

An expression signature for p53 status in human breast cancer predicts mutation status, transcriptional effects, and patient survival

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Perturbations of the p53 pathway are associated with more aggressive and therapeutically refractory tumors. However, molecular assessment of p53 status, by using sequence analysis and immunohistochemistry, are incomplete assessors of p53 functional effects. We posited that the transcriptional fingerprint is a more definitive downstream indicator of p53 function. Herein, we analyzed transcript profiles of 251 p53-sequenced primary breast tumors and identified a clinically embedded 32-gene expression signature that distinguishes p53-mutant and wild-type tumors of different histologies and outperforms sequence-based assessments of p53 in predicting prognosis and therapeutic response. Moreover, the p53 signature identified a subset of aggressive tumors absent of sequence mutations in p53 yet exhibiting expression characteristics consistent with p53 deficiency because of attenuated p53 transcript levels. Our results show the primary importance of p53 functional status in predicting clinical breast cancer behavior.

microarray | expression analysis | tumor profiling | class prediction

The p53 tumor suppressor is a critical regulator of tissue homeostasis, and its inactivation at the gene or protein level confers cellular properties conducive for oncogenesis and cancer progression. Mutations in p53 occur in >50% of human cancers (1, 2), and the mutational status of p53 is prognostic in many malignancies (3). In breast cancer, p53 mutations are associated with worse overall and disease-free survival, independent of other risk factors (4), and have been implicated in resistance to anticancer therapies (5–11). These observations, however, have been inconsistent (12, 13), owing, in part, to the variable accuracy of the methods to ascertain p53 status, variation in disease severity attributable to the different forms of p53 mutation, and studies of insufficient size (8, 11, 14). Further confounding the association between p53 status and patient risk is the growing number of alternative molecular mechanisms (e.g., MDM2) that compromise p53 function.

In this study, we explored the possibility that a gene-expression signature, derived from differences between p53 mutant (mt) and wild-type (wt) breast tumors, could provide a more accurate measure of the functional configuration of p53, thereby improving its prognostic utility. Using oligonucleotide microarrays covering >30,000 genes, we analyzed the global transcript levels of 251 primary invasive breast tumors for which we have detailed information on p53 status, as determined by cDNA sequencing (6) and pursued a validation strategy of intersecting alternative array data sets. We found that, in most cases, tumors with mt and wt p53 can readily be distinguished by their expression profiles and that a 32-gene p53 signature is consistently associated with patient survival in different patient subsets, independent of other risk factors, and is a superior prognostic and predictive indicator, compared with p53 mutation status alone.

Methods

Patients and Specimens. Frozen tissue was collected from 315 consecutively presented primary breast cancers representing 65% of all those resected in Uppsala County, Sweden, from January 1, 1987 to December 31, 1989 (6). Of these tissues, 251 were comprised predominantly of diseased tissue, were sequenced for p53 (6), and yielded sufficient RNA for array analysis. Clinicopathological variables measured at diagnosis were obtained from patient records and are described in detail in *Supporting Materials and Methods*, which is published as supporting information on the PNAS web site. This microarray study was approved by the ethical committee at the Karolinska Institute, Stockholm, Sweden.

Expression Profiling. Total RNA was extracted from samples by using RNEasy Mini kit (Qiagen, Hilden, Germany) and evaluated on a 2100 Bioanalyzer (Agilent Technologies). *In vitro* transcription products were prepared from 2–5 μ g of total RNA, hybridized to the Affymetrix U133 A and B arrays and washed and scanned according to the manufacturer's instructions.

Microarray Data Processing. Raw data were normalized by using the global mean method. Probe-set signal values were natural log transformed and scaled by adjusting the mean intensity to a target signal value of log 500. Samples with suboptimal average signal intensities (i.e., scaling factors >3.5) or GAPDH 3'/5' ratios >3.5 were relabeled and rehybridized on new arrays. If visible artifacts were observed, the same cRNA was rehybridized on new chips.

