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# Regulation of Mitochondrial Respiratory Chain Biogenesis by Estrogens/Estrogen Receptors and Physiological, Pathological and Pharmacological Implications

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# Abstract

There has been increasing evidence pointing to the mitochondrial respiratory chain (MRC) as a novel and important target for the actions of 17 $\beta$ -estradiol(E<sub>2</sub>) and estrogen receptors (ER) in a number of cell types and tissues that have high demands for mitochondrial energy metabolism. This novel E<sub>2</sub>mediated mitochondrial pathway involves the cooperation of both nuclear and mitochondrial ER $\alpha$ and ER $\beta$  and their co-activators on the coordinate regulation of both nuclear DNA- and mitochondrial DNA-encoded genes for MRC proteins. In this paper, we have: 1) comprehensively reviewed studies that reveal a novel role of estrogens and ERs in the regulation of MRC biogenesis; 2) discussed their physiological, pathological and pharmacological implications in the control of cell proliferation and apoptosis in relation to estrogen-mediated carcinogenesis, anticancer drug resistance in human breast cancer cells, neuro-protection for Alzheimer's disease and Parkinson's disease in brain, cardiovascular protection in human heart and their beneficial effects in lens physiology related to cataract in the eye; and 3) pointed out new research directions to address the key questions in this important and newly emerging area. We also suggest a novel conceptual approach that will contribute to innovative regimines for the prevention or treatment of a wide variety of medical complications based on E<sub>2</sub>/ER-mediated MRC biogenesis pathway.

# Keywords

Anti-cancer drug resistance; Alzermer's Diseases; Cataract; Estrogens; Estrogen carcinogenesis in breast cancer; Estrogen Protection of Cardiovascular Diseases; Estrogen receptors; Mitochondrial DNA replication; transcription and translation; Mitochondrial Mitochondrial Estrogen Receptors; Respiratory Chain Biogenesis; Mitochondrial Reanscription Factor A; Nuclear Respiratory Factors, Parkinsopn's Disease

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# 1. Introduction

Estrogens, notably  $17\beta$ -estradiol (E2), have a wide variety of physiological and pathological effects on a number of cell types and organs, including primary and secondary reproductive, cardiovascular, central nerve, immune, bone, gastrointestinal, and respiratory systems as well as oral and lens epithelial cells. The classical genomic mechanisms underlying the regulation of nuclear gene transcription by estrogens via the nuclear estrogen receptors alpha and beta  $(ER\alpha \text{ and } ER\beta)$  have been well established (for review see [1,2]) Recently, great interest has also been drawn to the non-genomic pathways involving plasma membrane-associated ERs that activate a number of intracellular protein kinase-mediated phosphorylation signaling cascades and the subsequent physiological activities (for review see [3–8]). In recent years, there has been increasing evidence pointing to the mitochondrial respiratory chain (MRC) as a novel and important target for the actions of  $E_2$  and ERs in a number of cell types and tissues that have high demand for mitochondrial energy metabolism for their biological activities. This novel  $E_2$ -mediated mitochondrial pathway involves the cooperation of the nuclear ER $\alpha$  and ERB with mitochondrial localized ERs and their co-activators on the coordinate regulation of both nuclear DNA (nDNA)-encoded genes and mitochondrial DNA (mtDNA)-encoded genes for MRC proteins. In this paper, we have: 1) reviewed recent studies that reveal a novel role of estrogens and ERs in the regulation of MRC biogenesis; 2) discussed their potential physiological, pathological and pharmacological implications in the control of cell proliferation and apoptosis in relation to estrogen-mediated carcinogenesis in human breast cancer cells, cardiovascular protection in heart, neuro-protection for Alzheimer's disease and Parkinson's disease in brain and their beneficial effects in lens physiology related to cataract in the eye; and 3) pointed out new research directions to address the key questions in this important and newly emerging area.

# 2. MRC Structure, Functions and Biogenesis

### 2.1. MRC Structure and Functions

MRC (also called electron transport chain)(Figure 1) is one of the most important structural and functional parts of mitochondria. MRC consists of a series of metalloproteins bound to the mitochondrial inner membrane (also named cristea). There are four large protein complexes (designated complex I to complex IV) that are associated with mitochondrial electron transport. These complexes cooperate in electron transfer and proton pumping across the inner mitochondrial membrane. Electron transfer between these complexes is accomplished by the mobile coenzymes ubiquinone (CoO) in the lipid membrane, from complexes I and II to complex III and cytochrome c in the inter-membrane space, from complex III to complex IV. The mitochondrial F0–F1 ATP synthase couples the proton gradient across the mitochondrial inner membrane to the synthesis of ATP from ADP + Pi. The best-known function of MRC is its ability to generate the vast majority (more than 90%) of cellular energy in the form of ATP, which is essential to drive and maintain the majority of the physiological activities. In addition, MRC also generates a large quantity of reactive oxygen species (ROS) as by-product, which is the major source of cellular ROS. ROS play important role in redox regulation of gene expression, the control of cell proliferation and apoptosis, as well as serving as second messenger involved in regulation of a number of physiological and pathological activities (see below). The ROS also have the potential for causing oxidative damage to DNA and other critical molecules within the mitochondria. Together, the MRC-generated ATP and ROS play crucial roles in regulating the large majority of physiological and pathological activities of the cells.

Human/mammalian mitochondria contain their own genetic system, which undergoes a unique mode of cytoplasmic inheritance. Each organelle has multiple copies of a covalently closed

circular, 16.6 kD DNA genome (mitochondrial DNA, mtDNA), which encodes mRNAs for 13 essential subunits of MRC, 2 rRNAs (12S and 16S), and 22 tRNAs that are needed for mitochondrial protein synthesis (9) (Figure 2). The majority of MRC proteins and all of the other protein factors that are involved in replication-transcription-translation of mtDNA, assembly of MRC complexes, heme biosynthesis, mitochondrial protein import machinery and other mitochondrial functions (see sections 2 and 3) are encoded by nuclear genes. Coordination of the expression of the nDNA-and mtDNA-encoded genes is thus essential for maintaining normal mitochondrial functions and responses to paphological and environmental factors. The structural components, functions and assembly/biogenesis of the individual MRC complexes are briefly described as follows.

## 2.2.1. Complex I - NADH-ubiquinone Oxidoreductase-Complex I (NADH-

ubiquinone oxidoreductase, EC 1.6.5.3), the largest among the MRC complexes, has two domains that form a L-shape: one hydrophobic domain lies within and is oriented parallel to the membrane, while a hydrophilic arm extends into the mitochondrial matrix. This complex transports two electrons from tricarboxyl acid (TCA) cycle-derived NADH in the mitochondrial matrix to quenone (Q) within the membrane, via flavin momonucleotide (FMN) and a series of seven iron-sulphur clusters. FMN can break up the simultaneous transfer of two electrons from NADH along with a proton, transferring H<sup>+</sup> into two separate one-electron steps, as required for electron transfer through the iron-sulphur clusters. Complex I is also a major site of superoxide (O<sup>--</sup>) generation as a by-product due to the direct reaction of electrons that leak from this site with dioxygen (Figure 1).

Complex I consists of 46 protein subunits with seven subunits being encoded by mtDNA and the remaining 39 subunits being encoded by nuclear DNA (nDNA)(Table 1A). The mtDNAencoded subunits play the major catalytic role of the enzyme. The majority of the nDNAencoded subunits provide the regulatory functions. They are sub-classed into flavoprotein (FP), iron-sulfur protein (IP), and hydrophobic protein (HP) subclasses. The FP contains three subunits with molecular masses of 51, 24, and 9 kDa, respectively. The 51-kDa subunit contains FMN and a tetranuclear iron-sulfur cluster and is the principal site of entry for electrons donated by NADH into the MRC. The 24-kDa subunit contains a binuclear iron-sulfur cluster [9]. IP subclass contains seven subunits, designated NDUFS1 to NDUFS7, with molecular masses of 75, 49, 30, 18, 15, 13, and 11 kDa, respectively[10]. This subclass is important because it contains important prosthetic groups highly conserved among species. It is noted that the NDUFS2 contains a highly conserved protein kinase C phosphorylation site and the NDUFS3 subunit contains a highly conserved casein kinase II phosphorylation site [10]. This makes them strong candidates to be regulated by phosphorylation/dephosphorylation, an important regulatory mode that can controls their activity [11]. FP and IP make contact through the 51and the 75-kDa subunits. The hydrophobic protein (HP) subclass, which includes more than thirty subunits, is largely membrane-intercalated and contains two iron-sulfur clusters apparently in a 23-kDa subunit and possibly another in a 20-kDa subunit [9]. Stoichiometrically, per mole of complex I, there are 2 mol of the 15-kDa subunit and 1 mol each of the FP and the four largest IP subunits [9].

The biogenesis of intact complex I requires the correct assembly of both mtDNA-and nDNAencoded subunits. The mtDNA-encoded subunits first assemble into intermediate complexes and require significant chase times for their integration into the holoenzyme. In contrast, a set of newly imported nDNA-encoded subunits integrates with preexisting complex I subunits to form intermediates and/or the fully assembed holoenzyme. One of the intermediate complexes represents a subassembly associated with the chaperone protein B17.2L. Recent studies (for review see [12]) have pointed to a mechanism of complex I biogenesis involving two complementary processes: (i) synthesis of mtDNA-encoded subunits to seed de novo assembly and (ii) exchange of preexisting subunits with newly imported ones to maintain complex I

homeostasis. Subunit exchange may also act as an efficient mechanism to prevent the accumulation of oxidatively damaged subunits that would otherwise be detrimental to mitochondrial oxidative phosphorylation and have the potential to cause disease.

Several proteins including mtDNA-encoded ND6[13] and the nDNA-encoded CIA30 (complex I intermediate associated protein)[14], NDUFAF1[15], C20orf7[16] and C6ORF66 [17] have been known to play essential roles in complex I assembly. CIA30 associates with the newly translated mtDNA-encoded complex I subunits at early stages in their assembly before dissociation at a later stage. CIA30-deficiency, which causes reduced levels and activity of complex I, was detected in a patient with cardioencephalomyopathy. Genetic analysis has revealed that the patient had mutations in both alleles of the NDUFAF1 gene that encodes CIA30. Complex I assembly in patient's cells is defective with subunits being degraded at early stages of development. Complementing the deficiency in patient fibroblasts with normal CIA30 restores steady-state complex I levels [14]. C20orf7 peripherally associates with the matrix face of the mitochondrial inner membrane. Silence of its expression with small interference RNA (siRNA) decreases complex I activity. Furthermore, mutation of C20orf7 disruptes complex I assembly and causes lethal neonatal mitochondrial disease[16]. NDUFAF1 has been demonstrated to be an important protein for the assembly and stability of complex I. NDUFAF1 is associated to two intermediate complexes of 600 and 700 kDa in size. The 700 kDa complex appears to represent a key step in the complex I assembly process. The relative distribution of these two complexes is altered in two complex I-deficient patients. Knock down of NDUFAF1 expression with siRNA led to a reduced amount and activity of complex I [15]. Homozygosity mapping in five patients from a consanguineous family presented with infantile mitochondrial encephalomyopathy led to identification of a missense mutation in a conserved residue of the C6ORF66 gene, which encodes a 20kDa mitochondrial protein [17]. The same mutation has also been detected in a patient who had antenatal cardiomyopathy. In muscle of two patients, the levels of the C6ORF66 protein and the fully assembled complex I were markedly reduced. Transfection of the patient's fibroblasts with wild-type C6ORF66 cDNA restored complex I activity. These data suggest that C6ORF66 is an assembly factor of complex I. More importantly, the C6ORF66 gene product was found to promote invasiveness of breast cancer cells [17].

**2.2.2. Complex II - Succinate Dehydrogenase**—Complex II (succinate dehydrogenase, <u>EC 1.3.5.1</u>) oxidizes succinate to fumarate and reduces quenone (Q) to QH<sub>2</sub> within the membrane. The electron transfer from FDAH<sub>2</sub> proceeds initially via an FAD cofactor, and then through a series of three iron-sulphur clusters. The first is a [2Fe2S] cluster where one of the Fe atoms is bound by aspartate oxygen. The second and third ones are the [4Fe4S] and [3Fe4S] clusters. All these groups are in the hydrophilic protein chains external to the membrane. Finally, electrons pass through the home iron of cytochrome  $b_{560}$  (within the hydrophobic protein inside the membrane), and then outside the protein to ubiquinone, which binds near the heme group.

Complex II contains four nDNA-encoded subunits but no mtDNA-encoded subunits: the flavoprotein (FP) and iron-sulfur protein (IP) of the dehydrogenase, and two integral membrane proteins referred to as C (II-3) and D (II-4). Their respective genes in mammals are SDHA, SDHB, SDHC and SDHD [18](Table 1B). Mutations in any of these may disrupt complex II enzymatic activity. In fact, defects in SDHA produce bioenergetic deficiency while defects in SDHB, SDHC, or SDHD induce tumor formation, suggesting that Complex II has tumor suppressor functions. It has been demonstrated that loss of the SDHB, but not the SDHA, subunit triggers ROS-dependent hypoxiainducible factor activation and tumorigenesis [19]. SDHB, SDHC, and SDHD germline mutations are prevalently detected in patients with head and neck paragangliomas [20–22]. These observations suggest that the dysfunction and loss

of these genes due to mutations can have an important role in the malignant transformation of the paragangliomas.

**2.2.3. Complex III - Cytochrome**  $bc_1$  **Complex**—Complex III (ubiquinol cytochrome c reductase, <u>EC 1.10.2.2</u>) catalyzes electron transfer from succinate via FDAH<sub>2</sub>– and NADH-linked dehydrogenases to cytochrome c, a mobile electron carrier located outside the mitochondrial membrane, shuttling one electron at a time from complex III to complex IV.

Complex III can be divided into two halves. Each half has a binding site for the lipid-mobile carrier ubiquinol/ubiquinone (QH<sub>2</sub>/Q). Quinol oxidation takes place near the inter-membrane space, while quinone reduction takes place near the matrix side of the membrane. Half the electrons delivered at Qo pass through the complex to Qi. Because the redox of Q/QH2 also involves the transfer of protons, this process results in the release of protons into the intermembrane space and the uptake of protons from the cell matrix. In effect, H<sup>+</sup> has been transported from one side of the membrane to the other, even though it is not actually the same proton coming and going. The other half is delivered to another intermembrane-mobile carrier, cytochrome c, which contains a heme group with two axial ligands, one hisN and one metS, giving octahedral coordination to the iron atom. The two iron oxidation states are Fe(III) d<sup>5</sup> and Fe(II) d<sup>6</sup>, both low spin. The transfer of a non-bonding  $t_{2g}$  electron doesn't dramatically affect the coordination geometry of the iron atom, making for kinetically facile electron transfer. There are two pathways for electrons to pass through Complex III from ubiquinol at  $Q_0$ . One is via two heme iron centers, cytochromes  $b_{562}$  (or  $b_L$ , with lower potential) and  $b_{566}$  (or  $b_{\rm H}$ , with higher potential), and then to ubiquinone at  $Q_{\rm i}$ . The other is via a Rieske ironsulphur cluster to cytochrome  $c_1$ , and then to cytochrome c. Complex III is another site for generation of superoxide within MRC (see Figure 1).

Complex III is made up of 11 subunits, of which all but one (cytochrome b) (cytb), are encoded by nDNA (Table 1C). The biogenesis of the yeast MRC complex III has been described (for review see [23]) Several studies have indicated that the mtDNA-encoded Cytb plays an important role not only in assembly of complex III but also in the maintenance of the complex I stability. Mutations in the cytb gene are associated either with only complex III deficiency or with combined complex I and III deficiency. In the processes of the assembly of the entire MRC, the complexes I and III form a stable core respirasome to which complex IV binds. The formation of respirasomes is essential for the assembly and stability of complex I. The dependence of complex I stability on the Cytb-mediated assembly of complex III has been demonstrated by a study using mouse and human cultured cell models harboring cytb mutations with a combined complex I and complex III defects. In both, complex III assembly was impeded and causes a severe reduction in the amount of complex I, not observed when complex III activity was pharmacologically inhibited. Metabolic labeling in mouse cells revealed that complex I was assembled, but its stability was severely hampered. Conversely, complex III stability was not influenced by the absence of complex I. This structural dependence among complexes I and III was confirmed in a muscle biopsy of a patient harboring a nonsense cytb mutation [24,25]. In addition to Cytb, a nuclear gene named BCS1L, which encodes a member of the AAA family of ATPases, is required for the assembly of complex III and for the expression of functional Rieske iron-sulfur protein. Mutations in this gene cause disruption of Complex III assembly, associated with reduced activity of the MRC and increased ROS production. A number of functional mutations in BCS1L have been reported in patients with tubulopathy, encephalopathy, liver failure and the Björnstad syndrome [26,27]. More recently, pathogenic mutations in the 5' untranslated region of BCS1L mRNA have been identified, which were associated with decreased BCS1L mRNA and protein levels, and a complex III assembly impairment [28].

