Ice-age endurance: DNA evidence of a white spruce refugium in Alaska

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Paleorecords offer key information for evaluating model simulations of species migration in response to forecast climatic change. However, their utility can be greatly compromised by the existence of glacial refugia that are undetectable in fossil records (cryptic refugia). Despite several decades of investigation, it remains controversial whether Beringia, the largely unglaciated area extending from northeastern Siberia to the Yukon Territory, harbored small populations of certain boreal tree species during the last glaciation. Here, we present genetic evidence for the existence of a glacial refuge in Alaska that helps to resolve this long-standing controversy. We sequenced chloroplast DNA (cpDNA) of white spruce (Picea glauca), a dominant boreal tree species, in 24 forest stands across northwestern North America. The majority of cpDNA haplotypes are unique, and haplotype diversity is relatively high in Alaska, arguing against the possibility that this species migrated into the region from areas south of the Laurentide Ice Sheet after the end of the last glaciation. Thus, white spruce apparently survived long glacial episodes under climatic extremes in a heterogeneous landscape matrix. These results suggest that estimated rates of tree migration from fossil records may be too high and that the ability of trees to track anthropogenic warming may be more limited than previously thought.

Beringia | Picea glauca | refugia | chloroplast | climate change

n his classic book published in 1937, Eric Hultén (1) postulated that the ice-free area of Beringia served as a northern refugium for arctic and boreal biota during Quaternary glaciations. Numerous paleoecological studies (2-7) have since attempted to determine whether the dominant tree species of the North American boreal biome survived the last glacial maximum (LGM) (25,000-17,000 years ago) in eastern Beringia (Alaska and adjacent Canada). Results of pollen and macrofossil analyses yielded ambiguous evidence for the spruce species, with some studies arguing for this hypothesis (2-4) and others against it (5, 6). For example, trace amounts of spruce pollen are not uncommon in the lake sediments of the last glacial period, and their spatial patterns appear to be consistent with the idea that eastern Beringia was a glacial refugium (2). However, whether they represent local trees remains "a hypothesis that invites testing" (2), and spruce macrofossils have never been found in the LGM sediments from Beringia (5). These interpretations have profoundly different implications for rates of tree migration and resilience to climatic forcing. Evidence that is independent of fossil pollen records is necessary to resolve this controversy because of the inherent limitations of palynological analyses for identifying species ranges (2, 7, 8).

Genetic analysis of modern species offers an effective approach for detecting cryptic glacial refugia (9, 10). We conducted a chloroplast DNA (cpDNA) survey of white spruce foliar samples from 24 forest stands across northwestern North America along a putative migration route (Fig. 1a; Table 1, which is published as supporting information on the PNAS web site; and ref. 6). These stands are arrayed along a broad southeast—northwest transect that allows us to use the geographic patterns of cpDNA to infer the general locations of glacial refugia during the LGM (6). Our results

provide several lines of evidence for the existence of a glacial refuge of white spruce in Alaska, supporting Hultén's hypothesis (1) and offering important information for interpreting paleorecords of tree responses to climatic change.

Results and Discussion

To identify potential cpDNA markers of glacial refugia, we sequenced the most variable noncoding portions of the white spruce chloroplast genome (11) on a subset of our samples. This initial screening revealed three regions with polymorphisms: the *trnF/L* and *trnL/T* intergenic spacers and the *ndhK/C* pseudogene (11). These three variable regions are linked, as indicated by the circular structure of the chloroplast genome. Therefore, we combined them to derive composite haplotypes for inferring glacial refugia (12).

The number of haplotypes reaches a plateau with the cpDNA sequences of 163 white spruce trees from 12 non-Alaskan populations (Fig. 1b), indicating that we have likely uncovered the existing haplotype variation in that area. The number of haplotypes approaches, but does not reach, a plateau with the same number of trees from 12 Alaskan populations (Fig. 1b), suggesting that we have captured the vast majority of haplotype diversity in that area. These inferences are confirmed by plotting the number of detected haplotypes against the number of investigated populations (Fig. 1c). The 326 sampled individuals together yielded a total of 17 haplotypes that are either one or two single point mutations (both transitions and transversions) away from haplotype I (Fig. 2 and Table 1). Haplotype I is ancestral, as indicated by its sequence similarity to black and Norway spruce (*Picea mariana* and *Picea abies*, respectively), two sister species.

