- 1 Hemagglutinin and neuraminidase containing virus-like particles produced in
- 2 HEK-293 suspension culture: an effective influenza vaccine candidate
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13 A B S T R A C T

14 Virus-like particles (VLPs) constitute a promising alternative as influenza vaccine. They are non-replicative particles that 15 mimic the morphology of native viruses which make them more immunogenic than classical subunit vaccines. In this study, 16 we propose HEK-293 cells in suspension culture in serum-free medium as an efficient platform to produce large quantities 17 of VLPs. For this purpose, a stable cell line expressing the main influenza viral antigens hemagglutinin (HA) and 18 neuraminidase (NA) (subtype H1N1) under the regulation of a cumate inducible promoter was developed (293HA-NA cells). 19 The production of VLPs was evaluated by transient transfection of plasmids encoding human immunodeficiency virus (HIV) 20 Gag or M1 influenza matrix protein. To facilitate the monitoring of VLPs production, Gag was fused to the green fluorescence 21 protein (GFP). The transient transfection of the gag containing plasmid in 293HA-NA cells increased the release of HA and 22 NA seven times more than its counterpart transfected with the M1 encoding plasmid. Consequently, the production of HA-23 NA containing VLPs using Gag as scaffold was evaluated in a 3-L controlled stirred tank bioreactor. The VLPs secreted in 24 the culture medium were recovered by ultracentrifugation on a sucrose cushion and ultrafiltered by tangential flow filtration. 25 Transmission electron micrographs of final sample revealed the presence of particles with the average typical size (150-200 26 nm) and morphology of HIV-1 immature particles. The concentration of the influenza glycoproteins on the Gag-VLPs was 27 estimated by single radial immunodiffusion and hemagglutination assay for HA and by Dot-Blot for HA and NA. More 28 significantly, intranasal immunization of mice with influenza Gag-VLPs induced strong antigen-specific mucosal and 29 systemic antibody responses and provided full protection against a lethal intranasal challenge with the homologous virus 30 strain. These data suggest that, with further optimization and characterization the process could support mass production of 31 safer and better-controlled VLPs-based influenza vaccine candidate.

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Keywords: Influenza Vaccine, Virus-Like particles, stable cell line, HEK-293, quantification, bioreactor production,
 tangential flow filtration.

35 **1. Introduction**

Influenza is an illness that causes high morbidity and mortality to human population worldwide [1]. The antigenic "drift" and "shift" phenomena are the origin of the appearance of new strains that cannot be recognized by the host immune system causing severe infections [2, 3]. Thus, every year, seasonal influenza vaccines are produced in embryonated chicken eggs depending on the circulating strains [4]. The production in hen's eggs carries major drawbacks that have been described elsewhere [5, 6]. Additionally, the egg produced seasonal vaccines do not provide full protection in all ages groups [7, 8]. These facts are driving the scientific community to urgently develop a new generation of influenza vaccines that is supported by a robust production platform taking advantages of recent progress in the fields of immunology, molecular and cellular biology, and bioprocessing sciences.

Mammalian cells possess several attractive attributes as a robust production platform candidate due to 45 their ability to perform complex post-translational modifications and the high cell densities reached in 46 suspension cultures in bioreactors. This fact has allowed to increase the platform yields and produce 47 bioproducts of very high quality [6]. Additionally, the required-time to develop stable cell lines has 48 decreased considerably in the past decade. Inducible promotors have been developed in mammalian cells 49 as well, mainly to deal with the overexpression of toxic proteins [9]. The use of inducible promotors also 50 allows to separate the growth phase from the production phase, thereby reducing the metabolic burden 51 52 during biomass growth [10, 11].

From the perspective of new generation influenza vaccines, different approaches have been 53 investigated to overcome the disadvantages associated to egg produced vaccines [12-19]. Virus-like 54 particle (VLPs) constitute a promising alternative to safely elicit an effective immune response since 55 56 they mimic native virus [20]. Influenza VLPs have been mostly produced in insect cells. However, this expression platform has the inconvenience of baculovirus contamination in final samples [21]. With the 57 58 aim of avoiding these drawbacks and exploit the advantages of a superior platform, previous works have explored the production of influenza VLPs in mammalian cells. Most of these studies have been focused 59 60 in elucidating the virus budding mechanisms [22-25] or testing the protective immunogenicity of VLPs in animals by directly using the sucrose cushion preparations [26, 27]. From a bioprocessing perspective, 61 influenza VLPs have been produced in human embryonic kidney cells (HEK-293) cells but the 62 production levels were significantly lower in comparison with insect cells, and contamination with 63 64 extracellular vesicles was observed in final samples [28]. In this work, we have developed and characterized an efficient procedure to produce influenza VLPs from a cell clone stably expressing the 65 hemagglutinin (HA) and neuraminidase (NA) of influenza subtype H1N1 (293HA-NA cells). It is 66 demonstrated that HA and NA containing VLPs can be efficiently produced following transfection of 67 the 293HA-NA cells with a plasmid encoding the gag gene of human immunodeficiency virus (HIV) 68 whose product acted as scaffold. The extracellular vesicles were efficiently removed from final 69 preparation by tangential flow filtration (TFF). The Gag-made nanoparticles assembled from 293HA-70 NA cells showed the typical morphology and expected size for immature HIV-1 particles. An extensive 71 characterization and quantification of the influenza VLPs produced was performed by using different 72