**2.2.4. Complex IV - Cytochrome** *c* **Oxidase (COX)**—Complex IV [Cytochrome *c* Oxidase (COX), EC 1.9.3.1], the major component of the MRC complex localized within the mitochondrial inner membrane, acts as the terminus of mitochondrial electron transport in all aerobic life. This reaction is coupled with the transfer of four protons across the mitochondrial inner membrane, driving the synthesis of ATP via mitochondrial F0–F1 ATP synthase (section 2.2.5). In each half of COX, there are three metal sites involved in electron transfer: bimetallic Cu<sub>A</sub>, monometallic cytochrome *a*, and bimetallic cytochrome  $a_3/Cu_B$ . In addition, there are non-redox Mg<sup>2+</sup> and Zn<sup>2+</sup> ions, which, along with the Cu<sub>B</sub>/cyt  $a_3$  site, may be involved in the proton-pumping mechanism. Cytochrome *c* initiates electron flow through the enzyme by delivering one electron at a time to Cu<sub>A</sub>, which sits just outside the membrane. Electrons flow from Cu<sub>A</sub> through cyt *a* to the  $a_3/Cu_B$  site, which is where O<sub>2</sub> is bound and reduced to water. With four one-electron redox centers (Cu<sub>A</sub>, cyt *a*, Cu<sub>B</sub>, and cyt  $a_3$ ), the enzyme can hold the four electrons needed for the complete reduction of a single O<sub>2</sub> molecule.

Complex IV consists of thirteen subunits (Table 1D). Of which, the largest subunits, COXI, COXII, and COXIII, are encoded by the mtDNA and synthesized within the mitochondria. They represent the catalytic core of the enzyme; the rest of the smaller subunits are encoded by nDNA and imported into mitochondria following their synthesis in the cytosol. The nDNA-encoded subunits are implicated in the regulatory functions. Thus, its biosynthesis involves a coordinate interplay between nuclear and mitochondrial genomes. The subunits IV, Va, Vb, VIb, VIc, VIIb, VIc, and VIII(L) are ubiquitously expressed in all the tissues, although the mRNA levels for the individual subunits vary in different tissues. Several nDNA-coded subunits are expressed in a tissue- and development-specific manner. For example, the subunits VIa (H), VIIa (H), and VIII (H) are exclusive to heart and skeletal muscle. COX biogenesis includes a variety of steps starting from translation to the formation of the mature complex. Each step involves a set of specific factors that assists translation of subunits, their translocation across membranes, the insertion of essential cofactors, assembly and final maturation of the enzyme. The organization and biogenesis of COX have been described (for details see [29, 30]).

The COX IV assembly/biogenesis relies on a number of proteins, including SCO1, SCO2, COX11, COX15, Surf1p, COX17 and SURF1 (Table 1D), which are essential for the correct assembly and stability of this complex. SCO1, SCO2 and COX17 are also responsible for delivery of copper ions to the mitochondrion and for insertion of these ions into the enzyme and maintenance of cell copper homeostasis [31–33]. Mutations in SCO2 have been reported in infants with early onset of fatal cardioencephalomyopathy and who have a severe COX deficiency in striated muscle [33–35]. More interestingly, SCO2 has been known to couple with p53 within mitochondria in modulating the balance between the utilization of MRC and glycolytic pathways [36]. Maturation of the heme a (3)-Cu(B) center is a step that limits the association of subunits I and II in the COX assembly. Surf1p plays a role in facilitating the insertion of heme into the active site of COX. Numerous mutations in human Surf1p lead to severe mitochondrial disease with defective complex IV functions [37]. Surf1 appears to be involved in an early step of heme insertion into subunit I [38]. In humans, the loss of Surf1 function due to mutations is associated with Leigh syndrome, a fatal neurodegenerative disorder which is highly prevalent in Poland [39].

**2.2.5. Complex V-mitochondrial F0–F1 ATP synthase**—Complex V (the mitochondrial F0–F1 ATP synthase) is a membrane protein complex, consisting of catalytic sector, F1; the membrane sector, F0, and a long stalk that connects F1 to F0 (Figure 1). F0–F1 ATP synthase couples the proton gradient across the mitochondrial inner membrane to the synthesis of ATP from ADP + Pi. The complex is composed of essential subunits for its motor functions and supernumerary subunits. Two subunits of ATP synthase, subunits 6 and 8 (ATP6/8), are encoded by mtDNA and the remaining subunits are encoded by nDNA (Table

1E). The well characterized subunits of the bovine ATP synthase complex are the subunits alpha ( $\alpha$ ), beta ( $\beta$ ), gamma ( $\gamma$ ), delta ( $\delta$ ), and epsilon ( $\epsilon$ ) of F1; the ATPase inhibitor protein; and subunits a, b, c, and d, OSCP (oligomycin sensitivity-conferring protein), F6, and A6L are present in F0, and the 45-A-long stalk. Bovine ATP synthase preparations also contain three small polypeptides, designated e, f, and g, with respective molecular masses of 8.2, 10. 2 and 11.3 kDa, respectively. It has been shown that (i) e, f, and g could be immunoprecipitated with anti-OSCP from a fraction of bovine submitochondrial particles enriched in oligomycinsensitive ATPase;(ii) the NH2 termini of subunits f and g are exposed on the matrix side of the mitochondrial inner membrane and can be curtailed by proteolysis; (iii) the COOH termini of all three polypeptides are exposed on the cytosolic side of the inner membrane; and (iv) f cross-links to A6L and to g, and e cross-links to g and appears to form an e-e dimer. Thus, the bovine ATP synthase complex appears to have 16 subunits [10].

The assembly of mitochondrial F0-F1 ATP synthase is a complicated process, which is not fully understood. However, several proteins including Oxa1, ATP12, subunit  $\varepsilon$  and TMEM70 are involved in the assembly and biogenesis of this complex. The Oxa1 protein is involved in assembly of the COX complex by facilitating the co-translational membrane insertion of mtDNA-encoded COX subunits. It has been demonstrated [40] that Oxa1 also directly supports the assembly of the inner membrane embedded F0-sector of the ATP synthase via its physical interaction with the newly synthesized mtDNA-encoded ATP8 protein. In the absence of Oxa1, ATP8 is observed to assemble into an oligomeric complex containing F1-subunits, but its further assembly with subunit 6 (ATP6) of the F0-sector is perturbed. By directly interacting with newly synthesized ATP8 in a posttranslational manner, Oxa1 is required to maintain the assembly competence of the ATP8-F1-subcomplex for its association with ATP6. Subunit ATP12 is also involved in assembly for F0-F1 ATP synthase. A mutation in the ATP12 gene has been identified in one patient, which is believed to be the cause of the impaired ATP synthase activity[41]. Bisetto et al. [42] have determined that integral inner membrane subunit ε is essential for self-association of F0–F1 ATP synthase. Whole-genome homozygosis mapping, gene expression analysis and DNA sequencing in individuals with isolated mitochondrial ATP synthase deficiency led to identification of disease-causing mutations in TMEM70. Complementation of the cell lines derived from these individuals with wild-type TMEM70 restored biogenesis and metabolic function of the enzyme complex. These results indicate that TMEM70 is involved in mitochondrial ATP synthase biogenesis [43].

# 3. Regulation of mtDNA-encoded MRC proteins by E<sub>2</sub> and ERs

Mitochondria integrate a large number of signal transduction pathways for a wide variety of biologically active molecules. There is increasing evidence that mtDNA is one of the major targets for the direct actions of steroid and thyroid hormones and their respective receptors (for reviews see [44–47]). The regulation of mtDNA-encoded MRC protein synthesis by  $E_2$  and ERs is described as follows.

## 3.1. Localization of ERβ and ERα in Mitochondria of Target Cells/Tissues

In addition to their presence in nuclei and plasma membrane, ER $\alpha$  and ER $\beta$  are also localized in mitochondria of a number of cell types and tissues. While several earlier studies have provided several clues suggesting the association of ERs with non-nuclear/cytoplasmic compartments in target tissues (for review see [46]), definitive demonstration for the presence of ER $\alpha$  and ER $\beta$  in mitochondria in target cells comes from more recent studies. The studies examining the effects of 17 $\beta$ -ethinyl estradiol plus and minus ER antagonist ICI182780 on MRC gene expression and MRC-mediated superoxide generation in human liver cancer HepG2 cells provided the first line of evidence suggesting the presence of ERs in their mitochondria [48,49]. Monje and Boland reported the first direct detection of ER $\beta$  in the mitochondria in rabbit uterus and ovary [50]. Using subcellular fractionation/Western blot, immunochemical

localization with confocal fluorescent microscopy and immunogold microscopy, Chen et al. [51-53] demonstrated that a substantial fraction (approximately 20%) of total cellular ER $\beta$  are localized within the mitochondrial matrix of human breast cancer MCF-7 and that E2 enhanced the import of ER $\beta$  into MCF-7 cell mitochondria in a time- and dose-dependent manner. Pedram et al. [54] independently confirmed the presence of functional ER $\beta$  in MCF-7 and endothelial cells. Chen et al. [55] observed that ER $\beta$  is predominantly localized in mitochondria of normal, immortalized human breast epithelial cells (MCF-10F) and the E2-induced transformed subline of MCF-10F cells (trMCF). More importantly, they demonstrated that silence of ER $\beta$  expression with ER $\beta$ -specific siRNA markedly diminished both nuclear and mitochondrial ER $\beta$  in MCF-10F and MCF-7 cells. In addition, they observed a progressive shift of ERß from its predominant localization in mitochondria in MCF-10F and trMCF to the nuclei of the more invasive and tumorigenetic MCF-10F sub-lines [55]. The mitochonrial localization of ER $\beta$  has been extended to a number of cell type and tissues. Yang et al. [56] demonstrated the mitochondrial localization of ER<sup>β</sup> in primary neurons, primary cardiomyocytes, murine hippocampus cell lines and human heart cells. Moreover, they verified, using a proteomic approach, tat a protein with molecular weight between 50 to 60 kDa within mitochondria of human heart cells was ERβ. Furthermore, ERβ has been detected in mitochondria of human lens epithelial cells[57-59], human liver cancer HepG2 cells[53, 60], osteosarcoma SaOS-2[60,61], sperm [62], periodontal ligament cells [63], and rat brain rostral ventrolateral medulla and the hippocampal CA1 region where silver grains indicative of <sup>125</sup>I-estradiol binding are localized [64,65].

It should be noted that the wild-type human ER $\beta$  (designated ER $\beta$ 1) coexists with several isoforms (designated ER $\beta$ 2 to ER $\beta$ 5) in a wide range of tissues. These isoforms are generated via alternative splicing of exons encoding the carboxyl terminus. Using antibodies specific for ER $\beta$ 1, ER $\beta$ 2 and ER $\beta$ 5, Cammarata et al. [58] observed that ER $\beta$ -1 immunostaining was prominently present in the mitochondria along with weaker staining in the nucleus of normal cultures of human lens epithelial cells (nHLE), virally transformed HLE-B3 and MCF-7 cells, as determined by co-localization with Mitotrack-633. However, ER $\beta$ 2 staining was distributed throughout the cytosol and was associated with the nucleus of these cells. ER $\beta$ 5 staining was diffuse in the cytosol and also associated with their nuclei. The differential subcellular distribution of ER $\beta$ 1 to the mitochondria and ER $\beta$ 2 to the nucleus suggests a new aspect of regulation and function of the ER signaling systems. Indeed, ER $\beta$ 1 has been shown to play an important role in mitochondrial cytoprotection from oxidative stress in cultured normal male and female human lens epithelial cells [59](see section 7.5. for more details).

The presence of ER $\beta$ 1 but not of ER $\beta$ 2 and ER $\beta$ 5 in mitochondria of many types of cells and tissues indicates that, like other mitochondrially localized proteins, ER $\beta$ 1 is imported into mitochondria via mitochondrial protein import machinery and that its import likely requires the mitochondrial targeting polypeptide signal (mTPs) and information encoded within the carboxyl terminus of ER $\beta$ 1. Indeed, a putative mTPs has been identified within the internal amino acid sequence of human ER $\beta$ 1[14,51]. It is likely that ER $\beta$  is imported into the mitochondria of target cells, through tethering to cytosolic chaperone protein and/or through direct interaction with mitochondrial import proteins [66]. The mechanisms underlying the mitochondrial import of ER $\beta$  deserves further investigation.

While ERα is mainly present in the nucleus, several studies have demonstrated its presence in mitochondria of several types of cells, including cerebral blood vessels obtained from ovariectomized (Ovx) female rats [67], MCF-7 cells [51,54,68], HepG2 cells [53,60], and 2C12 murine skeletal muscle cell line[69].

The presence of  $ER\alpha$  and  $ER\beta$  in mitochondria of breast, heart, brain, bone, eyes, sperm, and periodontal ligament tissues, which have high demand on energy supply from mitochondria

for their proper functions, indicates that mitochondrial ER $\alpha$  and ER $\beta$  may have important roles in regulation of mitochondrial energy metabolism in these systems (see section 7).

## 3.2. Induction of mtDNA Transcription by E<sub>2</sub> and ERs

 $E_2$  has been known to up-regulate the transcript levels of several mtDNA-genes, which encode MRC complex proteins, and to have direct and indirect effects on MRC activity [48,51,53, 70–72]. The previous studies on the effects of estrogens on the transcript levels of mtDNA genes encoding MRC proteins in a number of cell types and tissues have been reviewed ([46, 73] and references therein) and summaried[74]. A number of recent studies have provided strong additional support for an important role of  $E_2$  in regulation of the expression of mtDNA-encoded MRC proteins.

It was reported [67] that treatment of Ovx female rats in vivo with E<sub>2</sub> increased the levels of a number of specific proteins in cerebrovascular cell mitochondria, including mtDNA-encoded COX1, the nDNA-encoded cytochrome c and COX IV of complex IV, ER $\alpha$  and manganese superoxide dismutase (MnSOD), associated with increased complex IV activity. Irwin et al. [75] investigated the effects of progesterone (P) and  $E_2$  on mitochondrial functions. They treated ovx rats with P,  $E_2$ , or  $E_2 + P$  and then isolated whole-brain mitochondria for functional assessment and observed that brain mitochondria from E2-treated rats exhibited increased expression and activity of complex IV coupled to MRC functions, and that this increased MRC activity was coupled with a decreased rate of reactive oxygen leakage and reduced lipid peroxidation, representing a systematic enhancement of brain mitochondrial efficiency. Hsieh et al. [76] treated male rats underwent trauma-hemorrhage (T-H) with either ER $\alpha$  selective agonist propylpyrazole triol (PPT) or ER $\beta$  selective agonist diarylpropionitrile (DPN) or E2 or vehicle and another group of rats with Complex IV inhibitor sodium cyanide (SCN)  $\pm$  DPN. Their results indicated that 24h after T-H, cardiac functions were depressed in the vehicletreated but were normal in DPN-treated rats. Either E2 or DPN treatment after T-H normalized cardiac mitochondrial ERß expression and increased mitochondrial ERß DNA-binding activity, accompanied by an increase in complex IV gene expressions and activity. Moreover, inhibition of complex IV in DPN-treated T-H rats by SCN abolished the DPN-mediated cardioprotection, ATP production, mitochondrial cytochrome c release, caspase-3 cleavage, and apoptosis. These results indicate that  $E_2$  and ER $\beta$ -mediated cardioprotection following T-H appears to be mediated via mitochondrial ERβ-dependent complex IV activity and inhibition of mitochondrial apoptotic signaling pathways. Human periodontal ligament (PDL) cells express mainly ER $\beta$  protein. Jonsson et al. [63] demonstrated ER $\beta$  in mitochondria of PDL cells, in which E<sub>2</sub> induced attenuation the expression of COXI of complex IV, an effect that was blocked by ER antagonist, ICI182780. Chen et al. [55] reported that treatment of immortalized human breast epithelial cells that are negative to ER $\alpha$  but contain ER $\beta$  in their mitochondria with E2 and DPN for 24h significantly stimulated the expression of subunits COX I and COX II of complex IV, and ND1 subunit of complex I and that knock down of ER $\beta$  expression with siRNA diminished the mitochondrial expression of ER $\beta$  in these cells and blocked E2-induced expression of COXII protein. These results demonstrated that the mitochondrial ERB in MCF-10F cells is directly involved in E2-induced expression of mtDNAencoded MRC proteins. Consistent with these observations, Nilson et al. [77] reported increased mRNA and protein levels of COXI, COXII and COXIII in mitochondria of rat brain neurons and commitant increase in COX activity.

