

1 **Title**

2 Lack of evidence for interhemispheric inhibition in the lower face primary motor cortex

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34 **Abstract**

35 **Objective** To investigate interhemispheric inhibition (IHI) between the facial primary motor
36 cortices (fM1s).

37 **Methods** IHI was investigated in 10 healthy subjects using paired-pulse TMS in the
38 depressor anguli oris (DAO), upper trapezius (UT) and first dorsal interosseous (FDI)
39 muscles. Conditioning stimuli (CS) of 90-130% resting motor threshold (RMT) preceded
40 test motor evoked potentials (MEP) by 7 interstimulus intervals (ISIs) ranging 4-12 ms. In
41 the DAO, we also examined IHI at 1-2 ms ISIs.

42 **Results** IHI was detected in the UT (CS 130% RMT; ISI 8 ms; $p=0.02$) and FDI (CS 120%
43 and 130% RMT, at 8-10 ms ISIs; $p=0.004$), but not in DAO at any ISI, instead, there was
44 facilitation at 1-4 ms ISIs and 110-130% RMT CS. In the DAO, conditioned responses at 1-
45 4 ms ISIs were significantly larger than both test MEPs and the response induced by the
46 CS alone.

47 **Conclusion** In the DAO there was no evidence of IHI even though this was clear in hand
48 and axial muscles. Control experiments excluded a transcallosal origin of the facilitation
49 observed at the shortest intervals.

50 **Significance** Data suggest that integrated bilateral control of facial muscles occurs mainly
51 at the level of brainstem circuits engaged by corticobulbar output from fM1.

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55 **Keywords**

56 Facial muscles; face primary motor cortex; corpus callosum; brainstem; interhemispheric
57 inhibition; IHI

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67 **Highlights**

- 68 • Interhemispheric inhibition (IHI) lacked in the depressor anguli oris muscle.
69 • IHI was instead clear in hand and axial muscles.
70 • Integration of facial bilateral movement may occur mainly in the brainstem.

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72 1. Introduction

73
74 Co-ordination between the two hands in bimanual movements is common to many daily
75 tasks (Wahl and Ziemann, 2008; Takeuchi et al., 2012) and has been shown to involve
76 activity in supplementary motor area (SMA) and the lateral premotor cortex (Sadato et al.,
77 1997; Toyokura et al., 1999), as well as the transcallosal connection between the
78 premotor and sensorimotor areas of both hemispheres (Sperry, 1968; Preilowski, 1972;
79 Jeeves et al., 1988; Leonard et al., 1988; Geffen et al., 1994). Indeed, many studies have
80 shown that interhemispheric interactions are an important contributor to movements
81 involving both body sides (Wahl and Ziemann, 2008; Perez & Cohen, 2009).

82 Ferbert and co-workers (1992) described a technique to evaluate the interhemispheric
83 interactions between the hand primary motor cortices (M1) of the two sides in intact
84 human subjects using double-pulse transcranial magnetic stimulation (TMS). They
85 showed that the motor evoked potential (MEP) evoked by a supra-threshold stimulus over
86 one M1 was suppressed by a conditioning stimulus to the contralateral M1 given between
87 6 and 15 ms earlier. This phenomenon was termed inter-hemispheric inhibition (IHI) and
88 was suggested to be due to activation of transcallosal outputs by the conditioning pulse,
89 since this effect was absent in patients with agenesis of the corpus callosum (Meyer et
90 al., 1995).

91 IHI was described initially in hand muscles. However, later studies found that IHI between
92 the more proximal triceps or scapula-thoracic muscles was less effective than in the FDI
93 (Harris-Love et al., 2007; Matthews et al., 2013). The implication was that bilateral
94 coordination between more proximal muscles was less dependent on transcallosal
95 connections than between distal muscles. Indeed, animal studies have shown that the
96 control of proximal muscles is less affected by callosal section, presumably due to the
97 fact that each hemisphere has access to bilateral connections to proximal muscles via
98 cortico-reticulospinal pathways (Brinkman and Kuypers, 1972).

99 There are few studies of bilateral control in facial primary motor cortex (fM1). Anatomical
100 tracer studies in animals, demonstrated that fM1, as defined by intracortical
101 microstimulation, is connected with its homolog in the other hemisphere through callosal
102 fibers, at least in the owl monkey (Gould et al., 1986) and in the macaque monkey
103 (Rouiller et al., 1994). In contrast with these findings, a neuroimaging study failed to
104 identify callosal motor fibres connecting fM1s, in humans (Wahl et al., 2007). A previous

105 TMS study demonstrated that fM1 sends bilaterally symmetric projections to the lower
106 facial muscles and that the ipsilateral projections utilised a direct corticobulbar connection
107 rather than employing a transcallosal pathway via the opposite hemisphere (Pilurzi et al.,
108 2013). The aim of the present study was therefore to investigate the presence of IHI
109 between the two fM1s using the depressor anguli oris muscle (DAO) as a model. Results
110 were compared with those from the FDI and the upper trapezius muscle (UT). When
111 interpreting the results note that it is necessary to bear in mind that DAO motoneurons
112 receive a bilateral projection from fM1 (Pilurzi et al., 2013) that complicates interpretation
113 of the IHI data.

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116 **2. Methods**

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118 **2.1 Participants**

119 Experiments were conducted in fifteen healthy volunteers (8 females and 7 males; mean
120 age 28.57 ± 3.90 years), all right handed according to the Oldfield Inventory Scale
121 (Oldfield, 1971). All subjects gave their informed written consent to participate in the
122 study, which was approved by the local ethical committee and conducted in accordance
123 with the declaration of Helsinki. None of the subjects had history or current
124 signs/symptoms of neurological diseases. Subjects sat in a comfortable chair and were
125 asked to stay relaxed but alert during the experiments.

126

127 **2.2 EMG**

128 EMG was recorded contralaterally, in different experimental sessions, from the DAO, FDI
129 and UT muscles, using 9 mm diameter Ag-AgCl surface electrodes. For EMG recordings
130 from the DAO, the active electrode was placed at the midpoint between the angle of the
131 mouth and the lower border of the mandible, the reference electrode over the mandible
132 border, 1 cm below the active electrode and the ground electrode over the right forehead
133 (Pilurzi et al, 2013). For EMG recordings from the FDI, the active electrode was placed
134 over the muscle belly, the reference electrode at the second finger metacarpo-phalangeal
135 joint and the ground electrode over the forearm (Farbert et al., 1992; Rossini et al., 2014).
136 For the UT EMG recording, the active and reference electrode were placed 3 cm apart
137 over UT with a distance of 3 cm between each other's and the ground on the sternum

138 (Matthews et al., 2013). Unrectified EMG signals were recorded (D360 amplifier,
139 Digitimer Ltd, Welwyn Garden City, UK), amplified (x1000), filtered (bandpass 3-3000
140 Hz), sampled (5 kHz per channel; window frame length: 250 ms) using a 1401 power
141 analog-to-digital converter (Cambridge Electronic Design, Cambridge, UK) and Signal 6
142 software on a computer and stored for off-line analysis.