analytical techniques. The immunogenicity and protective efficacy of the VLPs was demonstrated in
 mice.

75 2. Materials and Methods

76 2.1. Cells, plasmids and antibodies

The cells were cultured in Hyclone SFM4Transfx-293 supplemented with 4-6 mM of glutamine in a 77 humidified incubator at 37 °C with 5% CO2, at an agitation rate of 100-110 rpm. The gene for M1 78 (CY033578.1) was codon optimized to human cells and the restriction sites for Hind III were added at 79 both ends of the gene (GenScript) for subsequent cloning in pKCR5 plasmid. The plasmids pUC-HA 80 and pUC-NA H1N1 A/Puerto Rico/8/1934 described in [28] were used as template for PCR to introduce 81 the Kozak sequence and enzymatic sites. A NheI site was introduced toward the 5' end (forward primers 82 5'-ACTAGCTAGCGCCACCATGAAGGCAAACCTACTGGTCCTG-3'HA 5'-83 and ACTAGCTAGCGCCACCATGAATCCAAATCAGAAAATAATAACC-3' NA) and HindIII site at 84 the 3' end (reverse primers 5'-ACCCAAGCTTAGATCTTCAGATGCATATTCTGCAC-3' HA and 5'-85 ACCCAAGCTTAGATCTCTACTTGTCAATGCTGAATG-3' NA). The plasmid pKCR5 contains the 86 CR5 promoter that has been described in [9]. The HIV-1 (HBX2) gag DNA with the Kozak consensus 87 sequence was synthetized by TOPGene Technologies (Canada) and cloned PmeI/NheI in pAdCMV5-88 GFPq [29] to give rise the plasmid pAdCMV5-gagGFP. The primary antibodies used for the 89 immunofluorescense assay were: Anti-HA LS-C140660 (LifeSpan BioSiences, USA) (I) and Anti-NA 90 91 ref: 04-230 (NIBSC, UK) (II); for Dot-Blot assay: anti-HA monoclonal produce in-house (III), universal rabbit anti-NA HCA-2 (IV) [30]; for SRID: anti-HA ref: 03/242 (NIBSC, UK) (V); for western blots: 92 (III), (II), Anti-M1 antibody [GA2B] (ab22396) (Abcam, UK) (VI), and anti-GAG monoclonal 2p24 93 Biotinylated (Hybridoma 31-90-25, ATCC) (VII). The secondary antibodies for the 94 95 Immunofluorescense assay were #115-495-003 (Jackson Immunoresearch, USA) (VIII) and Alexa Fluor® #594 A11005 (ThermoFisher Scientific, USA) (IX); for Dot-Blot: the infrared-conjugated 96 secondary antibodies IRDye (LI-COR Bioscience, USA); for western blots anti-mouse and anti-sheep 97 IgG-HRP (Jackson Immunoresearch, USA). 98

99 2.2. Generation of 293CymR-rcTA cell line

100 The 293CymR-rcTA cell line was constructed at the National Research Council of Canada (NRC) by 101 stably transfecting the plasmid pMPG-CMV5-CymROpt and pKCMV5-CuO-rcTA in the 293SF-3F6 102 cell line adapted to serum-free culture [31]. CymR is the repressor and rcTA is the reverse transactivator 103 of the cumate regulation system [9]. The addition of cumate in 293CymR-rcTA cells triggers the 104 synthesis of rcTA and its binding, resulting in the activation of the cumate responsive promoter (CR5).

105 2.3. Generation of 293HA-NA stable cells

106 293HA-NA cells were generated by transfecting 1×10^6 cells/ml of 293CymR-rcTA cells with 8µg total 107 DNA of XbaI-linearized plasmids pKCR5-HA, pKCR5-NA and pcDNA6/his-Blasticidin (amount of 108 3:3:2µg) using PEIpro (Polyplus transfection, France). The selection with Blasticidin S HCl (Enzo Life 109 Sciences, USA) was applied 48 hours post transfection (hpt). Cells were split in 96-well plates with 8-10µg/ml of Blasticidin. After 3 weeks under selection, several clones were isolated, induced with 111 cumate and screened by Western Blot.

