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Hydrogenation of oxalic acid using light-assisted water electrolysis for the production of an alcoholic compound†

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We demonstrate the production of glycolic acid, an industrially important alcoholic compound, via the electrochemical reduction of oxalic acid, which is procurable from biomass, and electro-oxidation of water with the help of renewable light energy for the first time. In principle, this new synthesis system is achievable while minimizing the consumption of fossil resources. We built a precious-metal free electrosynthesis system by employing a TiO₂ cathode for oxalic acid reduction and a WO₃ photoanode for water oxidation. The alcohol production proceeds during the application of electric power above 2.1 V in the dark. Notably, UV-visible light irradiation of the WO₃ photoanode enables glycolic acid electrosynthesis above 0.5 V, which is lower (by 0.6 V) than the theoretical bias, i.e., 1.1 V. Glycolic acid electrosynthesis with an 80% high Faradaic efficiency was achieved on applying a bias of 1.5 V under UV-visible irradiation ($\lambda > 300$ nm).

Currently, the chemical industry largely depends on fossil fuels, which are used not only to generate power but also as hydrogen and carbon sources; fossil fuels are also believed to be a contributing factor to global warming due to the increase of CO2 concentrations. Efficient utilization of biomass resources derived from atmospheric CO2 could be a useful strategy to curb global warming by suppressing the consumption of fossil resources as a raw material. 1-4 Recently, bio-alcohols, such as ethanol⁵ and ethylene glycol,⁶ have been commercialized and utilized as a fuel⁷ and feedstock.⁸ However, carbon resources included in biomass are not efficiently converted into alcohols, i.e. the carbon yield in the bio-alcohol production through fermentation is not beyond 50% and the residual carbon is released back into the air.9 Besides, the low production rate is due to the slow enzyme reaction.¹⁰

Catalytic hydrogenation of carboxylic acids has attracted much attention as a novel synthetic route for the production of alcoholic compounds from bio-derived materials. 11 Several groups have succeeded in the catalytic hydrogenation of organic acids, such as acetic acid, 12 stearic acid 13 and aromatic carboxylic acids, 14,15 to produce the corresponding alcoholic compounds. However, severe reaction conditions are required for the catalytic hydrogenation of organic acids, i.e. high pressure (2-6 MPa) and temperature (100-380 °C)¹⁵ with the use of H2 gas. The other drawback of the organic hydrogenation process is the utilization of highly reactive metal hydrides derived from fossil fuels as a hydrogen source, e.g., LiAlH₄, 16 (BH₃)₂ 17,18 and HSiEt₃, 19 to activate highly stable carboxyl groups due to their low electrophilicty²⁰ in organic solvents, resulting in the formation of large amounts of waste.21

Alternatively, light-energy-driven electrochemical water splitting using a photoelectrode, such as oxide, 22-27 (oxy) nitride²⁸⁻³¹ and sulfide,³² is regarded as a clean process for producing hydrogen from water using renewable solar energy and for providing an effective means of energy storage. In this study, we focus on the utilization of the hydrogen generated photocatalytically from water in chemical syntheses and photovoltaic effects to accelerate electrochemical reactions. Thus, the electrochemical reduction of carboxylic acids using water as a hydrogen source with the assistance of light energy would achieve the highly efficient hydrogenation of carboxylic acids with fossil-free hydrogen, i.e., light-energy-driven alcohol synthesis from fossil-free carboxylic acid and water, as shown in Fig. 1. This process is expected to become an excellent, envir-

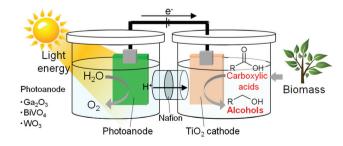


Fig. 1 Schematic illustration of light-assisted alcohol electrosynthesis from a fossil-free carboxylic acid and water.

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onmentally friendly alcohol production route, if it progresses under largely milder conditions (0.1 MPa, <100 $^{\circ}$ C) than those of hydrogenation reactions.

Previously, we have demonstrated the electrochemical reduction of oxalic acid, $(COOH)_2$, **OX**, a divalent carboxylic acid, to produce glycolic acid, $HOOC\text{-}CH_2OH$, **GC**, an alcoholic compound $(\alpha\text{-hydroxy carboxylic acid})^{33}$ through the 4-electron reduction of **OX** with oxidation of water as described in eqn (1)–(3).

