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Supercritical fluid extraction of heather (*Calluna vulgaris*) and evaluation of anti-hepatitis C virus activity of the extracts

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26 **ABSTRACT**

27 Heather (*Calluna vulgaris*) leaves contain good amounts of ursolic and oleanolic
28 acid, which have been reported to present antiviral activity against hepatitis C virus
29 (HCV). In this work, the supercritical fluid extraction of heather was studied with the
30 target of assessing a potential anti-HCV activity of the extracts owing to their triterpenic
31 acid content. Supercritical extraction assays were carried out exploring the pressure
32 range of 20-50 MPa, temperatures of 40-70 °C and 0-15% of ethanol cosolvent. The
33 content of oleanolic and ursolic acid in the extracts were determined, and different
34 samples were screened for cellular cytotoxicity and virus inhibition using a HCV cell
35 culture infection system. Antiviral activity was observed in most extracts. In general,
36 superior anti-HCV activity was observed for higher content of oleanolic and ursolic
37 acids in the extracts.

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40 **Keywords:** supercritical fluid extraction; *Calluna vulgaris* L.; heather; hepatitis C
41 virus; ursolic acid; oleanolic acid.

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44 1. INTRODUCTION

45 For many centuries plants have been used for medicinal purposes, many have led
46 to the discovery of important molecules such as aspirin, morphine and codeine. Heather
47 (*Calluna vulgaris* L.) has been used as an herbal remedy against kidney and urinary
48 infections as well as rheumatism. Several phytochemicals with antioxidant, anti-
49 inflammatory and anticancer activities have been identified in heather (Filip et al., 2012;
50 Orhan et al., 2007; Saaby et al., 2009; Simon et al., 1992). Among these compounds,
51 triterpenoids, in the form of free acids or aglycones of saponins, have gained more
52 attention, and the number of papers describing their biological effects has increased
53 sharply during the last decade (Banno et al., 2004; Checker et al., 2012; Ikeda et al.,
54 2008; Liu, 1995; Yan et al., 2010).

55 Heather has also been reported to contain high concentrations of ursolic and
56 oleanolic acid (around 40 mg/g and 10 mg/g dry matter, respectively) (Jalal et al., 1982;
57 Zhao, 2011). Ursolic acid (3-hydroxy-urs-12-ene-28-oic acid) is a pentacyclic
58 triterpenoid carboxylic acid which is well known because of its antioxidant, anti-
59 inflammatory, and anticancer activities, combined with a relatively low
60 toxicity (Checker et al., 2012; Ikeda et al., 2008). Oleanolic acid (3 β -hydroxyolean-12-
61 ene-28-oic acid) has been shown to have similar biological activities as ursolic acid
62 (Liu, 1995; Yan et al., 2010). Recently, both of these compounds have been reported to
63 have antiviral activity against hepatitis C virus (HCV) by inhibiting the NS5B RNA-
64 dependent RNA Polymerase (Kong et al., 2013).

65 Approximately 2.8% of world population is infected with HCV (Mohd Hanafiah
66 et al., 2013). Current standard of care treatment includes pegylated interferon alpha plus
67 ribavirin, combined with the new directly antiviral agents (DAA) such as telaprevir,
68 boceprevir and the recently approved polymerase inhibitor, sofosbuvir (Gilead, 2013).

69 Most currently available anti-HCV compounds target later stages of the viral
70 lifecycle, such as viral RNA replication, and are intended for use in chronically infected
71 patients. Therefore, the discovery of novel compounds to block HCV cell entry is an
72 area of intense research, with the aim of restricting universal reinfection of the donor
73 liver by circulating virions in the setting of liver transplantation for HCV-associated end
74 stage liver disease. The entry step of the HCV lifecycle is critical for initiation,
75 maintenance, and dissemination of viral infection *in vivo*, and represents an attractive
76 target for therapeutic intervention (Fofana et al., 2014). In the past few years, various
77 natural compounds have been described to have direct or indirect antiviral activities
78 against HCV (Calland et al., 2012; Lindenbach et al., 2005; Reiss et al., 2011).

79 Supercritical fluid extraction (SFE) using carbon dioxide (CO₂) is progressively
80 replacing the organic solvent extraction, particular in the processing of natural matter.
81 Several applications, such as the extraction of hops and the removal of caffeine from
82 coffee beans, are well known processes performed on an industrial scale, certainly due
83 to its advantages in comparison with conventional solid-liquid extraction. Supercritical
84 CO₂ is capable of extracting a wide range of diverse compounds, from non-polar or
85 moderately polar compounds to more polar substances by combining CO₂ with a
86 cosolvent, such as methanol, ethanol, acetone, water, diethyl ether, among others. Yet,
87 ethanol may be the better choice in SFE of nutraceuticals and food ingredients because
88 of its lower toxicity.