112 The induction of protein expression for all productions in 293HA-NA cells was done at a cell density 113 of 1×10^6 cells/m using 100 µg/ml cumate (stock of 100 mg/ml in Ethanol 70%) (Ark Pharm, Inc., USA). 114 The cultures were stopped at 48-72 h post-transfection.

115 2.4. Immunofluorescence assay

To perform this assay, 293CymR-rcTA and 293HA-NA cells were seeded at 0.8x10⁶ cells/ml in 6-well 116 plates. At 48 h post-induction the culture was centrifuged to discard the supernatant. Cell pellet was 117 washed with 1% ice-cold BSA/PBS (bovine serum albumin/phosphate buffer saline) and incubated in 118 119 750 μ l of this solution for 30 min. Then, 1.5 μ L of antibody (I) at 3,7 mg/ml and 2 μ L of antibody (II) were added to the cells and incubated for 1h. The cells were washed twice in 1%BSA/PBS. 2.5 µL of 120 VIII and IX secondary antibodies were diluted in 500 µl 1% BSA/PBS and added to the cells for 1h 121 incubation in the dark at 4°C. Three washes with 1%BSA/PBS were done. The cells were re-suspended 122 123 in 200 µl 1% ice-cold BSA/PBS and transferred to a glass bottom 4-chamber dish for confocal analysis using a Fluoview FV10i, Olympus microscope. 124

125 *2.5. 3L-Bioreactor*

A 3-L Chemap type SG bioreactor (Mannedorf, Switzerland) was employed to produce the VLPs under
 controlled and monitored conditions. The bioreactor features have been previously published [5].

128 2.6. Ultracentrifugation and concentration of VLPs by sucrose cushion 25%

VLPs were concentrated following a protocol that has been previously described elsewhere [28]. All
the sucrose cushion samples presented in this work had a concentration factor of 25X.

131 2.7. Tangential Flow Filtration

The Minimate[™] TFF System (PALL Corporation) was used for the ultrafiltration of the influenza Gag VLPs from the sucrose cushion sample. A Biomax cartridge (1000 kDa 0.005 m² PXB01MC50 |
 Pellicon XL Ultrafiltration Module) was employed in the purification of influenza Gag-VLPs. Before

start the purification the cartridge was sanitized with 0.5 M NaOH overnight. Subsequently, the system
was neutralized with SuperQ water. The tubing size used was 16 SI and the retention volume of tubing
was measured as 8 ml. Hollow fiber cartridges were used to concentrate control-VLPs and influenza
Gag-VLPs recovered from TFF at 1000 KDa cut-off (ref: D02-E300-05-N, D02-E750-05-N, Spectrum
Laboratories, USA).

140 2.8. Cell lysate using RIPA buffer

The cell culture was centrifuged at 1000 rpm for 5 min. The cell pellet was washed twice with 1 ml of PBS1X. The washed pellet was incubated 30 min on ice with 100 μl of RIPA buffer (50 mM Tris-HCl at pH8, 150 mM NaCl 0,1 % SDS, 1% NP-40, 0,25% sodium deoxycholate). Then, the mix was centrifuged at 12000 rpm for 5 min. Samples were analyzed by SDS-PAGE and Western Blot, as previously described [28].

146 *2.9. Dot blot*

The protocol followed in this study has been previously published [32]. Briefly, the standards anti-HA and anti-NA employed were hemagglutinin H1N1/A/Puerto Rico/8/34, (Protein Science, USA) and neuraminidase H1N1/A/USSR/90/77, (Sino Biological Inc., China). The detection system used was Odyssey CLx imaging, (LI-COR Bioscience, USA).

151 2.10. Single Radial Immunodiffusion

The previously published protocol [28] was used and the standard curve was constructed with the samematerial used for Dot-Blot.

154 2.11. Hemagglutination assay

The protocol for hemagglutination assay [28] was used. The quantity of VLPs/ml was calculated with the equation:

157 Viral particle/ml= $[RBC] * 10^{(logHAtiter)}$; where RBC is red blood cells per well ~2x10⁶ cells/well

158 The number of RBCs are proportional to the number of viral particles at the end point dilution.

159 1 virus=1 RBC [33, 34].

160 2.12. Determination of host cell proteins and host cell DNA

161 The HEK-293 host cell proteins (HCP) ELISA kit (Cygnus Technologies, USA) was employed to

determine the concentration of HCPs in the samples. The host cell DNA was estimated using the Quant-

163 iTTM PicoGreen[®] dsDNA Assay Kit (ThermoFisher Scientific, USA).