# 3.3. Activation of Nuclear Respiratory Factors (NRFs) and Mitochondrial Transcription Factor A (Tfam) by $E_2/ERs$

**3.3.1. Activation of NRFs by E\_2 and ERs**—While the molecular mechanisms underlying the above-described  $E_2$ /ER-mediated effects on expression of mtDNA-encoded MRC proteins are not completely understood, several recent studies have pointed to an important role of

nuclear respiratory factors 1 and 2 (NRF1 and NRF-2) in mediating these effects. NRF-1 and NRF-2 are primary transcription factors of nDNA-encoded mitochondrial proteins, e.i. the majority of MRC complex proteins and mitochondrial transcription factor A (Tfam), which control transcription of the mtDNA, are regulated by NRF-1 and NRF-2 (see below). Stirone et al. [67] observed that long-term treatment of ovx female rats with E2 increased NRF-1 protein in their cerebral blood vessels. Similar stimulatory effects of  $E_2$  on NRF-2 protein levels were also seen in heart cells of male rats [78]. Mattingly et al. [79] revealed an important role of NRFs in regulation of  $E_2/ER$ -mediated biogenesis. These investigators reported that  $E_2$ increased NRF-1 mRNA and protein in both MCF-7 breast and H1793 lung adenocarcinoma cells in a time-dependent manner. These effects were inhibited by ER antagonist ICI 182,780 and transcription inhibitor, actinomycin D but not by phosphoinositide-3 kinase and MAPK inhibitors, indicating that up-regulation of NRF-1 by E<sub>2</sub> is mediated via ERs at the transcriptional level. Importantly, NRF-1 promoter contains an estrogen response element (ERE) that specifically binds to ER $\alpha$  and ER $\beta$  in vitro as demonstrated by gel electrophoresis mobility shift assays (EMSA).  $E_2$  induced the recruitment of both ER $\alpha$  and ER $\beta$  to this ERE in vivo in MCF-7 cells as revealed by in Chip assays. Furthermore, the ERE of NRF-1 has been shown to activate expression of a reporter gene in transfected cells. Knock down of the expression of ER $\alpha$  and ER $\beta$  with respective siRNAs has revealed that it is ER $\alpha$  that mediates E<sub>2</sub>-induced NRF-1 transcription in MCF-7 cells.

3.3.2. Regulation of Tfam and PGC by E<sub>2</sub> via NRFs—Mitochondrial transcription factor A (Tfam, also named MTFA or mtTFA) is an nDNA-encoded, mitochondrially-localized protein that is crucial for replication, transcription and maintenance of mtDNA [80,81]. Human Tfam binds to mtDNA in a sequence-independent manner and is abundant enough to cover the entire mtDNA genome, thereby stabilizing mtDNA through formation of a nucleoid (mitochromosome corresponding to chromosome of nDNA) and regulates the amount of mtDNA[81]. Over-expression of human Tfam in mice increased the amounts of mtDNA and dramatically ameliorated the cardiac dysfunction caused by myocardial infarction [82]. Tissuespecific knock out of Tfam in mouse heart resulted in dramatic reduction in mtDNA content. This effect was associated with abnormal mitochondrial functions[83]. Knock down of Tfam expression with siRNA in HeLa cells resulted in a reduction of Tfam levels to 15% of control, associated with a closely parallel reduction in amount of mtDNA[84]. Thus, Tfam may play a crucial role in maintaining mtDNA as a main component of the nucleoid. Tfam also interacts with the mitochondrial p53, protecting mtDNA from oxidative damage [85,86]. As a key transcription factor, Tfam plays an essential role in activation of mtDNA transcription. Transient over-expression of Tfam stimulated mtDNA transcription. This effect was more evident when Tfam was transiently over-expressed in cells having less mtDNA caused by pretreatment with ethidium bromide [87].

Because of its important role within mitochondria, the expression and activities of Tfam must be linked to cellular energy needs in response to physiological, pathological changes and environmental insults. Its expression is under the control of a number of transcriptional factors, including NRF-1, NRF-2, specificity protein 1 (Sp1) and hStaf/ZNF143. Indeed, NRF-1 and NRF-2 binding sites are present in the proximal promoter of the human Tfam gene [80]. The promoter of the human Tfam gene is highly dependent on both NRF-1 and NRF-2 binding sites for activity. The affinity-purified factors from HeLa cells were shown to specifically bind to these NRF-1 and NRF-2 sites. Mutations in these contact sites eliminated NRF-1 and NRF-2 binding and dramatically reduced promoter activity in transfected cells. NRF-1 binding appears to be the major determinant of promoter function. This dependence on NRF-1 activation was confirmed by in vitro transcription using highly purified recombinant proteins that displayed the same binding specificities as the HeLa cell factors. The activation of the Tfam promoter by both NRF-1 and NRF-2 therefore provides a link between the expression of nuclear and mitochondrial genes and suggests a mechanism for their coordinate regulation during organelle

biogenesis [80]. The role of NRF-1 in the regulation of Tfam expression is further demonstrated by the observation [79] that the  $E_2$ -induced increase in NRF-1 is followed by increased Tfam and the transcription of Tfam-regulated mtDNA-encoded COXI and NDI genes, and increased mitochondrial biogenesis. Knock down of NRF-1 with siRNA blocked  $E_2$  stimulation of mitochondrial biogenesis and activity, indicating a mechanism by which estrogens regulate mitochondrial function by increasing NRF-1 expression.

In addition to NRFs, two cross-species conserved binding sites for the transcription factor hStaf/ZNF143 have been identified within the promoter region of human Tfam gene[88]. Promoter binding assays, transient expression of mutant Tfam reporter gene constructs and Chip assay had revealed that hStaf/ZNF143 was involved in promoter activity and that the promoter of the human Tfam gene harbored a complex organization with at least six transcriptional regulatory elements[88].

Three binding sites for Sp1 and one for NRF-2 but none for NRF-1 are present in the proximal upstream region within the 5'-flanking region of the rat Tfam gene [89]. Transfection of a reporter gene linked to this region into rat skeletal muscle cells has demonstrated that the promoter activity was 10 times higher than that of control vectors. Despite the absence of a NRF-1 binding site, co-transfection of human NRF-1 expression vector increased the Tfam promoter activity by 2-fold. An EMSA assay for NRF-1 has shown that NRF-1 binds to the proximal region of the promoter between -112 and +49 [89]. Consistent with this observation, Dong et al. [90] reported that the mRNA levels for NRF-1 and NRF-2 were 5- and 3-fold higher, respectively, in the rapidly growing rat hepatoma relative to the host liver, associated with more than a 10- fold increase in levels of Tfam, and 10-, 8-, 5-, and 3-fold increases in mtDNA-encoded ND5, ND6, COXI, COXII, respectively, the downstream targets of Tfam. Furthermore, the DNA binding activity of Sp1 in the hepatoma nuclear extract was 4-fold greater than that in the liver extract.

Several studies have indicated that the expression of Tfam is regulated by  $E_2$  via ERs. It has been reported [76,78] that  $E_2$  increased the expression of rat cardiac PGC-1, NRF-2, Tfam and that these effects were associated with increased in COX IV and mtDNA-encoded COXI and ATP synthase  $\beta$ -subunit, mitochondrial ATP, and COX activity in rats that underwent traumahemorrhage (T-H). These effects were totally abolished by the ER antagonist ICI-182780, indicating the involvement of ER in mediating these effects. Furthermore, treatment of T-H animals with ER $\beta$ -selective agonist, DPN, mimicked E2's effects [76,78]. Similar stimulatory effects of  $E_2$  on Tfam were seen in Kupffer cells following T-H [91] and in human breast MCF-7 cells [79]. In contrast,  $E_2$  was reported to inhibit the expression of Tfam and NRF-1 in cultured rat brown adipocytes [92].

The effects of  $E_2$  on the expression of Tfam are most likely mediated via NRF-1 and NRF-2 because the promoter of human Tfam contains functional NRF-1 and NRF-2 binding sites [80] and E2-mediated up-regulation of NRF-1 in MCF-7 cell [79](see above). In addition, the effects of  $E_2$  on Tfam in heart cells may be mediated via the interaction of NRFs with PGC-1 $\alpha$  because it has been shown that administration of antisense PGC-1 $\alpha$  prevented both  $E_2$  and DPN-mediated cardioprotection and increased levels of ATP and Tfam following T-H and that the expression of PGC-1 $\alpha$  was up-regulated by E2 and DPN [76,78]. These findings suggest that the effects of  $E_2$  on cardiac function following T-H are mediated via ER $\beta$  up-regulation of PGC-1 $\alpha$  through Tfam-dependent pathway [76,78]. The effects of  $E_2$  on Tfam could be mediated via the cooperation of NRF-2 and Sp1. Sp1, a ubiquitous transcription factor, has been shown to be involved in regulation of a number of estrogen-responsive genes [93, 94]. Indeed, both NRF-2 and Sp1 have been shown to occupy the NRF-2 and Sp1 binding sites on the promoter of rat Tfam gene as revealed by in vivo genomic footprinting [90].

# 3.4. Regulation of Protein Factors Involved in Replication, Transcription and Translation of mtDNA by NRF

The replication, transcription and translation of mtDNA into these MRC proteins within mitochondria require the involvement of a number of nDNA-encoded protein factors that are synthesized on cytosol ribosomes and imported into mitochondria.

### 3.4.1. Regulation of Protein Factors Involved in mtDNA Replication by NRFs-

Mammalian mtDNA replication usually occurs by asymmetric synthesis of the two strands, starting at the multiple origins in the displacement loop (D-loop). Replication of mtDNA is carried out by several proteins, including mitochondrial-specific DNA polymerase, mitochondrial RNA polymerase (POLRMT), the DNA helicase TWINKLE, and mitochondrial single strand binding proteins (mtSSB). DNA polymerase  $\gamma$  consists of a catalytic (POLG) subunit and an accessory (POLG2) subunit. Replication in the leading-strand origin is coupled to transcription via the formation of an RNA-DNA hybrid known as an R-loop [95]. POLRMT acts as lagging-strand primase in mammalian cells and highly processes on double-stranded DNA, but synthesizes RNA primers on a single-stranded template. The short RNA primers synthesized by POLRMT are used by the mitochondrial DNA polymerase  $\gamma$  to initiate DNA synthesis in vitro. Addition of mtSSB reduces overall levels of primer synthesis, but stimulates primerdependent DNA synthesis. When combined, POLRMT, DNA polymerase  $\gamma$ , DNA helicase TWINKLE, and mtSSB are capable of performing simultaneous leading- and laggingstrand DNA synthesis in vitro [96]. An RNA processing enzyme, Ribonucleoprotein endonuclease (RNase MRP), is also involved in primer maturation. In vitro initiation of leading-strand mtDNA synthesis requires the actions of RNA polymerase and RNase MRP for the generation of replication primers. Mutations of the RNase MRP gene are known to cause a recessively inherited developmental disorder, cartilage-hair hypoplasia [97]. Tfam, acting as a DNA binding stimulatory factor, coordinates the assembly of multiple DNA molecules into nucleoid-like structures and thus, is required for mtDNA maintenance[98]. Another major replication origin is present at position 57 in the D-loop of mtDNA in several human cell lines and immortalized lymphocytes. The nascent chains starting at this origin do not terminate prematurely at the 3' end of the D-loop but proceed well beyond this control point, behaving as "true" replicating strands. This origin is mainly responsible for mtDNA maintenance under steady-state conditions, whereas mtDNA synthesis from other D-loop origins may be more important for recovery after mtDNA depletion and for accelerating mtDNA replication in response to physiological demands [99].

The expression of several proteins involved in mtDNA replication appears to be controlled by NRFs. As described above, the expression of Tfam is under the control of NRF-1, NRF-2 and Sp1. Furthermore, a functional NRF-1 site in the promoter of Rnase MRP gene [100], functional Sp1 and NRF-1 binding sites in genes encoding the mtSSB [101] and the human hSUV3 gene that encodes ATP-dependent RNA and DNA helicase [102] have also been identified (Table II).

### 3. 4. 2. Regulation of Protein Factors Involved in mtDNA Transcription by NRFs

—Using the heavy strand as the template under the control of heavy strand promoter (HSP) within the D-loop regulatory region, mtDNA is first transcribed to a large mitochondrial transcript precursor. 12 out of 13 mature mRNAs, two rRNAs and 22 tRNAs are derived from the processed transcript precursor. The other mRNA that encodes for a complex I subunit, ND4L, is transcribed using the light strand of mtDNA as the template under the control of light strand promoter (LSP). Transcription of mtDNA by POLRMT is initiated bidirectionally from closely spaced promoters, HSP and LSP, within the regulatory region (D-loop) and requires assembly of several transcriptional factors, including Tfam, mitochondrial transcription factors

B1 (TFB1M) and B2 (TFB2M). Termination factor (mTERF) is involved in termination of mtDNA transcription (see Table II).

As a key transcription factor, Tfam is essential for activation of mtDNA transcription. TFB1M and TFB2M, acting as auxiliary factors for promoter recognition, are necessary for basal transcription of mtDNA. They markedly enhance mtDNA transcription in the presence of Tfam and POLRMT[103–105]. Human TFB1M and TFB2M are expressed ubiquitously and can each support promoter-specific mtDNA transcription in a pure recombinant in vitro system containing POLRMT and Tfam. Both TFB1M and TFB2M interact directly with POLRMT, but TFB2M is at least one order of magnitude more active in promoting transcription than TFB1M. The presence of two proteins that interact with mammalian POLRMT may allow flexible regulation of mtDNA gene expression in response to the complex physiological demands of mammalian metabolism [103].

It has been established [104] that the expression of human TFB1M and TFB2M is governed by NRF-1 and NRF-2. NRF binding sites are present within both TFB1M and TFB2M promoters, which have been shown to be required for maximal trans activation by the PGC-1 family co-activators, PGC-1 $\alpha$  and PRC. The evidence includes: a) The physiological induction of these co-activators has been associated with the integration of NRFs and other transcription factors in a program of mitochondrial biogenesis; 2) the TFB1M and TFB2M genes are upregulated along with Tfam and either PGC-1 $\alpha$  or PRC in cellular systems where mitochondrial biogenesis is induced; and 3) Ectopic expression of PGC-1 $\alpha$  is sufficient to induce the coordinate expression of all three nucleus-encoded mitochondrial transcription factors along with nuclear and mitochondrial respiratory subunits. Thus, the coordinate regulation of nDNAencoded mitochondrial transcription factors by NRFs and PGC-1 family coactivators is essential to the control of mitochondrial biogenesis. Because the expression of NRFs and PGC-1 $\alpha$  is induced by E2 via ER $\alpha$  and ER $\beta$  [76,79], it is likely that the expression of these mitochondrial transcription factors is regulated by E<sub>2</sub> and ERs via NRFs and PCG-1 $\alpha$  and, perhaps, other protein factors.

### 3.4. 3. Regulation of Proteins Factors involved in Mitochondrial Protein

**Translation by NRFs**—Translation of 13 mRNAs into MRC proteins occurs within mitochondria. A number of protein factors are involved in the steps of initiation, elongation, and termination of mitochondrial translation. The properties of some of these factors are summarized in Table II. The nDNA-encoded mitochondrial translation initiation factor 2 (MTIF2) functions to initiate the translation. The mitochondrial elongation factor EF-Tu is a GTPase that delivers amino-acylated tRNAs to the ribosome during the elongation step of translation. EF-Tu/GDP is recycled by the guanine nucleotide exchange factor EF-Ts. Mitochobdrial release factor 1 $\alpha$  and human mitochondrial release factor 1L (HMRF1L) have been identified as mitochondrial translation release factors involved in the decoding of the termination codons UAA and UAG [106,107]. HMRF1L is methylated in the GGQ motif in vivo by the human mitochondrial methyltransferase (HMPrmC). The methylation of HMRF1L by HMPrmC is involved in the control of the translation termination process, probably by preventing the undesired suppression of termination codons and/or abortive termination events at sense codons [107].