89 To our knowledge, the only information available concerning the supercritical
90 CO₂ extraction of heather was reported by Hunt (Hunt, 2006) and Zhao (Zhao, 2011) in
91 their respective PhD theses developed in the University of York, UK. In these works,
92 the extraction of the aerial part of dry plants with different harvest time was
93 investigated, and the higher yields obtained were in the range 3.9 - 4.5 % (depending on

94 plant harvest time) and were produced at 50°C, 35 MPa and employing 10 % of ethanol
95 as CO₂ cosolvent. Ursolic acid and oleanolic acids were identified in these extracts,
96 together with other high valued triterpenoids, such as α -amyrin, β -amyrin, taraxerone
97 and taraxerol.

98 In this work the SFE of heather was investigated in the temperature range of 40-
99 70°C, pressures of 20-50 MPa and using 0-15 % ethanol cosolvent. The effect of
100 process conditions on extraction yield and content of the triterpenic acids identified,
101 namely ursolic acid and oleanolic acid, was evaluated. Additionally, antiviral activities
102 of each of the extracts were tested against HCV.

103 2. MATERIAL AND METHODS

104 2.1 Samples and Reagents.

105 Standards, chemicals and reagents: oleanolic acid ($\geq 99\%$) and ursolic acid
106 ($\geq 98\%$) were purchased from Extrasynthese (Genay Cedex, France). Acetonitrile was
107 HPLC grade from LabScan (Gliwice, Poland) and ethanol absolute was purchased from
108 PANREAC (Barcelona, Spain).

109 Heather sample consisted of dry leaves and flowers (8.4 % w/w water content)
110 purchased from an herbalist's producer (Murcia, Spain). The vegetal matter was ground
111 in a cooled mill and sieved to sizes between 400 and 600 μm .

112 2.2 Supercritical Fluid Extraction (SFE).

113 The extractions were carried out in a pilot-plant scale supercritical fluid extractor
114 (Thar Technology, Pittsburgh, PA, USA, model SF2000) comprising of a 2 L cylinder
115 extraction cell with automatic control of temperature and pressure. For each experiment,
116 the cell was filled with 0.5 kg of plant raw material. The extraction assays were
117 performed at temperatures in the range of 40-70°C and pressures of 20-50 MPa. Table 1
118 show the conditions employed in each experiment.

119 Extracts 11a and 11b in Table 1 were obtained by fractionation of the extract
120 using a depressurization cascade system comprised by two separators (S1 and S2).
121 Fractionation was accomplished by maintaining S1 at 30 MPa while S2 was set at the
122 recirculation CO₂ pressure (6 MPa). Extraction 13 was performed in two steps: the first
123 step (Extract 13a) comprised the extraction with pure supercritical CO₂ (25 MPa, 50°C,
124 1.5 h), and the second step (Extract 13b) was carried out using ethanol as co-solvent (30
125 MPa, 50°C, 2.5 h). In all other extractions the extract was collected in S1 by
126 depressurization up to 6 MPa. Ethanol was used to wash out the collector vessels and
127 ensure a complete recovery of the material precipitated in the cell. Ethanol was
128 eliminated by evaporation and the homogeneous solid samples obtained were kept at
129 4°C in the dark until analysis.

130 **2.3 Chemical Analysis.**

131 Identification and quantification of triterpenic acids was accomplished
132 employing a HPLC model Varian ProStar (Varian, Palo Alto, CA, USA) equipped with
133 a Col KROMAPHASE C18 column (Scharlab, Barcelona, Spain) of 25 mm × 4.6 mm
134 and 5 mm particle size. The analytical method used comprises of an isocratic gradient of
135 30 min using a mixture of acetonitrile and water (90:10 % vol) as mobile phase. The
136 flow rate was constant at 1 mL/min and the injection volume was 20 µL. The detection
137 of compounds was carried out at a wavelength of 210 nm. The quantification of
138 triterpenic acids was accomplished by calibration curves with commercial standards of
139 ursolic, oleanolic and betulinic acids; straight lines were obtained with linear
140 regressions higher than 0.999.

141 **2.4 Cells, Virus, Extract Dilutions.**

142 Huh7.5 cells were grown in complete Dulbecco's modification of Eagle's
143 medium (DMEM) (Invitrogen) with 10% fetal calf serum (FCS), as previously

144 described in Perales et al (Perales et al., 2013). The HCV cell culture (HCVcc) virus
145 derived from the plasmid, Jc1FLAG2(p7-nsGluc2A) or the monocistronic luciferase
146 reporter virus JcR2a were used to infect Huh7.5 cells, a viral stocks had been previously
147 generated as described (Perales et al., 2013; Reiss et al., 2011).