No phylogeographic structure exists on the basis of haplotype-identity permutations because all others derive from haplotype I or II, both of which occur in all 24 populations (Fig. 2). This result reflects the extremely slow mutation rate of the chloroplast genome in conifers (estimated to be 5.3×10^{-10} mutations per gene per generation for sequenced regions) (14). The slow mutation rate, along with the fact that spruce cpDNA is paternally inherited through widely dispersed pollen (15, 16), could have resulted in minimal genetic differentiation and obliterated the cpDNA signature of refuge locations in modern samples. Nevertheless, we found significant patterns in the geographic distribution of the cpDNA haplotypes that unambiguously differentiate the two regions (i.e., within Alaska versus outside Alaska), unveiling several lines of evidence for a glacial refuge of white spruce in Alaska.

Assuming that a LGM spruce refuge was absent in eastern Beringia and that spruce immigrated from the southeastern United States after the end of the last glaciation, we expected the Alaskan

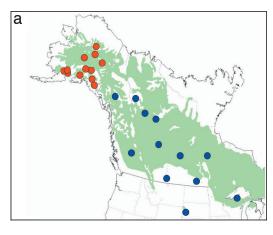
Conflict of interest statement: No conflicts declared.

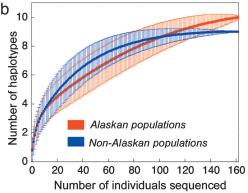
Abbreviations: cpDNA, chloroplast DNA; LGM, last glacial maximum

Data deposition: The sequences reported in this paper have been deposited in the GenBank database (accession nos. DQ112004–DQ112006, DQ112008–DQ112016, DQ112018, and DQ315942–DQ315947).

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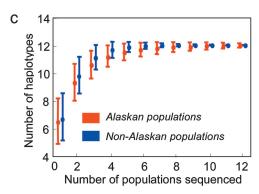


Fig. 1. Sampling locations and haplotype diversity of white spruce. (a) Locations of Alaskan (red) and non-Alaskan (blue) populations sampled for this study (all 12 non-Alaskan populations are outside the boundary of Beringia). The modern white spruce range is indicated in green. (b) Means and 95% confidence intervals of white spruce cpDNA haplotype diversity in relation to the number of sequenced individuals based on rarefaction resampling (using the statistical program EstimateS). (c) Means and 95% confidence intervals of white spruce cpDNA haplotype diversity in relation to the number of sequenced populations based on rarefaction resampling.

haplotypes to form a subset of those in the southeastern portion of our transect. Contrary to this expectation, the majority of the Alaskan haplotypes (7 of 12: Ic, Ie, If, Ig, IId, IIe, and IIf) are unique to that region (Figs. 2 and 3). This result offers strong evidence that white spruce trees, although of low densities as suggested by only trace amounts of pollen in lake sediments (2), indeed survived the LGM in that region and expanded locally during the Holocene. We also found five haplotypes that were unique to the southeastern portion of the transect (Figs. 2 and 3a), which likely derive from the southern refuge well documented in the paleorecord (6). Because the LGM northern limit of this species' range does not overlap with

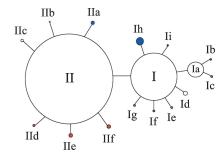
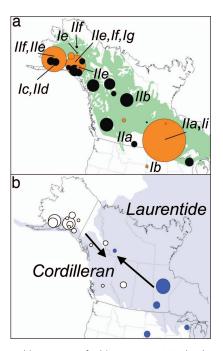