143

144 **2.3 TMS**

145 TMS was performed using a figure-of-eight shaped coil with external loop diameter of 7
146 cm connected to a Magstim 200 stimulator (Magstim Co., Whitland, and Dyfed, UK). The
147 optimal stimulation site, for the contralateral DAO, FDI or UT muscles was carefully
148 searched and then marked with a soft tip pen over the scalp, to maintain the same coil
149 position throughout the experiments. The optimal coil position for eliciting MEPs in the
150 DAO was roughly 4 cm anterior and 8 cm lateral from the Cz with the handle of the coil
151 pointed posteriorly and laterally, at approximately 30-45 deg to the interhemispheric line
152 (Kujirai et al., 2006; Pilurzi et al., 2013). For both FDI and UT the coil pointed backwards
153 and laterally (postero-anterior orientation) at 45 deg away from the midline. The resting
154 motor threshold (RMT) was taken as the lowest TMS intensity that elicited, in the relaxed
155 muscle, MEPs of 0.05 mV in at least 5 out of 10 consecutive trials and was expressed in
156 percentage of the maximum stimulator output (MSO) (Groppa et al., 2012; Rossini et al.,
157 2014). Active motor threshold (AMT) was established as the minimum stimulus intensity
158 able to evoke MEPs >0.2 mV peak-to-peak amplitude in at least five out of ten
159 consecutive trials during isometric contraction of the tested muscle at 10% of maximum
160 voluntary isometric contraction (MVIC) (Rossini et al., 2014). The intensity of the TS for
161 TMS was 120% of RMT.

162

163 **2.4 Experimental design**

164 The design of the study comprised a main experiment (experiment 1) and two control
165 experiments (experiment 2 and 3) which took place one week apart from the main
166 experiment.

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168 **2.4.1 Experiment 1. Interhemispheric inhibition between M1s innervating the DAO,**
169 **FDI and UT muscles.**

170 In ten subjects, the IHI was performed in the M1 representation of the DAO, FDI and UT
171 muscles. IHI was tested using 7-cm double coils and delivering a CS to the M1 of one
172 side before the administration of a test stimulus to the contralateral M1, using a CS
173 intensity between 90-130% of RMT. IHI was measured in the contralateral muscle from
174 both left-to-right and right-to-left M1s in a randomized order. The experiment was divided
175 up into three blocks: IHI in DAO, IHI in FDI and IHI in UT muscles. In each block, TS
176 alone and 4, 6, 8, 10, 12 ms conditioning-test interstimulus intervals (ISIs) were tested.
177 The three blocks and all states (TS alone and ISIs) were randomized in each subject. Ten
178 unconditioned MEPs and ten conditioned responses for each ISI were recorded.

179

180 **2.4.2 Experiment 2. Investigation of a possible direct activation of the DAO by the**
181 **CS alone and by paired CS-TS at 1-4 ms ISIs.**

182 In order to investigate the origin of the early facilitation of the DAO observed at 4 ms ISI
183 following the IHI protocol, the effects of the CS alone and of paired pulse TMS at 1, 2 and
184 4 ms ISIs were investigated in 6 out of 10 subjects who participated in Experiment 1 (4
185 females and 2 males; mean age 31.5 ± 0.38 years), using CS intensities between 110%
186 and 130% of RMT. The effect of CS alone and of IHI was measured both from left-to-right
187 and from right-to-left M1s in both left and right DAO. Ten unconditioned MEPs and ten
188 conditioned responses for each ISI were recorded in a random order.

189

190 **2.4.3 Experiment 3. Contribution of corticobulbar tract activation to facilitation of**
191 **the conditioned DAO MEP.**

192 To assess the effect of the activation of the corticobulbar tract on the conditioned DAO
193 MEP, in 5 out of 10 subjects who participated in Experiment 1 (3 females and 2 males;
194 mean age 31.60 ± 0.42 years), the recruitment curve (RC) was constructed plotting peak-
195 to-peak amplitudes of mean MEPs, recorded from both the resting (rest RC) and active
196 (active RC) contralateral DAO, following single-pulse TMS delivered to the contralateral
197 fM1 at intensities from 90 to 130% of RMT and AMT. MEP amplitude was measured from
198 the left and right DAO. The following three blocks each composed of ten stimuli for each
199 intensity were collected: 1) rest-RC and 2) active-RC with intensity of 90-130% of RMT

200 (RMT-RC); 3) active-RC with intensity of 90-130% of AMT (AMT-RC). For the active-RC
201 the subject was required to keep a constant contraction of the DAO at a level of at least
202 10% of maximal isometric voluntary contraction. The results were compared with those
203 obtained in experiment 2.

204

205 **2.4.4 Experiment 4. Contribution of I3 waves to the lack of IHI detected in the DAO**

206 To investigate a possible contribution of I3 waves, in 5 subjects (4 females and 1 male;
207 mean age 26.6 ± 4.27 years) ~~the~~ IHI was investigated in the DAO using an anterior-
208 posterior coil orientation (Sakai et al., 1997; Adank et al., 2018). More specifically, the
209 handle of the coil pointed from anterior to posterior direction, at approximately 30-45 deg
210 away from the interhemispheric line (Kujirai et al., 2006; Pilurzi et al., 2013). IHI was
211 tested using a CS intensity between 90-130% of AMT and a TS of 120% of RMT. MEPs
212 were recorded in the contralateral DAO following paired TMS of both left-to-right and
213 right-to-left M1s in a randomized order. The experiment was divided up into two blocks:
214 IHI in the left and right DAO muscles. In each block, TS alone and paired TS-CS at 4, 6,
215 8, 10, 12 ms ISIs were tested. The two blocks and all states (TS alone and ISIs) were
216 randomized in each subject. Ten unconditioned and ten conditioned MEPs for each ISI
217 were recorded.

218

219 **2.4.5 Experiment 5. Interhemispheric inhibition in the active DAO**

220 To exclude a possible floor-effect due to the small size of the DAO MEPs recorded at
221 rest, in the 5 subjects who participated in Experiment 4, the IHI protocol was performed
222 during a constant contraction of the DAO (10% of maximal isometric voluntary
223 contraction), using a TS of 120% AMT and a CS of 90-130% AMT. IHI was recorded in
224 the contralateral DAO following paired TMS of both left-to-right and right-to-left M1s in a
225 randomized order. The experiment was divided up into two blocks: IHI in left DAO and IHI
226 in right DAO. In each block, TS alone and paired CS-TS at 4, 6, 8, 10, 12 ms ISIs were
227 tested. The two blocks and all states (TS alone and ISIs) were randomized in each
228 subject. Ten unconditioned MEPs and ten conditioned responses for each ISI were
229 recorded.