164 2.13. Fluorescence intensity measurement and p24 quantification

165 The supernatant from daily sampling was recovered by centrifugation at 1000 rpm for 5 min. The 166 fluorescence intensity was measured using the Spectrophotometer Synergy H1 BioteK microplate reader 167 set as follows: λ_{em} =485nm and λ_{ex} =528nm. The values graphed were calculated by subtracting the 168 fluorescence intensity values obtained for non-transfected 293CmR-rcTA cells used as negative control. 169 The concentration of p24 was determined using the HIV-1 p24 ELISA assay cat# XB-1000 (XpressBio, 170 USA).

171 2.14. Transmission electron microscopy (TEM)

Transmission electron micrographs were obtained using a Hitachi H-7500 TEM, operating in high contrast mode at an acceleration voltage of 80kV and at a magnification between 30,000x and 200,000x. Briefly, a 10 μ L of sample solution was adsorbed to a glow-discharged carbon-coated copper TEM grid (Cu-300HD, Pacific Grid-Tech, CA). Sample was then stained with 10 μ L of freshly prepared and filtered 2% uranyl acetate which is applied directly on the grid. After 10 s, the stain was removed by touching the edge of the grid with a filter paper. The grid was dried at room temperature prior to the TEM observation.

179 2.15. Immunization and viral challenge

Six to eight-week-old female BALB/c mice were purchased from Charles Rivers Laboratories (St. 180 Constant, Quebec). All immunizations were done intranasally at day 0, 14, 21 under lightly anesthesia 181 182 with isofluorane and using as adjuvant 1 µg cholera toxin (CT, Sigma-Aldrich Canada Ltd., Canada). A group of mice (n=10) was immunized with 50 µl of influenza Gag-VLPs containing 2 µg of HA based 183 on concentration determined by Dot-Blot. Two groups of 5 mice each were immunized with 2 µg of 184 recombinant influenza H1N1 HA (rHA) (A/Puerto Rico/8/34, Sino Biological Inc., USA) or 185 unimmunized (naïve). A group of 7 mice was immunized with control-VLPs containing the same Gag 186 concentration of influenza Gag-VLPs dose. At day 42, five influenza Gag-VLPs immunized mice and 2 187 control-VLPs immunized mice were sacrificed for blood and nasal lavage fluid collection whereas non-188 terminal blood samples were collected in 5 rHA-immunized and 5 naïve mice as described elsewhere 189 [35]. All samples were stored at -20°C until assay. Five weeks after the last immunization, mice were 190 intranasally challenged with 10³ plaque-forming units (pfu) of the mouse-adapted influenza H1N1 virus 191 192 (A/Puerto Rico/8/34) in 50 µl PBS. Challenged mice were observed daily for 12 days to monitor body 193 weight and surviving rates.

194 2.16. Enzyme-linked immunosorbent assay (ELISA) for HA-specific antibodies detection

The 96-wells Immunolon 2R microplates (Thermo Electron Corporation, USA) were coated with 0.2 195 µg rHA/well in 50 µl of bicarbonate buffer (pH 9.6) at 4°C overnight. All the subsequent incubations 196 197 were carried out at room temperature. The plates were blocked with 5% bovine serum in PBS for 1 h, and washed three times with PBS-0.05% Tween 20. Duplicates of 100 µl pre-diluted samples (1:10 for 198 nasal IgA, 1:100 for serum IgA, IgG1 and IgG2a) were added to the wells. After 3 h incubation, alkaline 199 phosphatase-conjugated goat antibodies specific for mouse IgA, IgG1 and IgG2a (Caltag Laboratories, 200 UK) were added and incubated for 1 h. Color reactions were developed by the addition of p-nitrophenyl 201 202 phosphate (pNPP) substrate (KPL, Inc., USA), and optical density was measured at 405 nm with an 203 automated ELISA plate reader (Synergy H1, Bio-Tek Instruments Inc, USA). Pooled samples collected 204 from mice that had been intranasally immunized with the rHA+CT or from the naïve mice were used as 205 positive or negative controls for the assays, respectively.

3. Results and Discussion

207 3.1. Development of 293HA-NA stable cells

To generate the 293HA-NA cells the HA, NA and Blasticidin containing plasmids were transfected 208 into the parental cell line 293CymR-rcTA (Fig. 1A). At 48h post-transfection (hpt) cells were maintained 209 under blasticidin selection. Several cell clones were isolated, induced with cumate and the cell lysates 210 were analyzed by Western Blot (data not shown). The clone exhibiting the highest expression levels of 211 HA and NA was selected as our stable 293HA-NA cells. The expression of HA and NA proteins at the 212 213 surface of the 293HA-NA cells was also confirmed by confocal fluorescence microscopy. The two secondary antibodies employed in the immunoassay were conjugated with two different fluorophore 214 signals: green (NA) and red (HA), respectively (Fig. 1B). The membrane of the cells expressing both 215 216 proteins turned orange because of the two-color mixed effect. Some cells that are expressing only HA 217 (red membrane) or NA (green membrane) can be observed as well. The non-transfected 293CymR-rcTA 218 cells were treated in the same way and no evidence of non-specific antibody binding was detected (Fig. 219 1B).

