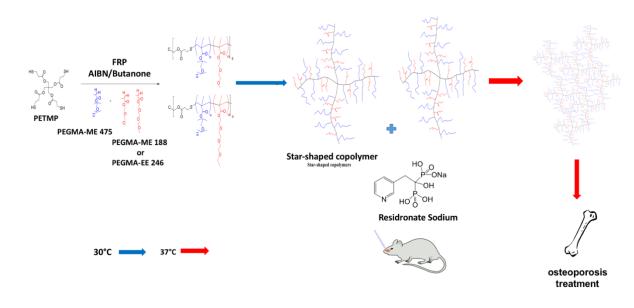
1	Star-shaped poly(oligoethylene glycol) copolymer-based gels: thermo-
2	responsive behaviour and bioapplicability for risedronate intranasal delivery
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# 33 Graphical Abstract





## 54 Abstract

The aim of this work was to obtain an intranasal delivery system with improved mechanical and 55 56 mucoadhesive properties that could provide prolonged retention time for the delivery of risedronate (RS). For this, novel in situ forming gels comprising thermo-responsive star-shaped 57 polymers, utilizing either polyethylene glycol methyl ether (PEGMA-ME 188, Mn 188) or 58 polyethylene glycol ethyl ether (PEGMA-EE 246, Mn 246), with polyethylene glycol methyl ether 59 (PEGMA-ME 475, Mn 475), were synthesized and characterized. RS was trapped in the selected 60 gel-forming solutions at a concentration of 0.2% w/v. The pH, rheological properties, in vitro drug 61 release, ex vivo permeation as well as mucoadhesion were also examined. MTT assays were 62 conducted to verify nasal tolerability of the developed formulations. Initial in vivo studies were 63 carried out to evaluate anti-osteoporotic activity in a glucocorticoid induced osteoporosis model 64 65 in rats. The results showed successful development of thermo-sensitive formulations with favorable mechanical properties at 37°C, which formed non-irritant, mucoadhesive porous 66 networks, facilitating nasal RS delivery. Moreover, sustained release of RS, augmented 67 permeability and marked anti-osteoporotic efficacy as compared to intranasal (IN) and intravenous 68 69 (IV) RS solutions were realized. The combined results show that the in-situ gels should have 70 promising application as nasal drug delivery systems.

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# 77 Keywords:

Nasal delivery; thermo-responsive star-shaped polymers; risedronate; thermogel;
cytocompatability; antiosteoporotic activity.

## 81 **1. Introduction**

Star-shaped polymers are formed of a core connected to three or more linear chains (arms) with similar lengths (Daoud and Cotton, 1982). They not only have condensed structure with small volume and size compared to linear analogues but also can be decorated with different end groups that make them beneficial in different applications (Dong et al., 2015). Arms of star-shaped polymers may be either homo-, or co-polymers, hence the final properties of the resulting polymers (e.g., star-block and heterostar copolymers) may be adjusted by choosing the respective chemical structure of an arm and core, depending on the required application (Aloorkar et al., 2012).

Among star-shaped polymers, polyethylene oxide (PEO) based polymers have been prepared, 89 tested and characterized for many biomedical, pharmaceutical and tissue engineering applications 90 (Harris, 2013). PEO based star-shaped polymers are non-ionic and biocompatible macromolecules 91 92 that have high stability and solubility, hence can function to shield drug payloads from inactivation 93 by the immune system (Lapienis, 2009). Moreover, designing polymers to have PEO chains 94 incorporated in star architectures may be advantageous compared to linear PEO counterparts as 95 these materials can have multiple functional groups at chain-ends or in core-components, adding 96 to the applicability of these polymers (Gasteier et al., 2007).

97 Recently, star-shaped PEO-based polymers have been tested for their thermoresponsive properties
98 (Badi and Lutz, 2009). These polymers were found to have a defined lower critical solution
99 temperature (LCST) in both water and physiological fluids. The thermoresponsive behaviour of
100 these polymers is thought to be due to their amphiphilic nature (hydrophilic oligo(ethylene glycol)
101 side chains and hydrophobic backbones) (Jeong et al., 2012).

102 The copolymerization of two oligo(ethylene glycol) methacrylates with different chain lengths, namely PEGMA-ME 188 and PEGMA-ME 475 was reported and produced thermoresponsive 103 104 copolymers with adjustable phase transition temperature values (Saeed et al., 2011). The reversible phase changes of these copolymers were found to be affected by their concentration and chain 105 106 length as well as ionic strength (Magnusson et al., 2008). Hence, these polymers were suggested 107 as promising candidates for the formulation of thermoresponsive materials. Oligo(ethylene 108 glycol)-based thermoresponsive materials have now been developed in the form of dendrimers, 109 microgels, and added to silica particles, gold particles, block copolymer aggregates, carbon nanotubes, and planar surfaces (Lutz, 2011). 110

Hydrogels were used for different applications in drug and cell delivery as well as in tissue
engineering as polymer scaffold (Cespi et al., 2014; Caló and Khutoryanskiy, 2015). In situ gelling
hydrogels have been exploited for drug delivery through various administration routes and
presented a great interest for nasal delivery (Cai et al., 2011; Galgatte et al., 2014; Wavikar et al.,
2017).

Osteoporosis and Paget's disease of bone are major problems in women and geriatric patients 116 117 (Riggs and Melton, 1995). In this regard, various pharmacological molecules have been attempted to accelerate new bone formation such as recombinant human fibroblast growth factor-2, 118 thrombin-related peptide, bone morphogenetic protein-2 and bisphosphonates (Hirabayashi and 119 120 Fujisaki, 2003). Bisphosphonates, as pyrophosphate analogues, possesses a known affinity for bone tissue and an outstanding antiresorptive and osteoclast inhibitory potential (Czuryszkiewicz 121 122 et al., 2005). These types of drugs are effective in the treatment of Paget's disease, osteoporosis, multiple myeloma, bone metastases, fibrous dysplasia of bone, and breast cancer (Czuryszkiewicz 123 et al., 2005; Nancollas et al., 2006). Nitrogen containing bisphosphonates (e.g. Risedronate (RS)) 124 125 are many times more potent than the non-nitrogen ones. Despite the interest in fabricating RS 126 carrier platforms, its high polarity and hydrophilicity lead to very poor oral bioavailability (<1%). In addition, RS exacerbates serious side effects in the gastrointestinal tract (GIT) such as 127 128 oesophagitis, ulcers and gastritis. Various administration routes for RS, including intravenous, subcutaneous and intramuscular routes, have been attempted in order to improve its poor oral 129 130 bioavailability (< 1%) and therapeutic efficacy as well as minimizing its side effects (Salzano et 131 al., 2011). Following intravenous (IV) administration, calcium complexes in the blood are formed 132 resulting in possible occurrence of renal failure (Toussaint et al., 2009). Furthermore, injection of 133 sodium salts was reported to develop pain and tissue necrosis at the injection site. Accordingly, a 134 focus of current research is localized delivery of RS via nasal and pulmonary routes (Fazil et al., 2016; Nasr et al., 2011). 135

In the present work, polymers of star-shaped PEGMA-ME 188 or PEGMA-EE 246 with and without PEGMA-ME 475 were synthesized and characterized for preparing thermoresponsive hydrogels. We aimed to investigate the utilization of the prepared platforms with PEGMA-ME 188 or the PEGMA-EE 246 -co- PEGMA-ME 475 as bio-applicable thermogels with optimal thermoresponsive and mucoadhesive properties in physiological conditions for the nasal delivery of RS. To our knowledge, this is the first study to demonstrate star-shaped poly(oligoethylene glycol) copolymers in situ gels as nasal delivery carriers for RS and to evaluate their *in vivo*efficacy for the treatment of osteoporosis in rat models.