230

231 **2.5 Statistical Analysis**

232 Statistical analysis was performed with SPSS 20 software (SPSS Inc, Chicago, IL, USA).
233 Student's paired t-test, repeated measures analysis of variance (ANOVA) and planned
234 post hoc t-test with Bonferroni correction for multiple comparison were used. Compound
235 symmetry was evaluated with the Mauchly's test and the Greenhouse-Geisser correction
236 was used when required. Significance was set for p value <0.05. Unless otherwise
237 stated, values are expressed as means \pm standard error of the mean (SEM). In all
238 experiments latency and amplitude of conditioned and unconditioned MEPs were
239 analysed.

240 Experiment 1 ,2, 4 and 5: A three-way repeated measure ANOVA with ISI (Experiment 1,
241 4 and 5: TS, 4, 6, 8, 10 and 12 ms ISIs; Experiment 2: CS, TS, 1, 2, 4 ms ISI),
242 INTENSITY of CS (Experiment 1: 90-130% RMT; Experiment 2: 110-130% RMT;
243 Experiment 4 and 5 : 90-130% AMT) and SIDE (contralateral muscle from both right-to-
244 left and left-to-right IHI) as within subject factors was used. In case the analysis detected
245 a non-significant SIDE effect, left and right responses were pooled together as a single
246 distribution. In that case a two-way ANOVA with a ISI and INTENSITY as a within factors
247 was performed. **Moreover, a two-way repeated measure mixed ANOVA, on the MEP**
248 **onset latency onset of MEP, with ISI (TS, 4, 6, 8, 10, 12 ms ISIs), INTENSITY of CS (90-**
249 **130% RMT or AMT) as within subject factors, and EXPERIMENT as between subject**
250 **factor (PA at rest, AP at rest and PA active) was performed.**

251 Experiment 3: A preliminary three-way repeated measure ANOVA with SIDE (left and
252 right muscle contralateral to TS), INTENSITY (90-130% RMT or AMT, according to the
253 resting or active condition) and CONDITION (rest-RC, active-RMT-RC and active-AMT-
254 RC) as a within subject factors was performed. In case the analysis detected a non-
255 significant SIDE effect, left and right responses were pooled together as a single
256 distribution. To compare MEPs obtained in the RC with those obtained in experiment 2, a
257 two-way repeated measure ANOVA with INTENSITY (110-130% RMT or AMT, according
258 to the resting or active condition) and TYPE OF MEP (TS, CS, conditioned-MEP at 1, 2, 4
259 ms ISIs, rest-RC, active-RMT-RC and active-AMT-RC) as a within subject factors was
260 used.

261
262 **3. Results**

263

264 **3.1 Experiment 1. Interhemispheric inhibition between M1s innervating the DAO,**
265 **FDI and UT muscles.**

266 No significant effect of SIDE for all muscles (DAO: $F_{1,7}=0.007$ $p=0.937$, FDI: $F_{1,7}= 0.323$
267 $p= 0.590$, UT: $F_{1,7}= 0.020$ $p=0.901$) was detected, thus right and left MEPs were pooled
268 together.

269 In the DAO, the mean RMT was $51.34 \pm 3.73\%$ MSO. No clear IHI was detected at any
270 stimulation intensity and ISI; a significant facilitation was rather found at 4 ms ISI (Figure
271 1). Indeed ANOVA showed a non-significant main effect of INTENSITY ($F_{5,13} =1.021$,
272 $p=0.378$) on MEP amplitude, but a significant effect of ISI ($F_{5,13} =4.756$, $p=0.013$) and a
273 significant interaction among factors ($F_{5,13} =2.945$, $p=0.011$). Post-hoc analysis showed
274 that the conditioned MEP was significantly bigger than the test MEP at 4 ms ISI at
275 intensities of 110% ($p=0.007$), 120% ($p=0.04$) and 130% ($p=0.005$) of RMT.

276 In the FDI, the mean RMT was $40.54 \pm 2.12\%$ of MSO. A clear IHI at ISIs of 8 and 10 ms
277 with high intensity stimuli (120 and 130% of RMT) was detected (Figure 1). ANOVA
278 showed a non-significant effect of INTENSITY ($F_{5,13} =1.391$, $p=0.258$) on MEP amplitude,
279 but a significant main effect of ISI ($F_{5,13} =8.232$, $p<0.001$) and a significant interaction
280 among the factors ($F_{5,13} =1.990$, $p=0.051$). Bonferroni test showed a clear inhibition at
281 ISIs of 8 ms ($p=0.026$) and of 10 ms ($p=0.011$) at 120% RMT intensity and only at 8 ms
282 ISI with 130% RMT Intensity ($p=0.005$).

283 In the resting state, the high threshold of UT M1 allowed to complete the experiment in
284 only in 6 of the 10 subjects, in whom mean RMT was $52.85 \pm 2.58\%$ MSO. A clear
285 inhibition of the conditioned MEP was detected at an ISI of 8 ms with an intensity of 130%
286 RMT (Figure 1). Statistical analysis showed a non-significant effect of INTENSITY ($F_{5,13}$
287 $=1.265$, $p=0.304$) on MEP amplitude, but a significant effect of ISI ($F_{5, 13} =7.040$, $p=0.004$)
288 and interaction among the factors ($F_{5,13} =1.660$, $p=0.045$). Post-hoc analysis showed a
289 clear MEP inhibition at 8 ms with a 130% RMT intensity ($p<0.001$). Figure 2 illustrates
290 recordings from a representative subject.

291

292 **3.2 Experiment 2. Investigation of a possible direct activation of the DAO by the CS**
293 **alone and by paired CS-TS at the shortest ISIs.**

294 The 6 subjects who participated in this experiment had a mean RMT of $52.5 \pm 3.60\%$ of
295 MSO, which was not statistically different from that detected in experiment 1 ($p=0.40$).

296 No significant effect of SIDE for both amplitude ($F_{1,5}=2.808$ $p=0.169$) and latency
297 ($F_{1,5}=5971$ $p=0.07$) was detected, thus right and left MEPs were pooled together.