148 Supercritical heather extractions, oleanolic acid and ursolic acid were diluted in
149 absolute ethanol to 50 mg/ml and stored at -80°C, for further dilutions, the extracts were
150 diluted in complete DMEM (Fan et al., 2011).

151 **2.5 Cytotoxicity.**

152 To test for cytotoxicity Huh7.5 cells were plated to semiconfluency and
153 incubated at 37°C, 5% CO₂ with each extract in 5-fold dilutions at concentrations 0,
154 0.64, 3.2, 16, 80, 400, 2,000 and 10,000 µg/ml. After 48 hours, MTT [3-(4,5-
155 dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide] (Sigma) was added to each
156 well at a final concentration of 500 µg/ml and incubated for a further 3 hours, the media
157 was then removed and 100 µl of dimethyl sulfoxide (DMSO) (Sigma) was added to
158 each well, the optical density was measured at a wavelength of 550 nm. The 50%
159 cytotoxicity concentration (CC₅₀) was then calculated using the optical density
160 percentage compared to untreated cells. Standard deviations were calculated from 4
161 replicates.

162 **2.6 HCV inhibition assay.**

163 Huh7.5 cells were plated in 96-well plates to semiconfluency (6.4x10³ per well)
164 and infected with tissue culture infective dose (TCID) of 192 (approximately MOI
165 0.03) of HCVcc, 5 hours later, each extract was added to the wells in 2-fold dilutions at
166 concentrations 0, 6.25, 12.5, 25, 50, 100, 200 and 400µg/ml and incubated for 48 hours.
167 The cells were then washed with PBS, fixed with methanol and stained for HCV NS5A
168 antibodies (Lindenbach et al., 2005). Foci forming units were counted and compared to

169 wells without extract. Percentages were used to calculate HCV inhibition. Standard
170 deviations were calculated from 4 replicates.

171 For the HCV entry assay, a monocistronic luciferase reporter virus JcR2a was
172 used(Anggakusuma et al., 2013). Huh7.5 cells were inoculated with JcR2a virus in the
173 presence of increasing concentration of the extracts. The green tea molecule
174 Epigallocatechin-3-gallate (EGCG) was used as positive control(Ciesek et al., 2011).
175 The inoculum was removed 4 h later and then monolayers were washed and overlaid
176 with fresh medium containing no inhibitors. Infected cells were lysed 3 days later and
177 Renilla luciferase activity was determined as described(Ciesek et al., 2011).

178

179 **3 RESULTS**

180 **3.1 Extraction Yield and Recovery of Triterpenic Acids.**

181 The extraction yield (g extract / g heather) obtained in the different experiments
182 are given in Table 1. Extraction 9 was carried out at similar extraction conditions than
183 those employed by Zhao (2011)(Zhao, 2011) and similar yields were obtained.

184 Figure 1 shows the variation of extraction yield with temperature (Figure 1a),
185 pressure (Figure 1b) and amount of ethanol cosolvent (Figure 1c). As observed, the
186 most significant effect on extraction yield is produced by the addition of ethanol as
187 cosolvent of the supercritical CO₂ solvent. With respect to pure CO₂, around a 4-fold
188 increase (from 2.16 to 7.31 %) of yield is produced when using ethanol as CO₂
189 cosolvent.

190 Additionally, the increase of extraction temperature from 40°C to 60°C favors
191 the recovery of phytochemicals from heather, but a further increase of temperature has
192 the opposite effect.

193 Extractions 4 and 11 in Table 1 were obtained using the same extraction
194 temperature and pressure, but slightly higher CO₂/plant ratio in extraction 4 (24 kg/kg
195 vs 21.6 kg/kg) and also slightly higher extraction yield was obtained (2.45 vs 2.20 %).
196 Nevertheless, fractionation of the extract in extraction 11 produced two samples with
197 noticeably different yields: extraction 11a (0.26 % yield, S1 separator) and extraction
198 11b (1.94 % yield, S2 separator).

199 Alternatively, extraction 13 was carried out in two steps, using different
200 extraction conditions in each step. Comparing both steps it can be clearly deduced the
201 key effect of ethanol as CO₂ cosolvent, since 5.28 % yield was obtained in the second
202 step when 2.65 % of phytochemicals were already extracted in the first step.