Class Prediction. For gene selection, we fit a linear model to the expression data with expression level as the response and p53 status, estrogen-receptor (ER) status, and grade status as the predictor variables. As an initial filter, we excluded genes with a *P* value for model fit >0.001 and ranked genes in decreasing order of the absolute value of the p53 status coefficient. For class prediction, we evaluated several supervised learning methods, including diagonal linear discriminant analysis (15), *k* nearest neighbors (16), and support vector machines (17), as described in *Supporting Materials and Methods*.

Data Analysis. For all hierarchical cluster analyses, log expression values of each gene were mean centered, and genes and tumors were clustered by using Pearson correlation and aver-

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Abbreviations: DFS, disease-free survival; ER, estrogen receptor; mt, mutant; wt, wild type.

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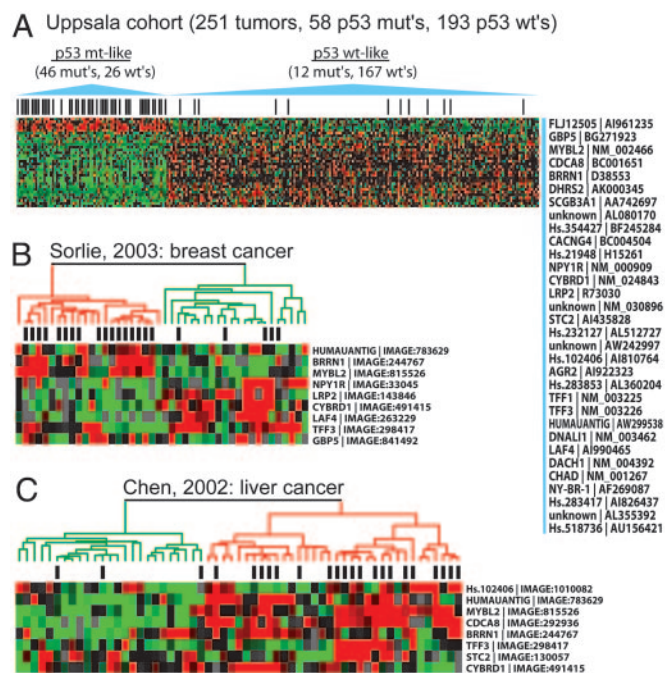


Fig. 1. The p53 signature is associated with p53 status in independent data sets. Clustergrams are oriented as outlined in Fig. 5. (A) Expression profiles of the Uppsala tumors segregated by the 32-gene signature. Unigene symbols and GenBank IDs are listed to the right. (B) P53 mt and wt breast tumors from Sørlie *et al.* (18) were clustered by using a nine-gene subset of the p53 signature. (C) P53 mt and wt liver tumors (predicted by immunohistochemistry) from Chen *et al.* (19) were clustered by using an eight-gene subset of the p53 signature. Green dendrogram branches denote tumors with the wt-like configuration; red branches indicate those with mt-like profiles. Probe IMAGE clone IDs from the original studies are listed. Black bars denote mt p53 status.

and where each tumor contained data for >50% of the genes. We observed that even this eight-gene subset was able to cluster the liver cancers into two primary clusters significantly correlated with p53 levels: 87% of the IHC-positive (predicted mts) in one cluster, and 61% of the predicted wts in the other ($P = 0.00035$) (Fig. 1C). Again, the probability of this clustering occurring by random chance was $P = 0.009$ by Monte Carlo P value estimation. Taken together, these observations suggest that the genes comprising the p53 signature are robust in their ability to classify not only breast tumors but also liver cancers

according to their p53 mutational status and, therefore, may have generalizable utility in predicting p53 status in a range of cancer types.