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# 146 **2. Experimental**

#### 147 **2.1. Materials**

All solvents and reagents were of analytical or HPLC grade and purchased from Sigma Aldrich 148 (UK) or Fisher Scientific (UK) unless otherwise stated. Deuterated solvents were from Sigma 149 Aldrich (UK) or Cambridge Isotopes (UK). Monomers used in this study (Polyethylene glycol 150 methyl ether (PEGMA-ME 188, Mn 188), polyethylene glycol ethyl ether (PEGMA-EE 246, Mn 151 246), and polyethylene glycol methyl ether (PEGMA-ME475, Mn 475) were purchased from 152 Sigma Aldrich (UK) and purified before use by passing through a column filled with neutral 153 alumina. 2,2- azobisisobutyronitrile (AIBN) was purchased from Sigma Aldrich (UK) then 154 recrystallized from methanol. Pentaerythritol Tetra (3-mercaptopropionate) (PETMP) and 2-155 butanone were used as received from Sigma Aldrich (UK). Risedronate sodium was kindly 156 157 supplied by SPIC Pharma (India). Spectra/Por dialysis membrane, 12,000-14,000 molecular weight cutoff, was purchased from Spectrum Laboratories (Canada). MTT (3-(4,5-158 159 dimethylthiazol-2-yl)-2,5-diphenyl-tetrazolium bromide), HEPES buffer, gentamycin, dimethylsulfoxide and sodium lauryl sulphate (SLS) from Sigma-Aldrich (UK). Foetal calf serum, 160 Minimum Essential Medium (MEM), from Lonza (Belgium); and L-glutamine GIBCO®, were 161 purchased from Thermo Fisher Scientific (USA). 162

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#### 164 **2.2 Polymer synthesis and telomerization kinetics**

Polymers were prepared by free radical polymerization (Fig. S1). Monomers were weighed into a round bottom flask then PETMP and 20 ml of 2-butanone were added. Lastly AIBN was added and the mixture was degassed with nitrogen for 25 minutes. Then the solution temperature was elevated to 70 °C and polymerization was allowed to proceed at that temperature for 2 h. The reaction was then stopped by cooling the reaction vessel in liquid nitrogen. The polymer was purified from excess monomers and reagents by precipitation three times in hexane. Telomerization kinetics of Poly(PEGMA188-ME) and Poly(PEGMA246-EE) were examined by
following the concentrations of monomers and telogen (PETMP) throughout the reaction. Samples
were collected at 0, 30, 45, 60, 90, 120, 150 and 180 min and the reaction was stopped by cooling
them in ice.

Samples were evaluated by <sup>1</sup>H-NMR in CDCl<sub>3</sub> to detect monomer PEGMA188 for Poly(PEGMA188-ME) and PEGMA246-EE for Poly(PEGMA246-EE) conversion by comparing the integration of monomer vinylic protons to polymer methine protons. The remaining sample was precipitated in hexane then filtered and the filtrate was evaporated under vacuum. The residue left after evaporation was dissolved in water then any PETMP left was determined by iodine titration to determine telogen conversion (Loubat and Boutevin, 2001).

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### 182 **2.3 Characterization of the synthesized polymers**

## 183 2.3.1 FTIR characterization

FTIR spectra of the polymers were recorded in the range of 4000-400 cm<sup>-1</sup> on a Nicolet 6700 FTIR (Thermo Scientific, USA), equipped with an attenuated total reflectance (ATR) accessory providing an analysis of the sample surface. Polymeric samples were loaded on KBr discs without previous treatments or special preparation techniques. All spectra were recorded at ambient temperature under vacuum to remove air humidity contribution at a resolution of 4 cm<sup>-1</sup> and 16 scans were recorded for each measurement to obtain an adequate signal to-noise ratio.

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### 191 **2.3.2** Nuclear Magnetic Resonance Analysis (<sup>1</sup>H-NMR)

<sup>1</sup>H-NMR spectra were recorded on a Bruker 400 spectrometer (Bruker Avance III, Switzerland) at
 399.8 MHz (<sup>1</sup>H) in chloroform-d solutions. All chemical shifts are reported in ppm relative to
 TMS.

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## 196 **2.3.3. Gel Permeation Chromatography (GPC)**

As shown in Table 1, the number average molecular weight  $(M_n)$ , weight average molecular weight  $(M_w)$  and polydispersity index  $(M_w/M_n)$  of the synthesised polymers were determined using gel

permeation chromatography device (PL-50 PolymerLabs, UK) equipped with refractive index
detector. The device columns (30 cm PLgel Mixed- C, 2 in series) were eluted with chloroform
and calibrated with polystyrene standards (Mw=162–371100Da) (PolymerLabs, UK).

Standard calibrations as well as analysed samples were maintained at 40°C during analysis and a flow rate of eluent was set at 1ml/min for all experiments. All samples were dissolved in HPLC grade chloroform and filtered using 0.2  $\mu$ m filter before injecting 100  $\mu$ L aliquots.

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#### 206 **2.3.4. Rheometrical characterizations**

The rheological measurements were performed using Physica Rheometer (Anton Paar, USA),
equipped with parallel plate geometry (25 mm diameter) and the gap distance between two plates
was set as 0.5mm. RheoPlus Software (Version 3.6x) was used for measuring data.

Temperature Ramp experiments were recorded at 0.05% strain at a frequency = 1 rad s<sup>-1</sup> with a heating rate of 1°C/ min from 10 to 40°C. Before running the experiment, the lower plate and the PP25 were left in contact for 5 min at 10°C. Afterwards, 300  $\mu$ l of sample was applied on the lower plate. The sample was equilibrated at the desired temperature (10°C) for 4 min before starting the run. The tests have been performed using a fresh aliquot of sample.

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#### 216 **2.3.5.** UV/ Visible spectroscopy (Temperature dependent turbidity) measurement

A DU 800 UV spectrophotometer (Beckman Coulter, USA) with a thermostat was used for measuring the change in absorption of polymeric sample solutions over a temperature range of 10– 50 °C at a wavelength of 550.0 nm. The temperature was controlled and measured using a Peltier plate heating system (Beckman Coulter, USA) and was increased at a rate of 1 °C/min.