298 Within subject ANOVA showed a significant effect of the INTENSITY ($F_{2,9}=10.836$,
299 $p=0.001$) and ISI ($F_{2,9}= 26.964$, $p<0.001$) on MEP amplitude, but a non-significant
300 interaction among factors ($F_{2,9}=1.523$, $p=0.212$). Post-Hoc analysis showed that the test
301 MEP was not significantly different from the response induced by the CS alone ($p=0.9$)
302 but both MEPs were smaller than the conditioned MEP at ISIs of 1, 2 and 4 ms (all
303 $p<0.01$) (Figure 3A).

304 The mean latency of the conditioned MEP at 1, 2 and 4 ms ISIs was significantly shorter
305 than that of the test MEP and of the MEP induced by the CS alone (Figure 3B). ANOVA
306 detected a significant effect of ISI ($F_{2,9}= 41.101$, $p<0.001$) but a non-significant effect of
307 INTENSITY ($F_{2,9}= 1.073$, $p=0.360$) nor interaction among the factors ($F_{2,9}= 0.890$,
308 $p=0.492$). Bonferroni analysis showed that the latencies of the test MEP and of the
309 response induced by the CS alone were not significantly different ($p=0.99$), but
310 significantly longer than the latency of the conditioned MEP ($p<0.001$).

311

312 **3.3 Experiment 3. Contribution of corticobulbar tract activation to facilitation of the** 313 **conditioned DAO MEP.**

314 No significant effect of SIDE for both MEP amplitude ($F_{1,4}=1.842$, $p=0.246$) and latency
315 ($F_{1,4}=2.167$, $p=0.237$) was detected, thus right and left MEPs were pooled together
316 (Figure 4).

317 Statistical analysis of MEP amplitude revealed a significant effect of INTENSITY
318 ($F_{1,9}=59.969$, $p<0.001$), TYPE OF MEP ($F_{1,9}=20.142$, $p<0.001$) and a significant
319 interaction among factors ($F_{1,9}=4.717$, $p<0.001$) (Figure 3). ANOVA of latency showed a
320 significant effect of TYPE OF MEP ($F_{1,9}=22.508$, $p<0.001$) but a non-significant effect of
321 INTENSITY ($F_{1,9}=1.933$, $p=0.171$) nor interaction among factors ($F_{1,9}=1.463$, $p=0.211$).

322 Bonferroni post Hoc test showed that amplitude and latency of the conditioned MEPs
323 were significantly different from those of both the test MEP and MEP induced by CS
324 alone ($p<0.01$), but non-significantly different from the MEP obtained in active-RMT-RC
325 ($p>0.8$) and active-AMT-RC with intensity of 120-130% RMT and AMT, respectively.

326

327 **3.4 Experiment 4. Contribution of I3 waves to the lack of IHI detected in the DAO.**

328 Mean RMT was $57.20 \pm 5.41\%$ MSO. No significant effect of SIDE ($F_{1,4}=1.800$ $p=0.272$)
329 was detected, so that we pooled together right and left MEPs as a single distribution. No
330 clear IHI was detected at any stimulation intensity and ISI (Figure 5). The two-way
331 ANOVA showed no significant main effect of INTENSITY ($F_{1,9}=1.728$, $p=0.196$), ISI ($F_{1,9}=$
332 3.388 , $p=0.073$) and no interaction among factors ($F_{1,9}=1.383$, $p=0.265$).

333

334 **3.5 Experiment 5. Interhemispheric inhibition in the active DAO**

335 Mean AMT was $43.80 \pm 6.27\%$ MSO. The three-way RM-ANOVA showed a no significant
336 effect of SIDE ($F_{1,4}=0.376$ $p=0.573$), and therefore right and left MEPs were pooled
337 together. Two-way ANOVA on MEP amplitude showed a non-significant main effect of
338 INTENSITY ($F_{1,9}=1.954$, $p=0.171$), ISI ($F_{1,9}=1.716$, $p=0.199$) but no significant
339 interaction among factors ($F_{1,9}=1.560$, $p=0.204$), (Figure 6).

340

341 Finally, MEP latencies at rest (with PA and AP coil orientation) and active (Table1) were
342 compared. Mixed factors ANOVA showed a non-significant main effect of INTENSITY
343 ($F_{2,35}=2.473$, $p=0.065$), but a significant effect of ISI ($F_{2,35}=6.782$, $p=0.001$) and
344 EXPERIMENT ($F_{2,35}=25.365$, $p<0.001$). The analysis showed no significant effect of any
345 interactions among the factors except for the ISI x EXPERIMENT ($F_{2,35}=6.782$, $p=0.001$).
346 MEP in PA rest and AP rest conditions were always different from those obtained in the
347 PA active condition (all $p<0.001$) except for the conditioned MEP at 4 ms ISI in the PA
348 rest condition which was significantly different from both AP rest ($p=0.026$) and PA active
349 conditions ($p=0.001$).

350

351 **4. Discussion**

352 The main finding of the present study was the absence of IHI in the DAO muscle, even
353 though it was clearly present at 8 – 10 ms in FDI and, with slightly reduced effectiveness,
354 at 8 ms in UT (Matthews et al., 2013). In fact, rather than inhibition, we observed
355 facilitation in the DAO at shorter ISIs (1-4 ms). This is unlikely to be the result of
356 "interhemispheric facilitation" described in hand muscles (Hanajima et al., 2001). First, in
357 the hand muscles, facilitation is only seen with subthreshold CS (Hanajima et al., 2001),
358 while the DAO facilitation occurred only with suprathreshold CS. Second, facilitation in

Commented [JR1]: Presumably no difference in latency between AP and PA rest?

359 DAO was found at ISIs = 1-4 ms, which are shorter than the 5–10 ms conduction delay
360 across the human corpus callosum required for interhemispheric interactions (Meyer et
361 al., 1995). We hypothesise that IHI is absent in DAO and that the early facilitation is the
362 result of convergence at the brainstem level between ipsilateral projections, activated by
363 the CS, and contralateral projections from the TS. Finally, given the lack of difference in
364 the results from muscles in the left and right sides of the body we conclude that there are
365 no asymmetries in either IHI or early facilitation in these muscles.

366 For long time it was thought that projections from motor cortex to muscles of the lower
367 half of the face emanate exclusively from the contralateral cortex while upper facial
368 muscles receive bilateral projections from both hemispheres (Cattaneo and Pavesi, 2014;
369 Muri, 2016). However, many TMS studies in healthy individuals seem at odds with this.
370 Although some found no ipsilateral response in the lower facial muscles (Cruccu et al.,
371 1990; Kobayashi et al., 2001; Paradiso et al., 2005), many others have described bilateral
372 projections, although with a contralateral predominance (Benecke et al., 1988; Meyer et
373 al., 1994; Werhahn et al., 1995; Urban et al., 1997; 2001; Liscić and Zidar, 1998; Rödel et
374 al., 2000; Yildiz et al., 2004; 2007; Triggs et al., 2005; Pilurzi et al., 2013). In particular in
375 a previous study (Pilurzi et al., 2013) we found an ipsilateral response in DAO with an
376 onset latency that was 1 – 2 ms longer and a higher threshold than the contralateral
377 response. A similar difference of latency of around 2.0 – 2.5 ms between ipsi- and
378 contralateral responses has been reported in several upper and lower facial muscles
379 (Benecke et al., 1988; Cruccu et al., 1990; Liscić and Zidar, 1998; Triggs et al., 2005).