Transcript Analysis of p53 Pathway Genes Corroborates Tumor Classifications. We hypothesized that the p53 expression signature may better reflect the relative intactness of p53 function in the tumor than sequence mutation status alone, implying that p53 sequence-wt tumors “misclassified” as mt-like may, in fact, be p53 deficient by other means. First, we considered the possibility that p53 deficiency could result from reduced p53 transcript levels. We compared the transcript levels of p53 among the different tumor classes (Fig. 2). We observed that the overall expression level of p53 was significantly reduced in the 26 wt tumors with mt-like signatures (referred to henceforth as the “26 mt-like” tumors), compared with the remaining 167 wt tumors classified as wt-like ($P = 1.8 \times 10^{-4}$), strongly suggesting that reduced p53 transcripts can result in biological consequences *in vivo*.

We further hypothesized that known transcriptional targets of p53 should show altered transcription in p53-deficient tumors. Indeed, a number of p53 target genes demonstrated expression patterns consistent with a mutant p53 status (Fig. 2). The *TP53*-inducible genes *TP53INP1*, *SEMA3B*, *PMAIP1 (NOXA)*, *FDXR*, *CCNG1*, and *LRDD*, which all contain functional p53-binding sites in their promoters, showed significantly lower expression in the 26 mt-like tumors, compared with the other wt (all at $P < 0.05$). In a consistent manner, all but one of these genes were also significantly reduced in the p53 mt tumors, compared with all wt tumors. Furthermore, in all but two cases, these genes showed significantly higher expression in the set of 12 sequence-mt tumors classified as wt-like when compared with the other mts, suggesting that the p53 mutations in these 12 tumors may have a more benign effect, with respect to p53 functionality. *CHEK1* and *CHEK2* are both upstream effectors of p53 function known to be transcriptionally repressed by p53. Significantly, their mRNA levels were elevated in both the p53 mt and p53 mt-like classes. Again, the 12 mts classified as wt-like showed a reversed pattern, i.e., displaying significantly lower expression of these genes, compared with the other 46 p53 mutants. Together, these observations suggest that the “misclassified” tumors more correctly reflect the active/inactive status of the p53 pathway and are consistent with the notion that reduced p53 levels in breast tumors result in downstream transcriptional changes similar to those found in p53 mutations.