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#### 222 2.4. Scanning Electron Microscopy (SEM)

Gel surface morphologies were imaged via SEM. Samples were prepared by spreading freeze dried gels (Poly(PEGMA188-ME-co-PEGMA475-ME) and Poly(PEGMA246-EE-co-PEGMA475-ME)) on an aluminium stub coated with carbon tape. Samples were then coated with a thin layer of gold (Blazer SCD 030 Sputter coater, Blazer Union Ltd, Liechtenstein) for 3 minutes, then images were recorded using a JEOL 6060V scanning electron microscope (JEOL Ltd, UK) at an accelerating voltage of 30kV.

#### 230 **2.5.** Incorporation of RS in thermoresponsive gels and pH determination

All the co-polymers used in this study were prepared in cold ultrapure water (15-30% w/w), gels for nasal delivery were prepared to contain 5% mannitol as a tonicity adjuster. The solutions were kept in a refrigerator for at least 24 h to ensure complete dissolution and formation of clear homogeneous solutions. The model hydrophilic drug, RS, at a concentration of 0.2% w/v was then incorporated in the prepared solutions by simple mixing.

- The developed formulations were evaluated for pH by using a pH meter (Model 3510, Jenway,UK).
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## 240 **2.6.** Viscosity determination of RS loaded thermoresponsive gels

The sol-gel transition behavior of the hydrogels was further evaluated by viscosity measurements. The viscosity of the instilled formulation into the nose is considered to be very important for determination of nasal residence times of drugs. The prepared formulations were allowed to gel at physiological temperature and then the viscosity was determined by a DV-E Viscometer (Brookfield Engineering Laboratories, USA) using spindle no 52 at speeds ranging from 0.5 to 100 rpm (shear rates 1 to 200 sec<sup>-1</sup>) and over 10% torque. By plotting rheograms of viscosity values versus shear rate, the flow pattern was checked.

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### 249 2.7 Bioadhesion Strength of RS loaded thermoresponsive gels

To quantify mucin-polymer mucoadhesive strength of gel formulation, a simple viscometric method was used as previously described by (Hassan and Gallo, 1990). The viscosities of 15% (w/v) porcine gastric mucin dispersions in normal saline, co-polymer solutions and mucinhydrogels (RS loaded Poly(PEGMA188-ME-co-PEGMA475-ME) gel (F2) and RS loaded Poly(PEGMA246-EE-co-PEGMA475-ME) gel (F4)) mixtures were measured at 37 °C using Brookfield viscometer. The viscosity coefficient was then determined by equation 1:

$$\eta_t = \eta_m + \eta_p + \eta_b \tag{1}$$

Where  $\eta_t$  is the viscosity coefficient of the system,  $\eta_m$  and  $\eta_p$  are the individual viscosity coefficients of mucin and polymers, respectively, and  $\eta_b$  is the viscosity component due to the mucoadhesion.

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## 262 2.8 In vitro drug release study

The freshly prepared RS loaded hydrogels were used in in vitro release study similar to the one attempted by (Nasr et al., 2013). In details, hydrogels equivalent to 2 mg RS were loaded in cylinders of cellulose acetate membrane and placed in 15 mL vials containing 7.5 ml physiological normal saline (Wu et al., 2007) maintained at 37 °C in shaker water bath (Kottermann GmbH, Uetze/Hanigsen, Germany) at 50 rpm. As a control, the release of 2 mg RS solution was also performed. At selected time intervals (1, 2, 4, 6, 8, 24, and 48 h), 0.5 mL was sampled from vials and replaced with an equal volume of fresh pre-warmed saline.

The quantity of RS released was determined using UV spectrophotometry (UV-Vis spectrophotometer, UV-1601PC, Shimadzu, Japan) at 262 nm. The results of the release experiments are shown as cumulative drug percentage released plotted as a function of time.

The release profiles was compared using similarity factor ( $f_2$ ) according to (Moore, 1996) independent mathematical approach The similarity factor ( $f_2$ ) was calculated according to equation 275 2:

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$$f^2 = 50 \log \left\{ \left[ 1 + \left(\frac{1}{n}\right) \sum_{t=1}^n (Rt - Tt)^2 \right]^{-0.5} \times 100 \right\}$$
 (2)

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where n is the number of time points, Rt and Tt are the percent drug released from F2 and F4 at time t.  $f_2$  value between 50 and 100 indicate that the release profiles are similar.

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### 281 **2.9 Ex vivo permeation**

An ex-vivo nasal permeation study was performed in order to evaluate nasal absorption of RS from the prepared hydrogels. The study was performed by introducing 100  $\mu$ L of the prepared formulations in the donor compartment of a Franz-type static glass diffusion cell (Variomag Telesystem, H + P Labortechnik, Germany) with a 7.5 mL receptor volume and diffusion surface area: 1.77 cm<sup>2</sup>. The hydrogels were placed on a freshly separated sheep nasal mucosa, positioned between the donor and receptor compartments. The receptor compartment was filled with physiological saline (0.9 %, w/w), maintained at 37 ± 0.5 °C. Samples (0.5 ml) were withdrawn from the receptor fluid at appropriate time intervals up to 48 h, and replaced with 0.5 ml of fresh saline. The results of permeation studies are represented as cumulative drug amount ( $\mu$ g) permeated per unit of surface area (cm<sup>2</sup>) versus time. The steady state flux (J,  $\mu$ g/cm<sup>2</sup>/h) was determined from the slope of the linear profiles.

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## 294 **2.10 In vivo study**

In the current study, we tried to evaluate role of RS in osteoporosis model induced by dexamethasone sodium phosphate. All study procedures were evaluated and approved by the Research Ethics Committee of the Faculty of Pharmacy, Ain Shams University. A total of 24 female Albino rats weighing 225 to 250 g were selected for this study. Animals were housed under controlled environmental conditions and were maintained in plastic cages with free access to food and water and were kept at a constant temperature of 20-24°C with 12 h light/dark cycle.

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## 302 2.10.1 Glucocorticoid induced osteoporosis model in rats

Following 7 days of acclimatization, glucocorticoid-induced osteoporosis (GIO) was induced in female Albino rats by subcutaneous (SC) administration of Fortecortin<sup>®</sup> (dexamethasone sodium phosphate) at 8 mg/kg body weight per 7 days for 4 weeks (Fazil et al., 2016). Weights of rats were observed during induction of osteoporosis and their treatment.

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### 308 2.10.2 Animal groups

The rats were divided into six groups (each group contained 4 rats) as follows: 309 310 Group I (S): Received 30 µl intranasal (IN) normal saline (S) through micropipette attached to a polyethylene tube (negative control group). Group II (DEX): was given subcutaneous (SC) 311 312 dexamethasone sodium phosphate (DEX) by above mentioned dose (toxic control group). Group III (DEX+ IN RS): was given DEX plus receiving IN RS solution (1mg/kg) (Fujita et al., 2011). 313 314 Group IV (DEX+ IV RS): was given DEX plus receiving IV RS solution (1mg/kg). Group V (DEX+ IN F2): was given DEX plus receiving IN F2 in situ thermoresponsive gel (volume 315 316 equivalent to RS 1mg/kg). Group VI (DEX+ IN F4): was given DEX plus receiving IN F4 in situ 317 thermoresponsive gel (volume equivalent to RS 1mg/kg).