220 3.2. Comparison of influenza matrix M1 and Gag proteins effect on influenza VLPs production

The effect of two different proteins in triggering the release of VLPs from 293HA-NA cells was studied. There are discrepancies in the literature regarding the role of M1 protein as the driving force for virus budding and assembly [22, 23]. It appears that for influenza virus, the budding process is not driven by a single protein but rather is due to a redundant cooperation among them [2]. For that reason, we evaluated in parallel the effect of HIV-1 Gag structural polyprotein, which is a well-known protein to
promote viral budding of HIV and other viruses including influenza [36-39]. To facilitate the monitoring
of Gag expression the protein was fused with the green fluorescent protein.

228 Thus, three different protein combinations were examined. Co-expression of HA-NA (non-transfected 293HA-NA cells), co-expression of HA-NA-M1 (293HA-NA cells transfected with pKCR5-M1), and 229 co-expression of HA-NA-Gag (293HA-NA cells transfected with pAdCMV5-gagGFP). Since it is 230 expected that VLPs will be released from the cells, a Western Blot analysis was performed to provide 231 first evidence of VLPs presence in the supernatant. The cell lysate was also analyzed. The results showed 232 that co-expression of HA-NA from non-transfected cells released HA in the supernatant while NA 233 expression could not be detected (Fig. 1C). With the combined expression of HA-NA-M1, the detection 234 level of HA in the sucrose cushion was similar to that observed for HA-NA co-expression suggesting no 235 positive impact on protein expression after transfection with M1. The matrix protein M1 was released 236 237 from the cells, but a larger amount remained trapped within the cells. Our observations support the results obtained by [22] that M1 by itself is not the driving force of influenza virus budding. The presence of 238 M1 in the influenza VLPs seems to influence the functionality and morphology of the VLPs rather than 239 its production [22, 28]. Most likely the current discrepancies in the literature are due to the fact that 240 241 different expression systems and/or delivery vectors have been employed, which makes it difficult to compare the results [22, 23, 32]. The expression system employed may influence the budding 242 243 mechanism since the host cell provides the cellular machinery for the viral budding, and many host proteins are found in the VLPs [27]. 244

In contrast with M1, transfection with a gag encoding plasmid was highly efficient to mediate the release of both NA and HA from the cells. This is supported by previous work in insect cells [36]. The expression of HA after HA-NA-Gag co-expression was 7-fold greater than in all previous combinations (HA-NA and HA-NA-M1). This experiment was performed in triplicate and the concentrations of HA and NA after sucrose cushion were estimated by Dot-Blot assay as shown in Table 1.

250 3.3. Influenza Gag-VLPs production in shake flasks and 3L-Bioreactor

The results obtained in Fig. 1C and Table 1 provided evidences of VLPs production when HA, NA and Gag were co-expressed in the cells. With the goal to assess process scalability, influenza Gag-VLPs production was characterized and compared in shake flasks (50 mL working volume) and fully instrumented bioreactor (3L scale). The timeline for the production process is shown in Fig. 2A. The experiment in shake flasks showed an increase in the fluorescence of supernatant and accumulation of HA protein following transfection/induction (Fig. 2B). The corresponding results obtained in bioreactor are shown in Fig. 2C. While culture performances were overall very similar at both scales, the fluorescence intensity and HA expression in the supernatant was slightly greater in the bioreactor due to better control of cell culture parameters. The transfection efficiency was found to be 81% in shake flasks and 86% in bioreactor, as measured by flow cytometry analysis of GFP expressing cells 24 hpt (data not shown). At both scales it was observed a reduction in cell growth rate and viability after transfection/induction, probably due to a toxic effect of PEI [40] and/or Gag protein.

263 3.4. Tangential Flow Filtration (TFF) of influenza Gag-VLPs

The production of VLPs from mammalian cells is accompanied by extracellular vesicles that contain host cell proteins, DNA and RNA, which are undesired material in a vaccine [28, 41]. Therefore, purification of influenza VLPs is a critical issue to address [42]. In this work, we have evaluated TFF as an important step to remove small extracellular vesicles still present in sucrose cushion sample of Gag-VLPs produced in 293HA-NA cells.