380 The pathway responsible for this ipsilateral response is uncertain. Corticobulbar
381 pathways to the facial nucleus are of two types: direct and indirect (Noback and
382 Demarest, 1975; Brodal, 1981). Direct pathways to the facial nucleus are only thought to
383 arise from contralateral cortex. However, there also exist indirect pathways to
384 interneurons in the brainstem that secondarily innervate the facial nuclei bilaterally
385 (Courville, 1966a; Holstege et al., 1977; Rinn, 1984). Such indirect pathways may be
386 responsible for the ipsilateral response in DAO. Involvement of the corpus callosum
387 seems unlikely in view of the longer (5 – 10 ms) conduction time between the
388 hemispheres that it would involve. Indeed, transection of the corpus callosum has been
389 reported to have no effect on ipsilateral facial responses to intracortical stimulation in the
390 cat (Guandalini et al., 1990).

391 The results of experiments 2 and 3 are compatible with the idea that the early (1 – 4 ms)
392 facilitation in DAO was due to interaction at the brainstem of corticobulbar projections
393 activated by the CS and TS. The CS facilitates brainstem interneurons or facial
394 motoneurons and increases their response to the subsequent TS. Thus the conditioned
395 MEP was never larger than the expected sum of the MEP evoked by CS alone plus TS
396 alone (Fig 3) and similar in size to MEPs evoked by the same intensity of TS in active
397 rather than relaxed muscle (Fig 4). These results suggest that at rest, the facilitation of
398 the conditioned MEP at shortest intervals (1-4 ms) might be due to temporal summation
399 of excitatory input from CS and TS stimuli at the level of DAO motoneurons and
400 interneurons in the brainstem. Similarly, during active contraction, voluntary commands
401 increase the excitability of the interneurons and motoneurons in the brainstem which
402 then increases the amplitude of the MEP to a similar degree as with paired pulse testing.

403 Brainstem interactions also account for the fact that the latency of the conditioned MEP at
404 ISI = 1 – 4 ms, was shorter than the latency to the TS alone (Fig 4). The probable reason
405 is that the onset of the conditioned MEP was due to a small response to the CS, so that
406 as the ISI between CS and TS increased, the latency of the conditioned MEP, which was
407 measured from the onset of the TS, decreased.

408 It is possible that the apparent lack of IHI in DAO at later intervals is due to the presence
409 of continuing facilitation at the brainstem level that cancels out the effects of later-
410 developing IHI at the cortical level. It is difficult to discount this explanation completely
411 since the CS could activate corticobulbar fibres with a range of conduction velocities that
412 could continue to facilitate brainstem neurones for many ms after the initial, fast-
413 conducted excitation at 1- 4 ms ISIs. However, if this were the case, facilitation should
414 gradually fade over time: specifically, we might expect to see less facilitation 10 ms after
415 CS than at 8 ms. Taken together with the fact that IHI is greater at 10 ms than at 8 ms,
416 this means that the conditioned MEP at 10 ms should be smaller than at 8 ms. But Fig 1
417 shows that this is not the case. It therefore seems more plausible to conclude that IHI is
418 absent or very small in the DAO.

419 It is possible that we failed to detect IHI because we used a test TMS pulse with a
420 posterior-anterior orientation. This preferentially recruits early I-waves (Sakai et al., 1997)
421 whereas IHI preferentially suppresses later I-waves. However, experiment 4 suggests this
422 was not the case since we failed to detect IHI even when we used an antero-posterior

423 coil orientation which preferentially recruits later I-waves (Sakai et al., 1997; Adank et al.,
424 2018). Furthermore, conditioned MEPs recorded in posterior-anterior and anterior-
425 posterior coil orientations were not different as for latency at IHI intervals. The possibility
426 that IHI could have been overlooked due to the small size of the MEP in the relaxed DAO
427 (which may lead to a “floor-effect”), was excluded by experiment 5. In fact, IHI was not
428 detectable in the active MEP, which is 30-50% larger in amplitude than the resting MEP.

429 The absence of IHI in DAO is consistent with a previous study using a combined
430 functional magnetic resonance imaging/diffusion tensor imaging fiber-tracking procedure
431 that failed to track lip callosal motor fibres in humans (Wahl et al., 2007). Interestingly,
432 this differs from data in animal studies which shows that fM1, as defined by intracortical
433 microsimulation, is connected with its homolog in the other hemisphere through callosal
434 fibers, at least in the owl monkey (Gould et al., 1986) and in the macaque monkey
435 (Rouiller et al., 1994). The difference between animal and human data may have an
436 evolutionary explanation. Facial muscles are involved in the emotional expressiveness
437 and their motor control in humans has changed differently from other animals, to allow an
438 evolutionary advantage in social behaviour (Darwin, 1872). In line with this, Sherwood et
439 al. (2005) studied the evolution of the brainstem orofacial motor system in 47 species of
440 primates and found that hominids presented significantly larger volumes of the facial
441 nucleus.

442 The facial nucleus receives cortical projections not only from fM1, but also from the
443 ventral lateral premotor cortex, the supplementary motor area, the rostral cingulate motor
444 cortex and the caudal area of the anterior midcingulate cortex (Morecraft et al., 2001;
445 Cattaneo and Pavesi, 2014; Muri, 2016). As a consequence, the facial motor nucleus
446 may have undergone phylogenetic specialization in humans to be able to integrate
447 descending inputs from multiple neocortical areas to allow increased control of facial
448 muscles (Sherwood et al., 2005) while at the same time, the transcallosal pathway may
449 have progressively lost its importance.

450 4.1 Conclusions

451 Compared with the important role of interhemispheric transcallosal connections in
452 coordination of asymmetric bilateral upper limb movements (Wahl and Zieman, 2008;
453 Takeuchi et al., 2012), our data suggest that the corpus callosum is barely involved in

Commented [JR2]: I am not sure this helps. The reviewers argument was that late I-waves might be small or even absent for PA stimulation. AP stimulation still may recruit I1 waves, but could then recruit many more late Iwaves.

