

## Immune checkpoint blockade in infectious diseases

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**Abstract** | The upregulation of immune checkpoint molecules, such as programmed cell death protein 1 (PD1) and cytotoxic T lymphocyte antigen 4 (CTLA4), on immune cells occurs during acute infections, such as malaria, as well as during chronic persistent viral infections, including HIV and hepatitis B virus. These pathways are important for preventing immune-driven pathology but can also limit immune-mediated clearance of the infection. The recent success of immune checkpoint blockade in cancer therapy suggests that targeting these pathways would also be effective for preventing and treating a range of infectious diseases. Here, we review our current understanding of immune checkpoint pathways in the pathogenesis of infectious diseases and discuss the potential for therapeutically targeting these pathways in this setting.

Immune checkpoint molecules are inhibitory receptors expressed on immune cells that trigger immuno-suppressive signalling pathways. These molecules are crucial for maintaining self-tolerance and for modulating the length and magnitude of effector immune responses in peripheral tissues to minimize collateral tissue damage<sup>1,2</sup>. Signalling through these molecules can drive effector immune cells (especially T cells) into a state known as 'exhaustion'. T cell exhaustion is defined by reduced effector function, sustained expression of immune checkpoint molecules (such as programmed cell death protein 1 (PD1)), poor recall responses and a transcriptional state distinct from that of functional effector or memory T cells<sup>3</sup>. There are numerous types of activating and inhibitory interactions that occur between antigen-presenting cells (APCs) and T cells, and these interactions regulate the nature of immune responses (FIG. 1). It is now clear that many pathogens and cancers promote inhibitory interactions between immune cells through immune checkpoint proteins to escape immune control.

Investigation of these immunosuppressive interactions has led to the clinical development and licensing of new cancer treatments, which increase immune responses by using specific antibodies to block immune checkpoint molecules (BOX 1). Antibodies targeting PD1 (pembrolizumab, nivolumab), cytotoxic T lymphocyte antigen 4 (CTLA4) (ipilimumab) and programmed cell death 1 ligand 1 (PDL1, also known as B7-H1) (atezolizumab, avelumab and durvalumab) are currently licensed as monotherapies for various types of cancer (BOX 2). In addition, combined therapeutic targeting of PD1 and CTLA4 was shown to be more effective than either therapy alone

for treatment of melanoma<sup>4</sup>, although such combination therapy also leads to increased toxicity in patients. Therapies targeting several other immune checkpoint pathways have also shown promise for controlling various types of cancer (TABLE 1; reviewed in REF. 2). It is also possible to increase immunity by directly targeting co-stimulatory molecules on T cells with agonistic antibodies (BOX 1), and the clinical utility of such treatments is currently being assessed in clinical trials. These antibody-mediated treatments use the individual's own immune system to eliminate or slow the growth of cancer cells and have shown remarkable success in malignancies such as melanoma.

A major challenge in immunotherapy is to understand why treatment responses are variable, and thus there is a search for predictive 'biomarkers' of a favourable clinical response. PDL1 expression on tumour cells can identify patients who would most benefit from PD1 or PDL1 blockade therapy<sup>5</sup>. There are also more complex 'gene signatures' in tumours that can identify patients who will show the best responses to immunotherapies<sup>6</sup>. Earlier expansion of T cell populations following anti-PD1 antibody therapy in small-cell lung cancer has been associated with improved responses<sup>7</sup>, and a composite biomarker of the T cell proliferative response together with pretreatment tumour burden can predict responses to anti-PD1 antibody in individuals with metastatic melanoma<sup>8</sup>. Given the cost and toxicity of immune checkpoint blockade, identifying biomarkers that predict a clinical response is currently a top priority.

Whether immunotherapies can also be effective for treating infectious diseases is less well explored. However, the fact that these inhibitory pathways are also

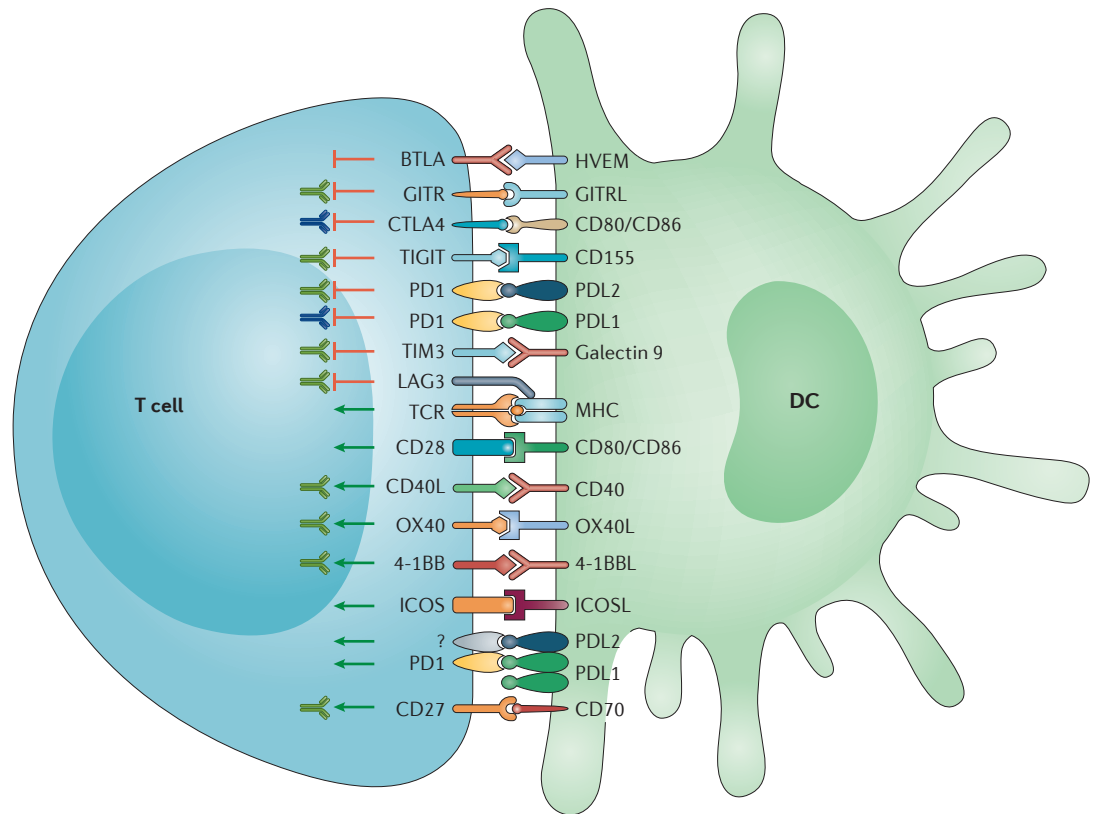
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**Figure 1 | Interactions with antigen-presenting cells that regulate T cell responses.** Antigen-presenting cells (APCs), such as dendritic cells (DCs), regulate antigen-specific T cell responses to pathogens or malignant cells. The T cell receptors (TCRs) on antigen-specific T cells first recognize their cognate antigens, which are presented on MHC molecules on APCs (signal 1). This step must be followed by a signal to CD28 on T cells from CD80 on the APCs, which is described as 'signal 2'. Several different ligands on DCs then provide signals to T cells that determine the quality and duration of the effector response. Receptor–ligand interactions that amplify effector T cell responses (indicated by green arrows) include CD40–CD40 ligand (CD40L), OX40–OX40L, 4-1BB–4-1BBL (also known as CD137L), inducible T cell co-stimulator (ICOS)–ICOSL and CD27–CD70. There are also receptor–ligand interactions that suppress effector T cell responses (red square arrows) to maintain self-tolerance and limit the duration of the immune responses to minimize bystander damage to host tissue. These include lymphocyte activation gene 3 protein (LAG3)–MHC class II, T cell immunoglobulin mucin receptor 3 (TIM3)–galectin 9, programmed cell death protein 1 (PD1)–programmed cell death 1 ligand 1 (PDL1), PD1–PDL2, T cell immunoreceptor with immunoglobulin and ITIM domains (TIGIT)–CD155, cytotoxic T lymphocyte antigen 4 (CTLA4)–CD86 or CTLA4–CD80, glucocorticoid-induced TNFR-related protein (GITR)–GITR ligand (GITRL) and B and T lymphocyte attenuator (BTLA)–herpes virus entry mediator (HVEM). The '?' refers to an unknown receptor that 'activates' T cells. Antibody symbols represent pathways being tested in current clinical trials. The green antibodies indicate pathways undergoing clinical trials for cancer, and the dark blue antibodies indicate those already in clinical use.

exploited for immune evasion by pathogens suggests that their blockade could be used for the prevention and treatment of infectious diseases, in either the acute or chronic phases of infection. Currently, checkpoint blockade is being evaluated for reversing T cell exhaustion that follows from chronic infectious disease, but there is potential for also treating acute infections to generate long-term immunity<sup>9</sup>. The development of vaccines for a range of infectious diseases, including malaria, hepatitis B virus (HBV) and HIV could also potentially be improved through immune checkpoint blockade. Given that drug resistance in malaria<sup>10</sup> and many other infections is increasing and that control of both HIV and HBV requires lifelong treatment, new strategies for potentially curing these infections are being considered. Furthermore, parallel searches for biomarkers that will provide information on the best

therapy choice as well as indicate if there is a time frame when immunotherapy would be most efficacious are also required. In this Review, we describe in detail the impact of immune checkpoint signalling during malaria, HIV and HBV infections, as well as in tuberculosis (TB), and we discuss the potential for therapeutically targeting these pathways in these settings.