Bot1p is also required for mitochondrial translation. Bot1p localizes to the mitochondria and cofractionates with purified mitochondrial ribosomes. Reduced levels of Bot1p lead to mitochondrial fragmentation, associated with decreased mitochondrial protein translation, and in cell respiration. Over-expression of Bot1p resulted in cell cycle delay, accompanied by increased cell size and cell length and enhanced cell respiration rate. These results indicate that Bot1p has a novel function in the control of cell respiration by acting on the mitochondrial protein synthesis machinery [108].

The 5' flanking regions of MTIF2 gene have been isolated and mapped to 296 bp upstream from the translation initiation site in human heart tissue. The promoter contains binding sites for NRF-2, Sp1, ERs and enhancer binding sites upstream. NRF-2 binds to the NRF-2 site in the MIF2mt promoter. Reporter assays in HEK293T cells co-transfected with an NRF-2-expressing vector and/or a MIF2 promoter reporter vector have revealed that NRF-2 transactivated the MIF2 promoter [109,110]. Importantly, NRF-2 sites are also present in the promoters of several other mitochondrial translation factors, suggesting that NRF-2 may play a key role in the regulation of mitochondrial protein synthesis [110]. The presence of Sp1 and ER binding sites in the promoter of MTIF2 gene suggests that the expression of this gene can be directly activated by  $E_2$  via ERs, Sp1 and NRFs.

### 3.5. Regulation of mtDNA Transcription and Translation by Estrogens/ERs

The presence of ER $\alpha$  and ER $\beta$  in mitochondria suggests that these mitochondrial ERs are directly involved in E2-induced mtDNA transcription. The D-loop, the major regulatory region, of mtDNA contains four putative estrogen responsive elements (mtEREs) [111,112]. Using EMSA, supershift assays and surface plasman resonance (SPR), Chen et al. [52] have demonstrated that ER $\alpha$ , ER $\beta$  and mitochondrial proteins that contain ER $\beta$  specifically bind to these mtEREs. E<sub>2</sub> dose- and time-dependently enhanced the binding of MCF-7 cell mitochondrial proteins to these mtEREs. It is likely that  $E_2$  stimulates the interaction of ER $\alpha$ and/or ER $\beta$  with Tfam, TFB1M, TFB2M and other factors within mitochondria, and form active transcription complex, thus enhancing mtDNA transcription. Because  $E_2$  stimulates the expression of Tfam, and possibly TFB1M and TFB2M via activation of NRF-1 and NRF-2, it is likely that  $E_2$  and ERs stimulate the transcription via activation the expression of these mitochondrial transcriptional factors. On the other hand, E2 may activate the expression of several key proteins involved in mitochondrial protein translation and thus, enhance the rate of mitochondrial proteins synthesis. The direct effects of  $E_2$  and ERs on the control of the expression of protein factors involved in mitochondrial protein translation and the mechanisms involved deserve further investigation.

# 4. Regulation of nDNA-Encoded MRC proteins by E2 via NRFs

As mentioned above, the majority of MRC proteins are encoded by nDNA, synthesized on cytosol ribosomes and then imported into mitochondria where they are assembled with 13 mtDNA-encoded proteins into the four MRC complexes and mitochondrial ATP synthase. Previous studies that suggest that estrogens are involved in regulation of nDNA-encoded MRC proteins have been reviewed [46,113]. A number of recent studies have provided a strong support for a key role of ER $\alpha$  and ER $\beta$  in regulation of E<sub>2</sub>-induced expression of nDNA genes encoding MRC proteins in a variety of cells and tissues.

## 4.1. Regulation of nDNA-encoded MRC proteins by estrogens/ERs

Chen et al. [48,114] reported that treatment of female rats with EE and HepG2 cells with E2 enhanced the transcript levels of a nuclear gene encoding H<sup>+</sup>-ATP synthase subunit  $\epsilon$ . Gene expression profiling [115] of prostate from E<sub>2</sub> plus testosterone-treated Noble rats revealed the enhanced expression of several nuclear genes encoding MRC complex subunits, including COXVa, COXVIa and mitochondrial H<sup>+</sup>-ATP synthase subunits b and f. O'Lone et al. [116] performed gene expression profiling in mouse aorta of ER $\alpha$  knockout (ER $\alpha$ KO) and ER $\beta$ KO mice to identify comprehensive gene sets whose expression was regulated by long-term E<sub>2</sub> treatment. They treated ER $\alpha$ KO and ER $\beta$ KO mice with E<sub>2</sub> for 1 week and then performed microarray analysis for identification of ER subtype-dependent gene expression. Their data revealed that ER $\alpha$ - and ER $\beta$ -dependent pathways regulated distinct and largely nonoverlapping sets of genes, i.e. ER $\alpha$  was essential for most of the E<sub>2</sub>-mediated increase in gene expression in wild-type aortas whereas ER $\beta$  mediated nearly 90% of E<sub>2</sub>-mediated decreases

in gene expression. The  $E_2$ -regulated genes include those encoding for MRC complexes (see Table 1A, 1B, 1C, 1D, 1E), for proteins involved in ROS pathways and extracellular matrix synthesis. Importantly, the E<sub>2</sub>/ER<sup>β</sup> pathway mediated down-regulation of mRNAs for nuclear genes encoding subunits for all five MRC complexes in mouse aorta. Using a combined proteomic and functional biochemical approach, Nilsen et al. [77] determined the overall impact of  $E_2$  on mitochondrial protein expression and functions in neurons of rat brain. They treated ovx adult female rats with a single injection of  $E_2$  for 24h, purified neuron mitochondria and then conducted two-dimensional gel electrophoresis and liquid chromatography-tandem mass spectrometry to screen the E<sub>2</sub>-mediated changes in mitoproteome. The proteomic analyses revealed a twofold or greater change in expression of 66 proteins. Of these, 28 proteins were increased in expression after E2 treatment whereas 38 proteins were decreased in expression relative to control. E2 enhanced several key metabolic enzymes, including the subunits for MRC complex IV and ATP-synthase subunits (Table 1D and 1E) as well as the TCA cycle enzymes. Consistent with the increased gene expression is the increased respiratory control ratio, elevated complex IV activity, in association with simultaneous reduction of free radical generation in brain. Araújo et al. [117] examined E<sub>2</sub> effects on MRC gene expression and the MRC activity of rat cortical and mesencephalic astrocytes. They observed that MRC structural and functional properties were regulated dependent on the  $E_2$  exposure time and the brain region, but independent of the nuclear ERs. They demonstrate that long-term  $E_2$  exposure increases the expression of genes for MRC complex subunits and the mtDNA content, thereby indicating an up-regulation of the amount of mitochondria per cell together with an increase of MRC production. This could represent an important indirect mechanism by which longterm estrogen exposure protects neurons from cell death under neurotoxic conditions. On the other hand, they observed short-term effects of estrogen on the activity of mitochondrial, proton-pumping MRC complexes. In astrocytes from the cortex, MRC activity was decreased, whereas it was increased in astrocytes from the mesencephalon. An increased production of ROS would be the consequence of an increased MRC activity in mesencephalic astrocytes. This could explain the different efficiencies of estrogen-mediated short-term protection in distinct brain regions, but also indicates the limitations for a therapeutic short-term application of estrogen. Razmara et al. [118] observed that treatment of cultured human brain microvascular endothelial cells with  $E_2$  for 24h increased mitochondrial cytochrome c protein and mRNA. These effects were mediated via  $ER\alpha$  as demonstrated by their being blocked by knocking down ER $\alpha$  expression with ER $\alpha$  siRNA but not by knock down of ER $\beta$  expression with ER $\beta$  siRNA. Direct measurement of mitochondrial superoxide with MitoSOX Red showed that  $17\beta$ -estradiol, but not  $17\alpha$ -estradiol, significantly decreased superoxide production, which was blocked by the ER antagonist, ICI182780. Use of selective ER agonists also demonstrated that the decrease in mitochondrial superoxide was mediated by ER $\alpha$  but not ER $\beta$ . Stimulation of nDNA-encoded MRC genes by E<sub>2</sub> was also seen in human breast MCF-7 cells [79,119].

## 4.2. Role of NRFs and Other Transcription Factors in E<sub>2</sub>-Induced Transcription of nDNAencoded MRC Proteins

Transcriptional coordination of nDNA-encoded subunits for four MRC complexes and mitochondrial ATP synthase is likely accomplished by transcription factors responding to upstream signals. The transcription activity of the promoters in most of the ubiquitous genes encoded for MRC proteins is regulated by factors binding to the 5' upstream NRF-1, NRF-2, Sp1 and YY1 sites. Among these, NRF- and NRF-2 have been extensively shown to play an important role in coordinate regulation of the expression of MRC complexes and F0–F1 ATP synthase.

**4.2.1. Role of NRFs in Control of Complex II protein expression**—The promoters of nDNA-encoded genes (SDHA, SDHB, SDHC and SDHD) of complex II have been cloned

and analyzed. NRF-1 and NRF-2 binding sites have been identified in the promoter regions of all four genes (Table 1B)[18,19,120–122]. Reporter gene analysis, site-directed mutagenesis and EMSA have revealed that both NRF-1 and NRF-2 were required for SDHB gene expression [120]. It has been also demonstrated that NRF-1 bound to the promoters of SDHA and SDHD genes and that the activity of complex II was dynamically regulated through the catalytic SDHA flavoprotein [122].

**4.2.2.** Role of NRFs in the Control of nDNA-encoded genes for Complex IV and **Its assembly**—As mentioned above, complex IV consists of proteins that are bigenomically encoded. Of its thirteen subunits, three are encoded in the mtDNA and ten are encoded in the nDNA. Transcriptional coordination of ten nuclear-encoded COX subunit genes is likely accomplished by transcription factors responding to upstream signals.

The functional nDNA-encoded genes for the COX subunits, including IV, Vb, VIa 'L' & 'H', VIIa 'L' & 'H', VIIc and VIII (H), from different mammalian species and their 5' flanking putative promoter regions have been sequenced and extensively characterized [123–127]. The promoters of several genes for COX assembly (e.g. COX17P and SURF1) have also been characterized [128,129]. While the ubiquitous COX genes and assembly genes show extensive heterogeneity in their promoter regions, one common feature is that the majority of these genes contain multiple transcription initiation sites for both general as well as the specialized transcription factors NRF-1, NRF-2, Sp1 and YY1 (Table 1D). A number of studies [123–134] have demonstrated that NRF-1, NRF-2, Sp1 and YY1 bind to the corresponding sites in gene promoters and activate the transcription of these genes.

Since the nuclear genes encoding 10 subunits for COX are localized in different chromosomes, several studies [130,135,136] were performed to address whether the 10 nuclear genes are transcribed simultaneously and whether a single transcription factor binds to all ten of COX subunit promoters. Dhars et al. [136] performed in silico analysis of murine nDNA-encoded COX subunit promoters and identified NRF-1 binding sites in all ten promoters, that are highly conserved among mice, rats, and humans. Using EMSA, supershift assays and site-directed mutagenesis, they demonstrated that NRF-1 binds to all ten promoters. Moreover, using in vivo ChIP assays, they also showed in murine neuroblastoma cells that NRF-1 bound to all ten promoters. Furthermore, they observed that silencing NRF-1 expression with siRNA reduced all ten COX subunit mRNAs and mRNAs of other genes involved in mitochondrial biogenesis. These results indicate that NRF-1 plays a significant role in coordinating the transcriptional regulation of all ten nuclear-encoded COX subunits in neurons.

Putative NRF-2 binding sites were also identified on all ten nDNA-encoded COX gene promoters in the rat genome. A study by Ongwijitwat et al. [135] provided several lines of evidence demonstrating that NRF-2 is also an important mediator for coordinated regulation of all ten nuclear-encoded COX subunit genes: 1) NRF-2 binds in vivo to six of the ten nDNA-encoded COX subunit promoters as revealed by in vivo ChIP assay; 2) NRF-2 also binds to the other four subunits as shown by EMSA, super shift assays, and promoter mutation study; 3) COX expression is significantly reduced by transfection of dominant-negative constructs of NRF-2 proteins; and 4) more importantly, NRF-2 has been shown to sense changing cellular energy demands in rat neurons and silence of its expression down-regulates cytochrome oxidase and other target gene mRNAs [130].

It is interesting to note that the promoters of the heart- and muscle-specific COXVI $\alpha$  (H) and COXVII $\alpha$  (H) do not contain NRF-1 and NRF-2 sites but, instead, have conserved myocyte enhancer factor 2 (MEF2) elements. It has been shown [137,138] that the expression and functional activity of MEF2 is under the control of NRF-1. First, over-expression of NRF-1 induced MEF2A mRNA levels. The MEF2A 5'-regulatory region contains an evolutionarily

conserved canonical element that binds endogenous NRF1 in ChIP assays; Second, NRF-1 regulated MEF2A promoter-reporters as demonstrated by the stimulatory effects of its over-expression, the suppressive effects of its under-expression with siRNA, and by promoter mutation studies; and third, ChIP assays using isoform-specific antibody to four mammalian MEF2 isotypes confirmed specific MEF2A binding to the COX6A(H) promoter. These findings support a role for MEF2A as an intermediary in coordinating respiratory chain subunit expression in heart and muscle through a NRF1 --> MEF2A --> COX(H) transcriptional cascade. MEF2A also binds the MEF2A and PPARGC1A promoters in ChIP assays, placing it within a feedback loop with PGC-1 $\alpha$  in controlling NRF1 activity. Interruption of this cascade and loop may account for striated muscle mitochondrial defects in mef2a null mice [137, 138].

The PGC-1 family of regulated coactivators (PGC-1 $\alpha$ , PGC-1 $\beta$  and PRC) plays an important role in directing respiratory gene expression in response to environmental signals. PRC and PGC-1 $\alpha$  differ in their interactions with nuclear hormone receptors but are highly similar in their direct binding to several nuclear transcription factors implicated in the expression of the MRC proteins. Vercauteren et al. [139] observed that the NRF-2 subunits and PRC were co-immunoprecipitated from cell extracts, indicating that the two proteins exist in a complex in vivo. The association between PRC and NRF-2 is mediated by HCF-1 (host cell factor 1), a major chromatin component. Both PRC and NRF-2 bind to HCF-1 in vitro and invo and their association was required for PRC trans-activation through promoter-bound NRF-2.

NRF-1 and NRF-2 are known to activate several mitochondrial transcription factors including Tfam, TFB1M and TFB2M (see above). NRF-2, PRC and HCF associate with NRF-2dependent nuclear genes that direct the expression of TFB1M and TFB2M. Short hairpin RNAmediated knock down of PRC protein levels led to reduced expression of TFB2M mRNA and mitochondrial transcripts for COXII and cytb and these changes in gene expression coincided with a marked reduction in COX activity [139].

NRF-1 and NRF-2 in cooperation with co-activators such as PGC-1 $\alpha$  and related factors HCF-1 are directly involved in regulation of 10 nDNA-encoded subunits and indirectly regulate, via activating mitochondrial transcription factors, e.g. Tfam, TFB1M and TFB2M, and the expression of the three mtDNA-encoded COX subunits. Thus, NRF-1 and NRF-2 prove to be the two key bigenomic coordinators for transcriptional regulation of all COX subunits in neurons. Because the transcription of NRF-1, NFR-2, PGC-1 $\alpha$  are up-regulated by E<sub>2</sub> via ERs [67,78,79], the effects of E<sub>2</sub>/ER on the transcript levels of both nDNA- and mtDNA-encoded subunits of COX are likely mediated through these factors.