269 The influenza Gag-VLPs sucrose cushion from the 3L-bioreactor (Feed) was passed through an ultrafiltration cassette with 1000 KDa cut-off. The TFF feed employed was the sucrose cushion since 270 after bioreactor production we aimed testing different purification methods while keeping the aliquots 271 272 of VLPs well-stored (data not shown). Better process scalability and higher recoveries could be obtained in the future by avoiding centrifugation steps [43]. Thus, the influenza Gag-VLPs sucrose cushion (32) 273 ml) were diafiltered by 4 volume exchanges with a trans-membrane pressure (TMP) kept constant at 274 275 8psi. The three proteins HA, NA and Gag were clearly present in the retentate (Fig. 3A). Interestingly, proteins with very low molecular weight were also observed in the TFF retentate suggesting that these 276 small proteins are either part of the influenza Gag-VLPs or adsorbed to the influenza particles (Fig. 3A 277 278 SDS-PAGE).

The 25% sucrose cushion pellet from supernatant of non-transfected 293CymR-rcTA cells was 279 observed by transmission electron microscopy (TEM) revealing the presence of very small vesicles (10-280 90 nm) (Fig. 3B). This observation supports the need of more refined process to remove these 281 282 contaminants from final samples. Fig. 3C and D show the TEM images of influenza Gag-VLPs before 283 and after TFF, respectively. The presence of vesicles clusters and/or cell membrane can be observed in the feed (Fig. 3C). However, after ultrafiltration, the retentate recovered from TFF revealed more pure 284 influenza Gag-VLPs by TEM (Fig. 3D). The size of the influenza Gag-VLPs after TFF ranged from 50-285 286 220 nm. TFF using 1000 KDa cut-off membrane appears as a novel approach in the purification of HIV-287 Gag VLPs, since other studies have reported 300 KDa [44] and 500 KDa [45] cut-off.

288 3.5. Quantification, characterization and yield of influenza Gag-VLPs

289 Different methods have been described to quantify HA and NA, the main antigens of influenza virus

[33]. In this study, we have estimated the concentration of HA, NA and Gag proteins in our influenza 290 291 Gag-VLPs before and after ultrafiltration. The concentration of HA in µg/ml was estimated by Dot-Blot, 292 SRID and its biological activity in HAU/ml was assessed by Hemagglutination assay (Fig. 4). NA and 293 Gag concentrations were determined by Dot-Blot and HIV-1 p24 ELISA, respectively (Fig. 4A). The amount of influenza Gag-VLPs/ml was estimated by correlation with the hemagglutination units. The 294 results obtained from each quantification method are tabulated in Table 1. The slightly differences in 295 concentration obtained by SRID and Dot-Blot could be simply due to intrinsic variability between the 296 techniques or since SRID measures the antigenic conformation of HA [32], there might be less antigenic 297 HA after TFF. The HA units values obtained by the HA assay (8913 HA units/ml) in our final VLPs 298 preparation are greater compared with previous works producing influenza VLPs in mammalian and 299 insect cells [28, 33]. The ratio of NA:HA on the purified Gag-VLPs estimated by Dot-Blot was 300 approximately 1:40, while the ratio obtained for the sucrose cushion preparation of influenza virus H1N1 301 302 A/PR/8/34 produced in HEK-293 cells by using the same assay resulted in 1:4 (Table 1). Based on the results of HA concentration by Dot-Blot, the recovery after each step of the influenza Gag-VLPs 303 downstream processing is shown in Table 2. A total HA recovery of 45% was obtained after TFF. 304

In order to assess the efficiency of the TFF step to remove host cell proteins (HCP) and host cell DNA, the concentration of these contaminants was measured before and after TFF. The results showed that the HCP concentration was 1.3 and 0.49 μ g/ml whereas the host cell DNA was 3.7 and 2.46 μ g/ml before and after TFF, respectively. These data show that 62.3% of HCP and 33.3% of DNA were removed by TFF.

310 Finally and with the aim to put our VLPs production process in context with other available recombinant vaccine approaches, the absolute yield and the overall timeline from the moment of 311 receiving the sequence of the new circulating strain until the potential scaled up in a 100L bioreactor 312 and purification has been predicted. Approximately 10 weeks would be required from receiving the DNA 313 314 sequence until the final selection of the best expressing stable cell clone. Subsequently, 5 weeks might take the cells amplification and VLPs production in a 100L bioreactor. The clarification, TFF and 315 quantification analysis can be performed in one week. Thus, the production of the first bulk of VLPs 316 might take approximately 16 weeks. Certainly, this time could be shortened by further optimizing the 317 production process and by developing a better method to isolate clones [46]. The absolute yield of HA, 318 by SRID, recovered by this process after TFF is $138 \mu g$ for 1L of culture volume. 319