### Immune checkpoint proteins in malaria

Malaria is a mosquito-borne infectious disease of humans caused by parasitic protozoans of the genus *Plasmodium*. The majority of malaria infections are caused by *Plasmodium falciparum* and *Plasmodium vivax*, and in 2015, there were 212 million new cases of malaria worldwide, with 429,000 deaths due to *P. falciparum* alone<sup>11</sup>. These parasites have a complex

life cycle within the mammalian host, in which a liver stage of infection is followed by asexual and sexual blood stages of infection. The blood stages cause the severe symptoms and high mortality associated with malaria.

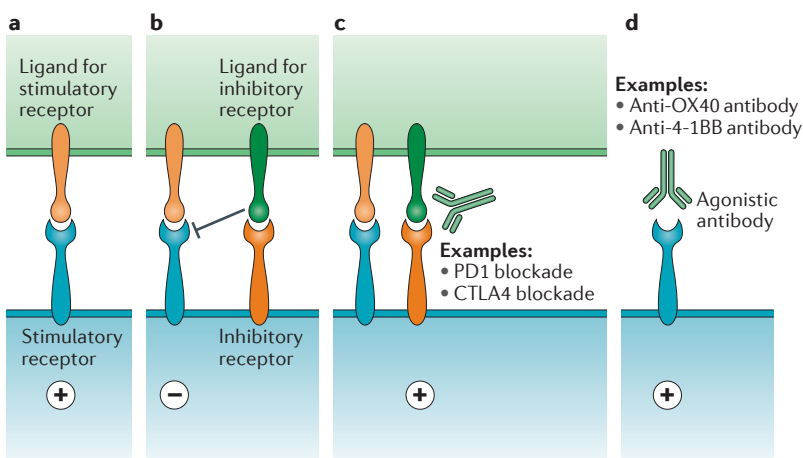
Over the past 20 years, more than 100 vaccines have been developed to control malaria, and these have been clinically evaluated. Most vaccines were specifically designed to target liver-stage or blood-stage parasites by inducing protective antibodies and CD4<sup>+</sup> T cells, although a few vaccines were designed to generate CD8<sup>+</sup> T cell responses against the liver-stage parasites. The best candidate vaccine identified to date is the RTS,S/AS01E vaccine, which will soon be administered to children in Africa; however, this vaccine had an efficacy of only 43.6% in the first year of administration, and efficacy decreased to 16.8% by the fourth year<sup>12</sup>. This result highlights considerable challenges in developing an effective malaria vaccine and suggests that new strategies that target potential mechanisms of immune evasion by parasites need consideration.

The symptoms of malaria range from asymptomatic to chronic, severe and finally lethal disease. Partial immunity is developed by those living in endemic areas only

after repeated malarial infection over several years<sup>13–15</sup>. Protection against malaria is dependent on both cell-mediated and humoral immune responses. Parasites in the liver stage are known to be cleared by cytotoxic CD8<sup>+</sup> T cells and possibly CD4<sup>+</sup> T cells<sup>16</sup>. For blood-stage malaria, antibodies have been shown to play a key role in protection, as demonstrated by the transfer of serum from protected adults into children<sup>17</sup>. Studies in experimental rodent models of malaria have shown that multiple effector responses are required to protect against blood-stage malaria. T helper 1 (T<sub>H</sub>1) cell responses are critical for controlling the bulk of blood-stage parasites and thus preventing severe disease<sup>18,19</sup>. Antibodies are required to eliminate the remaining patent parasites<sup>20</sup>. Recent studies have shown that CD8<sup>+</sup> T cells are required for sterile immunity that prevents the acute infection from progressing to chronic malaria<sup>21</sup>. Antibodies and CD8<sup>+</sup> T cells are also required for long-term protection against reinfection<sup>22</sup>. Studies have also shown that malarial infections caused apoptosis of vaccine-specific memory B cells<sup>23</sup> because of compromised dendritic cell (DC) functions<sup>24</sup>. This could explain why vaccines have not been successful in the field. Several other factors also contribute to short-lived immunity against malaria (reviewed in REF. 25), but the role of PD1 as a major factor in loss of immunity against malaria has risen to the forefront.

#### Box 1 | Immunotherapy for treating cancer

Immunotherapy is a type of treatment designed to boost the body's natural immune response to cancer. There are currently two main types of immunotherapy (see the figure). First, antibodies can block immunosuppressive interactions between antigen-presenting cells or cancer cells and effector cells (for example, T cells) to improve immune responses. For example, stimulatory signals to T cells from corresponding ligands (part a) are attenuated when T cell receptor (TCR) signalling is coincident with inhibitory receptors interacting with their ligands (part b), which decreases the magnitude of responses by T cells. Antibody blockade of the inhibitory receptor–ligand interaction or interactions reinstates T cell functions (part c). The two best examples are blockade of programmed cell death protein 1 (PD1) and cytotoxic T lymphocyte antigen 4 (CTLA4), which are both expressed on effector T cells and mediate exhaustion when in contact with their ligands, programmed cell death 1 ligand 1 (PDL1) for PD1 and CD80 (also known as B7-1) and CD86 (also known as B7-2) for CTLA4. The second type of immunotherapy uses antibodies that directly 'activate' T cells via activating receptors (part d). Two examples of this type of therapy are targeting OX40 and 4-1BB (also known as CD137) on T cells to improve their respective functions. While the development of immunotherapy has been restricted to the treatment of cancer, there is strong interest in the role of these antibodies in infectious diseases.



**Malaria and T cell exhaustion.** As vaccines have been the focus of malaria control, the study of immune checkpoint proteins in malaria infections is fairly new. Field studies in malaria-endemic Mali and Kenya found that individuals recently infected with *P. falciparum* expressed PD1 on CD4<sup>+</sup> (REFS 26,27) and CD8<sup>+</sup> T cells<sup>27</sup>, implicating this molecule in immune evasion. Similarly, an increased proportion of CD4<sup>+</sup> T cells from individuals with acute-phase infections with *P. vivax*, *P. falciparum* or both had increased expression of CTLA4, OX40 (also known as TNFRSF4), glucocorticoid-induced TNFR-related protein (GITR; also known as TNFRSF18) and CD69 (REF. 28), suggesting a role for regulatory T (T<sub>reg</sub>) cells in suppressing immunity to malaria and indicating potential targets of checkpoint control. Finally, expression of the immune checkpoint molecule T cell immunoglobulin mucin receptor 3 (TIM3; also known as HAVCR2) was significantly increased on key populations of lymphocytes in *P. falciparum*-infected patients<sup>29</sup>.

There are four mouse models of malaria that display the major symptoms and pathology of human disease and are routinely used to study malarial pathogenesis (BOX 3). A definitive role for PD1 in malarial pathogenesis was established when PD1-deficient mice were shown to rapidly and completely clear *Plasmodium chabaudi* infections, which usually cause chronic malaria in mice<sup>21</sup>. Notably, during the acute phase of *P. chabaudi* infection, PD1 was shown to mediate a 95% loss in the numbers and functional capacity of parasite-specific CD8<sup>+</sup> T cells, which are required to control chronic disease<sup>21</sup>.

Recent studies of malaria using four mouse models revealed a novel regulatory function for PDL2 (also known as B7-DC)<sup>9</sup>. It was shown that whereas

## Box 2 | Overview of immune checkpoint molecules in cancer therapy

Below, we describe three checkpoint molecules that are currently targeted for cancer therapy and one being tested in clinical trials. Therapies targeting several other immune checkpoint pathways have also shown promise for controlling various cancers, and some of these drugs have progressed to clinical trials (TABLE 1; REF. 108).