454 bilateral control of facial muscles. It seems likely that this is because facial muscles are
455 rarely activated asymmetrically, especially during voluntary movements to produce a
456 facial posture (Cattaneo and Pavesi, 2014). We suggest that symmetrical activation is
457 facilitated by the fact that the two sides of the face tend to be represented with
458 overlapping contralateral and ipsilateral representations in regions of M1 devoted to face
459 (Pilurzi et al., 2013), jaw (Clark and Luschei, 1974) and tongue (Gould et al., 1986),
460 thereby reducing the need for transcallosal connectivity and favouring interaction at the
461 level of the brainstem.

462 ~~However, some limitations of interpretation have to be acknowledged. The facial motor~~
463 ~~system presents~~ has a number of anatomical and physiological peculiarities that make it
464 technically difficult to explore the transcallosal connections with other protocols used in
465 the hand, such as the ipsilateral silent period and the role of I-waves. Indeed~~In addition,~~
466 we cannot exclude the possibility that although the overall MEP showed no evidence of
467 facilitation, there is still some inhibition of some component of the I-waves. For example,
468 some I waves could be facilitated by ipsilateral effects whereas others could be
469 suppressed by IHI. However, some I waves may be affected, but it's it is difficult to
470 interpret the behaviour of I-waves just from looking at the~~by inspecting the shape of the~~
471 MEP. In fact, This is because the supra-threshold CS on its own may produce on its own
472 an MEP in the ipsilateral DAO, making that makes it impossible to separate motor units
473 recruited by the CS and those recruited by the TS, as shown in (Figure 3A). Besides the
474 possibility of overlapping ipsilateral excitation with possible IHI, some I waves could be
475 facilitated by ipsilateral effects whereas others could be suppressed by IHI. In this case,
476 the excitatory effect may be larger than the inhibitory effect, as suggested by the fact that
477 we did not find any clear evidence of inhibition. For this reason we favour the explanation
478 that IHI may be weak or absent for the area of M1 representing the face.

479 ~~Finally~~In conclusion, data from the present work add a new piece of information into the
480 physiology of the facial system and thus may provide further insight into pathologies
481 affecting the facial motor system.

482

483 **Conflict of interest**

484 The authors declare no conflicts of interest.

485

486 **5. References**

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633

634 **Figure legends**

635

636 **Figure 1. Effect of the IHI protocol on the M1 representation of the depressor anguli**
637 **oris (DAO), first dorsal interosseus (FDI) and upper trapezius (UT) muscles.**

638 IHI was clearly detected in the UT and FDI muscles at the expected (≥ 8 ms) interstimulus
639 time intervals (ISIs). In the DAO, no IHI was found, an early significant facilitation was
640 instead observed at 4 ms ISI. Graph reporting mean \pm SEM conditioned MEP amplitudes
641 (N = 10 subjects for the DAO and FDI; N = 6 subjects for the UT), which are expressed, as
642 a percentage of the unconditioned MEP induced by the TS alone. The graphs show the IHI
643 protocol for each interstimulus interval (ISIs; 4, 6, 8, 10, 12 ms) at different conditioning
644 stimulus intensities ranging 90-130% of the resting motor threshold (RMT). *p < 0.05.

645

646 **Figure 2. Effect of IHI protocol on the M1 of DAO, FDI and UT muscles at high**
647 **conditioning stimuli intensity.**

648 Recordings of unconditioned MEP (continuous line) and superimposed conditioned MEPs
649 (dashed lines) at ISIs of 4, 6, 8, 10 and 12 ms from a representative subject are reported
650 for each muscle with 130% RMT conditioning stimuli intensity.

651

652 **Figure 3. Effect of the conditioning stimulus alone and of paired TS-CS at the**
653 **shortest ISIs on the DAO MEP.**

654 Responses of the right and left DAO to TS alone (120% RMT) delivered to the left cortex
655 and to the CS alone (120% RMT) delivered to the right cortex are reported for a
656 representative subject (A). The effects of the CS alone and of the paired pulse TMS at 1,
657 2, and 4 ms ISIs on amplitude (B) and latency (C) of the DAO MEP are shown. The
658 conditioned MEPs were significantly bigger and faster than both test MEP (induced by test
659 stimulation, TS, of the contralateral face primary motor cortex, fM1) and conditioned MEPs
660 (CS, obtained following stimulation of the ipsilateral fM1 with the CS alone). The graphs
661 report means + SEM (N = 6 subjects). Post hoc results *p < 0.05.

662

663 **Figure 4. Mean amplitude and latency of resting and active unconditioned DAO**
664 **MEPs at increasing TMS intensities and of conditioned DAO MEPs at 1, 2 and 4 ms**
665 **ISIs.**

666 The amplitude (A) and the latency (B) of the conditioned MEPs were significantly larger
667 and faster than that of the test MEPs obtained in resting condition with 110-130% of RMT,
668 but non-significantly different from the active test MEP obtained with both 110-130% RMT
669 and 110-130% AMT. Error bars represent standard mean error. Post hoc results *p < 0.05.
670

671 **Figure 5. IHI protocol in the DAO muscle with an antero-posterior orientation of the**
672 **coil.**

673 Recordings of unconditioned MEP (continuous line) and superimposed conditioned MEPs
674 (dashed lines) at ISIs of 4, 6, 8, 10 and 12 ms from a representative subject (A) are
675 reported for each muscle with a conditioning stimulus of 120% of active motor threshold
676 (AMT). The histogram reports results from 5 subjects (expressed as mean \pm SEM). The
677 conditioned MEP amplitude is expressed as a ratio of the unconditioned MEP induced by
678 the TS alone. Results are reported for each ISI (4, 6, 8, 10, 12 ms) at conditioning
679 stimulus intensities ranging 90-130% of AMT (B).

680

681 **Figure 6. Interhemispheric inhibition in the active DAO**

682 Recordings of unconditioned MEP (continuous line) and superimposed conditioned MEPs
683 (dashed lines) at ISIs of 4, 6, 8, 10 and 12 ms from a representative subject (A) are
684 reported for each muscle with a conditioning stimulus of 120% of active motor threshold
685 (AMT). The histogram reports results from 5 subjects (expressed as mean \pm SEM).