Fig. 2. Haplotype network assembled by using the method of Templeton et al. (13). Each haplotype is represented by a number-letter combination; red haplotypes occur only in Alaskan populations, blue haplotypes occur only in non-Alaskan populations, and white haplotypes occur in both regions. Circle sizes are proportional to haplotype frequencies in all 24 populations, with the largest circle representing the most abundant haplotype.

its southern limit today (6), a major loss of haplotype diversity must have occurred on the "trailing edge" (18) during the range displacement resulting from climatic warming at the end of the last glaciation. The haplotypes in the southeastern populations therefore represent only a fraction of the suite in the southern refugium of white spruce. Given the extremely slow mutation rate for the sequenced regions (19), the polymorphisms detected in our cpDNA



Geographic patterns of white spruce cpDNA haplotypes in northwestern North America. (a) Modern white spruce range (green), haplotype diversity (circles), and locations of unique haplotypes (labeled). Intrapopulation haplotype diversity was calculated by using the computer program Rarefac to account for differences in population sample sizes. Orange and black circles indicate diversity values above and below the mean (1.97) of all 24 populations, respectively. Circle sizes are proportional to deviations from the mean, with the largest orange circle representing the highest diversity, the largest black circle representing the lowest diversity, and the smallest circles having values closest to the mean. Haplotypes unique to each region are indicated by the number-letter combinations. (b) Frequency patterns of haplotypes Ia (white circles) and Ih (blue circles) suggest bidirectional mixing of white spruce cpDNA. Circle sizes are proportional to intrapopulation haplotype frequencies, with the largest circle representing the highest frequency. Light-blue shading indicates the extent of the Laurentide and Cordilleran Ice Sheets during the LGM (17).

survey almost certainly predate the last glacial period. Thus, their differential distributions within and outside Alaska likely reflect mutation, sorting, and genetic drift through repeated glacial events during the Pleistocene.

Corroborative of the unique-haplotype evidence are the frequency distributions of two other haplotypes. Haplotype Ia occurs in 9 of the 12 Alaskan populations and in only 4 northwestern populations along the remainder of our transect (Fig. 3b). This haplotype appears to have dispersed southeastward from Alaska, and the concentration gradient reflects restriction of gene flow into established populations during the Holocene (20). In contrast, haplotype Ih is absent in Alaska but is concentrated in the southeastern end of the transect, suggesting that this haplotype moved northwestward. These data suggest limited bidirectional mixing of white spruce cpDNA through pollen dispersal and/or tree migration, lending additional support for a glacial refuge in Alaska from which white spruce expanded during the present interglacial.

Statistical analyses of genetic differentiation offer support for our interpretation that a white spruce refuge existed in Alaska. A spatial analysis of molecular variance (SAMOVA) grouped two Alaskan populations (from Anchorage and Sunshine Lake; Table 1) distinctively from all other populations (10.6% variation among groups; P < 0.001). This analysis was performed several times by increasing the user-defined number of groups, which further distinguished Alaskan populations from non-Alaskan populations and substantiated the robustness of our results. Additionally, the populations from the two regions differ significantly based on $K_{\rm ST}$, which tests for genetic differentiation between specified areas $(K_{\rm ST}=0.0056;\,P<0.05;\,{\rm ref.~21}).$

The geographic pattern of intrapopulation haplotype diversity also argues against the possibility that white spruce was extirpated from Alaska during the LGM. Without an Alaskan refuge of white spruce, successive founder events during postglacial colonization along our long transect should have caused a pronounced decline of genetic diversity with increasing distance from the southern refuge (22–24). This gradient would have been accentuated by the purported extremely fast spread of white spruce through longdistance seed dispersal (6) along the narrow ice-front margin because such rapid expansion would accelerate the rate of haplotype loss along the colonization route (22-24). Our data are inconsistent with these expectations, showing no southeastnorthwest gradient of decreasing haplotype richness or diversity. In fact, the haplotype diversity is high in both the Alaskan and southeastern populations relative to those in between (Fig. 3a). The most parsimonious explanation for this pattern is the presence of white spruce refugia in both Alaska and the southeastern United States.