### CTLA4

Cytotoxic T lymphocyte antigen 4 (CTLA4) is a member of the immunoglobulin superfamily and is expressed by activated T cells together with the T cell co-stimulatory protein CD28. Both molecules bind to CD80 and CD86 on dendritic cells (DCs), but CTLA4 binds with greater affinity and avidity than CD28. Whereas CD28 transmits a stimulatory signal<sup>109</sup>, CTLA4 is able to outcompete CD28 for CD80 and CD86 binding to inhibit T cell functions<sup>110</sup>. Of note, CTLA4 expression on effector T cells is increased only after T cell receptor (TCR)-mediated and CD28-mediated T cell activation to permit downstream control of immunity.

### PD1

Programmed cell death protein 1 (PD1) has potent inhibitory effects on immunity. PD1 is expressed on T cells, B cells, natural killer T cells, DCs and activated monocytes<sup>111,112</sup>. PD1 has two ligands, programmed cell death 1 ligand 1 (PDL1) and PDL2. PD1 expression is upregulated on the surface of T cells within 24 hours of TCR stimulation, and the effects of PD1 ligation can be seen within a few hours<sup>113</sup>. Importantly, signalling in T cells through PD1 following engagement with PDL1 expressed on DCs and tumour cells attenuates TCR signalling and inhibits T cell population expansion, cytokine production and cytolytic function<sup>114</sup>. New studies demonstrate that the CD28–B7 co-stimulatory pathway is essential for effective PD1-targeted therapy in tumour-bearing mice and during chronic viral infection<sup>115</sup>.

### PDL1

PDL1 drives PD1-mediated immune inhibition and is constitutively expressed on T cells, B cells, macrophages and DCs<sup>116</sup>, in non-lymphoid tissues such as the heart and lungs<sup>111</sup>, in parenchymal cells<sup>117</sup>, and on the surface of tumour cells<sup>118</sup>. Expression of PDL1, but not PDL2, is also detected at low levels on cardiac endothelium, pancreatic islets and syncytiotrophoblasts in the placenta, highlighting a role for PDL1 in immunological tolerance<sup>119</sup>. PDL1 blockade has also demonstrated efficacy in lung, bladder and other cancers<sup>120–122</sup>.

### PDL2

PDL2 is also an immune checkpoint inhibitor, but its function is not as well understood as that of PDL1, and thus its clinical utility is still being explored. The engagement of PD1 by PDL2 dramatically inhibits TCR-mediated proliferation and cytokine production by CD4<sup>+</sup> T cells<sup>123</sup>. Antigen-presenting cells (APCs) from PDL2-deficient mice demonstrated an increased potential to activate T cells, both *in vitro* and *in vivo*<sup>124</sup>, suggesting that PDL2 has an inhibitory role similar to PDL1. However, recent studies showed PDL2 expressed as an aggregated form on DCs could inhibit PDL1 and/or PD1 binding and increase CD3 and inducible T cell co-stimulator (ICOS) expression on T cells, possibly via a putative second receptor<sup>9</sup>. Previous studies also showed that PDL2 could improve T cell function via a PD1-independent mechanism<sup>125–127</sup>. Thus, PDL2 has a complex function, and PDL2 proteins are being investigated in clinical trials.

PDL1 expressed by DCs did indeed attenuate immune responses against malaria, PDL2 protein expressed on the same DCs improved immune responses by inhibiting PDL1–PD1 interactions<sup>9</sup>. These studies also showed that PDL2 was essential for establishing effective T<sub>H</sub>1 cell immunity for protection against lethal malaria (FIG. 2). This study also examined healthy human volunteers before and after infection with experimental *P. falciparum* malaria. The authors found that the expression of PDL2, but not PDL1, on blood DCs decreased significantly within 7 days of infection to levels that inversely correlated with the level of parasitaemia in each individual<sup>9</sup>. In other words, higher PDL2 levels correlated with lower parasitaemia, indicating that this was not just a feature of mouse malaria. Overall, this study highlighted the importance of PDL2 expression for malarial immunity.

**Immune checkpoint blockade in malaria.** A recent study showed that a multimeric form of PDL2 fused with the Fc region of immunoglobulin (PDL2–Fc) given to mice infected with lethal malaria was sufficient to attenuate the lethal infection and mediate survival following reinfections after several months, without additional PDL2–Fc<sup>9</sup> (FIG. 2). Furthermore, combined blockade of the inhibitory molecules PDL1 and lymphocyte activation gene 3 protein (LAG3; also known as CD223) with antibodies accelerated the clearance of acute non-lethal blood-stage malaria (*Plasmodium yoelii*) by improving CD4<sup>+</sup> T cell function and increasing antibody titres<sup>26</sup>. Finally, antibody-mediated triggering of OX40 signalling also improved CD4<sup>+</sup> T helper cell and humoral immunity and thus parasite clearance during non-lethal malarial infections<sup>30</sup>.

During *Plasmodium berghei* infections in mice resistant to cerebral malaria, antibody-mediated blockade of either CTLA4 or PDL1, but not PDL2, resulted in higher levels of T cell activation with improved IFN $\gamma$  production but increased the incidence of cerebral malaria in these mice<sup>31</sup>. This was most likely because CTLA4 or PDL1 blockade did not improve CD4<sup>+</sup> T cell functions sufficiently to control systemic parasite growth and sequestration in the brain before improved CD8<sup>+</sup> T cell functions could cause bystander pathology in the brain. By contrast, administering soluble multimeric PDL2–Fc fusion protein reduced the incidence of cerebral malaria by 78%<sup>9</sup>. Similarly, blocking TIM3 signalling with an antibody restored lymphocyte activity in *Plasmodium* infections, resulting in accelerated parasite clearance and reduced symptoms of cerebral disease in *P. berghei*-infected mice<sup>29</sup>. The suppressive function of T<sub>reg</sub> cells in lethal *P. yoelii*-infected mice was inhibited by GITR blockade, indicating another potential target<sup>32</sup>. B and T lymphocyte attenuator (BTLA; also known as CD272) has also been associated with cerebral malaria, and blockade of this inhibitory molecule significantly reduced the incidence of cerebral malaria compared with control mice<sup>33</sup>.

Overall, several checkpoint proteins contribute to the pathogenesis of malaria, and further investigation of their potential as therapeutic targets is warranted. These therapies may also have the potential to be used to ‘re-invigorate’ immune cells, which are suggested to be non-responsive in areas where malaria is endemic<sup>26,27</sup>, to allow vaccines to generate long-term immunity. Alternatively, checkpoint blockade could complement malarial drugs to generate long-term immunity, as seen for PDL2–Fc<sup>9</sup>.

### Immune checkpoint proteins in HIV

There are 37 million people living with HIV, and each year there are 2 million new infections and 1 million deaths<sup>34</sup>. Antiretroviral therapy (ART) has dramatically reduced HIV-related morbidity and mortality, but only 40% of people living with HIV globally are receiving ART<sup>34</sup>, and there is no vaccine or cure. Lifelong ART is required, as once treatment is stopped, the virus rapidly rebounds. Given the social and economic impact of the lifelong medical care required for people living with



Table 1 | Summary of other major immune checkpoint pathways

Checkpoint receptor	Cell type affected	Ligand	Notes	Refs
TIM3	T <sub>H</sub> 1 cells	Galectin 9 on APCs	Galectin 9 induces intracellular calcium flux, aggregation and death of T <sub>H</sub> 1 cells <i>in vitro</i> . Co-expression of TIM3 and PD1 identifies CD8 <sup>+</sup> T cells in mice with an exhaustion phenotype. Targeting TIM3 and PD1 pathways can reverse T cell exhaustion and restore antitumour immunity	140–142
LAG3	Natural, thymus-derived and induced, adaptive, peripherally derived T <sub>reg</sub> cells	MHC class II on APCs	LAG3 improves the function of T <sub>reg</sub> cells. LAG3 and PD1 are commonly co-expressed on anergic or exhausted T cells, and combined blockade can cure most mice of established tumours that were largely resistant to single antibody treatment	143,144
TIGIT	T cells and NK cells	CD155 on DCs	CD96 and TIGIT exert immunosuppressive effects by competing with CD226 for CD155. TIGIT–Fc fusion protein inhibits T cell activation by generating regulatory DCs. Blocking CD96 or TIGIT with monoclonal antibodies improves tumour control in mice, particularly when used in combination with PD1–PDL1 blockade	145–148
CD96				
BTLA	Naive T and B cells, and is further upregulated on activation	HVEM expressed by most haematopoietic, endothelial and epithelial cells	Ligation of TNFSF14 by HVEM can be co-stimulatory, whereas BTLA–HVEM binding is considered co-inhibitory. BTLA has been linked to T cell dysfunction during cancer, and dual blockade of BTLA and PD1 clearly improves antitumour immunity	149–152
TNFSF14	Innate and adaptive immune cells, including T cells			
GITR	T <sub>reg</sub> cells at high levels, resting conventional T cells at low levels but increases upon activation	GITRL on APCs	GITR plays a key role in dominant immunological self-tolerance maintained by CD4 <sup>+</sup> CD25 <sup>+</sup> T <sub>reg</sub> cells. GITRL is mainly expressed on APCs, and antibodies to GITR have been shown to promote an antitumour response through loss of T <sub>reg</sub> cell lineage stability	153–156
VISTA	Haematopoietic cells	Unknown	Preclinical studies with VISTA blockade show promising improvement in antitumour T cell responses and improved survival	157,158