320 *3.6. Immunization and mice challenge protection study*

321 The immunogenicity and protective efficacy of the influenza Gag-VLPs containing HA and NA (H1N1

subtype) were evaluated in mice. Before start the experiment, the VLPs recovered after ultrafiltration by

TFF were 8X concentrated using a hollow fiber cartridge of 300 KDa pore size (purified Gag-VLPs conc.) due to volume restriction for intranasal immunization in animals. The control-VLPs were produced in shake flasks as described in Fig. 2A but by transfecting the pAdCMV5-gagGFP in the parental cell line 293CymR-rcTA instead, such a way there is not HA and NA on the VLPs. The control-VLPs sucrose cushion sample was ultrafiltered and concentrated using 750 KDa and 300 KDa cut-off hollow fiber cartridges, respectively. The concentration of the corresponding proteins in concentrated samples was tabulated in Table 1.

Thus, mice were immunized following the schedule illustrated in Fig. 5A. As shown in Fig. 5B, HA-330 specific IgA were detected in the nasal lavage fluid of mice intranasally immunized with influenza Gag-331 VLPs while no or negligible amount of specific IgA was detected in the nasal lavage fluid of mice 332 immunized with the control-VLPs. The fact that our VLPs induced mucosal IgA it is very significant 333 since the respiratory tract is the natural route of influenza virus infection [47]. The serum HA-specific 334 IgA, IgG1 and IgG2a were only detected in mice immunized with influenza Gag-VLPs and the antibody 335 levels were comparable to those in the mice immunized with rHA (Fig. 5B). The detection of IgG1 is an 336 indication of a T helper (Th) type 2 response which has been also observed after vaccination with rHA 337 [48]. Interestingly, the vaccination with influenza Gag-VLPs induced higher titer of IgG2a than the rHA 338 339 H1N1 vaccinated mice which is associated to a dominant Th1 response [49, 50]. More importantly, the influenza Gag-VLPs immunized mice demonstrated impressive protection against a subsequent lethal 340 341 intranasal challenge with the homologous virus strain in that the vaccinated mice showed little to no clinical signs or body weight loss throughout the course of infection and all the mice survived the 342 343 challenge (Fig. 5C). These results suggest that the influenza Gag-VLPs induce a potent protective immunity against lethal influenza virus challenge. 344

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469 Figure legends

470 Fig. 1. Development of the 293HA-NA stable cell clone and analysis of VLPs production efficiency. (A) Schematic 471 representation of plasmids pKCR5-HA and NA transfection in the cell line 293CymR-rcTA to create the stable cells 293HA-472 NA under the cumate regulation system [9]. (B) 72 hours post-induction with cumate, lives cells (no fixation) were observed 473 by confocal microscopy. The arrow points to cell membrane with an orange tone due to simultaneous expression of the two 474 proteins HA and NA. (C) The influence of M1 and Gag proteins on VLPs production from 293HA-NA cells. Lanes (1 and 475 5) non-transfected 293HA-NA stable cells; (2 and 6) 293HA-NA cells transfected with pKCR5-M1; (3 and 7) 293HA-NA 476 cells transfected with pAdCMV5-GagGFP; (4 and 8) non-transfected parental cell line 293CymR-rcTA as negative control; 477 (C+) sucrose cushion of influenza virus H1N1 A/PR/8/34 produced in HEK-293 cells. The culture supernatants were 25X 478 concentrated through a 25% sucrose cushion and the cells were lysed with RIPA buffer. The same total protein quantity was 479 loaded in each lane, 6 µg for sucrose cushion pellets and 50 µg for cell lysates.

480

Fig. 2. Gag-VLPs production in shake flasks and 3L-Bioreactor. (A) Diagram of the production process of influenza Gag-VLPs from 293HA-NA cells; (B) and (C) Time course of viable cell growth, cell viability, supernatant HA concentration, and GFP fluorescence intensity in shake flasks and 3L-bioreactor, respectively. The production in shake flask was repeated twice and errors bars shown are standard deviations. The time of transfection was set as 0h. The culture was stopped at 72 hours post-transfection.