Cathode

$$\begin{aligned} (\text{COOH})_2 + 4~\text{H}^+ + 4~\text{e}^- &\rightarrow \text{HOOC-CH}_2\text{OH} + \text{H}_2\text{O} \\ &\quad + 0.13~\text{V } \textit{vs. RHE} \end{aligned} \tag{1}$$

Anode

$$2H_2O \rightarrow 4 H^+ + 4 e^- + O_2 + 1.23 V \nu s. RHE$$
 (2)

Overall

$$(COOH)_2 + H_2O \rightarrow HOOC-CH_2OH + O_2$$
 1.1 V (3)

The **OX** is first reduced to glyoxylic acid (HOOC-COH, GO) through two-electron reduction, and then **GC** is produced from GO through further two-electron reduction 34,35 (see Scheme S1 in the ESI†). The **GC** formation was found to proceed with high selectivity (>98%) and Faradaic efficiency (>95%) in an electrochemical cell equipped with a titanium(IV) dioxide (TiO₂) cathode for **OX** reduction and a Pt wire anode for water oxidation, which corresponds to a direct electric power charge into an alcoholic compound.

Furthermore, in this study, a light-assisted electrochemical alcohol production system was fabricated by adapting oxide semiconductor photoelectrodes as the anode for water oxidation to supply protons and electrons for the hydrogenation of a carboxylic acid, which enables the direct conversion of light energy into low-carbon alcoholic chemicals. Here, we applied ${\rm Ga_2O_3},^{36,37}$ ${\rm BiVO_4}$ ³⁸ and ${\rm WO_3}^{24,39}$ particles, which are known as highly active photocatalysts for water oxidation, as the photoanode to oxidize water and to provide electrons and protons for the electro-reduction of **OX** on an anatase-type ${\rm TiO_2}$ cathode under UV-visible light irradiation. We successfully produced **GC** from **OX** through an electrochemical reaction in the remarkably low bias potential range, *i.e.*, ~1.5 V, compared with the potentials without light irradiation, ~2.5 V.

Commercially supplied Ga_2O_3 and WO_3 powders were used in the electrochemical experiments. $BiVO_4$ powder was prepared by using the homogeneous-precipitation method according to a previous report (see the Experimental section in the ESI^{\dagger}). 40 All the samples were characterized by X-ray powder diffraction (XRD) and UV-visible diffuse reflection measurements. The Ga_2O_3 , $BiVO_4$ and WO_3 powders showed XRD patterns attributable to monoclinic structures and photoabsorption spectra, which coincide with the structure and spectrum of materials reported as highly active photocatalysts for O_2 evolution by water splitting (Fig. S1 and $S2^{\dagger}$). $^{24,36-40}$ Anodes were prepared by applying a suspended mixture of water, acetylacetone (disperser), Toriton-X (thick-

ener) and the oxide powders on conductive glass using a squeegee method, 41 followed by calcination at 450 °C for 4 h. The TiO₂ cathode was prepared by drying a methanolic suspension of TiO₂ nanoparticles (Japan Reference Catalyst TiO₂: JRC-TIO-8, an anatase-type TiO₂) dropped onto Ti foil, followed by calcination at 450 °C for 0.5 h. Two-electrode systems were equipped using two-compartment cells, where the cathode and anode were separately mounted in each cell to evaluate an applied bias for OX reduction without re-oxidation of the reduced product at the anode as shown in Fig. 1. All electroreduction experiments were conducted by introducing a 0.16 M OX cathode solution containing 0.16 M Na₂SO₄ (pH 1.2) and 0.16 M Na₂SO₄ anode solution (pH 5.8) at 25 °C. Fig. 2 and S3† show the current-voltage curves for the electro-reduction of OX on Ga₂O₃, BiVO₄ and WO₃ photoanodes in the dark, and under UV-visible light ($\lambda > 200$ nm) irradiation. We could observe reductive currents on all three anodes by applying external bias above 2.1 V in the dark, as shown in Fig. 2, indicating that the application of 1.0 V as the total overpotential, in addition to 1.1 V of the theoretical cell voltage (eqn (3)), is a requisite for initiating the GC production through OX reduction and water oxidation without light irradiation. Under light irradiation, minimal biases applied to flow reductive current in the system were drastically decreased compared with those observed in the dark. These results clearly indicate that the external applied bias can be enhanced by photoirradiation, causing photoexcitation over photoanodes absorbing UV-visible light energy. The chemical bias in the two-electrode system employing cathode (pH = 1.2) and anode (pH = 5.8) solutions is calculated to be 0.27 V based on the following equation:

Chemical bias (V) =
$$0.059 \times |pH_{anode} - pH_{cathode}| = 0.27 \text{ V}$$

Thus, we can beneficially utilize the chemical bias to lower the applied bias required for the reactions. On the other hand, we conducted **OX** reduction experiments both under light irradiation and in the dark to investigate the effects of light irradiation on the applied bias under the same experimental conditions. In acidic aqueous solutions having pH < 2, the photoanode composed of Ga_2O_3 or $BiVO_4$ dissolves and photocatalytic oxidation of co-existing electrolyte anions, $SO_4^{\ 2^-}$, proceeds on the WO_3 . Dissolution of the WO_3 also occurs in strong alkaline solutions. Thus, in this study, we utilize an aqueous solution of Na_2SO_4 without pH control, which exhibits neutral pH conditions, for the efficient water oxidation reaction.

The onset potential for the reductive current flow under UV-visible irradiation light depended on the photoanodes; the order of onset bias was as follows: $WO_3 < BiVO_4 < Ga_2O_3$. This order coincides with the photovoltaic performance of photoanodes, *i.e.*, $WO_3 > BiVO_4 > Ga_2O_3$. An observed decrease of the onset biases for the electro-reduction of **OX** is therefore associated with the photovoltaic performance, which depends on the amount of optically excited electrons and holes and recombination probabilities between such excited species, and corresponds to the activity of photoanodes for water oxidation.

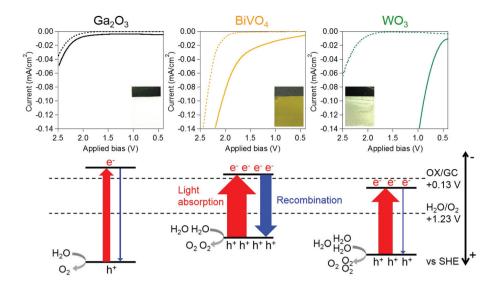


Fig. 2 (Upper) Current–voltage curves of electro-reduction of OX using a two-electrode system employing a TiO₂ (JRC-TIO-8) cathode in a solution containing OX (0.16 M) and a Na_2SO_4 solution (pH 1.2, 40 ml, 0.16 M) and Ga_2O_3 , BiVO₄ and WO₃ photoanodes in Na_2SO_4 solution (pH 5.8, 40 ml, 0.16 M) at 25 °C under UV-visible light (λ > 200 nm) irradiation (solid line) or in the dark (broken line). The photographs show the photoanodes used for the experiment. (Lower) Schematic illustrations of energy diagrams and photovoltaic performances for the photoanodes under UV-visible light irradiation.

Photoexcitation probabilities for the generation of active species strongly depend on the range of photoabsorption wavelengths of photoanodes. 42 A wider absorption range of the photoanodes achieves more efficient photoexcitation within the entire irradiation range ($\lambda > 200$ nm), generating a larger amount of excited electrons and holes. All the excited electrons and holes, however, are not available because the recombination between some parts of electrons and holes occurs before the water oxidation proceeds. 43 Lower recombination probability enables higher reaction probability of holes and water molecules, resulting in higher activity of water oxidation and a larger amount of available excited electrons, i.e., higher photovoltaic performance. 44 Therefore, the photoanode, which shows a wide photoabsorption spectrum and low recombination probability, is expected to exhibit a large bias decrease due to the high activity for water oxidation and photovoltaic performance.

The Ga₂O₃ photoanode exhibited the smallest bias decrease under light irradiation (0.15 V, as shown in Fig. 2) because the narrowest range of photoabsorption wavelengths of the Ga₂O₃ photoanode (up to 270 nm, as shown in Fig. S2†) corresponds to the smallest amount of excited electrons and holes (as illustrated in Fig. 2), thus resulting in the low activity for water oxidation and photovoltaic performance. Although the BiVO₄ photoanode showed the widest absorption spectrum (up to 550 nm) of all the photoanodes, **OX** reduction over the photoanode required the application of a relatively high bias potential, i.e., 1.7 V, compared with 0.7 V, which was observed over the WO₃ anode. This observation clearly shows that the recombination probability is more crucial for water oxidation over the BiVO₄ anode. It is known that the BiVO₄ photoanode shows a high recombination probability due to the poor mobility of excited electrons (Fig. 2). 45-48 By contrast, the WO₃

photoanode, absorbing photons at wavelengths less than 480 nm, exhibited the largest bias decrease of all the anodes, as shown in Fig. 2 and S2.† Therefore, the largest decrease of applied bias for **OX** reduction observed on the WO₃ photoanode can be attributed to the relatively wide absorption spectrum and low recombination probability for efficient water oxidation and high photovoltaic performance.²⁴