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#### 319 **2.10.3** Biochemical analysis of bone turnover markers

At the end of the study (28 days), animals were killed under general anaesthesia with diethyl ether after blood collection from retro orbital plexus. Blood was centrifuged at 5000 rpm for 10 min and serum stored immediately at -20°C for analysis. Serum alkaline phosphatase, serum calcium level, serum inorganic phosphorus level and serum creatinine were estimated. The values of blood biochemistry were measured in Ain Shams Laboratory Center, Cairo, Egypt using Roche biochemical regeants via photometric assay (Hitachi 917 autoanalyzer, Roche Diagnostics, USA)

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## 327 2.10.4 Histological study of bone internal structure

Autopsy samples were taken from the femur bone of rats in different groups and fixed in 10% formal saline for twenty-four hours then decalcification in formic acid. Washing was done with water then alcohol was used for dehydration. Specimens were cleared in xylene and embedded in paraffin wax melted at 56°C in an oven for twenty-four hours. Paraffin tissue blocks were prepared for sectioning at 4 microns thickness by a sledge microtome (Rotary Leica RM2245,USA). The obtained tissue sections were collected on glass slides, deparaffinised, stained by hematoxylin & eosin stain for examination via microscopy (Axiostar Plus, Zeiss, USA) (Banchroft 1996).

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## 336 **2.11 Evaluation of nasal cytocompatability**

337 MTT assays were used to evaluate the *in vitro* cytotoxicity of the prepared gels on Calu-3 cells. Briefly, cells were seeded at a density of  $1 \times 10^4$  cells/well in 96-well plates and incubated at 37 °C 338 339 in a humidified atmosphere (95% RH) with 5% v/v CO<sub>2</sub> (Humid CO<sub>2</sub> incubator, Shel lab 2406, USA). Seven aliquots of the co-polymers solutions (7.81-15.62-31.25-62.5-125-250-500 µg/mL) 340 341 were added to the wells, followed by incubation for another 24 h. Both sodium dodecyl sulphate 342 treated and untreated cells were used as positive and negative controls respectively. After 24 h of incubation, 20µL of MTT solution (5 mg/mL in phosphate buffered saline pH 7.4 was added to 343 each well and incubated at 37°C for 4 h. then, MTT solution was removed and DMSO was added 344 to solubilize the formed formazan. The absorbance was read at 570 nm on a microplate reader 345 346 (Tecan Sunrise<sup>®</sup>, Switzerland). Cell metabolic activity as a proxy for viability (%) was calculated according equation 3: 347

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Cell metabolic activity (viability, %) = (Absorbance test/Absorbance control) X 100 (3)

350 Where the absorbance control was the absorbance of untreated cells in the control wells.

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## 352 **2.12 Statistical analysis**

The measured data were shown as mean of 3 or 4 determinations  $\pm$  standard deviation (SD). The mean values were analysed for their statistical significance using either Student's t test or ANOVA using Graph Pad Instat software program version 3.06 (GraphPad Software, Inc., USA). p-value  $\leq$ 0.05 was chosen as the criterion for statistical significance.

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# 358 **3. Results and discussions**

### 359 **3.1. Preparation of gel components and telomerization kinetics**

Thermoresponsive polymers were synthesised by free radical polymerization using PETMP as a chain transfer agent. PETMP was chosen as the transfer agent in order to produce star-shaped polymers. The homopolymers of PEGMA-ME 188 and PEGMA-EE246 were prepared as controls to establish telomerisation kinetics before being copolymerized with the more hydrophilic monomer PEGMA-ME475 to adjust the gelation temperature to a value > 30°C (Fig. S2 and Table 1).

The PEGMA based polymers were prepared aiming to combine the advantageous properties of polyethylene glycol (non-toxic, non-immunogenic) and thermosensitivity in one macromolecule. Table 1 demonstrates the molar masses and characteristics of the homo- and co-polymers.

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Table 1. Summary of the polymers synthesized in this study

Polymer structure	Mn (kDa)	PDI	Тс (°С)	PEGMA475 (%)	CT
Poly(PEGMA188-ME)	38.9	1.9	26	0	0.97
Poly(PEGMA188-ME-co-PEGMA475- ME)	36.2	2.1	32	16	
Poly(PEGMA246-EE)	35	2.3	25	0	0.93
Poly(PEGMA246-EE-co-PEGMA475-ME)	43	2.2	31	10	

The control of polymer architecture through the use of polyfunctional chain transfer agent in free radical polymerization was investigated, both theoretically and experimentally. Yuan et al studied the telomerization of methyl methacrylate with the tetrafunctional transfer agent PETTMP and found a C<sub>T</sub> to be 0.64 (Yuan and Di Silvestro, 1995). It is assumed that the smaller the value of transfer constant (C<sub>T</sub>  $\approx$ 1) is an indication of telomerization reaction efficiency (Loubat and Boutevin, 2001; Pardal et al., 2009).

There are several methods of determining the transfer constant ( $C_T$ ) of the transfer agent. The most widely used one is given by (O'Brien and Gornick, 1955). This method requires a plot of  $\ln[T_0]/[T]$ versus  $\ln [M_0]/[M]$  where[ $T_0$ ], [T], [M<sub>0</sub>] and [M] are the molar concentrations of telogen and monomer at time 0 and time t respectively where the value of  $C_T$  can be determined from the slope of the line obtained.

This method was applied to the telomerization of PEGMA188-ME and PEGMA246-EE in the presence of PETTMP as a telogen under nitrogen, in 2-butanone at 70 °C, in the presence of AIBN as initiator. Each reaction was monitored by sampling, and each aliquot was quenched in ice to stop the reaction. The monomer (M) and telogen (T) conversions were determined, respectively, with <sup>1</sup>H NMR and iodine titration as explained in the experimental section.

From the change of each monomer and telogen concentration in the course of their telomerization, the  $C_T$  values were determined for Poly(PEGMA188-ME) and Poly(PEGMA246-EE) and found to be 0.97 and 0.93 respectively, indicating efficient telomerization reaction (Fig. S2).

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# 400 **3.3 Polymer characterization**

401 The chemical structure of copolymers was characterized by FTIR, <sup>1</sup>H-NMR, and GPC.

- 402
- 403 **3.3.1 FTIR**

- In order to confirm the formation of the polymers, FTIR spectra were performed as shown in Fig. S3. In the generated spectra, the bands of 1726 and 1113 cm<sup>-1</sup> were respectively assigned to the C=O and C-O stretching vibrations for carbonyl group of carboxylic ester, the wide band around 3423 cm<sup>-1</sup> to the O-H stretching vibration, the bands of 2871 cm<sup>-1</sup> and 1470 to 1380 cm<sup>-1</sup> to the stretching and bending vibrations of methylene (-CH<sub>2</sub>). The absence of the acrylate group at the range of 1620 - 1650 cm-1 indicates that acrylate groups were depleted and hence, the successful formation of polymers by free radical polymerisation (Lee et al., 2003).
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# 412 **3.3.2 NMR**