Of note, the canonical marker of p53 activity, CDKN1A

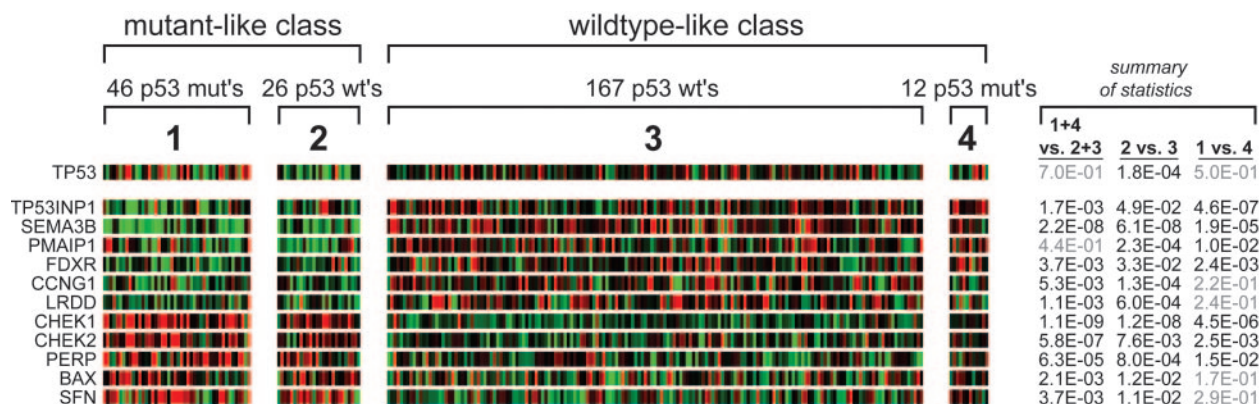


Fig. 2. Transcript levels of p53 and its transcriptional targets are consistent with classification results. Expression levels of p53-pathway-relevant genes were examined in different tumor subgroups. The four tumor subgroups are defined as follows: (i) p53 mt tumors classified as mt-like ($n = 46$), (ii) p53 wt tumors classified as mt-like ($n = 26$), (iii) p53 wt tumors classified as wt-like ($n = 167$), and (iv) p53 mt tumors classified as wt-like ($n = 12$). Differences in transcript levels were determined by *t* test and are shown in a summary table to the right; *P* values >0.05 are shown in gray.

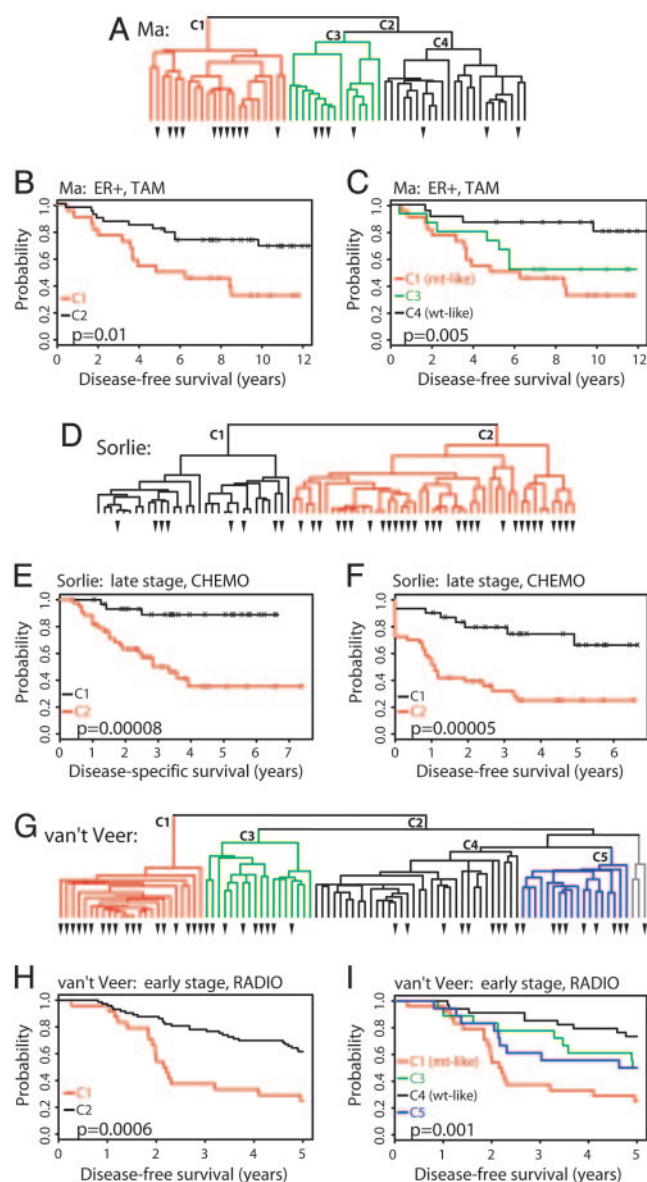


Fig. 4. The p53 signature predicts survival in independent clinically diverse data sets. (A) Tumor dendrogram from clustering 60 tumors and 22 genes (27 probes) from Ma *et al.* (20). (B and C) Patient subgroups determined by the primary tumor branches (C1–C4) were analyzed for correlations with DFS. (D) Tumor dendrogram from clustering 76 tumors and 9 genes from Sørlie *et al.* (18). Patient subgroups defined by the primary tumor branches (C1 and C2) were analyzed for correlations with disease-specific survival (DSS) (E) and DFS (F). (G) Tumor dendrogram from clustering 97 tumors and 21 genes (25 probes) from van't Veer *et al.* (21). (H and I) Primary tumor clusters (C1–C5) defined patient subgroups for DFS analysis. Red branches denote tumors with the p53 mt-like signature; black branches identify those with the wt-like signature. Black triangles indicate patients who relapsed within 5 years. See Fig. 7 for gene heat maps and probe IDs.

deficiency, as assessed by an expression readout, is predictive of outcome to hormonal therapy.