**4.2.3.** Role of NRFs in Control of nDNA-Encoded Genes for Complexes I, III and Mitochondrial ATP Synthese and Their assembly—While much less is known about the role of NRFs, Sp1 and other transcription factors in the control of the expression of the nDNA-encode genes for complexes I, III and V and their assembly proteins, NRF-1, NRF-2, Sp1 and YY-1 binding sites have been identified in the promoters of a few genes for Complex I (e.g. NDUFV1 and NDUFS8)(Table 1A)[140–143], and mitochondrial ATP synthase (e.g. ATPγ)(Table 1E)[144]. There are no reports, to date, for the presence of binding sites for NRF-1, NRF-2, Sp1 and YY-1 in the promoters of nDNA-encoded complex III genes. Several studies have demonstrated that the NRF-1 and/or NRF-2 are capable of binding to these sites in the promoters of NDUFV1, NDUFS8 and ATPγ genes and activate their transcription [141,143,144]. It has been demonstrated [140,142] that Sp1 and YY-1also play an important role in the transcriptional activation of NDUFV1 and NDUFS8 genes.

# 5. Regulation of Mitochondrial import Machinery by NRFs

The majority of nuclear encoded, mitochondrially localized proteins, including the nDNAencoded MRC proteins and the protein factors that are involved in mtDNA replication, transcription and translation and MRC assembly proteins (Table I and Table II), are synthesized on cytosol ribosomes with N-terminal signal sequences termed pre-sequences or internal targeting signals, and then imported into mitochondria. Precise targeting of mitochondrial precursor proteins to mitochondria requires Translocase of the Outer mitochondrial Membrane (designated Tom), a multi-subunit complex containing specific import receptors. Three important Toms, namely Tom20, Tom22, and Tom70, are anchored in the outer membrane by a single transmembrane  $\alpha$ -helix, located at the N-terminus in the case of Tom20 and Tom70 (signal-anchored) or in the C-terminal portion in the case of Tom22 (tail-anchored), where they serve as receptors for specific recognition and membrane translocation of nuclear-encoded preproteins [145]. Each Tom binds to individual mitochondrial preproteins with different specificity. Tom20 recognizes preferentially mitochondrial pre-sequences, and preproteins with internal targeting signals. Generally, preproteins with presequences are initially recognized by Tom20 and, subsequently, by Tom22. It has been shown that the cytosolic domains of Tom20 and Tom22 also function to maintain their substrate preproteins unfolded and prevent them from aggregation on the mitochondrial surface. The cytosolic domain of Tom22 appears to function as a receptor in cooperation with Tom 20. It has been shown that Tom20 and Tom22 are apparently involved in the same step or sequential steps along the same pathway of targeting signal recognition in import [145]. The hydrophobic preproteins with internal targeting signals are first recognized by Tom70, which then associates with molecular chaperones, thereby maintaining their substrate preproteins in an import-competent state [146–148]. In addition to these Toms, the human Tom34 gene encodes a cytosolic protein with chaperone-like activity that helps import some preproteins to the mitochondria by keeping them in an unfolded, import-compatible state.

All of the Tom proteins are encoded by nuclear genes, synthesized as precursors on the cytosol ribosomes and then translocated to the outer mitochondrial membrane. The channel-forming  $\beta$ -barrel protein Tom40 is targeted to mitochondria via Tom receptors and inserted into the outer membrane by the sorting and assembly machinery (SAM complex). A further outer membrane protein, Mim1, plays a less defined role in assembly of Tom40 into the Tom complex. These Toms are anchored in the outer membrane: insertion of the precursor of Tom22 into the outer membrane requires pre-existing Tom receptors. Mim1 is required for efficient membrane insertion and assembly of Tom20 and Tom70, but not Tom22. Mim1 associates with SAM (core) components to a large SAM complex, explaining its role in late steps of the assembly pathway of Tom40. Thus, Mim1 is not only required for biogenesis of the beta-barrel protein Tom40 but is also required for membrane insertion and assembly of signal-anchored Tom receptors. Thus, Mim1 plays an important role in the efficient assembly of the mitochondrial Tom complexes.

Because Tom complexes act as the central entry gate for nDNA-encoded mitochondrial precursor proteins, the biogenesis of the mitochondrial Tom complex is subjected to strict control. NRF-1 and NRF-2 are involved in the regulation of the expression of the mitochondrial Tom20, Tom22, Tom70 and Tom34.

NRF-2 has been shown to be critical for maintaining normal transcriptional levels of the Tom20 gene. Blesa et al. [149] identified a NRF-2 and two NRF-1 binding motifs in the promoter of human Tom20 gene. Using Chip assays and reporter assays in HeLa S3 and A204 cells, they demonstrated that only NRF-2 and the proximal NRF-1 motifs were involved in the expression of the gene. The NRF-2 binding site was required to activate transcription. The proximal NRF-1 site cooperated with NRF-2 in regulating the expression of the gene whereas the distal NRF-1 binding site was not functional.

Blesa et al.[150] identified two NRF-2 binding motifs in the 5'-flanking region of the human Tom70 gene. This region contained thirteen potential CpG methylation sites, three of which occur in the sequence 5'-CCGG-3'. Interestingly, each NRF-2 site contained one CCGG sequence. Reporter assays in HeLa cells, Chip assays, site-directed mutagenesis, and EMSA assays demonstrated that NRF-2 bound in vivo to the Tom70 promoter and that interactions between these sites and a CpG island contributed to expression. Furthermore, the two NRF-2 binding sites of the promoter have different functional contributions in promoting TOM70 expression, i.e. they worked in an additive manner as single sites [151]. Site-specific methylation of the NRF-2 binding sites in the Tom70 promoter reduced the expression of a luciferase reporter in HeLa S3 cells. Abrogation of NRF-2 binding at the methylated sites was confirmed by EMSA assays. These results have established essential role of the NRF-2 binding sites for promoter activity of Tom70[152]. However, studies of methylation on DNAs from different sources found no methylation in the promoter regions of Tom70 and other Tom/TIM family genes. Thus, although in vitro methylation inactivates the expression of Tom70, these results suggest that this is not the mechanism modulating its expression in vivo. Since a number of nuclear genes encoding mitochondrial translocases have NRF-2 binding sequences containing CpG methylation sites, it is possible that methylation/demethylation of the NRF-2 binding sites might be a regulatory mechanism of mitochondrial biogenesis [152].

Blesa et al. [153] reported the existence of binding sites for NRF-1, NRF-2 and Sp1 in the 5' region of the human Tom34 gene. Site-directed mutations at these sites on promoter activity in HeLa S3 and A204 cells, in conjunction with Chip assays, EMSA assays, and in vivo methylation analysis of the promoter region have demonstrated that NRF-1 is the main transcription factor regulating the expression of Tom34. Sp1 interacts with NRF-1 to stimulate the promoter's full activity. Tom34 is over-expressed frequently in colorectal tumors, suggesting that it has a role in the growth of cancer cells. In this context, Tom34 is a potential target for novel anticancer drugs, and it might also be used in the diagnosis of colorectal cancer.

In summary, NRF-1 and NRF-2 are involved in the regulation of the expression of nuclear genes encoding: 1) MRC complex subunits, ATP synthase and their assembly proteins; 2) proteins required for replication, transcription and translation of mtDNA; and 3) Proteins involved in mitochondrial protein machinery. Therefore, NRFs coordinate the expression of nuclear and mitochondrial genes involved in mitochondrial biogenesis and respiration. The nucleo-mitochondrial interactions depend on the interplay between NRF-1, NRF-2 and other transcriptional factors including Sp1, MEF2, and other members of the PGC-1 family of regulated coactivators (e.g. PGC-1 $\alpha$  and PRC). The transcription factors target genes that specify the MRC, the mitochondrial transcription, translation and replication machinery, and protein import and assembly apparatus among others. These transcriptional paradigms provide a basic framework for understanding the integration of mitochondrial biogenesis and function with signaling events that dictate cell- and tissue-specific energetic properties. Because the expression of NRF-1 and NRF-2 is activated by E<sub>2</sub> via ERs, and Sp1 acts as a transcription factors in regulating the expression of a number of estrogen responsive genes, ERs, Sp1 and other transcription factor are likely involved in E2-mediated coordinate regulation of 1) MRC complex subunits and their assembly proteins; 2) proteins required for replication, transcription and translation of mtDNA; and 3) proteins involved in mitochondrial protein import machinery and 4) mtDNA-encoded MRC proteins.

# 6. MRC as an important regulator of apoptosis and cell proliferation

In addition to playing important roles in intracellular energy generation and redox-dependent intracellular signaling, mitochondria are the focal points of a variety of key events in apoptosis, including the release of caspase activators (e.g. cytochrome c), changes in electron transport, loss of mitochondrial transmembrane potential, altered cellular oxidation-reduction, and

regulation of pro- and antiapoptotic Bcl-2 family proteins. The different signals that converge on mitochondria to trigger or inhibit these events and their downstream effects delineate several major pathways in physiological cell death [154]. As an important structural and functional part of mitochondria, the MRC plays a key role in regulation of cell proliferation and apoptosis, and thus may be involved in tumor progression, as supported by the several lines of genetic and pharmacological evidence.

## 6.1.1. Genetic Evidence

As described in section 3.2.2, Tfam is essential for replication, transcription and maintenance of mtDNA. It has been observed [83] that tissue-specific knockout of Tfam in mouse heart caused dramatic reduction in mtDNA and severe MRC deficiency. These effects are associated with increased in vivo apoptotic cardiocytes. Over-expression of Tfam in transgenic mice ameliorated the decreased mtDNA copy number and MRC enzyme activities in postmyocardial infarction hearts and significantly increased survival rate and decreased apoptosis [82]. Kwong et al. [155] has clearly demonstrated a correlation between MRC composition and apoptotic responses. These researchers investigated the role of MRC dysfunction in the control of apoptosis, using mtDNA mutations as genetic models. Six genetic models were used: 1) wild type cell with full complements of the MRC complexes I, II, III, IV and V; 2)  $\rho^{o}$  cells that are missing complexes I, III, IV, V but retain complex II; 3) COX cells that are missing complex IV and have reduced complex I activities; 4) CYTb cells that are missing complex III; 5) MERRF cells that are missing complexes I, III, IV, but have some residual ATP hydrolytic complex V activity; and 6) NAPP cells that have all the MRC complexes in place but have reduced amounts and activities. The responses of these cells to both mitochondrial (MT)- and endoplasmic reticulum (ER) stress-induced apoptosis are summarized in Figure 3A. There are significant differences among these cell models in responses to apoptotic stimuli: cells lacking MRC are protected against both MT- and ER stress-induced apoptosis. Cells with MRC, but unable to generate electron flux, are protected against MT-apoptosis, although they have increased sensitivity to ER stress and cells with a partial reduction in electron flux have increased apoptosis under both conditions. These results have shown that the MRC modulates apoptosis in a context-dependent manner independent of ATP production and that apoptotic responses are the result of the interplay between mitochondrial functional state and environmental cues.

Several studies have also demonstrated that over-expression of individual MRC proteins led to suppression of apoptosis. Bcl-2-associated X protein (Bax) and its related protein, Bak, are pro-apoptotic proteins involved in cell death. Both proteins are essential for maximum apoptotic response induced by several apoptotic inducers including tumor necrosis factor-a  $(TNF-\alpha)[156]$ , curcumin (a polyphenolic compound and cancer chemopreventive agent) [157] and sulforaphane (a cruciferous vegetable-derived cancer chemopreventive agent) [158]. Human MRC complex IV subunit VIa (COX6A1) was identified as a novel suppressor of Bax-mediated cell death in yeast [159]. Over-expression of COX6A1 significantly suppressed Bax- and N-(4-hydroxyphenyl) retinamide (4-HPR)-induced apoptosis in yeast and human glioblastoma-derived U373MG cells. The ROS generation in response to Bax or 4-HPR was inhibited in yeast and U373MG cells expressing COX6A1, indicating that COX6A1 exerts a protective effect against ROS-induced cell damage. 4-HPR-induced mitochondrial translocation of Bax, mitochondrial cytochrome c release, and activation of caspase-3 were markedly attenuated in U373MG cells that stably expressed COX6A1. These results demonstrate that over-expression of MRC proteins, e.g. COX6A1, has a potential role in protecting mammalian cells from 4-HPR-induced apoptosis.

### 6.1.2. Pharmacological Evidence

A large number of studies with MRC complex-specific inhibitors and chemotherapy reagents that specifically target individual MRC complexes have provided strong evidence for the MRC as an important regulator of apoptosis (Figure 3B). For example, it has been shown that inhibition of Complex I with rotenone[160–163] and chemotherapeutic reagents e.g. arsenic trioxide[162, 164], and TNF-a [165], and ceramide[166] causes increased MRC-derived ROS generation, leading to apoptosis characterized by induction of mitochondrial membrane permeability transition (MPT), cytochrome c release, activation of various caspases and DNA fragmentation. Inhibition of Complex II with specific inhibitors, e.g. 3-nitroproprionic acid [167], and alpha-tocopheryl succinate (a vitamin E analogue)[168–172], also causes apoptosis. Benzyl isothiocyanate (BITC) is a dietary cancer chemopreventive agent that causes apoptosis in breast cancer cells[173]. The BITC-induced apoptosis was initiated by MRC-derived ROS via regulation by Bax and Bak in breast cancer cells [173, 174]. Xiao et al. [175] demonstrated that the BITC-induced apoptosis in these cells was initiated by ROS due to its inhibition of Complex III. Inhibition of complex III with Antimycin A induced apoptotic effects in lung cancer cells [176]. Complex III is a target of  $C_2$ -ceramide [177], which induced apoptosis in lung cancer cells[178].

Lyssaviruses are highly neurotropic viruses. The matrix proteins of some lyssaviruses strongly induced neuronal apoptosis. Gholamic et al. [179] reported that for Mokola virus (MOK), the M(M-MOK), targeted mitochondria, disrupted the mitochondrial morphology, and induced apoptosis by its physical association with the COXI of complex IV. N-retinyl-N-retinylidene ethanolamine (A2E) is a compound suspected to cause age-related macular degeneration. A2E has been shown to interfere with the binding of cytochrome c to COX, detaching proapoptotic proteins from mitochondria, and inducing apoptosis in mammalian retinal pigment epithelial cells[180,181].

Most human carcinomas contain reduced levels of the catalytic subunit of the mitochondrial ATP synthase. In colon and lung cancers, this alteration correlates with a poor patient prognosis. Down-regulation of the H+-ATP synthase has been shown to link to the resistance of the cells to chemotherapy[182]. It has been shown that inhibitors of the ATP synthase delayed staurosporine-induced apoptosis in liver cells that are dependent on oxidative phosphorylation for energy provision [182]. Roy et al. [183] observed that 3,3'-Diindolylmethane (DIM) inhibited mitochondrial ATP synthase of Leishmania donovani and induced apoptosis in protozoan parasites. DIM-induced inhibition of ATP synthase activity caused depletion of mitochondrial ATP levels and significant stimulation of mitochondrial ROS production, followed by depolarization of mitochondrial membrane potential (Deltapsim). Since Deltapsim is the driving force for mitochondrial ATP synthesis, loss of Deltapsim results in depletion of cellular ATP level. The loss of Deltapsim caused the cellular ROS generation and in turn led to the oxidative DNA lesions followed by DNA fragmentation. On the other hand, loss of Deltapsim led to release of cytochrome c into the cytosol and subsequent activation of the caspase-like proteases, which led to oligonucleosomal DNA cleavage. They have also shown that mtDNA depleted cells were insensitive to DIM to induce apoptosis. Therefore, mitochondria are necessary for cytotoxicity of DIM. These results indicate that DIM-induced mitochondrial dysfunction by inhibition of ATP synthase activity leads to apoptosis in Leishmania parasites.

The genetic and pharmacological studies described above strongly support the notion that up-and down-regulation of the MRC biogenesis and functions is closely related to the control of apoptosis: up-regulation of the MRC biogenesis/function inhibits apoptosis and stimulate cell growth and proliferation whereas their down-regulation and/or inhibition induces apoptosis and inhibition cell growth and proliferation. Physiological, pathological and environmental factors that cause dramatic and

persistent changes in the MRC biogenesis and functions may alter the balance of the cell growth and cell death.