- The polymers were characterised by NMR spectroscopy as shown in Fig. S4.
- <sup>1</sup>H NMR spectra were recorded in CDCl<sub>3</sub> using Bruker 400 MHz. The molar fraction of
- 415 PEGMA475-ME in Poly(PEGMA246-EE-co-PEGMA475-ME) was calculated from <sup>1</sup>H NMR
- spectra by comparing the overall integration of the methoxy protons (3.41 ppm) to the
- 417 integration at 1.23 ppm for the 3 terminal protons of ethoxy moiety of PEGMA246-EE.
- 418
- 419 Poly(PEGMA188-ME) and Poly(PEGMA188-ME-co-PEGMA475-ME)
- 420 <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>, δ, ppm): 4.25 (-CO-OCH<sub>2</sub>CH<sub>2</sub>), 4.1-4.2 (CCH<sub>2</sub>OCOCH<sub>2</sub>-), 3.5-3.75
- 421 (-CH<sub>2</sub>OCH<sub>2</sub>CH<sub>2</sub>O-), 3.35-3.41 (CH<sub>3</sub>O-), 2.3-2.5 (OCOCH<sub>2</sub>CH<sub>2</sub>S-), 1.7-2.01 (-SCH<sub>2</sub>C(CH<sub>3</sub>)-),
- 422 0.72-1.16 (-CH<sub>2</sub>C(CH<sub>3</sub>)-).
- 423
- 424 Poly(PEGMA246-EE)
- 425 <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>, δ, ppm): 4.22 (-CO-OCH<sub>2</sub>CH<sub>2</sub>), 4.1 (CCH<sub>2</sub>OCOCH<sub>2</sub>-), 3.5-3.7 (-
- 426 CH<sub>2</sub>OCH<sub>2</sub>CH<sub>2</sub>O-), 3.4 (CH<sub>3</sub>CH<sub>2</sub>O-), 2.2 (OCOCH<sub>2</sub>CH<sub>2</sub>S-), 1.62-2.06 (-SCH<sub>2</sub>C (CH<sub>3</sub>)-), 1.23
- 427 (CH<sub>3</sub>CH<sub>2</sub>O-),0.72-1.16 (-CH<sub>2</sub>C (CH<sub>3</sub>)-).
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- 429 Poly(PEGMA246-EE-co-PEGMA475-ME)
- 430 <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>, δ, ppm): 4.3 (-CO-OCH<sub>2</sub>CH<sub>2</sub>), 4.1 (CCH<sub>2</sub>OCOCH<sub>2</sub>-), 3.5-3.7 (-
- 431 CH<sub>2</sub>OCH<sub>2</sub>CH<sub>2</sub>O-), 3.47 (CH<sub>3</sub>CH<sub>2</sub>O-), 3.41 (CH<sub>3</sub>O-), 2.3-2.45 (OCOCH<sub>2</sub>CH<sub>2</sub>S-), 1.62-2.06 (-
- 432 SCH<sub>2</sub>C (CH<sub>3</sub>)-), 1.23 (CH<sub>3</sub>CH<sub>2</sub>O-), 0.72-1.16 (-CH<sub>2</sub>C(CH<sub>3</sub>)-).
- 433
- 434 **3.3.3** Gel permeation chromatography (GPC)

Fig. S5 and Table 1 present the molar masses relative to polystyrene standards for the respective polymers. The polymers synthesised had a large polydispersity index, as expected for polymers prepared by free radical polymerization methods. Conventional free radical methods were used since they do not require complex conditions or metals, employed in controlled polymerisations that might be difficult to eliminate and which could otherwise present a source of toxicity (Johnson et al., 2008) when used with cells (Chen et al., 2006). Prior reports have shown that high polydispersity polymers can still form thermogelling solutions (Lietor-Santos et al., 2009).

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## 444 **3.6. Rheological property**

Gels made from the prepared polymers were characterised by experiments to measure their 445 elastic/storage (G`) and the viscous (G``) moduli. The variation of G` with temperature was 446 447 apparent in temperature/gel moduli plots of a representative gel (Fig. S6A). This could confirm the high dependency of intermolecular attraction between polymer chains, and hence the gel 448 449 elasticity, on temperature. Below the Lower Critical Solution Temperature (LCST) of the polymers (as estimated from the cloud point), they behaved as viscous fluids with  $G^{>} G$ . At temperature 450 values around the LCST, a crossover occurred, with G > G, indicative of gel formation. Both 451 moduli increased with temperature, with G` remaining higher than G``. 452

The ability to manipulate the properties of the gel by changing the thermoresponsive polymer is shown in Fig. S6B. For equivalent composition ratios, gels formed above LCST using homopolymers were of higher moduli than copolymers containing PEGMA475. The rheology experiments thus indicated that the mechanical properties of the gels were able to be tuned by composition, suggesting a simple way of tailoring the gel properties for a particular application.

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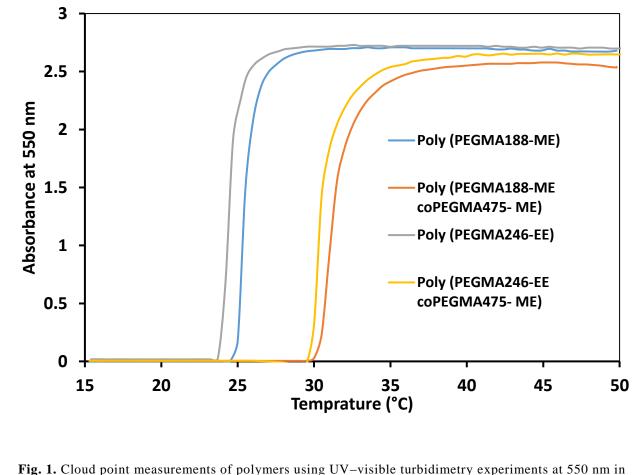
#### 459 **3.3.4. Cloud point determination**

Thermoresponsive polymers undergo a coil-to-globule transition at the lower critical solution temperature (LCST) and become water insoluble (Liu et al., 2009). When the polymers are in the collapsed state their solution becomes turbid as a result of polymer chain aggregation.

The solution turbidity starts at the cloud point temperature (Cpt) and this can be easily determined experimentally by light transmission measurement. Cloud point temperatures were measured to

estimate the LCST for each polymer (Alava and Saunders, 2006) as shown in table 1.

466 Phase transitions temperatures of the polymers were modulated by copolymerization of hydrophobic OEGMA component with the hydrophilic PEGMA monomer (Table 1, Fig. 1). We 467 468 were interested in polymers that would exhibit phase transitions at, or close to, body temperature. it was found that the statistical FRP copolymer of OEGGMA-co-PEGMA (95/5 monomer-feed 469 470 ratio) met this criterion. As expected, the cloud point temperatures were higher for PEGMA-ME475 copolymers than homopolymers, owing to the presence of hydrophilic monomer. 471 472 PEGMA-ME475 had the effect of facilitating polymer chain dehydration to happen at higher cloud point value. Similar cloud point values have been reported previously for a number of linear 473 thermosensitive polymers (Magnusson et al., 2008). Hence, Poly(PEGMA188-ME-co-474 PEGMA475-ME) and Poly(PEGMA246-EE-co-PEGMA475-ME) were suitable for drug loading 475 476 and its nasal delivery.