To examine the prognostic performance of the p53 signature genes in patients treated with systemic chemotherapy, we used the Sørlie *et al.* cDNA microarray data set. The majority of patients (>80%) in the Sørlie study received weekly doxorubicin or 5FU and mitomycin and were comprised mostly of late-stage patients (10, 11). Here, the nine-gene partial signature that could distinguish mt and wt tumors with 77% accuracy, was used to

hierarchically cluster 76 well sampled tumors with corresponding treatment and survival data (Fig. 4D). Again, we observed the tumors cluster into two primary branches with expression patterns characteristic of the wt-like and mt-like configurations. Survival analysis resulted in a highly significant difference in outcome between patients with mt-like and wt-like tumors [$P = 7.5 \times 10^{-5}$ (disease-specific survival) and $P = 5.0 \times 10^{-5}$ (DFS)]; Fig. 4E and F) despite the small number of genes used. Notably, Fig. 4E predicts a remarkable 5-year 90% survival rate for the 31 p53 wt-like patients, compared with a 35% probability of 5-year survival for the 44 p53 mt-like patients.

Next, we tested the performance of the signature genes on a set of 97 early stage tumors (T1/T2, N0), from patients <55 years of age at diagnosis and treated by radiotherapy alone (21). From our 32-gene signature, we were able to map 25 probes corresponding to 21 signature genes to all 97 tumors with outcome information. Unsupervised clustering revealed two primary and four secondary tumor clusters (Fig. 4G) that could significantly discern patients based on time to distant metastasis within a 5-year period [Fig. 4H and I; $P = 0.0006$ (two clusters, C1 and C2) and $P = 0.001$ (four clusters, C1, C2, C3, and C4)]. Notably, of the 24 tumors in cluster 1 (C1) that bear the molecular configuration of p53 mt-like tumors, 75% belonged to patients who developed a distant metastasis within 5 years, compared with 26% of 34 patients with tumors comprising C4 (which most closely resemble the p53 wt-like signature). These findings indicate that the p53 signature is also prognostic of recurrence in early stage, locally treated breast cancer.

The p53 Signature Genes Are Not Canonical p53 Targets. To gain some mechanistic insights, we examined the functional annotations of the signature genes for clues to explain their correlations with p53 status and patient outcome. We found that none of the signature genes are known transcriptional targets of p53, nor have they been previously implicated in the p53 pathway. Moreover, promoter analysis revealed no evidence of p53-binding sites. Of the characterized genes, a number are associated with cell growth and proliferation (*MYBL2*, *TFF1*, *BRRN1*, *CHAD*, *SCGB3A1*, *DACH*, and *CDCA8*), transcription (*LAF4*, *NY-BR-1*, *DACH*, and *MYBL2*), ion transport (*CACNG4*, *CYBRD1*, and *LRP2*), and breast cancer biology (*SCGB3A1*, *TFF1*, *STC2*, *NY-BR-1*, and *AGR2*). Interestingly, *MYBL2*, which was transcriptionally up-regulated in the p53 mt-like tumors, is a growth-promoting transcription factor structurally related to the *c-MYB* oncogene. *MYBL2* maps to a chromosomal region frequently amplified in breast cancer (20q13) and has previously been reported to be overexpressed in breast cancer cell lines and sporadic ovarian carcinomas (28, 29). *SCGB3A1* (*HIN1*), which we observed to be down-regulated in the p53 mt-like tumors, is a putative tumor-suppressor gene that can inhibit breast cancer cell growth when overexpressed and has been found to be transcriptionally silenced by promoter hypermethylation in early stages of breast tumorigenesis (30). Thus, some of the p53 signature genes may contribute mechanistically to the poor prognosis associated with the p53 mt-like tumors.