203 The concentration (mg acid / g extract) of ursolic and oleanolic determined by
204 HPLC in all supercritical extracts produced is shown in Table 2. The higher
205 concentrations of the triterpenic acids were obtained when at least 10 % ethanol was
206 employed as cosolvent (Extracts 9, 10, 12 and 13b) with values in the range of 90-220
207 mg triterpenic acids / g extract (1.1-2.3 % w/w). In all other experiments no ethanol was
208 employed, and triterpenic acids were present in concentrations lower than 30 mg/g, but
209 as a result of the on-line fractionation procedure, 116 mg/g of triterpenic acids was
210 obtained in the first fraction of extraction 11 (Ext. 11a) without using ethanol, although
211 very low extraction yield was achieved in this fraction (0.26 %).

212 The highest concentration of triterpenic acids was obtained in extract 10 (82.87
213 mg/g of oleanolic acid and 141.45 mg/g of ursolic acid) which was produced at
214 moderate pressure (30 MPa) and with the higher ethanol content utilized in this work
215 (15 %). Taking into account the concentration of these triterpenic acids in the dry matter
216 reported in the literature (Jalal et al., 1982; Zhao, 2011), this represents a 3.5 and 8.3

217 fold increase for, respectively, ursolic and oleanolic acid. Furthermore, this extraction
218 was the one with the higher yield (7.31 %).

219 Additionally, it could be observed from Table 2 that the ratio of oleanolic acid /
220 ursolic acid resulted in values considerably lower than 1 (from 0.14 to 0.43), in the case
221 of extractions with pure CO₂. Unfortunately, no solubility data was found in the
222 literature to support this observed behavior. Nevertheless, this seems to be a reasonable
223 tendency, since ursolic acid is present in heather in higher concentrations than oleanolic
224 acid (oleanolic acid / ursolic acid ratio in heather \approx 0.25). On the contrary, when ethanol
225 is utilized, this ratio becomes very close or greater than 1 and higher concentrations of
226 oleanolic acid with respect to ursolic acid were obtained (oleanolic acid / ursolic acid
227 ratio up to 1.42 in extraction 13b). This result could be related to the higher solubility of
228 oleanolic acid in ethanol in comparison with ursolic acid solubility(Fan et al., 2011),
229 and is showing a great effect of ethanol as cosolvent in the supercritical extraction,
230 tuning selectivity towards the extraction of oleanolic acid.

231 **3.2 Anti-HCV Activity of the Extracts.**

232 Previously, Kong et al(Kong et al., 2013) reported that oleanolic and ursolic acid
233 were able to inhibit the HCV polymerase activity. Considering the high content of
234 oleanolic and ursolic acids found in heather, the anti-HCV activity of heather
235 supercritical extracts produced in this work was evaluated and compared.

236 All 15 extracts with different contents of oleanolic and ursolic acid, were
237 screened for cellular cytotoxicity and HCV inhibition using the HCV cell culture system
238 (see materials and methods). Moreover, both oleanolic and ursolic compounds were also
239 tested in parallel as controls.

240 The system uses a full length HCV infectious clone that is able to undergo the
241 complete replication cycle in vitro. Cytotoxicity was observed in all extracts at

242 concentrations more than 200 $\mu\text{g}/\text{ml}$ and in most extracts at 100 $\mu\text{g}/\text{mg}$. Nevertheless, in
243 all cases there was reduction in the viral infectivity in a dose dependent manner at
244 concentrations where cytotoxicity was not observed. In particular, extracts 9 and 13b
245 showed more striking reductions in their infectivity, which coincided with higher levels
246 of oleanolic and ursolic acid (see Figure 2A and Table 2).

247 To investigate specifically the early steps (viral entry) of the viral life cycle, a
248 luciferase reporter HCV was used. Human hepatoma cells were incubated for 4 hours in
249 the presence of the extracts and virus before washing and replacing with fresh media
250 (Figure 2B). The green tea molecule Epigallocatechin-3-gallate (EGCG) was used as
251 positive control, as we have previously shown that ECGC inhibits HCV infectivity by
252 blocking the virus entry process(Ciesek et al., 2011). No cytotoxicity was observed at
253 concentrations of 125 $\mu\text{g}/\text{ml}$ or less. All extracts showed some inhibition in the HCV
254 infectivity in a dose-dependent manner and 6/15 inhibited at least 50% HCV entry.
255 Again high connection was observed with the inhibition of HCV entry and the
256 concentration of oleanolic and ursolic acid.