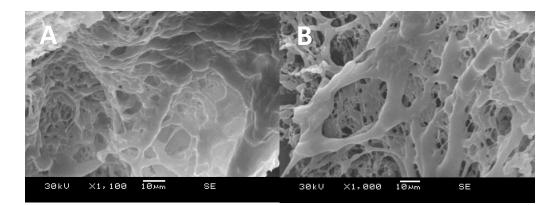


479 Fig. 1. Cloud point measurements of polymers using UV-visible turbidimetry experiments at 550 nm in
 480 water (1 mg/mL) versus temperature.

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482 **3.4 SEM imaging** 

- 483 Fig. 2 depicts typical SEM micrographs of freeze-dried Poly(PEGMA188-ME-co-PEGMA475-
- 484 ME) and Poly(PEGMA246-EE-coPEGMA475-ME) hydrogels the microstructure of the interior
- 485 of the freeze-dried hydrogels was revealed. Poly(PEGMA188-ME-coPEGMA475-ME) hydrogels
- 486 had relatively regular pores of tens to hundreds of micrometres in size formed through well-
- 487 connected gel matrices.
- The morphology of Poly(PEGMA246-EE-co-PEGMA475-ME) appeared somewhat different, where an irregular, entangled fibrous morphology is noticed. It is obvious that gel matrices appeared porous with channels/voids of 5–20  $\mu$ m. Calculations indicated that this void volume was equivalent to 60–75% porosity in the gels studied.
- 492 The porous network structure of these hydrogels with their biodegradability and relatively strong
- 493 gel strength suggested that these materials might be useful as drug delivery biomaterials the high
- 494 internal surface areas with low diffusional resistance would be favorable for small drug molecules
- like RS to move freely in the polymeric network.



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Fig. 2. Representative Scanning Electron Micrograph of (a) F2 and (b) F4.

## 499 **3.5. Incorporation of RS in thermoresponsive gels and pH determination**

500 Based on a preliminary study, the co-polymer solutions (Poly(PEGMA188-ME-coPEGMA475-

501 ME) and Poly(PEGMA246-EE-coPEGMA475-ME)) at 30% were chosen, owing to their

- capability to form hydrogels upon heating in a water bath at 37°C. The developed in situ gels were
- loaded with RS (0.2% w/v) by simple mixing (F2 and F4 respectively). The image of pre-gelled
- solution and formed hydrogel are shown in Fig. 3.
- 505 The pH of nasal formulations should be such that the formulation will be stable at that pH and at 506 the same time there would be no irritation to the patient upon administration. Tolerable non-

irritable nasal formulations should have pH range in between 4.5 to 6.5. The pH values of hydrogels were found to be within the physiologic range of nasal application amounting of  $5.55 \pm$ 0.02 and  $5.79 \pm 0.04$  for F2 and F4, respectively.

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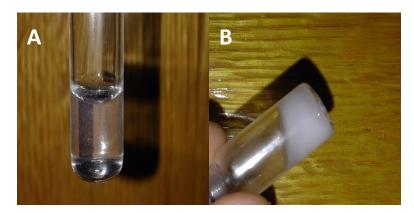


Fig. 3. Sol-gel phase transitions of F2 in (a) a sol state at 25 °C, (b) gel state at 37 °C.

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# 516 **3.6 Viscosity determination of RS loaded thermoresponsive gels**

517 Concerning rheological measurements, the rheograms obtained for the two investigated gels at 518 37°C displayed the pseudoplastic and shear-thinning behaviour (Fig. 4). Such rheological patterns 519 could therefore be preferred so that the viscosity decreases, facilitating the flow of the in-situ gel 520 upon application for intranasal use. 521

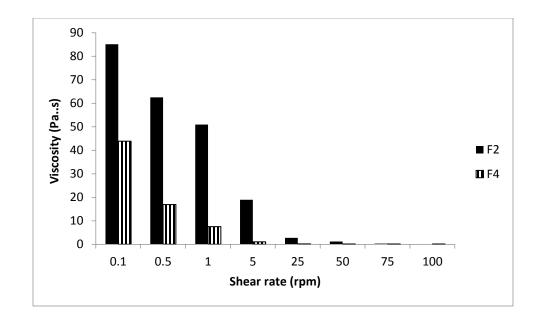


Fig. 4. Viscosity measurements of the investigated gel formulations. Data are reported as the mean of three
 independent experiments.

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#### 526 **3.7 Bioadhesion strength of RS loaded thermoresponsive gels**

When considering nasal delivery, it is highly desirable to formulate bioadhesive delivery vehicles to enhance their localization and retention time in the nasal area, thus intensifying the contact with nasal mucosa for the drug absorption. This was the basis for investigating the mucoadhesive properties for the prepared formulae in this study. The viscosity of the prepared formulae plays an important role in determining the mucoadhesive properties (Suvannasara et al., 2013). Therefore, in this work, mucoadhesive force between the interacting polymers (F2 and F4) and the mucin were elucidated based on viscosity data.

The results indicated rheological synergism and promising mucin-thermoresponsive gels 534 association. The viscosity values of mucin-gels mixtures showed values of  $1.85 \pm 0.07$  and  $1.64 \pm$ 535 0.016 Pa·s for F2 and F4 respectively. Such values were revealed to be higher than the sum of the 536 corresponding viscosity values of the separate components of mucin  $(1.14 \pm 0.11 \text{ Pa} \cdot \text{s})$  and 537 individual co-polymers (respective 0.10  $\pm 0.04$  and 0.096  $\pm 0.008$  Pa·s values for 538 539 Poly(PEGMA188-ME-co-PEGMA475-ME) and Poly(PEGMA246-EE-co-PEGMA475-ME). Furthermore, F2 exhibited a significantly higher component of mucoadhesion (0.6 Pa·s), almost 540 1.51 fold higher than that for F4 (0.39 Pa·s) (P<0.001). Therefore, such formulations were 541 542 considered potential mucoadhesive intranasal drug delivery systems.

It was found that incorporating high molecular weight PEG based polymers onto the surfaces of hydrogels and hydrogel microparticles enhanced mucoadhesion. PEG chains are able to interpenetrate and associate with mucin by forming hydrogen bonds with its carbohydrate regions hence acting as a 'glue' (Wang et al., 2008) (Maisel et al., 2016).

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### 548 **3.8 In vitro drug release**

RS was utilized as a hydrophilic model drug to evaluate the feasibility of F2 and F4 for its sustained delivery. RS has a log octanol/water partition-coefficient of -3.48 (Biernacka et al., 2013). Free RS reached 100% release within 4 hours owing to its high polarity and hydrophilicity, similar to that previously reported (Nasr et al., 2011). The release profile of RS from hydrogels is investigated as shown in Fig. 5. The data indicates that 63 and 61.5 % of RS was released in 2 days for F2 and F4 respectively under sink conditions. Such similar percent RS release was confirmed by similarity factor calculated value ( $f_2 = 72.37$ ).