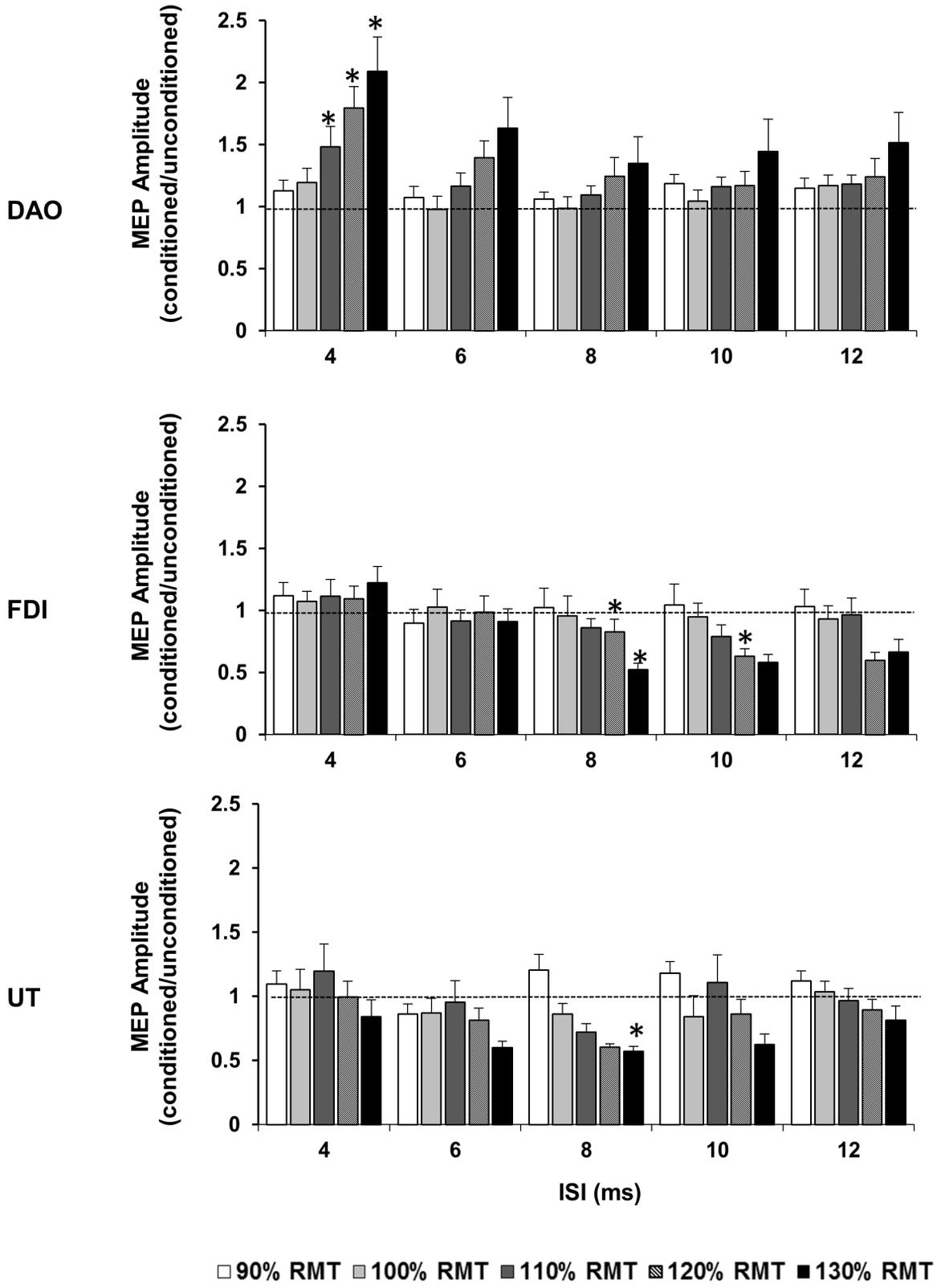
686 The conditioned MEP amplitude is expressed as a ratio of the unconditioned MEP induced
687 by the TS alone. Results are reported for each ISI (4, 6, 8, 10, 12 ms) at conditioning
688 stimulus intensities ranging 90-130% of AMT (B).

689

Table 1. Latency of unconditioned (TS) and conditioned MEPs at a different interstimulus intervals (ISIs).

Condition	MEP latency (ms)		
	Experiment 1 (PA, rest)	Experiment 4 (AP, rest)	Experiment 5 (PA, active)
TS	11.12±0.17	10.85±0.24	8.72±0.23
4 ms ISI	9.79 ±0.23	10.93 ±0.34	8.17±0.32
6 ms ISI	10.48±0.24	10.86±0.35	8.69±0.33
8 ms ISI	10.64±0.20	10.94±0.29	8.86±0.28
10 ms ISI	10.68±0.20	10.95±0.29	8.73±0.27
12 ms ISI	10.69±0.18	10.85±0.26	8.83±0.25

Latency values are reported as Mean ± SEM. PA, postero-anterior coil orientation; AP, antero-posterior coil orientation.



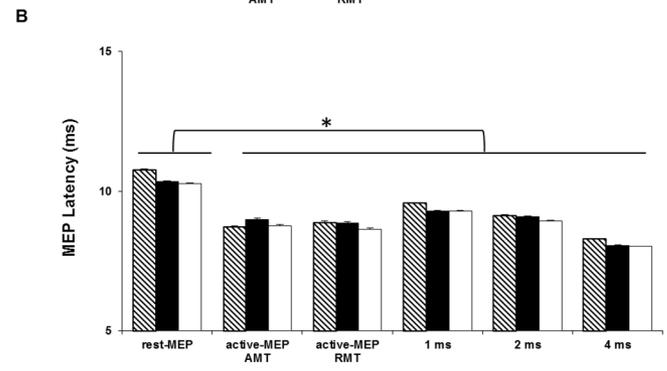
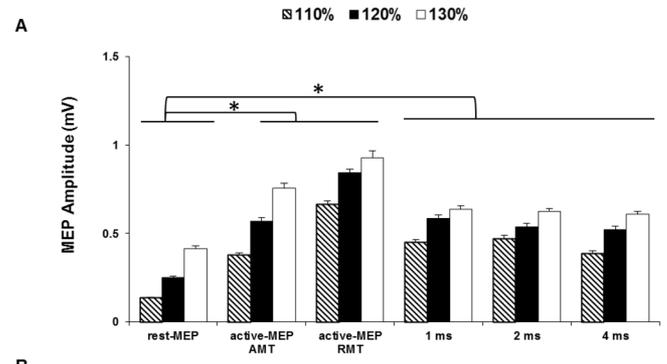
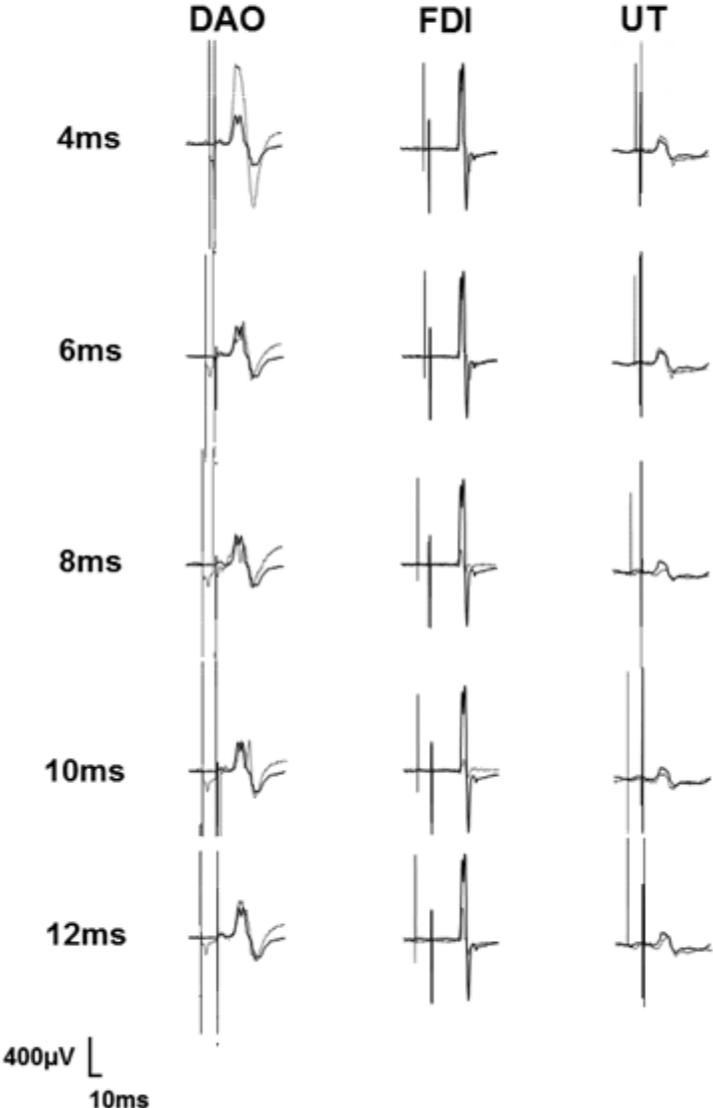


