lee et al. 2005; Stucki et al. 2005). Mdc1 also interacts with ATM through its FHA domain (Lou et al. 2006). In addition, Mdc1 interacts with Nbs1, which provides an indirect means to associate with ATM (Chapman and Jackson 2008; Melander et al. 2008; Spycher et al. 2008; Wu et al. 2008). The initial activation of ATM by DNA ends may result in phosphorylation of the H2AX immediately adjacent to DSBs. The ability of Mdc1 to bind both  $\gamma$ H2AX and ATM enables it to target ATM to

the nucleosomes containing  $\gamma$ H2AX, providing a mechanism for ATM to recognize the chromatin flanking DSBs and to propagate H2AX phosphorylation along chromatin (Fig. 3A).

In addition to H2AX phosphorylation, the kinase activity of ATM contributes to its chromatin binding in several other ways. Autophosphorylation of ATM has been implicated in Mdc1 binding (Lou et al. 2006). The activation of ATM promotes its association with Mdc1 and its accumulation around DSBs (Berkovich et al. 2007; So et al. 2009). It is interesting to note that ionizing radiation (IR) not only induces DSBs but also reactive oxygen species (ROS), which may directly trigger ATM autophosphorylation and promote its localization to DSBs (Guo et al. 2010). Recent studies also revealed that Mdc1 is a substrate of ATM (Matsuoka et al. 2007; Luo et al. 2011; Jungmichel et al. 2012; Liu et al. 2012a). The phosphorylation of Mdc1 by ATM promotes oligomerization of Mdc1 and may facilitate the spreading of Mdc1 and ATM on chromatin. Interestingly, studies using mouse cells suggest that whereas Mdc1 is important for the spreading of ATM on chromatin near DSBs, ATM is able to phosphorylate H2AX on chromatin distal to DSBs in the absence of Mdc1 (Savic et al. 2009). How ATM reaches and acts on chromatin distal from DSBs remains to be elucidated.

The ATM recruited to chromatin may be regulated by additional mechanisms. The Tip60 acetyltransferase interacts constitutively with ATM and may be recruited to DSBs with ATM (Sun et al. 2005). In response to DSBs, Tip60 acetylates ATM near the FATC domain, which promotes ATM monomerization and activation (Sun et al. 2007). The activation of Tip60 at damage sites requires its chromodomain through which it interacts with histone H3 trimethylated on lysine 9 (H3K9me3), a histone mark associated with heterochromatin (Sun et al. 2009). The initial activation of ATM and its effector kinase Chk2 by DNA ends leads to phosphorylation of KAP-1 and dissociation of heterochromatin protein HP1- $\beta$  from H3K9me3 around DSBs, which may expose the histone mark to Tip60 and further stimulate the function of ATM in heterochromatin (Ayoub

et al. 2008; Goodarzi et al. 2008; Bolderson et al. 2012).

Recently, a flurry of studies revealed that the phosphorylation of H2AX by ATM around DSBs sets off elaborate ubiquitylation and SUMOylation cascades to promote recruitment of Brca1 and 53BP1 (Fig. 3B) (Huen et al. 2007; Kim et al. 2007a,b; Kolas et al. 2007; Mailand et al. 2007; Sobhian et al. 2007; Wang and Elledge 2007; Wang et al. 2007; Doil et al. 2009; Galanty et al. 2009; Morris et al. 2009; Stewart 2009; Bekker-Jensen et al. 2010; Meerang et al. 2011; Mattioli et al. 2012). Brca1 is an important regulator of homologous recombination (HR) of DSBs. The HR defect in the absence of Brca1 is overcome by the removal of 53BP1 (Bunting et al. 2010), suggesting that the balance between the two proteins is important for the regulation of HR. Depletion of RNF168, a E3 ubiquitin ligase required for 53BP1 recruitment, also suppressed the HR defect in Brca1-deficient cells (Munoz et al. 2012). In addition to their functions in regulating DSB repair, Brca1 and 53BP1 contribute to the efficient phosphorylation of some ATM substrates and have been implicated in checkpoint activation (DiTullio et al. 2002; Wang et al. 2002; Fabbro et al. 2004; Kitagawa et al. 2004). Interestingly, both Brca1 and 53BP1 were also recently shown to enhance ATM kinase activity in vitro when MRN function was limiting (Lee et al. 2010).