- RS exhibited an initial burst release from both hydrogel matrices reaching values of 43.7 and 37 556 % at first hour respectively followed by slowed and sustained release rate till the end of 557 558 experiment. The burst release of RS release was more likely due to the presences of RS on the surface or distributed in the hydrogels network during the gelation process. Besides, the 559 hydrophilic RS might possess a higher tendency to partition in the hydrophilic domain of the 560 hydrogel and to diffuse rapidly and easily through the hydrogel into the release medium. The 561 562 porous network of the prepared matrices, observed in SEM images, confirmed the previous hypothesis, indicating the diffusion-controlled drug release. 563
- After that, the entrapped RS into the hydrogel was released slowly due to the swelling and degradation of the hydrogel. Because the hydrogel did not degrade completely during the time of investigation, some part of the RS entrapped in the inner core of the hydrogel would not be released until the hydrogel was degraded entirely.
- It is worthy to note that the prepared hydrogels (F2 and F4) exhibited a wide range of erosion rates and maintained their mass for over two days. The percentage of gels that remained after 2 days was 25 and 30% for F2 and F4, respectively (data not shown). F4 showed significantly higher resistance against mass erosion in aqueous solution as compared to F2. This might be attributed to its content of the longer chain length of PEGMA246-EE. Higher viscosity of the in situ platform

573 might also slow the degradation. Consequently, such in situ gels seemed to be more functional for574 a sustained RS delivery.

A similar release profile was observed for an intranasal thermosensitive hydrogel utilizing quaternized chitosan and poly(ethylene glycol) (Wu et al., 2007). Such a biphasic release pattern was a common finding seen in previous research papers studying the release of different biopharmaceuticals from thermosensitive hydrogels (Rangabhatla et al., 2016; Wu et al., 2007).

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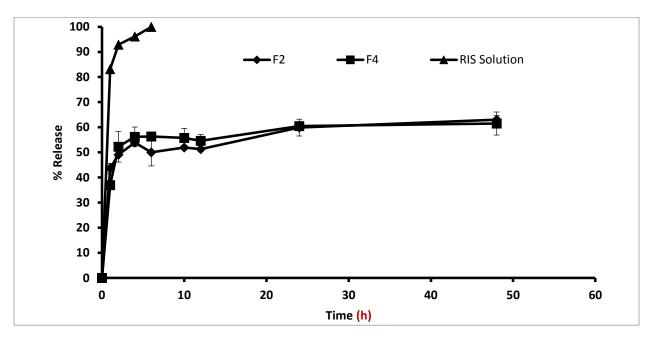


Fig. 5. In vitro release profiles of RS and RS loaded gel formulations over 48 h. Data are reported as the mean ± S.D. of three independent experiments.

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#### 584 **3.9. Ex-vivo permeation**

Fig. 6 illustrates the amount of RS permeated per unit surface area of the sheep nasal mucosa
versus time for F2 and F4, using RS solution as a comparison. RS-loaded formulae exhibited
higher permeation as compared to RS solution. According to the biopharmaceutics classification
system, RS belongs to class III (high solubility/low permeability) (Fazil et al., 2016; Jung and Han,
2014).

590 Permeation enhancement potential of the two investigated formulations could be due to the

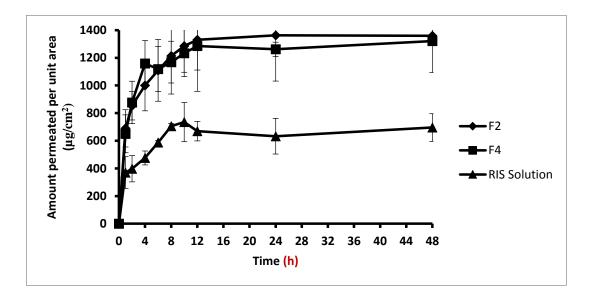
surfactant properties of PEG ethers in the polymers (Casiraghi et al., 2015), possibly solving the

592 permeability problem and low flux of RS (Nam et al., 2011). Additionally, the hydrogels took

more time for degradation in the biological system which showed permeation over 48 h. Moreover,
the mucoadhesive capability of the thermogels might contribute to nasal retention which plays a
very important role in transnasal penetration promoting effects.

The flux for F2 and F4 was found to be 70.9 and 94  $\mu$ g/cm<sup>2</sup>h<sup>-1</sup>, respectively, while only flux value of 43.52  $\mu$ g/cm<sup>2</sup>h<sup>-1</sup> was depicted for RS solution, proving that the RS containing formulae possessed high penetration through the nasal mucosa. Similar to what was obtained in the in vitro release experiment, there was no statistically significant difference between the cumulative amount of RS from F2 and F4 permeated after 48 h (p >0.05) (Maisel et al., 2016).

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Fig. 6. Ex vivo permeation of RS from its solution and RS loaded gel formulations. Data are reported as
 the mean ± S.D. of three independent experiments.

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### 607 **3.10 In vivo study**

608	Table 2. Biochemical analysis of serum levels of bone turnover markers for the different treatment groups. Data are
609	reported as the mean $\pm$ S.D. of four independent experiments.

Experimental Group	Treatment	ALP (IU/I)	Serum Calcium (Ca) (mg/dl)	Serum Phosphorus (P) (mg/dl)	Serum creatinine (mg/dl)
Group I	IN saline	144.5±23.3	9.7±0.07	8.2±0.3	0.3±0.05

Group II	SC DEX	383±111.7	8.6±0.2	3.7±3.3	$0.5 \pm 0.07$
Group III	DEX+IN RS	304.5±3.5	9.8±0.4	8.9±1.6	$0.3 \pm 0.05$
Group IV	DEX+IV RS	217±125.9	9.9±0.3	9.4±2.4	0.3±0.26
Group V	DEX+IN F2	206±62.2	10.1±0.4	9±1.4	0.3±0.05
Group V1	DEX+IN F4	271±145.7	9.8±0.1	9.2±1.6	$0.4 \pm 0.05$

In this study, an osteoporosis model was induced by SC administration of toxic doses of dexamethasone sodium phosphate (DEX) in experimental rats. DEX, as a glucocorticoid, has the potential to compromise the skeletal integrity, decrease osteoblastic activity and promote osteoblast and osteocyte apoptosis, resulting in increased fractures risk and augmented bone loss (O'Brien et al., 2004; Yao et al., 2008).

Following induction of osteoporosis and RS treatment, biochemical markers, namely, alkaline
phosphatase (ALP), calcium, inorganic phosphorus and creatinine, were tested. Serum ALP is an
osteoblast-related protein that directly promotes osteoid formation and mineralization. It is a bone
formation marker that used to indicate metabolic bone disease (Garnero and Delmas, 1993;
Regidor et al., 2008). Serum calcium and phosphorus are also markers of osteoporotic bone and
bone mineral content and creatinine is a marker of Bone resorption (Fazil et al., 2016).

Table 2 depicts the biochemical examination of serum levels of bone turnover markers. Group-I, which acted as a negative control, exhibited normal serum levels of ALP and creatinine amounting to  $144.5 \pm 23.3$  (IU/l)) and  $0.3 \pm 0.05$  (md/dl) respectively. On the other hand, the toxic group II, receiving SC DEX alone, showed a marked elevation in the serum levels of ALP and creatinine with respective values of  $383 \pm 111.7$  (IU/l) and  $0.5 \pm 0.07$  (mg/dl), the highest among all the

627 tested groups, confirming the induction of GIO.