APC, antigen-presenting cell; BTLA, B and T lymphocyte attenuator; DC, dendritic cell; GITR, glucocorticoid-induced TNFR-related protein; GITRL, GITR ligand; HVEM, herpes virus entry mediator; LAG3, lymphocyte activation gene 3 protein; NK cells, natural killer cells; PD1, programmed cell death protein 1; PDL1, programmed cell death 1 ligand 1; T<sub>H</sub>1, T helper 1; TIGIT, T cell immunoreceptor with immunoglobulin and ITIM domains; TIM3, T cell immunoglobulin mucin receptor 3; TNFSF14, tumour necrosis factor superfamily member 14 (also known as LIGHT); T<sub>reg</sub> cell, CD4<sup>+</sup>CD25<sup>+</sup> regulatory T cell; VISTA, V-type immunoglobulin domain-containing suppressor of T cell activation.

HIV, finding a cure has become a major global priority<sup>35</sup>. Immune checkpoint proteins have been extensively studied during HIV infection, initially in relation to natural history and T cell function, but more recently in relation to complications of HIV infection. In addition, using immune checkpoint blockade could potentially be exploited as a strategy to achieve a cure.

**T cell exhaustion and immune checkpoint proteins in HIV infection.** T cell exhaustion is a hallmark of many chronic viral infections, including HIV. In untreated HIV infection, there is upregulated expression of multiple immune checkpoint proteins, including PD1, CTLA4, TIM3 and LAG3, on both CD4<sup>+</sup> and CD8<sup>+</sup> T cells<sup>36–38</sup>. Following ART, expression of immune checkpoint proteins declines but remains elevated compared with controls not infected with HIV<sup>38</sup>. Whether ART is started early (within 6 months of infection) or late (within 2 years of infection), similar expression levels of immune checkpoint proteins persist<sup>39</sup>. In HIV infection, expression of immune checkpoint proteins varies on different T cell subsets. Increased expression of PD1 is predominantly seen on central memory T cells<sup>40</sup>, whereas both PD1 and CTLA4 are expressed by T<sub>reg</sub> cells and LAG3 is expressed by effector memory T cells<sup>41</sup> (FIG. 3). In addition, PD1 is often co-expressed with proteins that help to promote T cell activation, such as CD38 and MHC class II molecules<sup>42</sup>.

Increased levels of PD1 expression on total and HIV-specific CD8<sup>+</sup> T cells in untreated HIV infection were first reported more than 10 years ago<sup>36,43,44</sup>. PD1 is also highly expressed by cytotoxic CD8<sup>+</sup> T cells that migrate into lymphoid follicles; these follicular cytotoxic CD8<sup>+</sup> T cells express high levels of CXCR5-chemokine receptor 5 (CXCR5) and PD1 but low levels of other immune checkpoint proteins, such as TIM3 (REF. 45). There is an inverse association between the frequency of cytotoxic CD8<sup>+</sup> T cells and HIV-infected cells in lymphoid follicles, and a similar inverse relationship has been recently observed in untreated simian immunodeficiency virus (SIV) infection<sup>45–47</sup>. Finally, *ex vivo* blockade with anti-PD1 or anti-PDL1 antibodies resulted in improved HIV-specific CD8<sup>+</sup> T cell function and killing of infected target cells<sup>36,43,44</sup> (TABLE 2), as described for cancer antigens.

Multiple observational studies have demonstrated a clear association between expression of PD1 on either CD4<sup>+</sup> or CD8<sup>+</sup> T cells and clinical outcome. In the absence of ART, increased expression of PD1 was associated with an accelerated decline in the number of CD4<sup>+</sup> T cells following acute infection<sup>48</sup> and untreated chronic infection<sup>36</sup>. Following ART, PD1 expression on CD8<sup>+</sup> T cells has been associated with impaired CD4<sup>+</sup> T cell immune reconstitution<sup>49</sup>, microvascular

### Box 3 | Mouse models of malaria

Mouse models of malaria have provided useful information regarding the extent to which checkpoint proteins inhibit natural immunity. Four of the species and strains of *Plasmodium* most commonly used to infect mice show distinct biology and pathogenicity. *Plasmodium yoelii* 17XNL and *Plasmodium chabaudi* blood-stage infections are non-lethal, with the latter causing chronic disease with intermittent parasitaemia for up to 200 days. By contrast, *Plasmodium yoelii* YM and *Plasmodium berghei* ANKA infections are severe, lethal infections, with the latter being sequestered from the blood into deep tissues including the brain, leading to lethal cerebral disease.

disease<sup>50</sup>, elevated levels of oxidized high-density and low-density lipoproteins<sup>51</sup> and a shorter time to viral rebound once ART was stopped<sup>52</sup>.

HIV can establish either productive or latent infection. In latent infection, virus replication is incomplete, with the virus integrating in the host genome but not proceeding efficiently to transcription, translation or production of virus particles (reviewed in REF. 35). Following productive infection with HIV or other retroviruses such as feline immunodeficiency virus, PDL1 expression is upregulated on infected CD4<sup>+</sup> T cells, and that exacerbates CD8<sup>+</sup> T cell exhaustion and immune escape<sup>53</sup>. It remains unclear if PDL1 or PDL2 expression is increased on CD4<sup>+</sup> T cells after ART or on latently infected cells.

***In vivo immune checkpoint blockade for SIV and HIV infection.*** The administration of anti-PD1 antibody to SIV-infected rhesus macaques resulted in rapid expansion of virus-specific CD8<sup>+</sup> T cells with improved functional quality, as demonstrated by delayed time to death of the macaques and lower SIV RNA levels in plasma<sup>54</sup> (TABLE 2). Other beneficial effects of anti-PD1 antibody treatment in the setting of SIV infection have included reduced interferon signalling and improved gut permeability<sup>55</sup>. In preliminary work assessing the administration of anti-PD1 (REF. 56) or anti-PDL1 (avelumab)<sup>57</sup> antibodies to SIV-infected macaques on ART, there were no adverse effects, but in contrast to the results of studies in rhesus macaques not receiving ART, there was limited expansion of SIV-specific CD8<sup>+</sup> T cells<sup>56</sup>. It is possible that an effective T cell response to anti-PD1 antibody requires the presence of antigen and that because ART leads to a dramatic reduction in viral antigens, the functional response to immune checkpoint blockade may be limited in this setting. Further work is needed to better understand the effects of immune checkpoint blockade on T cell function following ART.

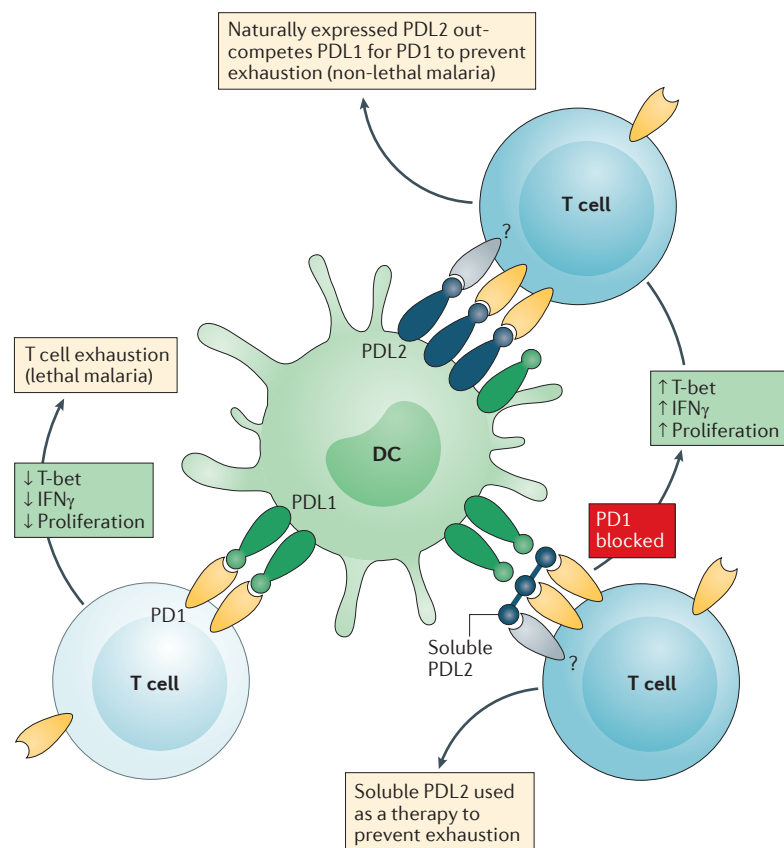
In individuals infected with HIV and not receiving ART, the upregulation of CTLA4 expression on HIV-specific CD4<sup>+</sup> T cells was also demonstrated more than a decade ago, and similarly to the upregulation of PD1 expression, this was associated with increased HIV disease progression<sup>37</sup>. When SIV-infected macaques, both on and off ART, were treated with ipilimumab (anti-CTLA4 antibody), those that were not receiving ART showed a significant increase in rates of HIV replication, presumably as a result of an increased number of activated CD4<sup>+</sup> T cells, which would be targets for SIV infection<sup>58</sup>. In another study of SIV-infected macaques