### AN ATM-TO-ATR SWITCH AT DNA BREAKS

DSBs not only activate ATM, but also turn on the ATR kinase. Both ATM and ATR are critical for DSB-induced checkpoint responses and DSB repair, suggesting that they have nonredundant functions in these processes (Brown and Baltimore 2003; Wang et al. 2004). ATM and ATR are known to have distinct DNA-damage specificities. Unlike ATM, ATR is activated by a broad spectrum of DNA damage in addition to DSBs. The localization of ATR-ATRIP to sites of DNA damage is dependent on the direct interaction between ATRIP and long stretches of RPA-coated ssDNA, suggesting that ssDNA may be the key structure that elicits the ATR response at DSBs (Zou and Elledge 2003).

A. Maréchal and L. Zou

How is ssDNA generated at DSBs? When DSBs arise in mammalian cells before DNA replication, the first line of defense is usually NHEJ. Although it tends to be error-prone, NHEJ is a quick and robust way to rejoin DNA ends throughout the cell cycle. ATM has been shown to contribute to NHEJ (Zha et al. 2011). When DSBs are generated during S phase at DNA replication forks or after replication in the G<sub>2</sub> phase of the cell cycle, a second DSB repair pathway, HR, can come into action. In contrast to NHEJ, HR is an error-free repair pathway. HR not only requires homologous DNA sequences (usually from sister chromatids) as repair templates, but also ssDNA ends to search for homologous sequences. Based on studies using yeast, *Xenopus*, and human cells, several conserved nucleases have been implicated in the generation of ssDNA at DSBs through a process called resection (Symington and Gautier 2011). These nucleases include the MRN complex and its associated protein CtIP (Limbo et al. 2007; Sartori et al. 2007; You et al. 2009), Exo1 (Tomimatsu et al. 2012), and Dna2 (Wawrousek et al. 2010; Peng et al. 2012). ATM is also required for efficient resection, possibly through CtIP and Exo1 regulation (You et al. 2009; Bolderson et al. 2010). Consistent with the idea that DSB resection is important for ATR activation, depletion, or inactivation of ATM, Mre11, CtIP, Exo1, and Dna2 all lead to diminished ATR responses to DSBs (Fig. 4) (Adams et al. 2006; Jazayeri et al. 2006; Myers and Cortez 2006; Sartori et al. 2007; Peng et al. 2012; Tomimatsu et al. 2012).

DSB resection not only promotes ATR activation, but also coordinates the functions of ATM and ATR at DSBs. Using an in vitro assay that monitors the activation of both ATM and ATR by dsDNA, resection of DNA ends was found to induce ATR activation, but progressively attenuates the ability of dsDNA to activate ATM (Shiotani and Zou 2009). Thus, as ssDNA is gradually lengthened during DSB resection, DSB ends switch from an ATM-activating mode to an ATR-activating mode. Consistent with this resection-driven ATM-to-ATR switch observed in vitro, two specific substrates of ATM and ATR, Chk2 and Chk1, are consecutively phosphorylated in cells after IR. Furthermore,

enhancing DSB resection by overexpressing CtIP and Exo1 accelerates the ATM-to-ATR switch, whereas reduction of Exo1 levels delays the switch (Shiotani and Zou 2009; Tomimatsu et al. 2012). Interestingly, depletion of CtIP delays the phosphorylation of RPA but not Chk1 by ATR, suggesting that the impact of removing different resection factors on ATR activation may be different (Kousholt et al. 2012). The activation of ATR by resected DSBs enables ATR to activate its effector kinase Chk1, which is critical for intra-S and G<sub>2</sub>/M checkpoint responses. It was also suggested that when DSBs arise at stalled DNA replication forks, Chk1 phosphorylates the recombinase Rad51 and promotes repair of the break through HR (Sorensen et al. 2005).