This was reversed by RS in all RS treated groups (groups III-VI). A significant reduction in ALP and creatinine serum levels below the toxic level were demonstrated confirming promising recovery and capability of decreasing GIO risk. A notable significant increase of these values was obtained as compared to those of normal group (group I) (p<0.05).

632 Concerning serum calcium and phosphorus levels, the toxic group exhibited significantly reduced 633 values among all the tested groups, proving osteoporosis formation. Following induction of 634 osteoporosis, fragile conditions of the bone led to the release of calcium and phosphorus and their 635 urinary excretion and hence, their reduced absorption (Fazil et al., 2016). All treated groups with different forms of RIS showed higher calcium and phosphorus levels, proving the ability of RS toenhance the absorption of calcium and its bone deposition and to prevent bone-breaking tendency.

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#### 639 3.11 Histopathological examination

640 Histopathological examination of bones of animals of group I (normal control) (Fig. 7a) showed no histopathological alteration and the normal histological structure of the osteoblasts. The toxic 641 group II histological manifestations exhibited focal areas of resorption with appearance of cavities 642 and multiple osteoclasts as indicated by white arrows (Fig.7b), confirming osteoporosis induction. 643 644 There were focal areas of resorption with few osteoclasts noticed in histological features in rats of 645 group III treated with IN solution. The histopathology of bone was quite similar to the picture in rats of group IV, treated with IV solution, exhibiting focal area of osteogenesis with remodeling 646 647 of the osteoblasts (Fig.7 c and d).

As for rats of group V treated with F2, there were focal area of osteogenesis with osteoblasts
remodeling as well as formation of dark basophilic lines of bone deposition as indicated by black
arrows. The histopathological picture mirrored the results of treatment of osteoporosis (Fig.7e).

Group VI treated with F4 shows the ultimate treatment as manifested by the angiogenesis of newly
blood capillaries with dark basophilic line of bone deposition as indicated by white star. In
addition, osteoblasts arrangement was recorded (Fig.7f), achieving promising recovery following
osteoporosis induction.

The prepared in situ gels were superior in their inhibitory effect as compared to IN and IV solutions, possibly due to proven low permeability of the hydrophilic drug. In addition, as for IN solution, the expected rapid wash out owing to nasal mucociliary clearance might contribute to its lower antiosteoporotic capability (Fazil et al., 2016).

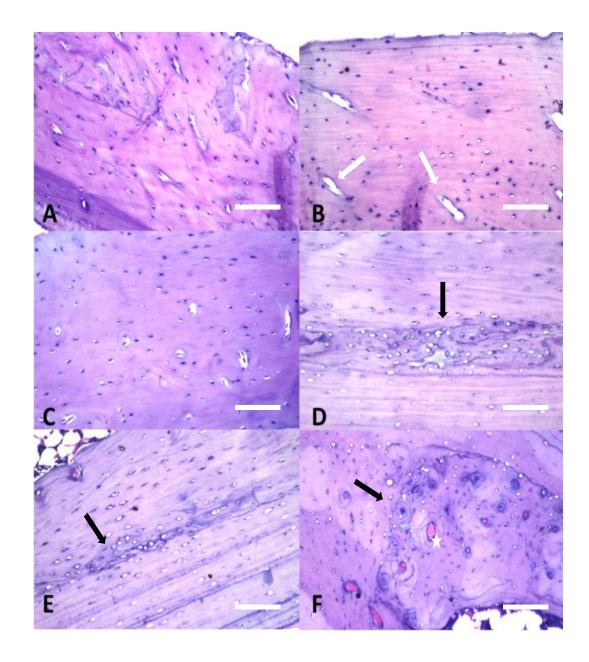
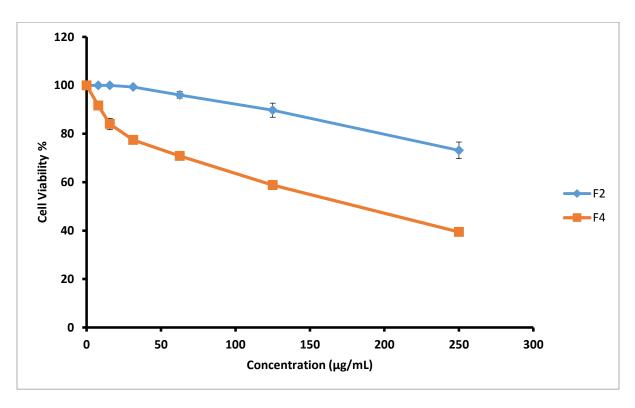


Fig.7. Light micrograph of rat internal structure of bone treated with (a) IN saline, (b) SC DEX, (c) DEX+IN RS, (d)
 DEX+IV RS, (e) DEX+F2 and (f) DEX+F4. White arrows indicate focal areas of resorption with appearance of
 cavities, black arrows indicate focal area of osteogenesis and white star indicates angiogenesis of newly blood
 capillaries (White bar 100 μm).

### 667 **3.12 Evaluation of nasal cytocompatability**

668 Mucoadhesive thermoresponsive hydrogels must be capable of delivering drugs in a sustained 669 manner without compromising the host cell viability following nasal administration. In this regard, the effect of the prepared hydrogels on the cell viability of Calu-3 cells was investigated. Good 670 cytocompatability was observed for F2 and F4 with no apparent cytotoxicity against the tested 671 cells (cell viability >75%) after 24-h incubation at concentrations up to 250 and 62.5 µg/mL 672 673 respectively (Fig. 8). It was only at hydrogel concentrations of 125 and 250 µg/mL that the cytotoxicity of F4 was significantly reduced. Lower cytocompatability values seen with F4 could 674 be associated with its content of longer chains of PEGMA246-EE, possibly greatly interfering with 675 the cell viability. Such values were comparable with other PEGMA-bearing copolymers utilized 676 677 recently for drug delivery (Blanco-Fernandez et al., 2017). In addition, nonlinear PEG analogues had not induced cell death, even at a concentration as high as 10 mg/mL (Lutz, 2008). 678





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**Fig. 8.** Viability of Calu-3 cells by MTT assay after incubation with various concentrations of the test samples at 37 °C. Data are reported as the mean ± S.D. of three independent experiments.



# 684 **4. Conclusion**

We suggest that the developed thermoresponsive in situ gels can contribute to the successful nasal delivery of RS. The characterization of the prepared in situ gels and the results of release, ex-vivo permeation experiments showed favourable rheological properties, mucoadhesive potential, sustained drug release and good permeability enhancement. Non-irritating pH value and cytocompatability of in situ gels confirmed their reasonable nasal tolerability. Moreover, better in vivo inhibitory effect of RS-loaded in situ gels was achieved compared to IN and IV RS solutions on osteoporosis induction in experimental rats. Hence, these newly developed in situ gel formulations have characteristics which are appropriate for mucoadhesive thermoresponsive delivery systems.

# **5. Acknowledgment**

This research was supported by the Egyptian Government Scholarship to MES. We also thank DrAram Saeed for experimental support and helpful discussions.

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