Reaction conditions of the most active WO_3 catalyst were optimized to minimize the applied bias by changing the pH values over the anode and cathode to achieve efficient alcohol electrosynthesis. For this purpose, a three-electrode system, as described in Fig. S4,† was applied to determine an applied potential on the TiO_2 cathode by comparing the cathode potential with a reference electrode potential, whereas we could measure the bias applied between a cathode and an anode in the two-electrode system. Electrochemical cells were filled with Na_2SO_4 aqueous solution (40 ml, 0.2 M) and the pH value in the cathode cell including OX (0.03 M) was controlled to be either 1.0 or 11 by adding H_2SO_4 or NaOH solution. TiO_2 is known as a sufficiently stable oxide compound in both acidic and alkaline conditions and the TiO_2 cathode stably worked during the catalytic experiments conducted in this study.

Fig. S5† shows the influence of the pH value on the activity for OX reduction and a product distribution in chronoamperometric electro-reduction with application of a constant cathode potential, *i.e.*, $-0.7 \text{ V} \nu s$. the reversible hydrogen electrode (RHE) at 50 °C for 2 h. At pH values higher than or equal to 7, we could not observe any reduction products, *i.e.*, 0% **OX** conversion. Decreasing the pH value to less than or equal to 4 led to the generation of both 2- and 4-electron reduction products, *i.e.*, GO and GC, respectively; yields of both the reduction products and the percentage of the GC yield

increased with decreasing pH value. Considering that the production of GC and GO are attained *via* the hydrogenation of **OX**, a higher proton concentration under lower pH conditions confers a favorable condition for the hydrogenation reaction.⁴⁹

The influence of the wavelength of irradiation light on the WO₃ anode was examined by applying a two-electrode system, which comprised a TiO2 cathode in a solution containing OX (0.03 M) and Na₂SO₄ solution (pH 1.0, 40 ml, 0.2 M) and a WO₃ photoanode in a Na₂SO₄ solution (pH 5.6, 40 ml, 0.2 M) at 50 °C. Fig. 3 shows the current-voltage curves both in the dark and under the irradiation of light of various wavelengths, such as UV-visible light with $\lambda > 200$ and 300 nm and visible light with $\lambda > 400$ nm. We observed onsets of approximately 0.5 V under the irradiation of light at all the tested wavelengths, which are 0.6 V smaller than the theoretical bias required for GC production via OX reduction and water oxidation, i.e., 1.1 V, whereas the onset potentials were observed at approximately 2.1 V in the dark, suggesting that the remarkable bias decrease of 1.6 V was attained by light irradiation. Irradiation of light at $\lambda > 200$ nm and 300 nm covers wide energy regions of the absorption spectrum of WO₃. Therefore, the rate determining-step for OX reduction under UV-visible light irradiation is probably not the water oxidation over the WO₃ anode, where holes with sufficient positive potentials⁵⁰ are generated, but the electro-reduction of OX on the cathode. Thus, we conclude that the irradiation of UV-visible light with $\lambda > 300$ nm efficiently works in the system. Furthermore, almost the same onset potential of reductive current was observed under visible light irradiation, indicating that the WO₃ photoanode could efficiently work for the bias decrease under visible light irradiation. These results indicate that the system has applicability under the irradiation of both solar light and only visible light.

Chronoamperometric electro-reduction of **OX** was conducted while applying an external bias of 1.0 or 1.5 V with a

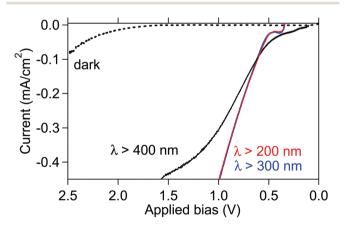


Fig. 3 Current–voltage curves of electro-reduction of OX using a two-electrode system comprising a TiO_2 (JRC-TIO-7) cathode in solution containing OX (0.03 M) and Na_2SO_4 solution (pH 1.0, 40 ml, 0.2 M) and a WO_3 photoanode in Na_2SO_4 solution (pH 5.6, 40 ml, 0.2 M) under light irradiation, with wavelength >200 nm (red), >300 nm (blue) or >400 nm (black), and in the dark (broken line).