# 6.2. Mitochondrial Permeability Transition Pore as Mediator of Apoptosis and Its Regulation by E2/ERs

Cellular stresses, such as ischemia, hypoxia, oxidative stress, and cytotoxic drugs induce a cascade of events at the level of the mitochondrion that, if left unchecked, will kill the cell. Initially this involves excessive production of ROS, and Ca<sup>2+</sup> overload of the mitochondrial matrix [184–187]. This in turn causes permeabilization of the inner mitochondrial membrane. This phenomenon, termed the mitochondrial permeability transition (MPT), dissipates the proton electrochemical gradient ( $\Delta \Psi_m$ ) that drives many mitochondrial functions, leading to ATP depletion, further ROS production, and ultimately swelling and rupture of the organelle [184–187]. This in turn releases pro-apoptotic intermembrane space proteins, most notably cytochrome *c*, Smac/DIABLO, htrA2/Omi protease, and endonuclease-G [184,188]. The coordinated action of these proteins subsequently results in the death of the cardiomyocyte by apoptosis. However, if the stress is severe and/or prolonged, ATP will be depleted and the cell will instead undergo necrosis. Indeed, the relative contribution of MPT to apoptosis *versus* necrosis is still the subject of debate[189].

The mitochondrial permeability transition pore (MPTP), a non-specific channel thought to span both mitochondrial membranes, mediates these lethal increases in inner mitochondrial membrane permeability [184–187]. The pore itself is permeable to solutes up to 1.5kDa. This causes equilibration of H<sup>+</sup> across the inner membrane, which dissipates  $\Delta \Psi_m$  and inhibits ATP production. A concomitant influx of water causes swelling of the mitochondria, which stretches the membranes to the point where the outer membrane fails. The mitochondrial pore is redox, Ca<sup>2+</sup>, voltage, adenine nucleotide, P<sub>i</sub>, and pH sensitive [184–187]. Most importantly, increases in matrix Ca<sup>2+</sup> and ROS induce pore opening, whereas adenine nucleotides inhibit the pore; and many diseases are associated with increases in mitochondrial pore activators (Ca<sup>2+</sup>, ROS) and reductions in mitochondrial pore inhibitors (ATP/ADP). Indeed, studies have shown that inhibition of the MPTP blunts ischemia-reperfusion injury in multiple organs [190–193], and can also protect against the cell loss that underlies heart failure [194,195], muscular dystrophy [196,197], cancer drug-induced toxicity [198,199], and several neurodegenerative diseases [199–201].

Unfortunately, the precise molecular architecture of the MPTP remains unknown. Based upon biochemical and pharmacological studies, the pore was proposed to consist of the voltage-dependent anion channel (VDAC) in the outer membrane, the adenine nucleotide translocase (ANT) in the inner membrane, plus CypD in the matrix (1-4). VDAC, ANT, and CypD interact at membrane contact sites and reconstitution of this complex in vesicles yields a Ca<sup>2+</sup>-sensitive channel reminiscent of the mitochondrial pore [202]. However, recent genetic studies have seriously questioned the validity of this paradigm. While several studies have shown that mice lacking CypD are resistant to Ca2+- and ROS-induced MPT and cell death in the heart and other tissues [190,203,204], mice lacking ANT still exhibit a classical permeability transition phenomenon and respond normally to death-inducing stimuli[205]. Baines et al. have also shown that deletion of all 3 VDAC isoforms does not inactivate the mitochondrial pore nor prevent cell death [206]. Therefore, CypD is the only defined molecular component of the MPTP, where it functions in an enzymatic capacity to induce pore formation and cell death by binding and regulating unknown proteins. Consequently, there is now a concerted effect to identify novel components of the mitochondrial pore by a variety of proteomic and genetic screening methodologies.

The ability of estrogens and mitochondrial ERs to modulate MPTP has not been extensively studied. However, evidence that estrogen and its receptors can directly (i.e., non-genomically) influence MPT is beginning to accumulate. Using the well-established technique of mitochondrial swelling, Morkuniene et al demonstrated that direct application of physiological concentrations of either E2 or 4-hydroxytamoxifen to rat cardiac mitochondria could suppress  $Ca^{2+}$ -induced permeability transition [207]. Similarly, Custudio and colleagues reported that tamoxifen could prevent  $Ca^{2+}$ -induced mitochondrial swelling,  $\Delta\Psi_m$  collapse and matrix  $Ca^{2+}$  release, all indices of MPT, in isolated liver mitochondria [208,209]. Comparable effects have also been observed in brain mitochondria[210].

These exciting findings have been extended to intact cells. E2 has been shown to prevent MPT in CHO cells (Miyaguchi) [211], neurons [210,212], cervical epithelia [213], and lens epithelia [59]. Antithetically, withdrawal of E2 induces MPT in human breast cancer cells [214]. The one caveat with these studies is that they primarily used changes in  $\Delta \Psi_m$  as their index of MPT. While opening of the mitochondrial pore will indeed dissipate  $\Delta \Psi_m$ , alterations in  $\Delta \Psi_m$  can still occur independently of pore opening, and therefore are not the most reliable way of observing MPT in intact cells. Instead, the calcein/CoCl<sub>2</sub> method developed by Bernardi's group is a more reliable measurement of permeability transition in intact cells[215], and studies examining the anti-permeability transition effects of E2 using this technique are needed.

The most obvious question is how does E2 and its analogues modulate the mitochondrial pore. Although in intact cells this is most likely a multi-factorial process involving both genomic and non-genomic pathways, the fact that E2 and tamoxifen can have direct effects in isolated mitochondria suggests that specific intra-mitochondrial mechanisms must exist. Lobaton et al have demonstrated an ER-dependent inhibition of the mitochondrial Ca<sup>2+</sup> uniporter [216]. Reduced Ca<sup>2+</sup> influx would certainly account for an inhibitory effect of estrogens on permeability transition. As has already been extensively discussed in this review, mitochondrial ER-dependent changes in mtDNA transcription and MRC complex formation has been shown to reduce mitochondrial oxidant production. Alternatively, activation of ER has also been reported to increase MnSOD activity in mitochondria, independent of changes in protein [217]. Either way a reduction in ROS would decrease the probability of mitochondrial pore opening. Off-ER estrogen targets may also exist. For example, tamoxifen may be able to directly affect the ANT[218], inhibition of which can block mitochondrial permeability transition [219]. Of course the easiest way for E2 to inhibit the pore would be for it to bind, either directly or through the ER complex, to components of the mitochondrial pore. However, until the true components of the pore are identified, the existence of such a mechanism remains untestable. In regard to a role of ERs in regulation of MPTP, Yang et al. [220] recently demonstrated that ER<sup>β</sup> was mainly localized within mitochondria of immortal hippocampal cells and primary hippocampal neurons and that knocking down ERB expression in these cells resulted in a lower resting mitochondrial membrane potential  $\Delta \Psi_m$  and increase in resistance to hydrogen peroxide-induced  $\Delta \Psi_m$  depolarization, in association with changes in ATP concentrations and reduced levels of mitochondrial superoxide in these cells. Similar mitochondrial phenotype changes were observed in primary hippocampal neurons derived from ER $\beta$ KO mice. These data support the notion that mitochondrial ER $\beta$  functions as a mitochondrial vulnerability factor involved in  $\Delta \Psi_m$  maintenance, potentially through a mitochondrial transcription dependent mechanism.

In addition to playing a regulatory role in MPTP, ANT is involved in the mediation of ATP/ ADP shuttle cross the mitochondrial membrane, thereby transporting the majority of MRCgenerated ATP out of mitochondria in exchange of ADP and Pi (Figure 1). There are several tissue-specific isoforms of ANT, namely ANT-1, ANT-2, ANT-3 and ANT-4. It has been reported that the expression of the heart-specific ANT isoform (ANT-1) is enhanced by  $E_2$ [221]. Interestingly, the anti-apoptotic protein, Bcl-2, is an important component of

mitochondrial ANT system and is involved in the regulation of ANT shuttle. Bcl-2 was shown to enhance ANT-dependent ADP/ATP exchange activity by direct protein-protein interaction with ANT [222,223]. Its expression is enhanced by  $E_2$  in a number of cells [72,224–229]. It has been suggested that Bcl-2 family members may regulate important mitochondrial and cellular functions and serve as sentinels to detect abnormalities in these pathways and, when the abnormalities are severe enough, to initiate or facilitate cell death. Understanding the physiological processes controlled by the Bcl-2 and Bcl-2 family will be important in understanding cell regulation, and may provide new insights into the regulation of apoptosis [230].

# 6.3. E2/ER-mediated Mitochondrial Effects in Regulation of Cell Proliferation and Anti-Apoptosis

### 6.3.1. Implications of E<sub>2</sub>/ER-mediated MRC biogenesis in Cell Proliferation

 $E_2$  stimulates cell proliferation in a number of cells including breast epithelial cells. MRC plays an important role in the control of cell proliferation. It is likely that the  $E_2$ /ER-mediated the MRC biogenesis has important implications in regulation of cell proliferation via generating the large majority of cellular energy in the form of ATP and ROS. The contribution of the MRC to cell proliferation is most evidenced based on the several studies on the effects of down-and up-regulation of MRC protein expression/functions on cell cycle progression and cell growth.

Down-regulation of the MRC protein expression/function is related to cell cycle arrest and reduced cell growth. Mandal et al. [231] observed that a mutation in COXVa gene caused a drop in intracellular ATP to levels sufficient to maintain cell survival, growth, and differentiation, but not to enable progression through the cell cycle. Analysis of this gene revealed that a specific pathway involving AMPK and p53 was activated that eliminated Cyclin E, resulting in cell cycle arrest. This study demonstrates that mitochondria have a direct and specific role in enforcing a G1-S cell cycle checkpoint during periods of energy deprivation. Schauen et al.[232] demonstrated that loss of a functional MRC by depletion of mtDNA inhibited cell-cycle progression through decreasing ROS levels, leading to down-regulation of p21CIP1/WAF1. Sustained inhibition of MRC oxidative phosphorylation (OXPOP) with antimycin A or a partial uncoupling of OXPOP with uncoupler carbonylcyanide trifluoromethoxyphenylhydrazone (FCCP) impaired cell proliferation and induced premature senescence in human fibroblasts [233,234]. Treatment of human lung cancer cells with either antimycin A or 2,4-dinitrophenol, another uncoupler of OXPOP, prevented the cell growth via inducing apoptosis[176,235].

Over expression of MRC proteins, on the other hand, has been found to promote cell proliferation. For example, in a poorly differentiated, rapidly growing Morris hepatoma 3924A, the mRNA and protein levels of Tfam are elevated more than 10 fold. The mRNA levels for COX I, II and ND5 and ND6, the downstream targets of Tfam, were increased 10, 8, 5, and 3 fold, respectively. The mRNA levels for NRF-1, NRF-2, were 5 and 3 fold higher, respectively, in the hepatoma relative to the host liver [90]. Up-regulation of the MRC proteins and cellular proliferating regulators were seen in thyroid oncocytomas compared with normal thyroid tissue [236]. In proliferating cells, transcription and replication of mtDNA is highly enhanced by parkin, a RING protein located within the mitochondria, via its association with Tfam [237]. Increased mitochondrial ATP synthase was seen to be involved in liver metastasis of colorectal cancer [238].

The contribution of enhanced MRC biogenesis and functions to cell proliferation can be attributed, in part, to its generation and supply of energy in form of ATP and ROS for a number of cellular processes. The large number of cellular activities, including cell cycle progression,

synthesis of proteins, DNA, RNA, carbohydrates, lipids and other molecules, active transport across membrane by various ion channels/transporters, kinase-dependent signaling pathways, are all driven by ATP. ROS at low to moderate levels serve as second messenger for redox regulation of proteins involved in growth-related processes. By up-regulating the MRC biogenesis and functions, E2 and ERs contribute, at least in part, to the stimulation of cell growth and proliferation.

### 6.3.2. Implications of E<sub>2</sub>/ER-mediated MRC Biogenesis in Inhibition of Apoptosis

Apoptosis is a fundamental cellular activity to protect the cells against neoplastic development by eliminating genetically damaged cells or those cells that have been improperly induced to divide by mitotic stimuli. Like a number of tumor promoters [239], E2 normally inhibits spontaneous and induced-apoptosis in a number of cell types including human breast cancer cells[225,240,241], brain neurons[242,243], heart endothelial cells [244,245], skeletal muscle cells[246], human lens epithelial cells [247], rat hepatocytes [248] and other cells [249,250]. The mechanisms underlying E<sub>2</sub>-mediated inhibition of apoptosis are not clearly understood. Several mechanisms/pathways may be involved in these effects, depending on the cell types. A number of signaling pathways, including mitogen-activated protein kinase and phosphoinositide 3-kinase signaling that are mediated by the membrane-associated  $ER\alpha$ , are implicated in the anti-apoptotic effects of  $E_2$  in human breast cells, neurons and cardiomyocytes [240,241,251,252]. The anti-apoptotic effects of E<sub>2</sub> in other cells may be mediated by other events, including induction of the expression of baculoviral inhibitors of apoptosis repeat-containing 1 (Birc1) in uterine cells [249]. Apoptosis is triggered by increasing levels of the MRC-derived ROS induced by a number of anti-cancer drugs (see section 7.2). Inhibition of ROS-induced apoptosis can be achieved by increasing mitochondrial antioxidants, which detoxify ROS. It has been shown that E<sub>2</sub> activated the mitochondrial ERa to increased mitochondrial Mnsuperoxide dismutase (MnSOD) activity, which is involved in inhibition of UVinduced apoptosis in MCF-7 cells [54,253]. It has also been reported that MnSOD activity was activated by E2 in mitochondria of cerebral vessels of rat brain [67] and human lens epithelial cells [217] (for more detail see section 7.5). In addition, E2 treatment of rat hepatocytes caused increased mitochondrial distribution of glutathione (GSH), another major mitochondrial anti-oxidant [72]. The increased mitochondrial MnSOD activity and GSH levels within mitochondria can detoxify ROS. Furthermore, it has been known that estrogens up-regulate the expression of the anti-apoptotic proteins, e.g. Bcl-2 [72,225,254,255]. The combination of these effects may partially account for E2's anti-apoptotic effects.

In addition,  $E_2$ /ER-mediated MRC biogenesis/functions may contribute to  $E_2$ -mediated inhibition of apoptosis. It has shown that the inhibition of TFGβ-induced apoptosis by EE in rat hepatocytes was accompanied by EE induction of transcript levels of MRC proteins and ATP levels [72,248]. ERβ has been known to play a major role in mediating E2's beneficial effects on cardiac function following trauma-hemorrhage (T-H). Treatment of male rats that underwent T-H with  $E_2$  or DPN (an ERβ-selective agonist) enhanced the expressions and activity of complex IV and ATP production. These effects were blocked by co-treatment with complex IV specific inhibitor. Importantly, these effects are required for inhibition of mitochondrial apoptotic signaling pathways [76]. Estrogens may up-regulate the expression of human ATP-dependent RNA and DNA helicase (SUV3) that is involved in mtDNA replication because the promoter of this gene contains NRF-1, Sp1 and NF- $\kappa$ B binding sites. Interestingly, this protein has been found to be associated with HBXIP that was identified as a cofactor of survivin in suppression of apoptosis. The HBXIP binding domain is important for mitochondrial import and stability of the Suv3 protein in vivo [102,256].

The genetic and pharmacological studies described in section 6.1 indicate that up- or downregulation of the MRC biogenesis/functions may determine the fate of cell death via apoptosis or cell survival by inhibition of apoptosis. Thus, persistent stimulation of MRC biogenesis/ functions by  $E_2$  and ERs should contribute to inhibition of apoptosis, causing the normal balance of cell growth/cell death toward survival and enhanced cell growth. Inhibition of apoptosis is one of important mechanisms of cancinogenesis.

# 7. Physiological and pathological implications

The MRC is crucial to the large majority of cellular functions. The regulation of the MRC biogenesis and functions by  $E_2/ERs$  confers a large number of physiological effects. The fact that  $E_2$  and ERs stimulate MRC biogenesis and functions in such organs/tissues as breast, brain, heart, and eyes that have high demand for energy supply for their proper functions reflects the physiological importance of these effects. MRC-derived ROS also play important roles in the regulation of a number of cellular functions. However, over-abundance and/or deficiency of these  $E_2/ER$ -mediated mitochondrial effects could lead to pathological consequences and diseases, depending on the types and age of the target cells and tissues. The energy demand, availability/levels of  $E_2$  and ER isoforms, subcellular localization of ERs and the duration of their actions, and the vulnerability of the cells to ROS stress will determine the physiological and pathological consequences in particular target cell types and tissues.