Our results do not negate the possibility that high diversity values or unique haplotypes exist in areas that are not covered by our sampling transect, such as eastern North America, as well as the northern Rockies and southern British Columbia, Canada, where outlier populations of white spruce occur today. Such a possibility, however, should not have direct bearing on our main conclusion. For example, if our unique Alaskan haplotypes occurred in eastern North America, it would imply that these haplotypes existed throughout the present range of white spruce at one time in the past. The occurrence of these haplotypes in Alaska and eastern North America but not in between would represent geographic sorting related to glaciations instead of genetic mutation and drift within each region. Thus, such a scenario would still argue for the presence of a white spruce refuge in Alaska during the last glaciation. It would be extremely unlikely for the unique Alaskan haplotypes to have come from those unsampled areas, because they would have had to disappear in all of the non-Alaskan populations we sampled and reappear in Alaska after postglacial spread across long distances. Nonetheless, increasing the sample coverage across the entire North American boreal biome in future studies would undoubtedly provide additional insights into the genetic legacy of Quaternary glaciations and postglacial migration patterns.

Important for our interpretations of the cpDNA data is the fact that introgression of *Picea sitchensis* (Sitka spruce) cpDNA in the investigated *Picea glauca* can be ruled out. We sequenced a sample of Sitka spruce for the two introns used in this study (tmF/L and tmL/T) and found seven species-specific polymorphisms. These polymorphisms would have allowed us to easily identify any potential introgression of the Sitka spruce chloroplast. A recent study in British Columbia also showed that the chloroplast (but not the mitochondrion) of Sitka spruce was restricted to coastal areas, whereas the chloroplast of white spruce was generally found in interior areas (25).

Thus, our cpDNA survey supports the long-standing hypothesis of Hultén (1) by offering compelling evidence that white spruce survived the LGM and probably some of the previous glacial episodes in Alaska. This survival must have been facilitated by the existence of favorable microhabitats within the vast heterogeneous landscape of eastern Beringia and by adaptations of these trees to harsh climate. However, our cpDNA data do not provide information on the specific refuge localities within eastern Beringia because of the limited sampling density of this study and the coarse spatial resolution of pollen-inherited cpDNA markers. mtDNA analysis should better serve this purpose than cpDNA analysis, because mtDNA in spruce is maternally inherited through seeds, which are dispersed more locally than pollen. But the mutation rate is even lower for mtDNA than for cpDNA in conifers (19), potentially complicating this approach. Other boreal tree species (e.g., P. mariana and Larix laricina) might have also survived in that region through glacial times. Similar genetic analyses are necessary to assess their glacial refuge locations because their fossil records are as equivocal as those of white spruce.

Our finding illustrates the great resilience of certain tree species to climatic change, and it has important implications for future changes. For example, isolated low-density populations of trees may persist in locally suitable habitats for long periods after regional climatic conditions have become unfavorable as a result of rapid anthropogenic warming. This resilience may reduce the probability of species extinction and allow time for efforts of biodiversity conservation. Such an extrapolation, however, is compromised by the fact that the future landscape matrix will differ greatly from that of glacial times. For example, pervasive range shifts of species are expected to occur as a result of climatic changes (26, 27), which will probably alter competitive interactions in boreal regions (28). Furthermore, human and natural disturbances will likely interact with climatic change to reduce resilience and trigger large ecological shifts (29).

Our results also shed light on tree migration capacity in response to climate change. A key issue of debate is how fast trees can migrate in response to anthropogenic climate change, and paleodata provide important constraints for simulation models that are designed to address this issue (22, 26, 30). By assuming that white spruce took refuge in areas south of the Laurentide Ice Sheet during the LGM, the postglacial migration rates of this species were estimated to be 1,500–2,000 meters per year from pollen records, presumably reflecting long-distance seed dispersal aided by strong surface winds around the retreating Laurentide Ice (6). Our cpDNA data argue against this assumption and suggest that the early Holocene rise of white spruce in the pollen records of eastern Beringia (2, 6) resulted from intraregional population expansion in response to climatic amelioration instead of rapid migration from the south. Thus, the postglacial migration rates of white spruce in western North America were likely lower than previously estimated. This inference is consistent with the rates of Picea spread that are observed on deglaciated terrain (31) and estimated from model simulations that consider life-history traits (32). Together with other recent evidence of cryptic northern refugia (ref. 2 and references therein; ref. 33), our cpDNA data suggest that the ability

of trees to keep pace with anthropogenic climatic warming is more limited than previously inferred from fossil pollen records.