257 To determine whether there was a correlation between the concentration of
258 oleanolic acid or ursolic acid and HCV inhibition, infectivity (% of negative control)
259 was represented as a function of the actual amount of oleanolic or ursolic acid utilized
260 in the assays, and calculated as the concentration of each compound for each extract
261 dilution used in the viral entry assay or the complete replication assay. These results are
262 depicted in Figure 3; only extracts which do not exhibit cytotoxicity were included in
263 the representation. As can be observed in Figure 3 a certain dependence of the antiviral
264 effect can be attributed to the presence of both ursolic and oleanolic acids, suggesting
265 both these compounds may have anti-HCV properties. Oleanolic and ursolic acid
266 concentrations correlated more with inhibition of viral entry (Figures 3A and C) ($R^2=$

267 0.7948 and 0.3732 respectively) compared to the inhibition of the full viral lifecycle
268 (Figures 3B and C) (oleanolic acid $R^2= 0.2598$ and ursolic acid $R^2= 0.0821$).

269

270 **4 CONCLUSIONS.**

271 Supercritical fluid extraction produced heather extracts with high concentration
272 of triterpenic (oleanolic and ursolic) acids. In this respect, the use of ethanol as
273 cosolvent was crucial. Concentrations up to 80 mg/g of oleanolic acid and 140 mg/g of
274 ursolic acid were attained using 15% ethanol cosolvent, which are significantly higher
275 than those obtained without cosolvent (2-12 mg/g of oleanolic acid and 10-20 mg/g of
276 ursolic acid) despite the extraction pressure (20-50 MPa) or temperature (40-70 °C)
277 applied. Furthermore, the use of ethanol as cosolvent turned the selectivity of the
278 supercritical solvent to favor the extraction of oleanolic acid, despite the lower amount
279 of this triterpenic acid in the vegetal raw matter.

280 The extracts showed antiviral activity in a complete life cycle assay for HCV
281 and interestingly also when only presented during viral entry. The extracts with higher
282 concentration of ursolic and oleanolic acid showed higher inhibition, and certain
283 dependence of the anti-HCV activity and the presence of ursolic and oleanolic acid in
284 the extracts was observed. Further studies are required to elucidate the mode of action
285 against that human important virus.

286

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362 Braken (*Pteridium aquilinum*). Ph.D thesis, University of York.

363

364

366 **Table 1.** SFE conditions and yields obtained in the extraction of heather leaves.

Extract	Pressure (MPa)	Temperature (°C)	Time (h)	CO₂ flow (g/ min)	Co-solvent (% ethanol)	Yield (%)	
1	20	50	3	60	0	1.17	
2	30	50	3	60	0	2.16	
3	40	50	3	60	0	2.32	
4	50	50	3	60	0	2.45	
5	40	40	3	60	0	2.04	
6	40	60	3	60	0	3.35	
7	40	70	3	60	0	2.40	
8	30	50	3	60	5	3.66	
9	30	50	3	60	10	4.68	
10	30	50	3	60	15	7.31	
11a	S1	50	50	4	50	0	0.26
11b	S2						1.94
12	30	50	4	50	10	1.71	
13a	First step	25	50	1.5	50	0	2.65
13b	Second step	30	50	2.5	50	10	5.28

369 **Table 2.** Content of triterpenic acids identified in heather SFE extracts.

Extract	Oleanolic acid (mg/g extract)	Ursolic acid (mg/g extract)
1	0.53	16.02
2	2.36	16.92
3	3.55	15.49
4	5.66	18.86
5	2.49	17.85
6	5.90	14.31
7	9.19	21.59
8	12.41	11.33
9	69.75	55.57
10	82.87	141.45
11	S1	13.50
	S2	2.53
12		54.04
13	First step	1.44
	Second step	73.14

370

371

372 **FIGURE LEGENDS**

373

374 **Figure 1.** Extraction yields of heather SFE as a function of (a) extraction
375 pressure (50°C, no cosolvent), (b) extraction temperature (40 MPa, no cosolvent) and
376 (c) ethanol cosolvent added to supercritical CO₂ (50°C and 30 MPa). Solid lines:
377 experimental trend.

378

379 **Figure 2.** Anti-HCV activity of Heather extracts. (A) Huh7.5 cells were infected
380 with HCVcc at a MOI of 0.03, 5 hours later; each extract was added to the wells in 2-
381 fold dilutions at concentrations 0, 6.25, 12.5, 25, 50, 100µg/ml and incubated for 48
382 hours. The cells stained for HCV NS5A antibodies and foci forming units were counted
383 and compared to wells without extract (see materials and methods). Percentages were
384 used to calculate HCV inhibition. Standard deviations were calculated from 4 replicates.
385 (*) indicate when cytotoxicity was observed. (B) Huh7.5 cells were inoculated with
386 HCV (JcR2a virus) in the presence of increasing concentration of the extracts. The
387 green tea molecule Epigallocatechin-3-gallate (EGCG) was used as positive control
388 [23]. The inoculum was removed 4 h later and then monolayers were washed and
389 overlaid with fresh medium containing no inhibitors. Infected cells were lysed 3 days
390 later and Renilla luciferase activity was determined as described [23].