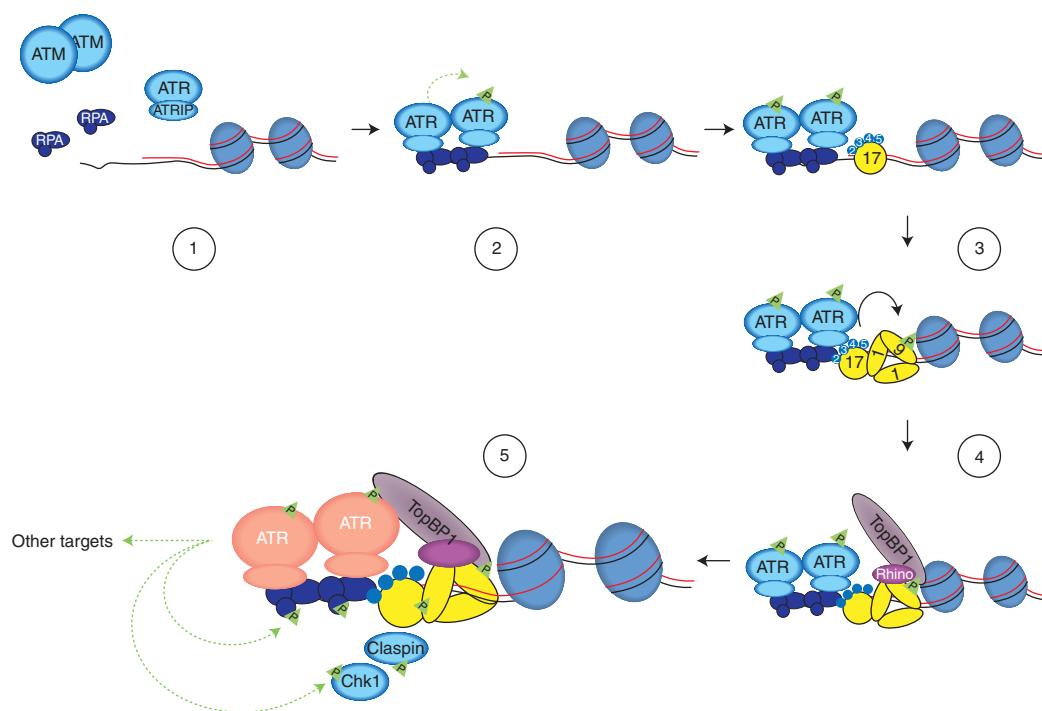
## DNA DAMAGE SENSING BEYOND DNA BREAKS

In contrast to ATM, ATR is essential for the survival of proliferating cells and its deletion leads to early embryonic lethality in mouse and cell lethality in human cells (Brown and Baltimore 2000, 2003; de Klein et al. 2000; Cortez et al. 2001). This essentiality is likely caused by the fact that ATR monitors replication fork progression and is required to protect cells from intrinsic replication stress that occurs during unperturbed S phase (Casper et al. 2002; McNeese et al. 2010). Studies in yeast and metazoans have shown that ATR maintains genome stability by phosphorylating a large number of substrates including proteins that function at replication forks and origins. These phosphorylation events may protect the genome during DNA synthesis by regulating nucleotide levels, fork progression, origin firing, and cell-cycle transitions, and activating specific DNA repair pathways to promote complete and accurate replication of the genome (Cimprich and Cortez 2008; Flynn and Zou 2011).

The key structure that recruits the ATR-ATRIP kinase complex to sites of DNA damage and stressed replication forks is RPA-coated ssDNA (Zou and Elledge 2003). RPA-ssDNA is an important structure for many DNA repair pathways. In addition to HR, RPA-ssDNA is also involved in nucleotide excision repair, mis-



## Damage Sensing by ATM and ATR



**Figure 4.** A fail-safe, multistep mechanism for ATR activation. Increased amounts of ssDNA are generated by resection of DNA ends or by uncoordinated DNA unwinding and synthesis at replication forks. Extensively resected DNA ends are no longer recognized by ATM efficiently. Once coated by RPA, ssDNA recruits the ATR-ATRIP complex (1), and promotes ATR *trans*-autophosphorylation (2). RPA-ssDNA also promotes the recruitment of the Rad17-Rfc2-5 clamp loader to junctions between ssDNA and dsDNA, and the loading of Rad9-Rad1-Hus1 (9-1-1) checkpoint clamps onto dsDNA (3). TopBP1 interacts with phosphorylated Rad9 and with Rhino, which associates with 9-1-1 (4). The TopBP1 recruited to dsDNA by 9-1-1 and Rhino engages the ATR-ATRIP complex on RPA-ssDNA through the ATR autophosphorylation site T1989. This process enables TopBP1 to stimulate ATR-ATRIP to its full capacity (pink) on ssDNA (5). TopBP1 may also function as a scaffold to facilitate ATR substrate recognition. This multistep process for ATR activation ensures that ATR is only activated when both ssDNA and ssDNA/dsDNA junctions are present at sites of DNA damage and are recognized by DNA damage sensors, providing a fail-safe but versatile mechanism to signal DNA damage. The dashed green lines represent phosphorylation events, and the solid black line represents the loading of 9-1-1 by the Rad17-RFC2-5 complex.