### 7.1. Implications in Estrogen Carcinogenesis in Breast Cancer Cells

Breast cancer is the second leading cause of cancer-related death among women in U.S. and other developed countries. Prolonged exposure to excess  $E_2$  is a key etiological factor for the development of breast cancer. Because human breast cells are exposed to relatively high levels of  $E_2$  due to the *in situ*  $E_2$  synthesis by local aromatase activity [257,258] and estrogen replacement therapy, their growth and development are under persistent control by E2. The carcinogenic effects of  $E_2$  are mediated via ER $\alpha$ - and ER $\beta$ -mediated genomic pathways and by the genotoxic effects caused by oxidative estrogen metabolites [259]. As described above, over-abundance of  $E_2/ER$ -mediated MRC biogenesis and functions may contribute to stimulation of cell proliferation and inhibition of apoptosis. It is likely that persistent stimulation of the MRC biogenesis and functions by  $E_2$  and ERs exists in breast cells, which can lead to alterations in energy metabolism, abnormal cell growth and inhibition of apoptosis in breast cells. All of these may contribute to estrogen carcinogenesis of breast cancer. The potential implications and relevance of  $E_2$ /ER-mediated effects on mitochondria to estrogen carcinogenesis have been described elsewhere [46,113]. Several lines of new evidence are presented here to further support this notion. First, altered mitochondrial functions are becoming crucial to understanding cancer mechanisms. For example, Putignani et al. [260] observed distinct differences in mitochondrial proteins between normal and breast-infiltrating ductal carcinoma (IDC) cells. They detected depressed expression levels for all MRC complexes in human mammary carcinoma, particularly for NDUFS3 (complex I), SDHB subunits (complex II), 2 UQCRC2 (complex III), COX 1 (Complex IV) and ATPB (complex V). These changes were associated with reduction in COX and ATP synthase activity, deterioration in mitochondria morphology and changes in mitochondria membrane. These data described bioenergetic and phenotypic alterations of IDC cell mitochondria. Similarly, Abril et al. [261] detected altered expression of 12S/RNR1, COX1/COX2 (complex IV), and ATP6 (complex V) in prostate cancer cells. Furthermore, a proteomic analysis of brain metastasis of human breast cancer cells<sup>[262]</sup> revealed increased expression of proteins involved in several energy metabolism pathways including glycolysis, tricarboxylic acid cycle, oxidative phosphorylation, the pentose phosphate pathway and the glutathione system. These metabolic alterations were associated with strongly enhanced tumor cell survival and proliferation in the brain microenvironment. Second, other carcinogens can cause alterations in mitochondrial

functions. For example, the carcinogenic polycyclic aromatic hydrocarbon DNA adducts caused multiple alterations in gene expression. A proteomic analysis revealed altered expression of several MRC proteins of MCF-7 cells treated with benzo[a]pyrene [263]. Third, persistent enhanced generation of MRC-derived ROS induced by E2/ERs may cause progressive oxidative damage to mtDNA and mitochondrial proteins, leading to mutations in mtDNA and malfunction of MRC. Indeed, several types of mtDNA mutations have been detected in breast cancer tissue and in matched nipple aspirate fluid [264–266]. It has been shown that mitochondrial genetic background modified breast cancer risk [267,268].

In addition, altered MRC functions could lead to retrograde regulation that has been implicated in carcinogenesis [269]. Mitochondrial retrograde signaling is a pathway of communication from mitochondria to the nucleus that influences many cellular and organismal activities under both normal and pathological conditions [269–271]. This signaling pathway is triggered by mitochondrial dysfunction and is not a simple on-off switch, but rather it responds in a continuous manner to the changing metabolic needs of the cell. Communication between mitochondria and the nucleus is important for a variety of cellular processes such as carbohydrate and nitrogen metabolism, cell cycle and proliferation, cell growth and morphogenesis [269]. By altering the levels of MRC-derived ATP, ROS and other intermediate metabolites, E2/ER-mediated MRC biogenesis and functions can trigger mitochondrial retrograde signaling. For example, it has been shown [73,272,273] that the E<sub>2</sub>-mediated MRCderived ROS are involved in the regulation of the nuclear redox-sensor kinases, e.g. A-Raf, Akt and PKC, which in turn, activate transcription factors NF-KB, CREB, AP-1 via MEK/ERK pathways, that are involved in cell growth. Depletion of mtDNA has been shown to cause mitochondrial impairment in breast cancer cells, leading to altered expression of nuclear genes involved in signaling, cellular architecture, metabolism, cell growth/differentiation, and apoptosis [274]. Proteomic analysis of mitochondria-to-nucleus retrograde response in human cancer identified quantitative changes in expression of several proteins including subunits of complex I and complex III, molecular chaperones, and a protein involved in cell cycle control were downregulated and inosine 5'-monophosphate dehydrogenase type 2 (IMPDH2) involved in nucleotide biosynthesis was upregulated in mtDNA-depleted rho (0) cells, suggesting that these proteins play key roles in retrograde response. Interestingly, further analysis of expression of UQCRC1 gene (encoding ubiquinol cytochrome-c reductase core protein I) in breast and ovarian tumors revealed that UQCRC1 was highly expressed in breast (74%) and ovarian tumors (34%) and that its expression positively correlated with mtDNA-encoded COXII [275].

## 7. 2. Implications in Anti-Cancer Drug Resistance

The majority of anti-cancer drugs confer their anti-cancer activities by inducing apoptosis and/ or inhibiting cell growth and proliferation. Cancer cells acquire drug resistance as a result of selection pressure dictated by unfavorable microenvironments. This survival process is facilitated through efficient control of oxidative stress originating from mitochondria that typically initiates apoptosis. Several anti-cancer drugs have been shown to target MRC and alter MRC biogenesis and functions. Alterations in MRC protein expression/functions are frequently seen in a number of cancer cells that are resistant to anticancer drugs.

**7.2.1. Implications in Tamoxifen Resistance in Breast Cancer Cells**—Tamoxifen (TAM), an ER antagonist that blocks E<sub>2</sub>-mediated cell growth and provokes apoptosis, is widely used for treatment of ER-positive breast cancer patients. TAM and its metabolite, 4-hydroxytamoxifen (4-OH-TAM), can induce apoptosis through both ER-dependent and ER-independent pathways[276]. Several lines of evidence have indicated that MRC are important targets of TAM via ER-dependent and ER-independent pathways.

TAM has acute inhibitory effects on oxidative phosphorylation in isolated rat liver mitochondria [209,218,277,278]. It has been observed [279] that treatment of TAM-sensitive MCF-7 and MAB-MB-231 cells with TAM induced apoptosis and that these effects were inhibited by bongkrekic acid, an inhibitor of MPT. The pure anti-estrogen ICI 182780 partly inhibited the effect of TAM in ER-positive MCF-7 cells, but not in ERa-negative MDA-MB-231 cells. Pre-culturing MCF-7 cells in the absence of  $E_2$  or in the presence of a low TAM concentration made the cells even more susceptible to rapid death induced by TAM. These results suggest that disruption of mitochondrial function has a primary role in the acute death response of the cells and that E2 via ERs has protective effects on mitochondrial functions. Moreira et al. [280] demonstrated that TAM interacted with the flavin mononucleotide site of complex I, leading to mitochondrial failure. Ridaifen-B, a novel TAM derivative, has been shown to induce apoptosis in ER-negative breast cancer cells by reducing mitochondrial membrane potential [281]. Pancratistatin (PST), a natural compound obtained from the Hawaiian spider lily, has been known to be specific and selective in inducing apoptosis in multiple cancer cell lines. It targets mitochondria specifically in cancer cells to induce increased levels of ROS, decreased ATP and mitochondrial membrane permeabilization [282]. When combined with TAM, PST caused synergic effects on breast cancer cells in inducing apoptosis by targeting mitochondria [283]. In addition, TAM has been shown to indirectly influence MRC functions via increasing intramitochondrial ionized  $Ca^{(2+)}$  levels and stimulating mitochondrial NO synthase (mtNOS) activity in MCF-7 cells. By stimulating mtNOS, TAM hampered mitochondrial respiration, releases cytochrome c, elevates mitochondrial lipid peroxidation, increased protein tyrosine nitration of certain mitochondrial proteins, decreased activity of succinyl-CoA:3-oxoacid CoA-transferase, and induced mitochondrial aggregation [284].

A major clinical problem for TAM therapy is that a high percentage of breast cancer patients ultimately become TAM resistant (TAM-R). While the mechanisms underlying TAM-R are not completely understood, altered MRC protein expression and deregulation of MRC functions, which have been observed in TAM-R cells, may be a contributing factor. It has been reported [285] that TAM induced apoptosis in normal human mammary epithelial cells (HMECs) that have lost of the tumor suppressor p53 function (p53<sup>-</sup>). However, these cells rapidly developed resistance to TAM-induced apoptosis within 10 passages in vitro. Resistance to TAM in late passages of p53<sup>-/-</sup> HMEC-E6 cells correlated with an increase in mitochondrial mass, a lack of mitochondrial depolarization and caspase activation following TAM treatment, suggesting altered mitochondrial functions in TAM-R cells. Indeed, a proteomic comparison of TAM-S versus TAM-R xenografted human breast cancer revealed an enhanced expression of MRC proteins in association with altered expression of proteins involved in cell-cell adhesion/interaction, signal transduction, DNA and protein synthesis machinery, oxidative stress processes and apoptosis[286]. Similar alterations in the mitochondrial proteome were also detected in adriamycin resistant MCF-7 cells [287].

**7.2.2. Implications in Other Anti-cancer Drug Resistance**—Alterations in MRC protein expression and MRC activities are also observed in other cancer cells resistant to several widely used anti-cancer drugs, including 5-luorouracil (5-FU), cisplatin and docetaxel.

5-FU is the mainstay of chemotherapy for the treatment of patients with advanced colon cancers. However, the acquisition of resistance to 5-FU is a prominent obstacle to successful chemotherapy. Several studies reported altered expression of MRC proteins, ATP synthase and proteins involved in MRC protein synthesis in 5-FU resistant cells. For example, Tanaka et al. [288] identified enhanced levels of Tfam in association with a heterogeneous nuclear ribonucleoprotein G histone H2B, histone H4 and ribosomal protein L3 in 5-FU resistant cells. These proteins are potentially related to 5-FU resistance by protecting the cells from mtDNA and nDNA damage. Shin et al. [289] detected lower levels of the ATPα subunit and ATP

synthase d-subunit, reduced ATP synthase activity and ATP contents in 5-FU-resistant cells compared with parent cells. Furthermore, suppression of ATP synthase d-subunit expression by siRNA increased cell viability in the presence of 5-FU. Down-regulation of ATP synthase  $\beta$ -subunit expression was also seen in breast, liver, kidney, colon, squamous oesophageal, and lung carcinomas and gastric adenocarcinomas, suggesting that ATP synthase down-regulation may lead to cellular events responsible for 5-FU resistance.

Cisplatin is a platinum drug used as a cornerstone of current chemotherapy regimens. Development of resistance to this drug by tumors significantly decreases its usefulness in the clinic. It has been reported that the expression of SIRT1, which belongs to the family of type III histone deacetylase and is implicated in diverse cellular processes, was markedly up-regulated in androgen-refractory PC3 and DU145 cells compared with androgen-sensitive LNCaP cells and that its expression level was correlated with cell growth in PC3 cells. Treatment with a SIRT1 inhibitor, sirtinol, inhibited cell growth and increased sensitivity to cisplatin. Silence of SIRT1 expression by siRNA also suppressed cell proliferation and reduced resistance in PC3 cells, mimicking the effect caused by sirtinol. Also in DU145 cells, sirtinol treatment enhanced sensitivity to cisplatin [290]. SIRT1 contributes in part to cisplatin resistance by reducing glucose use and altering mitochondrial metabolism [291]. Qian et al. [292] observed that mitochondrial density in intestinal epithelium determined the cellular sensitivity to cisplatin-induced cell death.

Docetaxel is an effective chemotherapeutic agent against cancer but some patients develop resistance. It has been found [293] that docetaxel-resistant DRHEp2 cells developed from human laryngeal cancer HEp2 cells had greatly increased mtDNA content whereas reduction of mtDNA content in DRHEp2 cells by ethidium bromide treatment reduced the resistance, suggesting a role for mtDNA-coded MRC proteins in resistant mechanisms. Oligomycin A (a F0–F1 ATPase inhibitor) but not inhibitors of other MRC complexes eliminated docetaxel resistance in these cells, indicating a role for mtDNA-coded ATPase subunits in resistant mechanisms. Docetaxel induced ROS generation in HEp2 cells but not in DRHEp2 cells. Antioxidant pyrrolidine dithiocarbamate eliminated docetaxel-induced cytotoxicity. Furthermore, inhibition of F0–F1 ATPase by oligomycin A induced docetaxel-mediated ROS generation in DRHEp2 cells. Taken together, DRHEp2 cells acquired docetaxel resistance through increasing F0-ATPase, which diminished docetaxel-induced ROS generation and subsequent inhibition of cell death. The mtDNA plays an important role in development of docetaxel resistance through the reduction of ROS generation by regulating F0-ATPase [293].

Persistent alterations of MRC biogenesis and functions induced by E2 and ERs may cause changes in the responsiveness of cells to anticancer drugs that target mitochondria. Thus, regulation of E2/ER-mediated mitochondrial pathway may represent a promising way to overcoming anti-cancer drug resistance.

### 7.3. Implications in neuro-protective effects

The human brain is only 2% of the body weight, but it consumes about 20% of the total energy in the body at rest. The human brain is the most energy hungry organ in the body thereby increasing its vulnerability. Sufficient supply of mitochondrially-derived energy is essential for the proper functions of the brain. It has been known that if the energy supply is cut off for 10 minutes, there is permanent brain damage. No other organs are as sensitive as brain in respond to the changes in its energy supply. Defective mitochondrial structure and MRC dysfunctions have been observed in patients with neurodegenerative diseases such as Alzheimer's diseases (AD) and Parkinson's disease (PD)[294–297]. Epidemiological studies have indicated that estrogen therapy reduced the risk of developing AD and PD in women.

Clinical and experimental studies have shown that estrogens are potent modulators of the brain's functions and have beneficial effects in mental and neurodegenerative diseases. For example, Xu et al. [298] observed significant alterations in mitochondrial ultrastructure and significant reduction in in ATP levels in hippocampal neurons of Ovx rats. These alterations caused by Ovx were reversed by treatment with estrogen and phytoestrogens (e.g. genistein and ipriflavone), revealing a critical role of estrogens in preservation of mitochondrial structure and functions in brain neurons. Comsistent with this observation, a transient alteration of COX activity and mitochondrial ATP content in hippocampi of young OVX rats and a prolonged lowering of COX activity and mitochondrial ATP content were seen in hippocampi of middleaged OVX rats. Furthermore, treatment of these rats with estradiol benzoate (EB) or genistein reversed this estrogen withdrawal-induced mitochondrial dysfunction in both young and middle-aged rats [299,300]. The general effects of estrogens on several aspects of mitochondrial functions of brain neurons in relation to estrogen's neuroprotection on neurodegenrative diseases have been described elsewhere [301–305]. The potential implications of E<sub>2</sub>/ER-mediated MRC biogenesis and functions in protection against AD and PD are described as the follows.

**7.3.1. Implications in Neuro-Protection in Alzheimer's Disease**—Alzheimer's disease (AD) is by far the most common neurodegenerative dementia among the elderly. Patients with AD initially show memory loss, and as the disease progresses they develop impaired executive function, confusion and personality change and eventually death [306]. The incidence of AD is lower in young women than in men but is increased among postmenopausal women. These observations indicate that estrogens have important protective effects against the development of AD.