391

392 **Figure 3.** Anti-HCV activity of Oleanolic and Ursolic acids. Concentrations of
393 oleanolic acid (3A, 3B) or ursolic acid (3C, 3D) are plotted against HCV inhibition, for
394 either the 4 h entry assay (3A, 3C) or 48 h full lifecycle assays (3B, 3D). Linear
395 regression analyses are represented in the box at the top right corner of each graph.

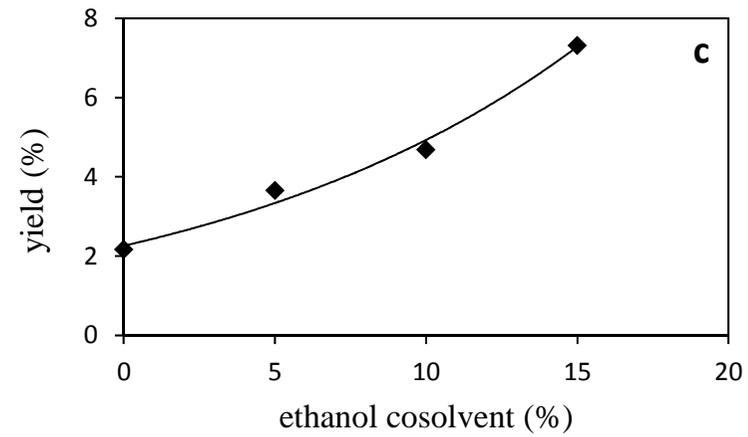
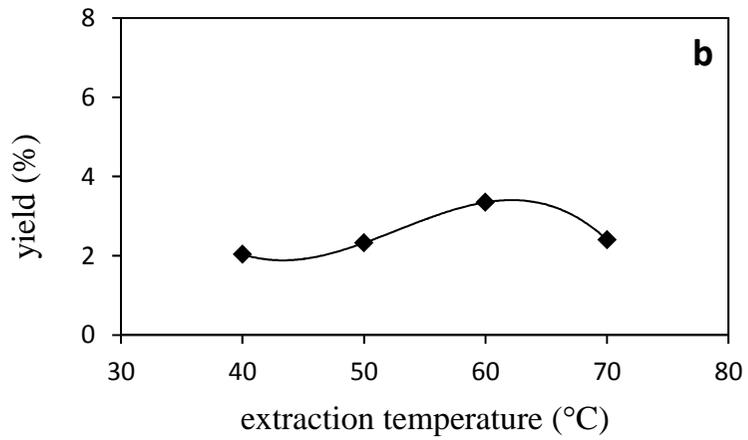
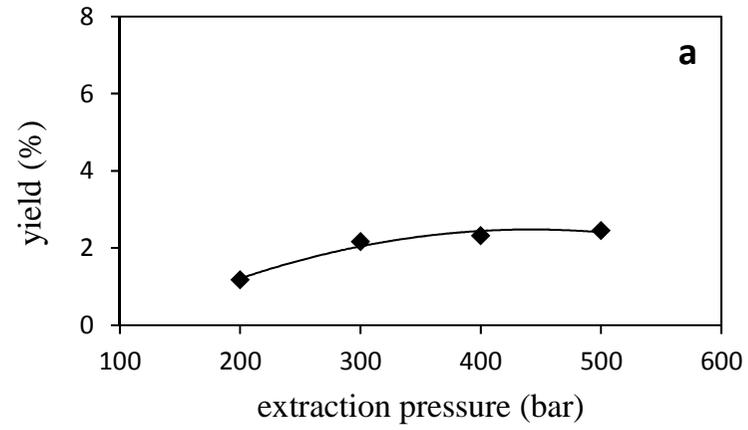


Figure 1.