on partially suppressive ART, ipilimumab led to a modest increase in both HIV-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells and a significant reduction in cell-associated HIV RNA in lymph nodes<sup>59</sup>. These data therefore suggest that anti-CTLA4 antibody has a substantial effect on HIV that persists on ART, through a different mechanism of action to anti-PD1 antibody, leading to a reduction in HIV RNA in lymph node tissue. However, the mechanism by which this is achieved or whether there is antibody activity in individuals infected with HIV and on fully suppressive ART remains unknown.

In individuals infected with HIV, LAG3 is also highly expressed on CD4<sup>+</sup> and CD8<sup>+</sup> T cells in lymph nodes and blood, and this expression is directly related to levels of HIV RNA in plasma but inversely related to CD4<sup>+</sup> T cell counts<sup>41</sup>. Expression of T cell immunoreceptor with immunoglobulin and ITIM domains (TIGIT) is also increased on CD8<sup>+</sup> T cells in untreated and treated HIV infection compared with controls without HIV<sup>38</sup> even following early initiation of ART<sup>60</sup>. HIV-specific CD8<sup>+</sup> T cells were almost exclusively TIGIT<sup>+</sup> and co-expressed PD1, CD160 and 2B4 (also known as CD244)<sup>60</sup>. HIV-specific TIGIT<sup>hi</sup> cells were also negatively correlated with polyfunctionality and had reduced expression of the co-stimulatory receptor CD226. Blockade of TIGIT and PD1 with anti-TIGIT and anti-PDL1 antibodies *ex vivo* led to a significant improvement of the HIV-specific function of CD4<sup>+</sup> T cells from individuals infected with HIV and on ART<sup>38</sup>. Antibodies against LAG3, TIM3 and TIGIT are all in early clinical development and, given their more favourable safety profiles, may be more suitable agents to assess in individuals infected with HIV<sup>61</sup>.

***Immune checkpoint proteins and HIV persistence.*** In contrast to malignant cells, which traditionally express the ligands for immune checkpoint proteins such as PDL1 (REF. 62), in individuals infected with HIV and on ART, immune checkpoint proteins themselves identify cells preferentially infected with HIV that persist on ART<sup>63,64</sup> (FIG. 4). This observation is of great importance in efforts to eliminate residual virus that persists despite ART, as these infected cells are a major barrier to a cure. Although HIV can persist in multiple forms in individuals infected with HIV who are on ART, latently infected cells are the most important. Latency can be established in long-lived and proliferating central and transitional memory T cells as well as other T cell subsets, including T follicular helper (T<sub>FH</sub>) cells and stem cell memory T cells (reviewed in REF. 35).

Many studies have shown a significant correlation between the frequency of PD1<sup>+</sup>CD4<sup>+</sup> T cells and PD1<sup>+</sup>CD8<sup>+</sup> T cells with different markers of HIV persistence on ART in the blood<sup>63,65,66</sup>, lymph nodes<sup>67</sup> and gastrointestinal tract, which has almost three times the frequency of PD1<sup>+</sup>CD4<sup>+</sup> T cells compared with the lymph nodes or blood<sup>68</sup>. However, the most direct evidence of a clear relationship between HIV persistence and PD1 expression comes from sorting CD4<sup>+</sup> T cells from blood, where a 10-fold enrichment of HIV in PD1<sup>hi</sup>CD4<sup>+</sup> T cells compared with PD1<sup>low</sup>CD4<sup>+</sup> T cells was observed<sup>63</sup>. Similar findings were also reported from



**Figure 2 | PDL2 protects against lethal malaria and has translational potential.**

The expression of programmed cell death 1 ligand 2 (PDL2) on dendritic cells (DCs) determines effector T cell function following a programmed cell death protein 1 (PD1)–PDL1 interaction. During non-lethal malaria (top right), DCs express PDL2, which inhibits the immunosuppressive PD1–PDL1 interaction while interacting with an unknown receptor (denoted by '?') to improve T cell functions. This leads to protective immunity characterized by increased T-bet expression, increased IFN $\gamma$  secretion and greater T cell proliferation in response to the parasite. By contrast, during lethal malaria (left), PDL2 expression is low or absent, and this allows the immunosuppressive PD1–PDL1 interaction to generate exhausted T cells, which do not express T-bet, do not secrete IFN $\gamma$  and cannot proliferate in response to the parasite. Soluble PDL2 administered to mice infected with lethal malaria (lower right) can prevent T cell exhaustion.

lymph node tissue collected from individuals infected with HIV and on ART, where HIV was highly enriched in cells expressing PD1 and CXCR5, which together identify T<sub>HH</sub> cells<sup>67</sup> (FIG. 3). HIV enrichment in PD1<sup>hi</sup> cells may be due to the inhibitory effects of PD1 on T cell activation, which would limit HIV transcription, RNA export and RNA translation and therefore favour latent infection over productive infection (FIG. 4).

Immune checkpoint proteins other than PD1 may also identify infected cells in individuals on ART. We recently demonstrated that HIV was significantly enriched in sorted cells obtained from individuals infected with HIV on ART that expressed PD1, TIGIT and LAG3 compared with cells that expressed none of these immune checkpoint proteins<sup>64</sup> (FIG. 3). The relationship between CTLA4 and virus persistence on ART has been less well studied. In untreated individuals, HIV replicates preferentially in activated CD4<sup>+</sup> T cells, which express high levels of CTLA4, and therefore virus is

enriched in CTLA4<sup>+</sup>CD4<sup>+</sup> T cells<sup>69</sup>. Rapid internalization of CTLA4, mediated by the viral protein Nef, may potentially play a role in favouring HIV persistence in these cells<sup>70</sup>. Whether latently infected CTLA4<sup>+</sup>CD4<sup>+</sup> T cells in the blood or tissues persist on ART is currently unclear.