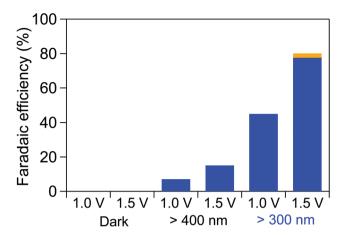


Fig. 4 Faradaic efficiencies calculated based on the amount of GO (orange) and GC (blue) formed by applying an external bias of 1.0 or 1.5 V in a two-electrode system comprising a TiO₂ (JRC-TIO-7) cathode in OX solution (pH 1, 40 ml, 0.03 M of OX, 0.2 M Na₂SO₄) and a WO₃ photoanode in Na₂SO₄ solution (pH 5.6, 40 ml, 0.2 M) at 50 °C for 2 h under irradiation of UV visible light with λ > 300 nm, visible light with λ > 400 nm or in the dark.

two-electrode system employing the TiO2 cathode in OX solution (pH 1.0, 40 ml, 0.03 M OX, 0.2 M Na₂SO₄) and the WO₃ photoanode in Na₂SO₄ solution (pH 5.6, 40 ml, 0.2 M) at 50 °C for 2 h under irradiation of UV-visible light with $\lambda > 300$ nm, visible light with $\lambda > 400$ nm or in the dark. Fig. 4 shows the Faradaic efficiencies calculated based on the amount of GO and GC produced under various conditions. No products were detected in the dark even for an external bias below 1.5 V, which is in accordance with the result that no reductive current was observed in the current-voltage curve below 1.5 V, as shown in Fig. 3, and indicates that the system does not work below 1.5 V without irradiation. By contrast, GO and GC production was achieved under irradiations of both UV-visible and visible light, indicating that OX can be reduced with the assistance of light energy absorbed by the WO3 photoanode through an electrochemical reaction system. Higher Faradaic efficiencies for GO and GC production were achieved through the irradiation of UV-visible light and the application of a bias larger than 1.5 V, compared with efficiencies under the irradiation of visible light at 1.0 V. Note that GO and GC were produced with an 80% Faradaic efficiency in total on applying a bias of 1.5 V under the irradiation of UV-visible light. The anode potential on applying a bias of 1.5 V under the irradiation of UV-visible light was observed to be 1.05 V vs. RHE, which is 0.18 V more negative than the theoretical potential of water oxidation, 1.23 V vs. RHE, indicating that the hole generated by photoabsorption could oxidize water molecules.^{51,52} Furthermore, a constant reductive-current flow at approximately 0.5 mA cm⁻¹ was observed on applying a bias of 1.5 V under the irradiation of UV-visible light for 2 h, except for the initial period, as shown in Fig. S7,† indicating that the system can stably work and reductive products are continuously obtained under the examined conditions. Gaseous products generated in both cathode and anode cells were also

analyzed to clarify the entire Faradaic efficiency of the system. Fig. S8† shows the Faradaic efficiencies determined based on the amount of GO, GC and H2 that formed in the cathode cell in OX reduction and O2 that formed in water oxidation in the anode cell on applying a bias of -1.5 V under the irradiation of UV-visible light. Faradaic efficiencies for products in each cell reached 100%, indicating that the reaction substrates were only OX and water and all the electrons formed in water oxidation were consumed for the electro-reduction of OX or water to produce GO, GC and H2 through the circuit. H2 generation occurred with a low evolution rate (7.9 μ mol h⁻¹), as a side reaction of hydrogenation of OX on applying a bias of 1.5 V under irradiation of UV-vis light. In our electrochemical hydrogenation system, protons and electrons are provided from electrolyte and electrode separately and react with OX as shown in Scheme S1 (ESI†), resulting in GC production without H₂ gas evolution. Therefore, the suppression of hydrogen evolution reaction (HER) over a TiO2 electrode is critically important to achieve a high Faradaic efficiency for OX reduction, which has been discussed in our previous report.³³ The TiO₂ electrode used in this work also exhibited high activities for OX reduction but low HER activities under the acidic conditions, as reported in Nature Materials.⁵³ Based on these results, we succeeded in the first production of an alcoholic compound, GC, from a carboxylic acid, OX, via water oxidation using light energy through an electrochemical reaction system.