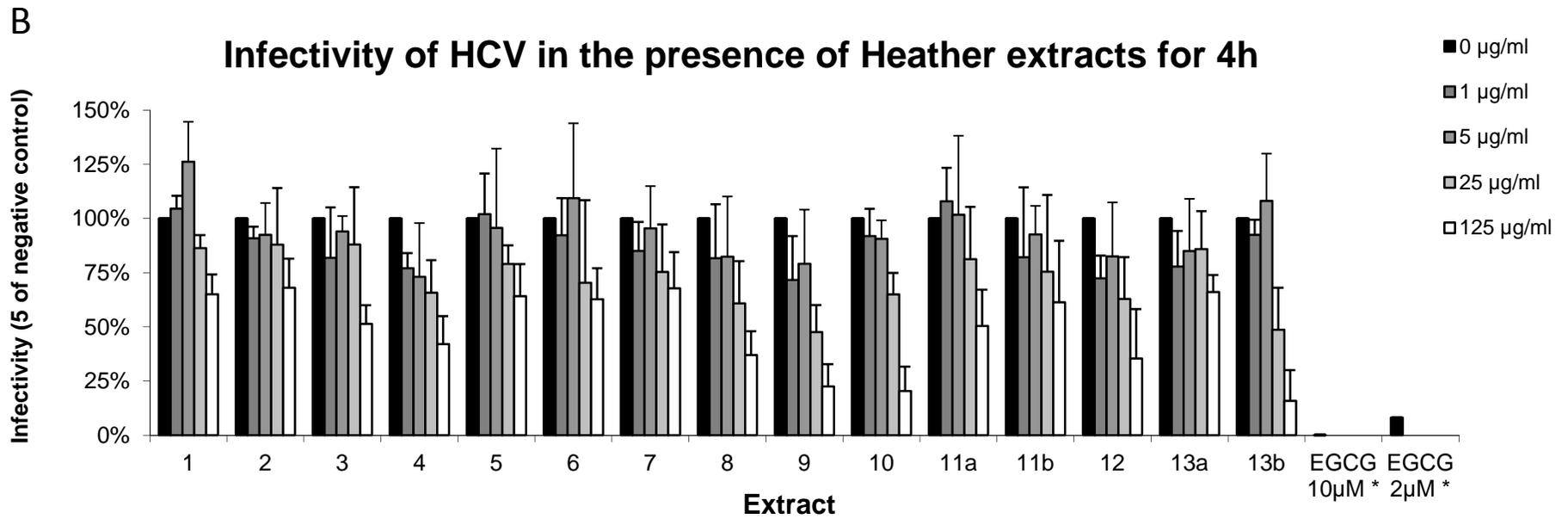
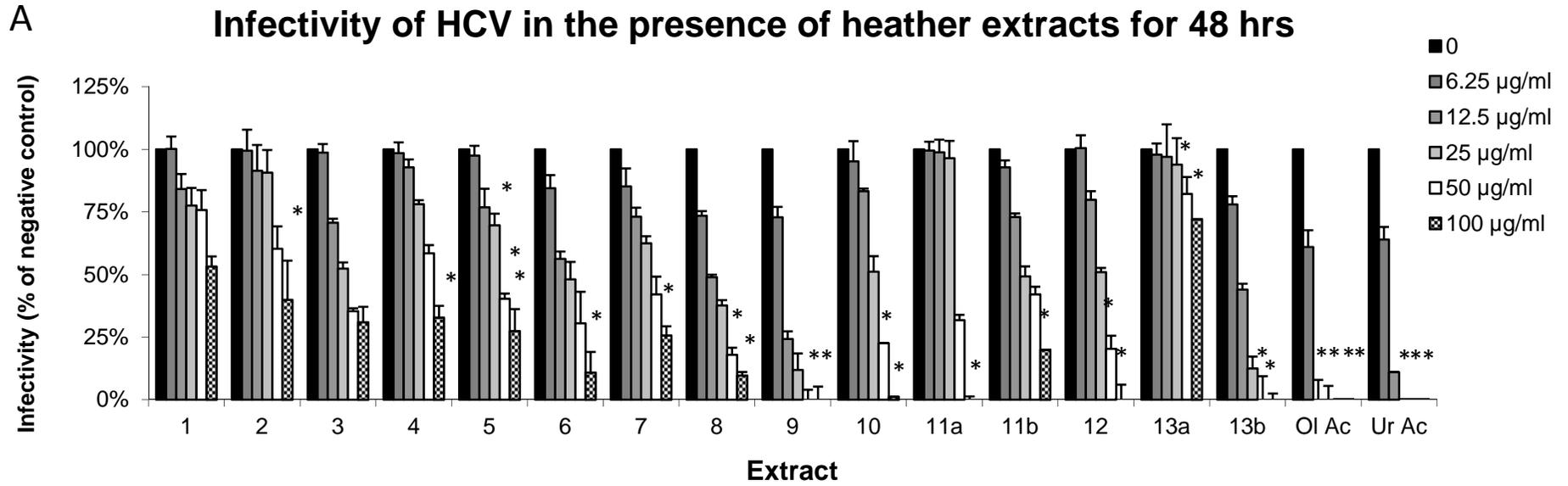
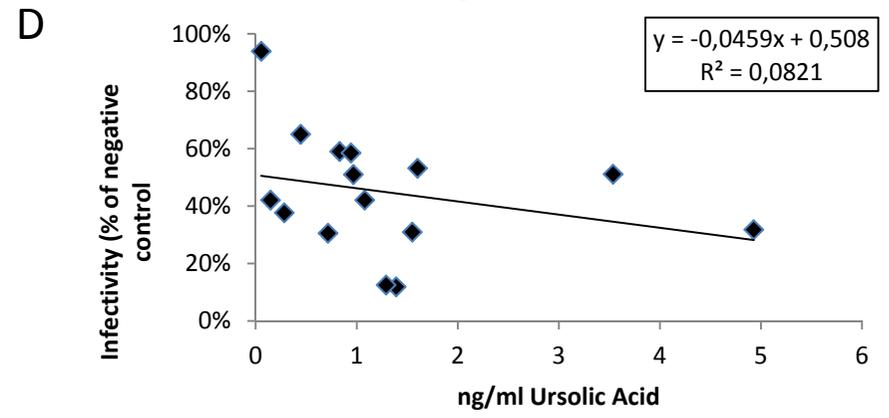
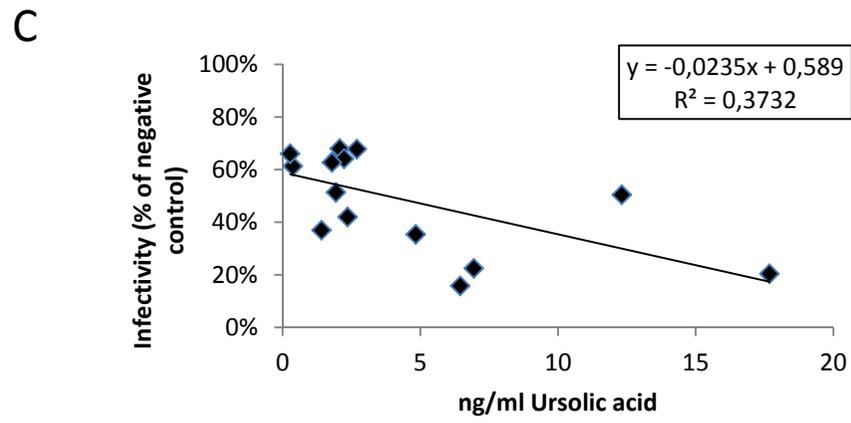