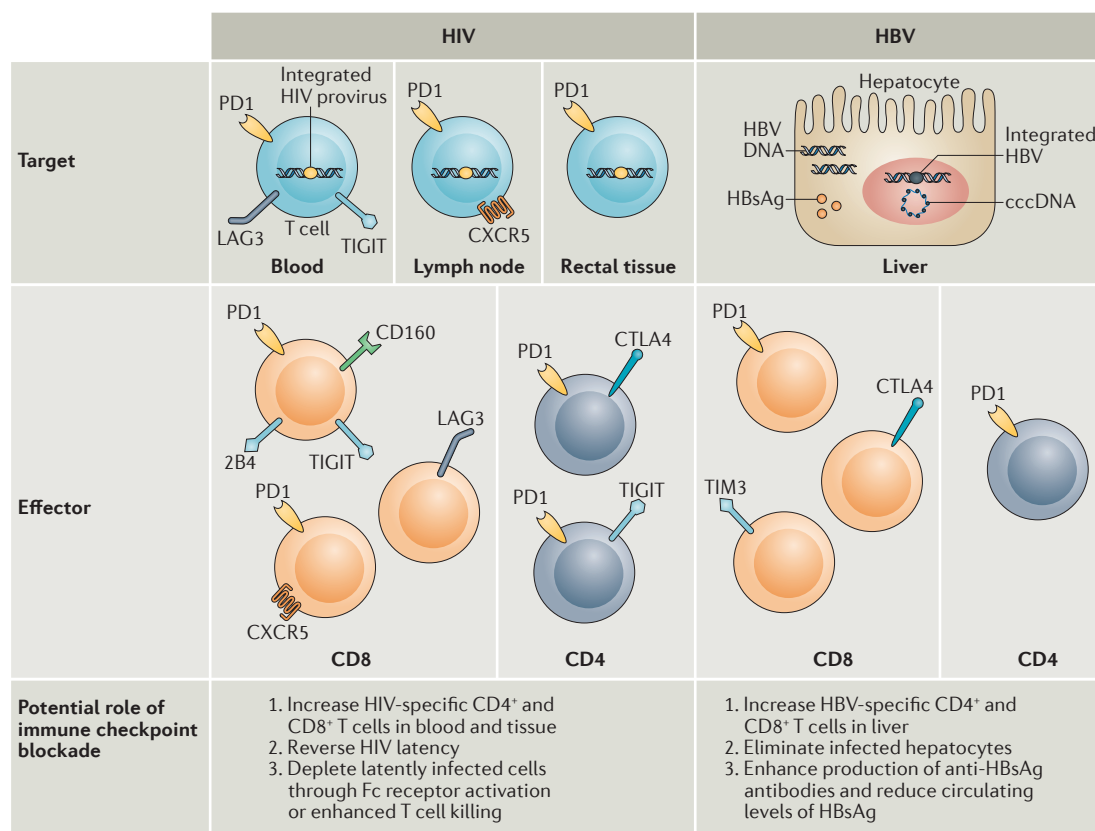
These exciting observations are now being exploited by using immune checkpoint blockers to potentially reverse latency, allowing for expression of HIV proteins on the surface of the cell, which would lead to immune clearance of the virus or virus-induced cytolysis (FIG. 4). Latency reversal would be attempted in individuals on ART, so that any new virus produced could not go on to infect other cells. Through the use of CD4<sup>+</sup> T cells from individuals with HIV infection on ART, the *ex vivo* administration of anti-PD1 antibody together with the latency-reversing agent bryostatin led to a significant increase in HIV RNA released into the supernatant (N. Chomont, personal communication). In addition, in an individual infected with HIV on ART with metastatic melanoma, we observed a significant increase in cell-associated HIV RNA following treatment with anti-CTLA4 (ipilimumab)<sup>71</sup> and anti-PD1 (nivolumab) antibodies<sup>72</sup>. These results need to be confirmed in other HIV-infected individuals on ART who are now receiving checkpoint blockade for the management of cancer. The effects of other immune checkpoint blockers on latency establishment or reversal are unknown and warrant further exploration using antibodies, either alone or in combination.

#### **Clinical trials of immune checkpoint blockade as a strategy for curing HIV.**

A phase II dose-escalation study of anti-PDL1 antibody therapy (by Bristol-Myers Squibb) was recently ceased after administration of the lowest dose to six individuals with HIV infection on ART<sup>73</sup>. The study was stopped due to retinal toxicity observed in a simultaneous macaque study. Interestingly, although there were no changes in levels of HIV RNA or DNA, there was a clear increase in Gag-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells in two of the six participants. One of the six participants developed hypophysitis many months after receiving anti-PDL1 antibody therapy. This study remains the only trial of an immune checkpoint blocker in individuals with HIV infection without malignancy, and further trials are unlikely to proceed until more safety data for these compounds are available.

To date, few individuals with HIV infection have received even the currently licensed immune checkpoint blockers, as individuals with HIV infection were excluded from the initial clinical trials of these agents. However, now that these drugs are licensed, many individuals with HIV infection are receiving these antibodies as part of clinical care. Several clinical trials in the US and France are currently evaluating the effects of anti-PD1 and anti-CTLA4 antibodies either alone or in combination on HIV-associated malignancies, as well as on markers of HIV persistence and HIV-specific immunity (reviewed in REF. 74).

In summary, immune checkpoint blockers could have multiple beneficial contributions towards achieving a cure or allowing individuals to safely stop ART (FIG. 4). First, the



**Figure 3 | Immune checkpoints in HIV and hepatitis B virus infection.** In individuals infected with HIV who are on antiretroviral therapy (ART), the virus persists in latently infected CD4<sup>+</sup> T cells as an integrated provirus; these T cells express programmed cell death protein 1 (PD1) and other immune checkpoint molecules in the blood, lymph nodes and rectal tissue. Immune checkpoint molecules are expressed on both HIV-specific and non-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells, and expression of these molecules by various T cell subsets, including central memory T cells, effector memory T cells, cytotoxic follicular T cells and regulatory T cells, is associated with T cell exhaustion and reduced T cell function. In hepatitis B virus (HBV) infection, HBV persists in individuals on treatment as extrachromosomal closed covalent circular (ccc) DNA and integrated HBV DNA, and there is ongoing production of hepatitis B surface antigen (HBsAg). Increased expression of immune checkpoint markers on CD8<sup>+</sup> T cells and increased expression of PD1 on CD4<sup>+</sup> T cells reduces T cell function. CTLA4, cytotoxic T lymphocyte antigen 4; CXCR5, CXC-chemokine receptor 5; LAG3, lymphocyte activation gene 3 protein; TIGIT, T cell immunoreceptor with immunoglobulin and ITIM domains; TIM3, T cell immunoglobulin mucin receptor 3.

administration of these drugs could potentially increase HIV-specific T cell function to eliminate HIV-infected cells. Second, they may lead to direct elimination of infected cells that express the relevant immune checkpoint marker, particularly when using a depleting antibody that activates Fc receptors, as described for ipilimumab<sup>75</sup> and modified antibodies against PD1 (REF. 76). Third, immune checkpoint blockers could potentially reverse HIV latency. Finally, immune checkpoint blockers can increase vaccine responsiveness<sup>77</sup> and therefore could potentially be combined with other therapeutic vaccines in development.

### Immune checkpoint proteins in HBV infection

HBV is a DNA virus that predominantly replicates in the hepatocytes of the liver. Following entry of HBV into a hepatocyte, there is production of intracellular covalently closed circular DNA (cccDNA), which produces multiple forms of HBV RNA and HBV proteins, including hepatitis B surface antigen (HBsAg), as well as HBV DNA, which is required to form new infectious

virions. Globally, there are 250 million people living with chronic HBV<sup>78</sup>, and the main complications include end-stage cirrhosis and/or hepatocellular carcinoma (HCC)<sup>79</sup>. HBV can be effectively treated with self-limited interferon therapy or, more commonly, with long-term antiviral treatment using nucleotide–nucleoside reverse transcriptase inhibitors (NRTIs). Similarly to ART in individuals with HIV infection, treatment with NRTIs is lifelong, and there is no cure for HBV<sup>80</sup>. However, in contrast to HIV, approximately 10–15% of individuals can safely stop NRTI treatment for HBV without viral rebound. Inducing antiviral-free remission for HBV is possible with the development of antibodies to HBsAg, commonly referred to as seroconversion<sup>80</sup>.

**T cell exhaustion and immune checkpoint proteins in HBV infection.** HBV-specific T cells are important in HBV pathogenesis, where they play a role in the initial clearance of acute infection, abnormal liver function commonly observed in acute and chronic infection,



Table 2 | Summary of preclinical or *ex vivo* studies in infectious diseases reporting benefits of targeting inhibitory proteins

Infection or disease	Cell type affected	Inhibitory proteins	Target species	Outcomes	Refs
HIV	CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells	PD1, CTLA4, TIGIT and LAG3	Humans and mice	<ul style="list-style-type: none"> <li>Increased HIV-specific CD8<sup>+</sup> T cells (two of six participants)</li> <li>Current clinical trials in malignancy underway</li> </ul>	37,38,43,64,159,160
SIV	CD8 <sup>+</sup> T cells	PD1, PDL1 and CTLA4	Macaques	PD1 blockade expands functional virus-specific CD8 <sup>+</sup> T cells and prolongs survival	38,54,59
HBV	CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells	PD1, CTLA4, 2B4 and TIM3	Humans (ex vivo), mice and woodchucks	<ul style="list-style-type: none"> <li>PD1, CTLA4 and TIM3 expressed on CD4<sup>+</sup> and CD8<sup>+</sup> T cells from patients with chronic HBV infection</li> <li>PD1, CTLA4 and TIM3 blockade improved expansion and function of HBV-specific CD8<sup>+</sup> T cells <i>in vitro</i></li> </ul>	84–86,89,97,98
HCV	CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells	PD1 and PDL1	Humans	<ul style="list-style-type: none"> <li>PD1 expression is upregulated on total and HCV-specific CD8<sup>+</sup> CTLs in the peripheral blood and livers of patients with chronic infection</li> <li>PDL1 blockade restores functional competence of HCV-specific CTLs <i>in vitro</i></li> </ul>	161–164
Influenza virus	CD8 <sup>+</sup> T cells	PD1 and TIM3	Mice	<ul style="list-style-type: none"> <li>PD1 expression on influenza virus-specific CD8<sup>+</sup> T cells is associated with a strong pathological infection</li> <li>PDL1 blockade <i>in vivo</i> reduces virus titres and increases CD8<sup>+</sup> T cell numbers, but T cell functionality is not restored</li> <li>A TIM3 fusion protein improved immune responses</li> </ul>	165,166
Tuberculosis	CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells	TIM3	Mice	TIM3 blockade restores T cell function and improves bacterial control, particularly in chronically infected susceptible mice	106
Listeria	CD8 <sup>+</sup> T cells	PDL1	Mice	PDL1 plays an important costimulatory role for antigen-specific CD8 <sup>+</sup> T cells	167
Malaria	CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells; B cells	PD1, PDL1, CTLA4, LAG3 and TIM3	Mice	<ul style="list-style-type: none"> <li>Accelerated parasite clearance</li> <li>Survival from lethal disease</li> <li>Reduced incidence of cerebral malaria</li> </ul>	9,26,29,31
Toxoplasma	CD8 <sup>+</sup> T cells	PD1	Mice	Blockade of the PD1–PDL1 interaction reinvigorates CD8 <sup>+</sup> T cell responses and prevents mortality	168
Leishmania	CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells	PD1 and PDL1	Mice	PD1 blockade <i>in vivo</i> restored T cell proliferation and function with complete resolution of chronic lesions	169–171