match repair, long-patch base excision repair, postreplication repair, interstrand cross-link repair, and replication fork restart. Furthermore, increasing amounts of RPA-ssDNA is generated at or behind replication forks when the coordination between helicase and DNA polymerases is compromised by DNA damage and other impediments (Byun et al. 2005; Lopes et al. 2006). The ability of ATR-ATRIP to recognize RPA-ssDNA gives it its unusual versatility in sensing DNA damage and replication stress (Zou and Elledge 2003; Ball et al. 2005; Namiki and Zou 2006; Shigechi et al. 2012).

#### ATR ACTIVATION THROUGH A FAIL-SAFE, MULTISTEP PROCESS

Although RPA-ssDNA plays a key role in recruiting ATR-ATRIP to sites of DNA damage in which it can recognize and phosphorylate some substrates, this structure alone is not sufficient to fully activate the ATR signaling pathway. In vivo and in vitro studies suggest that at least three regulatory mechanisms contribute to the full activation of the ATR pathway. First, ATR and some of its substrates are independently localized to damaged DNA, increasing the

A. Maréchal and L. Zou

local concentrations of these proteins at sites of DNA damage. Second, the kinase activity of ATR is stimulated by specific regulators on damaged DNA. Third, through a series of phosphorylation-mediated protein–protein interactions (e.g., the ATR–TopBP1, TopBP1–Rad9, and Rad17–Claspin interactions), ATR forms a dynamic signaling complex with its substrates. All these mechanisms are regulated by damaged DNA and are intertwined with each other. Only when these mechanisms operate in concert is ATR able to signal DNA damage or DNA replication stress at its full capacity.

In addition to RPA–ssDNA, the junction of ssDNA and dsDNA is also a key structure for ATR activation (Fig. 4). The junctions of ssDNA/dsDNA, which always accompany ssDNA, are recognized by the Rad17–RFC2–5 clamp loader. With assistance from the RPA complex, the ring-shaped Rad9–Rad1–Hus1 (9–1–1) clamp is loaded onto dsDNA by the Rad17–RFC2–5 complex (Ellison and Stillman 2003; Zou et al. 2003). In budding yeast, the yeast ortholog of the 9–1–1 clamp (Ddc1–Rad17–Mec3) on DNA can directly activate the yeast ATR (Mec1) (Majka et al. 2006). It is still unclear whether the 9–1–1 clamp directly activates ATR in vertebrates. However, it is clear that both TopBP1 in vertebrates and its ortholog Dpb11 in yeast stimulate the kinase activity of ATR–ATRIP even in the absence of DNA or other proteins (Kumagai et al. 2006; Mordes et al. 2008a,b; Navadgi-Patil and Burgers 2008). Vertebrate TopBP1 and yeast Dpb11, as well as the yeast Ddc1 subunit of 9–1–1, stimulate ATR or Mec1 through a bipartite ATR/Mec1-activation domain (Kumagai et al. 2006; Navadgi-Patil and Burgers 2009; Navadgi-Patil et al. 2011), suggesting that the basic mechanism for ATR stimulation may be evolutionarily conserved.

Although TopBP1 and Dpb11 can directly stimulate ATR and Mec1 *in vitro*, their functions *in vivo* are tightly regulated by DNA damage, suggesting that at their endogenous levels the ATR/Mec1-activation domains of TopBP1 and Dpb11 alone cannot engage ATR/Mec1 efficiently. Both TopBP1 and Dpb11 contain multiple BRCT domains, some of which can function as phosphopeptide binding modules. Fur-

thermore, both TopBP1 and Dpb11 are known to interact with 9–1–1 in both a phosphorylation-dependent (Furuya et al. 2004; Delacroix et al. 2007; Lee et al. 2007; Puddu et al. 2008) and phosphorylation-independent manner (Lee and Dunphy 2010). A recently identified human protein called RHINO facilitates the binding of TopBP1 to 9–1–1 independently of 9–1–1 phosphorylation (Cotta-Ramusino et al. 2011). In addition to its recruitment, TopBP1 may be regulated by Rad17 and 9–1–1 on damaged DNA (Lee and Dunphy 2010). Such a mechanism may ensure that TopBP1 only activates ATR when it encounters ATR at sites of DNA damage (Fig. 4).