To improve the product selectivity, we provide an illustration of the relationship between the applied potentials on the TiO₂ cathode and the Faradaic efficiencies for the electroreduction of **OX** to GO and **GC** as shown in Fig. 5. The Faradaic

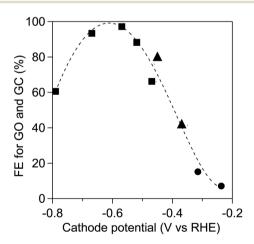


Fig. 5 Faradaic efficiencies (FEs) of GO and GC production *versus* the TiO $_2$ (JRC-TIO-7) cathode potential at 50 °C for 2 h in both the three-electrode system in the dark (square) comprising a TiO $_2$ cathode and the Ag/AgCl reference electrode in a solution containing **OX** (0.03 M) and Na $_2$ SO $_4$ solution (pH 1.0, 40 ml, 0.2 M) and a Pt anode in a Na $_2$ SO $_4$ solution (pH 1.0, 40 ml, 0.2 M) and in the two-electrode system comprising a TiO $_2$ (JRC-TIO-7) cathode in a solution containing **OX** (0.03 M) and Na $_2$ SO $_4$ solution (pH 1.0, 40 ml, 0.2 M) and a WO $_3$ photoanode in a Na $_2$ SO $_4$ solution (pH 1.0, 40 ml, 0.2 M) under irradiation of UV-visible light (triangle) and visible light (circle).

efficiencies for GO and GC production in the dark were determined using the three-electrode system, which comprised a TiO2 cathode and Ag/AgCl reference electrode in solution containing OX (0.03 M) and Na₂SO₄ solution (pH 1.0, 40 ml, 0.2 M) and a Pt anode in Na₂SO₄ solution (pH 1.0, 40 ml, 0.2 M). Fig. 5 also shows the Faradaic efficiencies obtained using the two-electrode system under the irradiation of UV-visible light with $\lambda > 300$ nm and visible light with $\lambda > 400$ nm, which are displayed in Fig. 4. The Faradaic efficiencies depended on the TiO₂ cathode potential and exhibited a volcano-like tendency regardless of the type of electrode system, indicating that the TiO₂ cathode potential was the determining factor in the OX reduction system. The highest Faradaic efficiency, i.e., 99%, was achieved at approximately -0.6 V vs. RHE in the three-electrode system. Fig. S9† shows cyclic voltammogram curves measured using a three-electrode system employing a TiO2 cathode, Pt anode and Ag/AgCl reference electrode in the dark recorded in electrolyte solutions with and without the OX substrate, respectively. The OX reduction current steeply increased below -0.45 V vs. RHE, which implicates the larger current density of OX reduction at -0.6 V vs. RHE of the cathode potential compared to that at -0.45 V vs. RHE in the two-electrode system. On the basis of the results, higher Faradaic efficiencies are also expected to be realized by the negative shift of the cathode potential in the two-electrode system employing the WO₃ photoanode.

The performances of **OX** reduction in the light-assisted system were compared with those of our previous work and other catalytic hydrogenation research studies. The total yields and reaction rates for GO and GC productions in this and previous studies and results in ref. 12–15 are summarized in Table S1.† The total yields obtained using the two-electrode system employing a standard TiO₂ catalyst in this work are relatively lower than those in the references because of the smaller active sites on the surface of the standard catalyst. On the other hand, the reaction rate using the three-electrode system employing the highly active porous TiO₂ catalyst in our previous work is comparable to those in the references, indicating that the utilization of the highly active TiO₂ catalyst having the sufficiently large reactive surface will probably achieve more efficient **OX** reductions.

In conclusion, we demonstrated GC production through **OX** electro-reduction and water oxidation using a light-assisted electrochemical reaction system that applies a TiO_2 cathode and semiconductor oxide photoanodes. The reaction proceeds by applying a bias potential of more than 2.1 V without light irradiation. Irradiation of UV-visible light on the WO₃ photoanode enables a drastic decrease of minimal bias, *i.e.*, 0.5 V, which is 0.6 V smaller than the theoretical bias potential, *i.e.*, 1.1 V, required for GC production *via* **OX** reduction and water oxidation. GC electrosynthesis with an 80% Faradaic efficiency was achieved on applying a bias of 1.5 V under UV-visible irradiation ($\lambda > 300$ nm). These results are the first demonstration of a green synthetic process for the production of an alcoholic compound from an organic acid procurable from biomass *via* electro-oxidation of water with the assistance of light energy.

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Acknowledgements

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