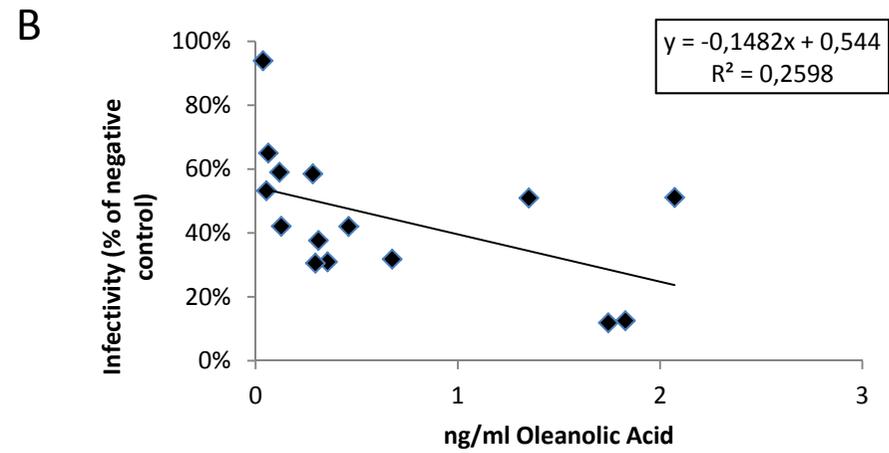
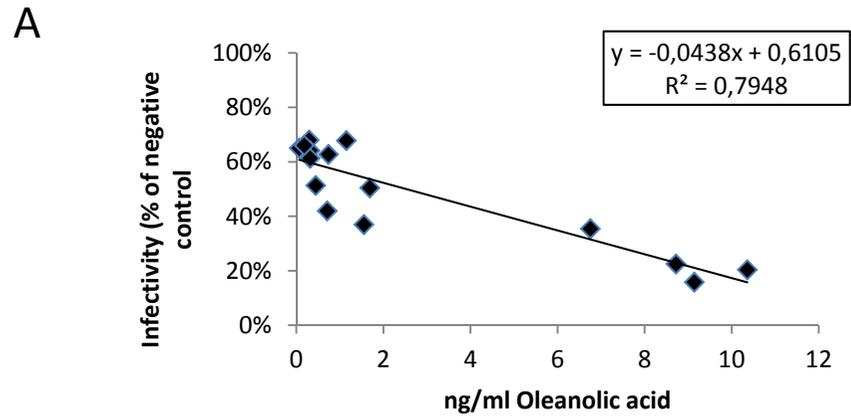


Figure 2



4 hrs (entry)

48 hrs (full life cycle)

Figure 3