Figure 2



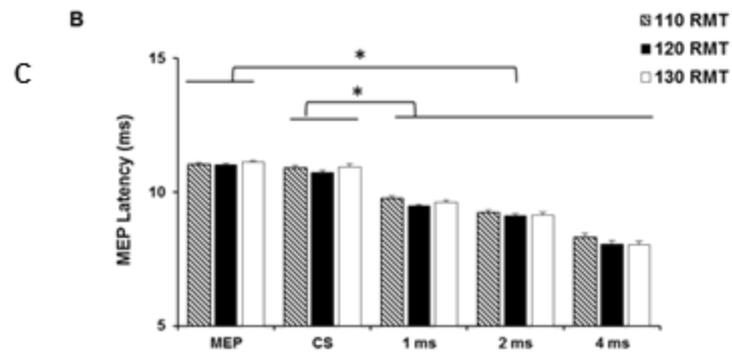
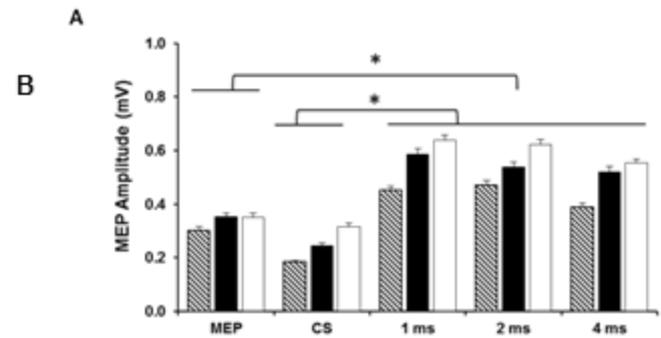
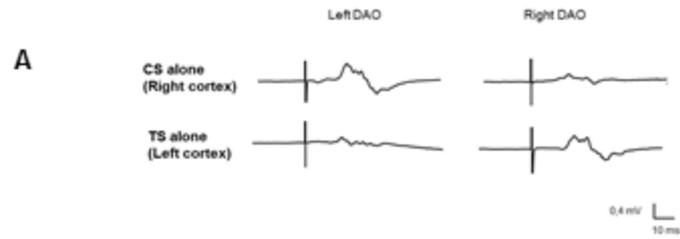
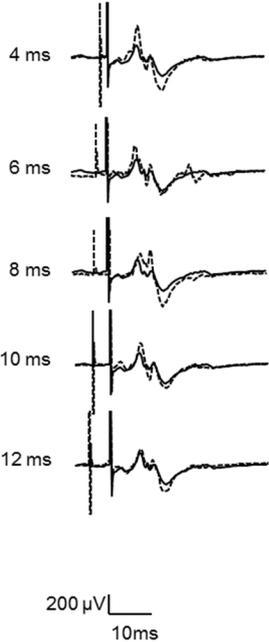


Figure 5

A



B

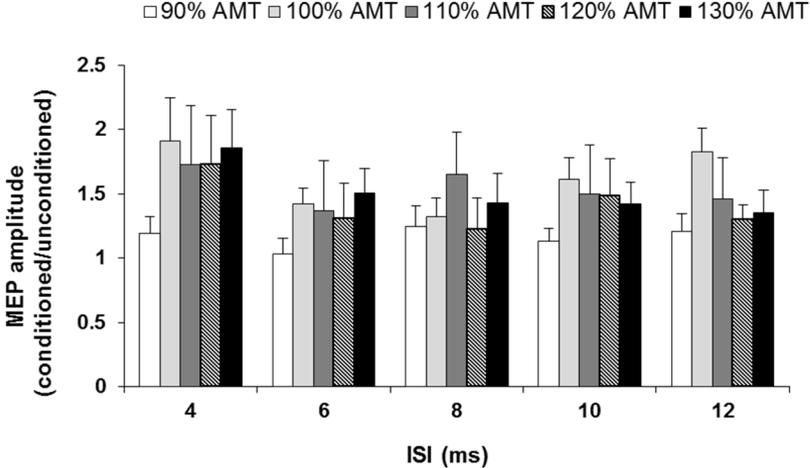
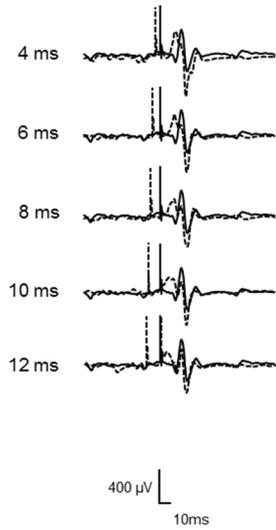


Figure 6

A



B