We determined our sample density in each of the two regions on the basis of a statistical program (EstimateS) that can identify the sample size for detecting all or nearly all of the haplotypes (38). The greater total area of sampling and the lower population density per unit area outside Alaska than inside Alaska should skew the results toward a higher total diversity value outside Alaska, according to the principle underlying the species-area relationship (34). Thus, our estimated higher diversity in the Alaskan population should be conservative and robust.

The locations of our study populations were selected partially for site accessibility and sample availability. We did not choose sites to maximize the potential of identifying the specific locations of glacial refuge populations, such as ice-free areas of eastern Beringia with the earliest establishment of spruce on the basis of ¹⁴C-dated pollen records (2). This limitation, along with the fact that cpDNA is paternally inherited through pollen dispersal, means that our cpDNA data reflect the overall genetic patterns of each large region rather than of each forest stand where samples were taken. At each of the 24 forest stands along our sampling transect (Table 1), needle fascicles were collected from an average of 14 trees. Fascicles were generally obtained between ground level and 4 m above ground, and individual trees were ≥ 100 m apart within each population. The samples were stored with dry ice in the field and during shipment and then at -70° C in the laboratory before DNA extraction.

We extracted DNA from the needles of 326 individual trees by using a Plant Mini Kit (Qiagen, Valencia, CA). Sequence data as well as banding patterns from chloroplast and random amplified polymorphic DNA primers verified species identity (35, 36), ruling out the possibility that some samples originated from *P. sitchensis*, P. mariana, or Picea engelmannii (results not shown). Universal primers were used for the amplification of the trnF/L and trnL/T introns (37). Primers were designed for the ndhK/C pseudogene by using Primer v3 and sequence data from *Pinus thunbergii* (11).

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Primer sequences for the *ndh*K/C region included the following: forward, GGAGAATGATGAAGAAGTTG; reverse, ATTC-GATGGAACCACGTACC. Each PCR mixture contained 20 ng of DNA, 1× PCR buffer (Invitrogen, Carlsbad, CA), 1.6 mM MgCl2, a 0.2 µM concentration of each primer, a 0.1 mM concentration of each dNTP, 0.2 unit of TaqDNA polymerase (Invitrogen), and sterile water to a volume of 25 μ l. The samples were then subjected to the following PCR profile: step 1, 3 min at 94°C; step 2, 30 s at 94°C; step 3, 45 s at 56°C; step 4, 1 min at 72°C; step 5, a final extension of 10 min at 72°C. Steps 2–4 were repeated 29 times. Five microliters of each sample was run on a 1% agarose gel for 1.5 h at 60 V to verify amplification. The remaining PCR product was sequenced with the BigDye Terminator Cycle Sequencing Kit (Applied Biosystems, Foster City, CA) and analyzed with an ABI PRISM 377 and 3730 at the W. M. Keck Center for Comparative and Functional Genomics (University of Illinois, Urbana, IL).

We used Sequencher 4.5 (Gene Codes, Ann Arbor, MI) to edit and combine DNA sequences. The haplotype network was created by using the method of Templeton et al. (13). The program DnaSP (39) was used to estimate K_{ST} and corresponding P values, Permut (40) was used to test for phylogeographic significance, and the program SAMOVA (41) was used to identify genetically differentiated groups of proximal populations. See Table 2, which is published as supporting information on the PNAS web site, for GenBank accession numbers of the cpDNA haplotypes.

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