CTL, cytotoxic T lymphocyte; CTLA4, cytotoxic T lymphocyte antigen 4; HBV, hepatitis B virus; HCV, hepatitis C virus; LAG3, lymphocyte activation gene 3 protein; PD1, programmed cell death protein 1; PDL1, programmed cell death 1 ligand 1; SIV, simian immunodeficiency virus; TIGIT, T cell immunoreceptor with immunoglobulin and ITIM domains; TIM3, T cell immunoglobulin mucin receptor 3.

development of cirrhosis and successful HBsAg sero-conversion following antiviral treatment (reviewed in REF. 81). The role and phenotype of HBV-specific T cells are different at each of these clinical stages, as circulating and intrahepatic HBV-specific T cells are infrequent in individuals chronically infected with HBV compared with individuals who have cleared acute HBV infection<sup>82,83</sup>.

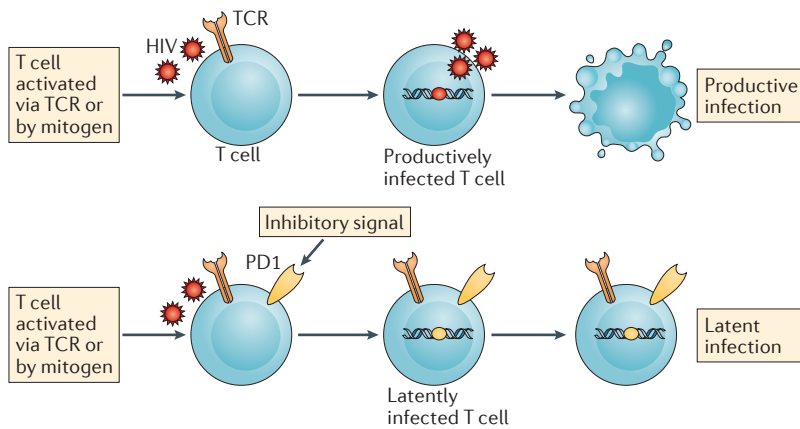
In untreated chronic HBV infection, total and HBV-specific CD8<sup>+</sup> T cells express high levels of PD1, CTLA4 and TIM3 (REFS 84–86), and in acute HBV infection, circulating and intrahepatic CD8<sup>+</sup> T cells express high levels of PD1 (REF. 87; FIG. 3). The upregulation of PD1 expression in acute HBV infection is thought to limit intrahepatic inflammation<sup>87</sup>. The ligand for PD1, PDL1, has also been shown to be elevated on circulating CD14<sup>+</sup> monocytes and CD19<sup>+</sup> B cells in individuals with chronic HBV infection, liver cirrhosis and HCC and therefore may contribute to ongoing T cell exhaustion<sup>88</sup>. These exhausted antigen-specific CD8<sup>+</sup> T cells are prone to apoptosis through co-expression of the pro-apoptotic

protein BIM (also known as BCL-2L11)<sup>86</sup>. By contrast, HBV-specific CD4<sup>+</sup> T cells, defined by MHC class II tetramers and HBV core peptides, expressed increased levels of PD1, but no increase in CTLA4, TIM3, KLRG1 or 2B4 expression was observed<sup>89</sup> (summarized in FIG. 3).

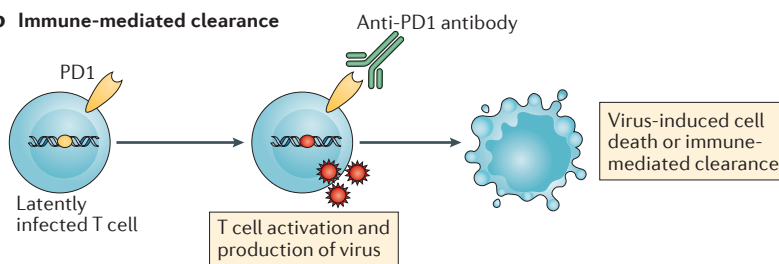
A recent genome-wide expression-profiling study of HBV-specific CD8<sup>+</sup> T cells from individuals with acute and chronic HBV infection revealed extensive down-regulation of multiple pathways, including pathways associated with mitochondrial function, and T cells from these individuals showed functional recovery in the presence of mitochondrial-targeted antioxidants<sup>90</sup>. These studies demonstrated that defects in T cell function in chronic HBV infection are not limited to increased expression of immune checkpoint proteins, although mitochondrial dysfunction was clearly enriched in PD1<sup>hi</sup>CD8<sup>+</sup> T cells in this study<sup>90</sup>.

The phenotype of intrahepatic CD4<sup>+</sup> and CD8<sup>+</sup> T cells has also been extensively characterized in HBV infection. Initial descriptions of intrahepatic T cells in chronic HBV infection showed a high infiltration

### a Establishing HIV latency



### b Immune-mediated clearance



**Figure 4 | Proposed role of PD1 in the establishment and reversal of HIV latency.**

**a** | HIV preferentially infects activated CD4<sup>+</sup> T cells that have been stimulated by T cell receptor (TCR) engagement or by a mitogen. Following HIV integration, the productively infected cell usually dies by virus-mediated cytolysis. Upregulation of expression of immune checkpoint molecules, such as programmed cell death protein 1 (PD1), could potentially limit T cell activation, favouring latent infection, where there is integration of HIV (yellow oval) but no virus production. **b** | Latently infected T cells express immune checkpoint molecules, including PD1. The administration of anti-PD1 antibody, or other immune checkpoint blockers, leads to activation of these T cells and increased expression of transcription factors that can increase production of virus from latency. This treatment response leads to either immune-mediated clearance or virus-induced cell death.

of total and HBV-specific CD8<sup>+</sup> T cells<sup>91</sup>. Intrahepatic T cells also show upregulation of PD1 (REF. 87) and TIM3 (REF. 84) expression. The ligand of TIM3, galectin 9, was also upregulated on Kupffer cells, perhaps allowing for persisting intrahepatic T cell exhaustion<sup>84</sup>. These intrahepatic CD8<sup>+</sup> T cells also express other proteins of exhaustion, including BTLA, and can produce IL-10, which further inhibits effective T cell function<sup>92</sup>.

**Immune checkpoint blockade as a strategy for curing HBV.** Multiple *ex vivo* studies using blood collected from individuals with chronic HBV infection have demonstrated that inhibition of PD1, CTLA4, 2B4 and TIM3 leads to increased HBV-specific CD8<sup>+</sup> T cell function<sup>83,84,86,93–96</sup>. By contrast, only blockade of PD1 and not blockade of the other inhibitory proteins partially improved HBV-specific CD4<sup>+</sup> T cell functions, with increased production of IFN $\gamma$ , IL-2 and tumour necrosis factor (TNF)<sup>89</sup>. Checkpoint blockade, used either alone or in combination, may potentially increase the production of HBV-specific CD8<sup>+</sup> T cells and even the production of antibodies against HBsAg, but there are substantial theoretical risks, including increased

infiltration of reinvigorated T cells into the liver, which could trigger inflammation, but this has not been demonstrated in preclinical studies or recent clinical trials.

The effects of PD1 blockade *in vivo* during HBV infection have been evaluated in mouse and woodchuck models. Blockade of the PD1–PDL1 or PD1–PDL2 pathways with anti-PDL1 and anti-PDL2 antibodies in woodchuck hepatitis virus (WHV)-infected animals partially restored T cell function without hepatotoxicity<sup>97</sup>. In a separate study of WHV, the combination of antivirals (entecavir), therapeutic vaccination and anti-PDL1 antibody blockade, followed by cessation of entecavir, did not result in rebound of WHV in plasma and instead led to the development of antibodies against WHV surface antigens, with complete viral clearance in some animals<sup>98</sup>. Interestingly, the addition of anti-PDL1 antibody to the vaccine and entecavir arm compared with the vaccine and entecavir alone arm led to a significantly increased immunological and clinical response and was not associated with hepatotoxicity<sup>98</sup>. These studies look very promising for similar interventions to achieve sustained remission off NRTIs in human clinical trials.