Recent studies have shed light on how TopBP1 engages and stimulates the ATR–ATRIP complex on RPA–ssDNA. The binding of ATR–ATRIP to RPA–ssDNA not only provides a mechanism to recruit the kinase to sites of DNA damage, but also a means to promote ATR autophosphorylation *in trans*. Two studies showed that ATR is phosphorylated at Thr1989 in the FAT domain after DNA damage (Liu et al. 2011a; Nam et al. 2011), and one of the studies demonstrated that this phosphorylation is critical for ATR activation (Liu et al. 2011a). The phosphorylation of ATR at Thr1989 is dependent on RPA, ATRIP, and ATR kinase activity, but independent of Rad17 and TopBP1, suggesting that it is directly driven by the binding of ATR–ATRIP to RPA–ssDNA (Liu et al. 2011a). The phosphorylation of Thr1989 creates a docking site for the BRCT domains 7 and 8 of TopBP1. Because the Thr1989 of human ATR is not conserved in lower vertebrates, whether the regulation of TopBP1 by ATR autophosphorylation is evolutionarily conserved is still unclear. In *Xenopus* extracts, the phosphorylation of TopBP1 by ATM promotes the binding of TopBP1 to ATR (Yoo et al. 2009). Apart from these phosphorylation-mediated interactions, TopBP1 also interacts with ATR–ATRIP through its ATR-activating domain (Kumagai et al. 2006; Mordes et al. 2008a). These interactions between TopBP1 and the ATR–ATRIP complex may lead to conformational changes of the kinase that increase the activity of its kinase domain and/or its binding to substrates (Mordes and Cortez 2008).

As stated above, the recruitment of TopBP1 and the engagement of TopBP1 with ATR-ATRIP require multiple DNA damage sensors on both ssDNA and dsDNA. The recognition of RPA-ssDNA by ATR-ATRIP, as well as the loading of 9-1-1 at junctions of ssDNA and dsDNA, are both required for TopBP1 to stimulate ATR at sites of DNA damage. Even after TopBP1 is recruited to sites of DNA damage, the ability of TopBP1 to stimulate ATR may be regulated by its interaction with 9-1-1 and its phosphorylation by ATR or ATM. These mechanisms may act together to ensure that ATR is only activated in which both ssDNA and ssDNA/dsDNA junctions are present, but not by ssDNA alone, making ATR activation a unique “fail-safe” event that responds only in the presence of a specific spectrum of DNA damage. This mechanism ensures that the key events controlled by ATR activation (i.e., activation of specific repair pathways, induction of apoptosis, or senescence, do not occur spontaneously).

The full activation of ATR signaling requires not only ATR itself and DNA damage sensors, but also proteins that function as signal transducers and effectors. Many of the proteins that participate in ATR signaling, such as RPA, Rad17, TopBP1, Claspin, and Chk1, are substrates of ATR. Importantly, many of these proteins have been shown to interact with each other in an ATR- and phosphorylation-mediated manner, suggesting a critical role for ATR in the assembly of a signaling complex. Interestingly, through its multiple BRCT domains, TopBP1 may be able to simultaneously interact with ATR-ATRIP and some of its substrates. This unique ability of TopBP1 may allow it to function as a kinase-substrate scaffold that facilitates the recognition of ATR substrates (Liu et al. 2011a). The protein–protein interactions within this signaling complex may regulate either the magnitude or the timing of ATR responses. For example, an interaction between the carboxyl terminus of Rad9 and Claspin is specifically required for the early phase of Chk1 activation during the ATR response (Liu et al. 2012b).

In addition to the core players in the ATR pathways, a long list of proteins has been shown to influence ATR signaling to various extents

(Nam and Cortez 2011). For example, evidence for a possible cross talk between RNA-processing proteins and the DDR has surfaced from a number of genome-scale studies (Matsuoka et al. 2007; Paulsen et al. 2009; Hurov et al. 2010; Slabicki et al. 2010; Adamson et al. 2012). Intriguingly, the Cdc5L component of the Prp19 mRNA splicing complex was found to interact with ATR and regulate the phosphorylation of Chk1 and Rad17 (Zhang et al. 2009). Another interesting link has been made between the proapoptotic protein Bid and ATR activation. Bid interacts with both ATRIP and RPA and facilitates the accumulation of ATR-ATRIP on chromatin during replicative stress (Liu et al. 2011b). Understanding the mechanisms through which ATR signaling is regulated by these and other modulators may provide a more complete vision of the interplay between the ATR pathway and other cellular processes.