Discussion

Breast cancers are characterized by multiple genetic alterations that, together, comprise the genotype that dictates tumor behavior. It is therefore reasonable that the compilation of genetic changes is a better indicator of clinical behavior than a single gene. Herein, we show that an expression signature, deduced from differences in the molecular configurations of p53 wt and mt tumors, predicts for p53 functional inactivation in primary breast cancers and provides a more accurate and useful measure of p53 clinical functionality than p53 mutation status alone. We show that, in independent data sets of both breast and liver cancers and regardless of other clinical features, subsets of the

p53 signature can predict p53 status with significant accuracy. As a predictor of disease-specific survival, we found that the signature significantly outperformed p53 mutation status in a large patient cohort with heterogeneous treatment. Importantly, the p53 signature could significantly distinguish patients having more or less benefit from specific systemic adjuvant therapies and locoregional radiotherapy. Recently, Ma *et al.* identified by microarray analysis two genes (*HOXB13* and *IL17RB*) whose expression ratio was predictive of tamoxifen response. Notably, we found that these genes were also predictive of disease-specific survival in the 67 Uppsala patients treated with tamoxifen monotherapy ($P < 0.01$; data not shown). However, these genes were not prognostic of recurrence in the van't Veer data set, nor were the van't Veer 70 genes prognostic of recurrence in the Ma data set (20), suggesting that tumor stage and/or therapeutic context is an important determinant of the prognostic capacity of some genes. In contrast, we demonstrate that the p53 signature genes are robustly prognostic of survival and recurrence in both early and late stage disease and in different therapeutic settings.

Although the p53 pathway may be compromised at some level in most human cancers, our analysis of genes involved in the p53 pathway suggests that the p53 expression signature defines some operational configuration of this pathway in breast tumors (more so than p53 mutation status alone) that impacts patient survival and therapeutic response. Recent evidence suggests that tumor sensitivity to some anti-cancer agents may depend largely on the relative intactness of p53-dependent mechanisms of apoptosis (7, 8, 10, 11) and that taxols (microtubule stabilizers), in particular, may have greater efficacy against p53-mt breast tumors than anthracycline-based (genotoxic) compounds (9). Whether the p53 classifier genes identified here are involved in some aspect of this p53 function or will have robust clinical utility as a predictor of therapeutic response warrants further investigation.

Other studies have elucidated gene expression signatures prognostic of breast cancer outcomes (21, 31). Although a 21-gene subset of our p53 signature could significantly distinguish patients with recurrent and nonrecurrent disease in the van't Veer study (21), none of these genes were found to overlap with the 231 genes identified as prognostic discriminators in the van't Veer set; and only one of the classifier genes, *MYBL2*, was found in the Sotiriou 485 survival-correlated genes (31). Similarly, none of the p53 signature genes were found in the top 25 relapse-associated genes reported by Ma *et al.* Thus, the p53 signature genes identified here represent a previously unrecognized prognostic cassette.

In cancer, it is clear that not all p53 mutations have equal effects; some simply confer loss of function, whereas others have a dominant-negative effect (such as transdominant suppression of wt p53 or oncogenic gain of function), whereas still others show only a partial loss of function where, for example, only a fraction of p53 target genes are dysregulated (32, 33). For these reasons, no single molecular assessment of p53 status appears to provide an absolute indication of the complete p53 function. Although the p53 classification method developed here seeks to categorize all tumors as either p53-deficient or not, it is likely that intermediate types exist with partial p53 functionality, distinguished by expression patterns that fall between those of the predominant mt-like and wt-like classes. Further investigation will be required to resolve the biological and clinical implications of such intermediate tumor classes.

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