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487 Fig. 3. Tangential Flow Filtration (TFF) of influenza Gag-VLPs. (A) SDS-PAGE and Western Blots for HA, NA and 488 Gag detection in the purification steps by TFF. Lanes are (C+) sucrose cushion Influenza virus H1N1 A/PR/8/34 produced 489 in HEK-293 cells; (1) The feed which is sucrose cushion from supernatant of 3L-bioreactor influenza Gag-VLPs production; 490 (2) Permeate; (3) Retentate which is referred as "purified influenza Gag-VLPs". The reducing agent used was DTT at 168 491 mM and samples were boiled for 5 min at 95°C. The position of the relevant proteins is marked with an arrow according to 492 their expected molecular weight and the detection by Western Blot (B) Transmission electron microscopy (TEM) image 493 20,000 X of 25% sucrose cushion from parental cell line 293CymR-rcTA supernatant. TEM images 20,000X (C) Feed and 494 (D) Purified influenza Gag-VLPs. Black arrows highlight the influenza Gag-VLPs, white arrows point to vesicles and striped 495 arrow indicates vesicles clusters. The VLPs are identified as the particles showing the classical dense core structure and 496 morphology of HIV, whereas the vesicles are those lacking of the dense core with more irregular shapes. A total of 10 497 different field images at different magnifications were taken of the purified influenza Gag-VLPs and none of them showed 498 presence or traces of vesicles or vesicles cluster.

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Fig. 4. Quantification of HA and NA on influenza Gag-VLPs before and after TFF. (A) Dot-Blot using anti-HA and anti-NA antibodies. (Standard) Hemagglutinin recombinant protein from H1N1 A/Puerto Rico/8/34 (10 μg/ml top dot) and neuraminidase recombinant protein from H1N1 A/USSR/90/77 (2.5 μg/ml top dot); (Feed) sucrose cushion from supernatant of 3L-bioreactor influenza Gag-VLPs production used as feed in the TFF, dilutions from ½ to 1/256 (top to bottom); (Purified)

504 Gag-VLPs) Retentate from TFF; (Ctrl -) sucrose cushion from 293CymR-rcTA cells supernatant, dilutions from ½ to 1/256

505 (vertical from top to bottom). (B) Single radial immunodiffusion (SRID) (Standard) same than for Dot-Blot anti-HA, 506 horizontal dilutions from $30-7.5 \ \mu g/ml$; samples horizontal dilutions from (2/3 to 1/8). The arrows are pointing to the

507 periphery of the rings (C) Hemagglutination assay. Samples were diluted 1/10 in PBS, then sequentially diluted by 10.

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509 Fig. 5. Immunity of influenza Gag-VLPs and mice challenge. (A) Vaccination schedule. (B) Sera IgG and/or nasal IgA 510 specific to VLPs were evaluated by ELISA. At day 42, the nasal fluid and blood from sacrificed mice of groups immunized 511 with (2µg HA) influenza Gag-VLPs and control-VLPs were analyzed for nasal IgA and sera IgA, IgG1, and IgG2a response. 512 Non-terminal blood samples from 2 µg rHA H1N1-immunized and naïve mice were assayed for HA-specific IgG sera 513 antibodies. Each individual dot represents the value from a single mouse, and the horizontal line represents the median value 514 for the group. The concentration of HA used per dose was based on the Dot-Blot assay results (C) Five weeks after last immunization, mice of all groups were intranasally challenged with 10³ pfu of the mouse-adapted influenza H1N1 virus 515 516 (A/Puerto Rico/8/34). The percentages of body weight (BW) changes and survival were daily recorded for up to 12 days. 517 Body weight data are the means for five mice per group \pm SD.

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522 Table 1. Summary of HA, NA and Gag quantification

Samples	Dot-Blot (µg/ml)		SRID (µg/ml)	HA assay (HAU/ml)	ELISA (ng/ml)	Based on HA assay
	HA	NA	HA	HA	p24	VLPs/ml
HA-NA	1.18 ± 0.14	0.05 ± 0.01	-	-	N/A	-
HA-NA-M1	1.19 ± 0.38	0.08 ± 0.00	-	-	N/A	-
HA-NA-Gag	7.59 ± 0.21	0.40 ± 0.02	-	-	-	-
TFF Feed	8.41	0.4	9.2	12589	28.8	2.52×10^{10}
Purified Gag-VLPs	6.05	0.16	3.4	8913	25.7	1.78×10^{10}
Purified Gag-VLPs conc.	42	1.86	30.2	-	178.4	-
Control-VLPs	N/A	N/A	N/A	N/A	100.8	N/A
H1N1 A/Puerto Rico/8/34	80	19.62	-	-	-	-

523 *(*N/A*) non-applicable

- 524 *(-) not measured
- 525

526 Table 2. Recovery of the purification by TFF based on anti-HA Dot-Blot results

Samples	Volume (ml)	HA (µg/ml)	Total HA (µg)	Recovery (%)
Supernatant 3L-bioreactor (before clarification)	800	0.54	432	100
TFF Feed	32	8.41	269.12	62.3
Purified Gag-VLPs	32.4	6.05	196.02	45.4

527

529 Figure 1



531 Figure 2



534 Figure 3