### CROSS TALK BETWEEN ATM AND ATR

Although ATM and ATR have distinct DNA-damage specificities, they are known to cross talk with each other to a certain extent. This cross talk occurs at multiple levels. First, ATM and ATR can influence the localization of each other to sites of DNA damage. As described above, at DSBs, ATM promotes the activation of ATR by enhancing DNA end resection (Jazayeri et al. 2006; Myers and Cortez 2006; Shiotani and Zou 2009). On the other hand, ATR was shown to phosphorylate H2AX in response to DNA replication stress, which may recruit ATM to the chromatin adjacent to stressed replication forks (Ward and Chen 2001). Second, ATM and ATR may directly phosphorylate each other. It was shown that ATM is phosphorylated by ATR at Ser1981 in response to DNA replication stress (Stiff et al. 2006). Third, ATM and ATR may affect the function and localization of each other's regulators. As described above, in *Xenopus* extracts, ATM phosphorylates TopBP1 and promotes its interaction with ATR (Yoo et al. 2007, 2009). ATM may also promote the recruitment of TopBP1 to sites of DNA damage through  $\gamma$ H2AX and Mdc1 (Wang et al. 2011). Additionally, a recent study suggested that the phos-

A. Maréchal and L. Zou

phorylation of Rad9 by ATM is important for the maintenance of genomic stability (Shin et al. 2012). Fourth, ATM and ATR may provide functional redundancy during the DDR. Even in the absence of ATM, slow resection of DSBs still activates ATR (Tomimatsu et al. 2009), albeit with delayed kinetics. Similarly, when ATR signaling is compromised, ATM is activated by collapsed replication forks (Chanoux et al. 2009). Finally, ATM and ATR may cross talk at the substrate level. During the DDR, many proteins are phosphorylated in an ATM-/ATR-dependent manner (Matsuoka et al. 2007). There is evidence that ATM and ATR function redundantly in the phosphorylation of some of these proteins. ATM and ATR may phosphorylate the same sites or different sites in these substrates. The two kinases may phosphorylate the substrates in response to different types of DNA damage, or in different phases of the DDR. Despite the extensive cross talk at all these levels, genetic evidence suggests that ATM and ATR clearly have non-redundant functions, and that each of the kinases can operate in the absence of the other (Brown and Baltimore 2003; Chanoux et al. 2009; Balmus et al. 2012).

### CONCLUDING REMARKS

Here, we have presented our current view of ATM and ATR activation. It is important to note that multiple additional layers of complexity have not yet been incorporated into the current models, and they have to be taken into account as we work toward a more complete understanding of the function and regulation of ATM and ATR. In the last few years, tremendous progress has been made in our understanding of the initiating events of the DDR. Although many of the key proteins involved in sensing and signaling DNA damage have been identified, new ones are still being discovered. Additionally, different types of posttranslational modifications (PTMs) have been implicated in coordinating the ordered assembly and disassembly of protein complexes during the DDR. Identification of targets of PTMs and understanding their functional significance in the DDR will be a critical step forward. A better

understanding of the regulation of the DDR may provide new opportunities to exploit the genomic instability of cancer cells in targeted therapy.

The recent discovery that ATM and ATR are activated by oncogenic stresses suggests that cancer cells may rely on the DDR pathways to survive genomic instability (Halazonetis et al. 2008). Importantly, as exemplified by the use of PARP inhibitors in the treatment of BRCA-deficient breast and ovarian cancers, inhibition of specific DDRs may confer “synthetic lethality” to the cancer cells with specific types of genomic instability (Bouwman and Jonkers 2012). Development of highly specific inhibitors of ATM, Chk1, and Chk2 has made promising progress (Smith et al. 2010). More recently, ATR-specific inhibitors have also become available and were shown to enhance the sensitivity of cancer cells to cisplatin and irradiation (Charrier et al. 2011; Reaper et al. 2011; Pires et al. 2012). In addition to its potential clinical applications, the specific ATR inhibitors may facilitate the identification of ATR substrates and allow a better understanding of the distinct functions of ATM and ATR during the DDR. Thus, now is an exciting time for research on the ATM and ATR signaling pathways, and we are on the verge of making major breakthroughs in both basic and translational research.

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A. Maréchal and L. Zou

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A. Maréchal and L. Zou

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