A recent open-label study of nivolumab (anti-PD1 antibody; 0.3 mg per kg) with and without an HBV vaccine involving 20 participants with virally suppressed chronic HBV infection showed that nivolumab was safe and well tolerated, and one participant underwent HBsAg seroconversion<sup>99</sup>. Many other clinical trials of immune checkpoint blockade in individuals with chronic HBV, usually in the setting of HCC, are currently underway. One major study is a phase 1/2, open-label, non-comparative, dose escalation and expansion trial (CheckMate 040) of nivolumab in adults ( $\geq 18$  years) with histologically confirmed advanced HCC with or without HCV or HBV infection<sup>100</sup>. Symptomatic treatment-related adverse events were similar in patients with and without HCV or HBV infection<sup>100</sup>, which is encouraging and the trial recently led to the licensing of nivolumab for HCC. Future cohorts within CheckMate040 which includes individuals with HBV on antiviral therapy will also examine nivolumab with other agents, including anti-CTLA-4 (NCT01658878). Another 60-month observational study, led by the Taiwan Food and Drug Administration, is also in progress (NCT02402699). This is a study of individuals in Taiwan with known HBV or HCV infection, regardless of control on antiviral therapy, and who are being treated with ipilimumab for advanced (unresectable, recurrent or metastatic) melanoma. Many other studies of immune checkpoint blockade for HCC that do not specifically exclude individuals with chronic HBV are currently enrolling participants.

In summary, immune checkpoint proteins play an important role in the natural history of HBV infection, limiting liver damage in acute infection and potentially facilitating persistent infection in chronic HBV infection. Initial studies indicate that nivolumab is safe in chronic HBV infection, but further studies are needed to determine whether anti-PD1 antibody and/or other immune checkpoint blockers can be used to induce HBV remission.

### Immune checkpoint proteins in tuberculosis

*Mycobacterium tuberculosis*, the causal agent of TB, is one of the ten most fatal diseases worldwide, with 10.4 million symptomatic infections and 1.8 million deaths (including 0.4 million among people co-infected with HIV) recorded in 2015. *M. tuberculosis* characteristically infects the lungs but can also affect any other organ of the body. TB is treatable and curable if the active, drug-susceptible infection is treated with a standard 6-month course of four antimicrobial drugs. However, the prevalence of multidrug-resistant TB is now increasing and requires longer treatment and more complicated antibiotic regimens.

CD4<sup>+</sup> T cells are required for host resistance to *M. tuberculosis*. TB-specific CD4<sup>+</sup> T cells in individuals with active TB infection were found to produce IFN $\gamma$ , IL-2 and TNF and express PD1 (REF. 101). However, PD1 expression levels on total CD4<sup>+</sup> T cells from individuals with active infection were only slightly higher than those on cells from healthy donors<sup>102</sup>. Notably, HIV and TB co-infection was consistently and independently associated with a reduced frequency of mycobacterial-specific CD4<sup>+</sup> T cells secreting both IFN $\gamma$  and IL-2, and the proportion correlated inversely with HIV RNA levels in plasma<sup>101</sup>.

Mouse models used to determine the contribution of PD1 to TB pathogenesis have yielded conflicting results. Surprisingly, mice with a deletion of *Pdcd1*, which encodes PD1, showed increased pathology, and PD1-deficient CD4<sup>+</sup> T cells are sufficient to trigger early mortality<sup>103,104</sup>. The lungs of the PD1-deficient mice showed uncontrolled bacterial proliferation and focal necrotic areas with predominantly neutrophilic infiltrates, but a lower number of infiltrating T and B cells<sup>105</sup>. Pro-inflammatory cytokines such as TNF

and IL-6 were significantly increased in the lungs and sera of these mice, consistent with aberrant inflammation<sup>105</sup>. Notably, TB-specific T cell proliferation was dramatically reduced in PD1-deficient mice compared with controls due to an increased number of T<sub>reg</sub> cells and recruitment of mesenchymal stem cells<sup>104</sup>. Similarly, functionally exhausted TIM3<sup>+</sup> T cells were shown to accumulate during chronic TB infection, and TIM3 blockade restored T cell functions and improved control of the bacterial load in chronically infected susceptible mice<sup>106</sup>.

The treatment of multidrug-resistant TB (that is, in which the bacteria shows resistance *in vitro* to at least isoniazid and rifampicin) is complicated. To obtain a clinical and a microbiological cure, individuals are treated for long periods because of the lower effectiveness of the second-line and third-line drugs<sup>107</sup>. Long-term exposure to these drugs, characterized by a poor safety and tolerability profile, reduces the adherence by individuals. The combination of these drugs with checkpoint inhibition may allow immunity to develop when the bacterial burden is under even partial control. For these reasons, checkpoint blockade during chronic TB infection requires further consideration.

### Conclusion

Studies of the interplay between immune activation and suppression have shown an important role for immune checkpoint proteins in the pathogenesis of infectious diseases and malignancies. Notably, immune checkpoint blockade has revolutionized the treatment of cancer with remarkable success. A number of studies have suggested that immune checkpoint blockade may also be highly relevant for treating several infectious diseases, including malaria, HIV infection, HBV infection and TB (TABLE 2); in these diseases where drug resistance remains a challenge,

#### Box 4 | Adverse reactions and limited durability associated with immune checkpoint blockade

Blocking of checkpoint protein interactions with antibodies has shown to remarkably increase antitumour immunity; however, this immunity can be accompanied by immune-related adverse events resembling autoimmune diseases<sup>128</sup>. Immune-related adverse events can occur in up to 90% of patients treated with an anti-cytotoxic T lymphocyte antigen 4 (CTLA4) antibody<sup>129</sup> and 70% of patients treated with anti-programmed cell death protein 1 (PD1) and/or anti-programmed cell death 1 ligand 1 (PDL1) antibodies<sup>130,131</sup>. These immune-related adverse events typically originate in the skin, gastrointestinal tract, liver and endocrine system, although other organ systems may also be affected<sup>132</sup>. While treatment with immunosuppressive drugs such as prednisolone is effective and usually resolves the symptoms, these adverse effects can be fatal. Therefore, substantial concerns remain around using these antibodies in otherwise healthy individuals living with HIV or hepatitis B virus (HBV). Autoimmune toxicities such as colitis, myocarditis and pneumonitis occur more commonly with anti-CTLA4 than anti-PD1 antibody treatment, and whether these can be reduced through modifications of the antibodies and/or reduction in dosage needs to be considered when exploring their use for infectious diseases.

Other factors that could reduce the efficacy of immunotherapies include non-responsiveness to treatment and limited durability of restored T cell functions. Blockade of the PD1–PDL1 pathway has shown durable benefit in melanoma and other cancers<sup>130,131,133</sup>, but >50% of patients do not respond or develop resistance to anti-PD1 antibody therapy. PD1 also plays a role in the setting of both acute and chronic infections. Whereas PD1 transcription is rapidly downregulated in functional antigen-specific CD8<sup>+</sup> T cells that develop during acute infection, persistent TCR ligation during chronic viral infections maintains increased levels of PD1 transcription and the generation of a distinct lineage of non-functional 'exhausted' antigen-specific CD8<sup>+</sup> T cells<sup>134,135</sup>. These changes in T cell functions are persistent as a result of epigenetic modification, specifically demethylation of the promoter of *PDCD1*, which encodes PD1. These epigenetic changes persist even with reduction in antigen, as seen following effective antiviral therapy for HIV infection or following anti-PD1 antibody therapy<sup>136–139</sup>. Thus, for infectious diseases, immunotherapy may have optimal effects when used with a vaccine to minimize immune suppression and thus permit vaccine responses to develop. Alternatively, immunotherapy used in conjunction with antimicrobial agents could allow long-term immunity to develop once the acute symptomatic infection has been controlled.

effective vaccine development has not been possible, or lifelong drug treatment is necessary. It should be recognized, however, that immune checkpoint blockade may also cause immune-related adverse events, as CTLA4, PD1, LAG3 and TIM3 are also involved in the regulation of peripheral tolerance to prevent autoimmunity (BOX 4).

Furthermore, whether there will be variable responses to immune checkpoint blockade and clinical outcomes for infectious diseases also remains to be determined. Despite this, immune checkpoint blockade may be an important new strategy for tackling chronic infections for which we are still lacking effective therapies or vaccines.

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The authors declare competing interests: see [Web version](#) for details.

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