There are several pathological hallmarks for AD. One of which is the abnormal aggregation of a protein called Tau, a microtubule-associated protein, within nerve cells. The Taubearing lesions appear initially in the entorhinal cortex and hippocampus, then progress to neocortex of the frontal and temporal poles, and finally involve much of the temporal, frontal, and parietal cortices. Tau associates with microtubules to form Tau aggregation, which appears as neurofibrillary tangles, neuritic plaques, and neuronal threads. Nerve cells in which Tau protein aggregated could not grow and function properly [307]. Tau is closely related to mitochondrial function. Transgenic mice over-expressing the P301L mutant human tau protein exhibited an accumulation of hyperphosphorylated Tau and develop neurofibrillary tangles. Proteomic and functional analyses [308] revealed a mitochondrial dysfunction in P301L tau transgenic mice. Significantly, the reduction in MRC complex V levels, COX I activity and, with age, impaired mitochondrial respiration and ATP synthesis were observed in the P301L tau mutant mice. Mitochondrial dysfunctions were associated with high levels of ROS in aged transgenic mice. Increased Tau pathology seen in aged homozygous P301L Tau mice was accompanied by modified lipid peroxidation levels and up-regulation of antioxidant enzymes in response to oxidative stress. A recent study [309] revealed that a peptide containing residues 26-44 of Tau protein targeted COX and ANT and impaired MRC oxidative phosphorylation at the level of ANT, conferring deleterious effects on cellular availability of mitochondrial ATP.

The second important hallmark of AD is the amyloid plaques comprised of the amyloid A-beta peptides, which cause toxic effects on nerve cells by inducing mitochondrial dysfunction, including altered calcium homeostasis and Bcl-2 expression, associated with increased neuronal apoptosis [310]. It has been shown that soluble beta-amyloid caused mitochondrial defects in amyloid precursor protein and Tau transgenic mice as evidenced by mitochondrial damage such as reduced

mitochondrial membrane potential and ATP levels in the brains from these mice before the onset of plaques [311]. A study [312] reported that the amyloid beta-peptide was imported into mitochondria via the Tom import machinery and localized to mitochondrial cristae. Both oligomeric and fibrillar species but not disaggregated (mainly monomeric) form of Abeta42 have been also shown to impair mitochondrial function in P301L Tau transgenic mice. Aging specifically increased the sensitivity of mitochondria to oligomeric Abeta42 damage [313]. The amyloid peptide exposure provoked down regulation of a key anti-apoptotic protein, Bcl-2, and resulted in mitochondrial translocation of Bax, a protein known to promote cell death, and increases mitochondrial peroxide production, nitration and oxidation of proteins and subsequent release of cytochrome c [310].

Mitochondrial dysfunction has been identified in AD [306]. Since both Tau and Abeta act on mitochondria, there could be a synergistic action of Tau and Abeta on the mitochondria. Indeed, P301L tau mitochondria displayed increased vulnerability toward Abeta peptide insult. There may be direct impact of abnormally phosphorylated tau and Abeta on proteins/enzymes involved in metabolism, MRC function and cellular detoxification[314]. However, Tau pathology involves a mitochondrial and oxidative stress disorder which may be distinct from that caused by Abeta.

E2 has been shown to be neuroprotective in a number of animal models of AD. It has multifunctional effects, causing a reduction in the development of AP by preventing or reducting the Tau aggregation and formation of amyloid plaques.

Abnormal Tau hyperphosphorylation by protein kinases such as protein kinase A (PKA) plays an important role in PD pathogenesis [315]. It has been reported[316] that E<sub>2</sub> effectively attenuated forskolin-induced over-activation of PKA and elevation of cAMP, and thus prevented Tau from hyperphosphorylation. Serine/threonine protein phosphatases(PP) such as protein phosphatases PP1, PP2A, and calcineurin are involved in hyperphosphorylation of Tau [317]. Using specific inhibitors for PP1, PP2A and calcineurin, Yi and Simpkins [317] have demonstrated that these proteins played a role in estrogen-mediated neuroprotection. Zhang et al. [318] revealed a role of Dickkopf-1 (Dkk1) in attenuation of Tau phosphorylation and E2-induced neuroprotection. DKK1 is an antagonist of the Wnt/beta-catenin signaling pathway. This pathway is a principal mediator of neurodegeneration in cerebral ischemia and AD. E<sub>2</sub> at low physiological levels protected the hippocampus CA1 against global cerebral ischemia by preventing Dkk1 elevation. This effect correlated with a reduction of phospho-beta-catenin and elevation of nuclear beta-catenin levels. E<sub>2</sub> inhibition of Dkk1 is a critical mechanism underlying its neuroprotective and phospho-tau regulatory effects after cerebral ischemia. Thus, hyperphosphorylation of Tau could be a promising therapeutic target for AD [315].

In addition to attenuating Tau hyperphosphorylation,  $E_2$  has preventive effects against amyloid beta-mediated neurotoxicity.  $E_2$  exposure prior to neurotoxic insult of hippocampal neurons promoted neuronal defense and survival against amyloid beta. It has been observed [310] that treatment of cultured rat hippocampal neurons with  $E_2$  prior to amyloid beta exposure significantly reduced the number of apoptotic neurons and the associated rise in resting intracellular calcium levels.  $E_2$  pretreatment inhibited the amyloid beta-induced apoptosis. These anti-apoptotic effects of  $E_2$  could be attributed to: 1) activation of antioxidant defense systems scavenging ROS and limiting mitochondrial protein damage [303]. It was observed that  $E_2$  induced expression of antioxidative and antiapoptotic thioredoxin (Trx) and MnSOD expression following the induction of NOS1 in human brain-derived SH-SY5Y cells [319]; and 2) improvement of MRC electron transport chain activity and reducing

mitochondrial DNA damage as well as increaseing the activity of complex IV of the electron transport chain, improving mitochondrial respiration and ATP production under normal and stressful conditions. In fact,  $E_2$  treatment enhanced the expression of genes encoding MRC proteins in rat hippocampus [70] and pituitary tumor cells [71]. In vivo treatment of rats with  $E_2$  up-regulated brain mitochondrial proteome, COX IV activity and MRC functions[75,77].

Inhibition of the assembly of amyloid beta-peptide and the destabilization of preformed betaamyloid fibrils (fAbeta), prevention and/or inhibition of Tau hyperphosphorylation in the central nervous system could represent valuable therapeutic approach for patients with AD. Estrogen has anti-amyloidogenic effects on Alzheimer's fAbeta in vitro [320]. E2 also attenuates Tau hyperphosphorylation. On the other hand, stimulation of the MRC biogenesis and functions in neurons of brain by  $E_2/ERs$  offsets the damage on mitochondrial functions caused by Tau and fAbeta and thus, are implicated in protection against AD.

Based on the pathological features of AD, it was proposed [321] that drug discovery for AD should include: (i) supplementation therapy, (ii) anti-apoptotic compounds, (iii) substances with a mitochondrial impact, (iv) anti-amyloid substances, (v) anti-protein aggregation and (vi) lipid-lowering drugs. Estrogen replacement therapy for AD appears to fully fill (ii), (iii), (iv) and (v). However, because of the potential carcinogenic effects of  $E_2$  in breast cancer (section 7.1) and the highly oxidative cellular environment present brain that may be favorable for oxidative metabolism of estrogens during neurodegeneration,  $E_2$  itself is a poor agent for treatment of existing AD [303]. In this regard, in cultured cells and animal models of AD, other estrogenic chemicals, some with less hormonal effects, have been shown to be neuroprotective, including 17 $\alpha$ -estradiol [322–324], selective agonists for ER $\alpha$ (PPT) and ER $\beta$  (DPN) [229], phytoestrogens [325,326], and a hybrid structure of  $E_2$  and vitamin E [327]

### 7.3.2. Implications in Neuro-protective Effects against Parkinson's Disease-

Parkinson's disease (PD), the second most common neurodegenerative disorder, is characterized by the degeneration of dopaminergic neurons in the substantia nigra. The most vulnerable neurons reside in the substantia nigra zona compacta (SNC), whereas the DA neurons in the ventral tegmental area (VTA) and interfascicular (IF) nucleus are less vulnerable to degeneration (for review see [328]). There are two forms of PD, the rare familial form and the more common sporadic form. Both familial and sporadic PD patients have defects in mitochondrial respiration. Exposure to environmental mitochondrial toxins leads to PD-like pathology.

PD is more frequent in men than in women, and is more prevalent in women with short reproductive life [329]. Estrogens are considered neurotrophic for dopamine neurons and are neuroprotective against neurotoxic agents for dopamine neurons in vivo and in vitro[330]. The neuroprotection of  $E_2/ERs$  on PD could be attributed, in part, to their effects on MRC biogenesis/functions and its potential effects on PD-related proteins that are involved in the control of mitochondrial functions. Several mitochondrial proteins including PTEN-induced kinase 1(PINK1), Parkin, DJ1,  $\alpha$ -synuclein and POLG are known to be involved in mediation of both familial and sporadic forms of PD. Recent studies on these genes have revealed the central importance of mitochondrial dysfunction and oxidative stress in both forms of PD [328,331–333].

Pink1 is a putative serine/threonine kinase localized in mitochondria. It is highly conserved from Drosophila to human. It has been shown that removal of Drosophila Pink1 homologue function resulted in defects in mitochondrial morphology (e.g. fragmented mitochondrial cristae). These effects were associated with male sterility, apoptotic muscle degeneration, and increased sensitivity to multiple stresses including oxidative stress whereas expression of

human Pink1 in the Drosophila restored these defects in a portion of pink1 mutants [331]. It has been reported[334] that the germline deletion of the Pink1 gene in mice significantly impaired mitochondrial functions in the striatum but not in the cerebral cortex at 3–4 months of age. Decreased MRC activities in the cerebral cortex were evident in Pink1(<sup>-/-</sup>) mice at 2 years, indicating that aging can exacerbate MRC dysfunction in these mice. Moreover, MRC defects were induced in the cerebral cortex of Pink1(<sup>-/-</sup>) mice by cellular stress. Mitochondrial aconitase activity associated with the Krebs cycle was also reduced in the striatum of Pink1 (<sup>-/-</sup>) mice. Because mitochondrial aconitase contains an iron sulfur core that is oxidized by ROS, the activity of this enzyme is a useful fingerprint of mitochondrial ROS. The reduced activity of this enzyme in the striatum of Pink1(<sup>-/-</sup>) mice reflects an increased mitochondrial ROS, indicating a key role of Pink1 in reguation of oxidative stress. Furthermore, mutations in human Pink1 caused hereditary early-onset of PD [335]. Together, these findings demonstrate an important role of mammalian Pink1 for mitochondrial function, which provides critical protection against both intrinsic and environmental stress. Thus, loss of Pink1 may lead to nigrostriatal degeneration in PD.

Parkin is a ring protein located in the outer mitochondrial membrane of proliferating cells and is involved in mitochondrial biogenesis. Parkin is functionally linked to Pink1 in that its mitochondrial translocation is controlled by pink1 kinase activity through direct phosphorylation in its ring region [336]. Interestingly, loss of Drosophila parkin showed phenotypes similar to those caused by the loss of pink1 function. Over-expression of parkin rescued the male sterility and mitochondrial morphology defects of pink1 mutants, whereas double mutants removing both pink1 and parkin function showed muscle phenotypes identical to those observed in either mutant alone. These observations suggest that pink1 and parkin function, at least in part, in the same pathway, with pink1 functioning upstream of parkin. The role of the pink1-parkin pathway in regulation of mitochondrial function underscores the importance of mitochondrial dysfunction as a central mechanism of PD pathogenesis. In proliferating cells, transcription and replication of mtDNA are enhanced by parkin overexpression and attenuated by parkin suppression with siRNA. Parkin is associated with Tfam, enhancing Tfam-mediated mtDNA transcription. These results indicate that parkin is involved in the regulation of mitochondrial transcription/replication in these cells [237,337]. It has been shown [237,337] that wild-type parkin attenuated ROS production whereas mutant parkin enhanced ROS production in SH-SY5Y and L6 cells and that over-expression of parkin reduced ROS. Parkin prevented apoptosis and enhanced mitochondrial membrane potential in SH-SY5Y and L6 cells but not in COS-1 cells. Expressions and enzymatic activity of MRC complex I but not other MRC complexes were selectively enhanced by Parkin. These results suggest that parkin possesses anti-apoptotic function in neuronal cells.

DJ-1 has a role in protection against oxidative stress, but its direct role in mitochondrial function has not been fully established. Mutations (e.g. homozygous L166P missense mutation) have been identified in DJ-1 gene that cause autosomal recessive early onset of PD. It has been observed [338] that the L166P mutation markedly reduced stability to DJ-1 protein in vivo, resulting from enhanced degradation by the proteasome and that the L166P mutant protein exhibited an impaired ability to self-interact to form homo-oligomers. Wild type DJ-1 protein existed in a homo-dimeric form in vitro, whereas the L166P mutant existed only as a monomer and specifically but differentially associated with parkin. These mutants exhibited impairments in homo-dimer formation, suggesting that parkin may bind to monomeric DJ-1. Parkin failed to specifically ubiquitinate and enhance the degradation of L166P and M26I mutant DJ-1 protein, but instead promoted their stability in cultured cells[339]. The interaction between parkin and L166P DJ-1 may involve a larger protein complex that contains CHIP and Hsp70, perhaps accounting for the lack of parkin-mediated ubiquitination. Oxidative stress also promoted an interaction between DJ-1 and parkin, but this did not result in the ubiquitination or degradation of DJ-1. Parkin-mediated alterations in DJ-1 protein stability may be

pathogenically relevant as DJ-1 levels were dramatically increased in the detergent-insoluble fraction from sporadic PD/DLB brains, but were reduced in the insoluble fraction from parkin-linked autosomal recessive juvenile-onset PD brains.

The  $\alpha$ -synuclein is an abundant protein found particularly in axonal termini and a component of Lewy bodies, a pathological hallmark of PD. It contains an aminoterminal mitochondrial targeting sequence and is imported into mitochondria induced by acidification of the cytosol or by its over-expression. The import and accumulation of  $\alpha$ -synuclein has been shown to impair complex I in human dopaminergic neuronal cultures and PD brain [340]. Defective MRC function or inhibition of MRC with specific MRC inhibitors led to formation of a-synuclein aggregates in cells associated with reduction in ATP levels [341]. Exposure of human embryonic kidney cells overexpressing a-synuclein to rotenone caused increased susceptibility to cell death and lower levels of ATP than control cells. The brainstem neurons of mice that overexpressed the human Ala53Thr form of a-synuclein contained degenerating and dysmorphic mitochondria. The  $\alpha$ -synuclein protein also induced oxidative damage and cytochrome c release. Mice with a knockout of the Snca gene exhibited increased resistance to MPTP through modulation of complex I activity, whereas administration of MPTP to mice that overexpressed  $\alpha$ -synuclein led to swollen, morphologically abnormal mitochondria. These observations suggest a reciprocal relationship between the activity of this protein and mitochondrial function [328].

The data described above indicate a potential link among Pink1, parkin, DJ-1 and  $\alpha$ -synuclein in a common molecular pathway at multiple levels with parkin and  $\alpha$ -synuclein being directly involved in the regulation of MRC functions. Understanding the regulation of this Pink1parkin-DJ-1- $\alpha$ -synuclein pathway may have important implications for understanding the pathogenesis of inherited and sporadic PD. A few studies have suggested that Pink1 [342], parkin [330] and synucleins [343,344] participate in estrogen response pathways. Thus, E<sub>2</sub> and ERs may be involved in the regulation of Pink1-parkin-DJ-1- $\alpha$ -synuclein pathway in neurons. It is likely that the regulation of Pink1-parkin-DJ-1- $\alpha$ -synuclein pathway and MRC biogenesis and functions in neurons by E<sub>2</sub> and ERs confer protection for mitochondrial functions, protecting neurons from apoptosis induced by ROS and mitochondrial toxins. Deficience in these E<sub>2</sub>/ER-mediated mitochondrial effects may be causally related to pathogenesis of PD.

### 7.4. Implications in Cardiovascular Protective Effects

Cardiovascular diseases (CVDs) are the major cause of morbidity and mortality among both men and women. There is a significant gender difference in incidence of CVDs (e.g. atherosclerotic diseases), in part because of differences in risk factors and hormones [345]. The incidence of CVDs is uncommon in pre-menopausal women, sharply rises in postmenopausal women, and is reduced to premenopausal levels in postmenopausal women who receive estrogen therapy, suggesting that estrogens confer protective effects on the cardiovascular system [346,347].

 $E_2$  has both indirect and direct effects on the cardiovascular system. The indirect effects of  $E_2$  include its influences on serum lipoprotein and triglyceride profiles, and the expression of coagulant and fibrinolytic proteins. The direct effects of estrogen occur through both rapid non-genomic and longer-term genomic pathways that are mediated by the ER $\alpha$  and ER $\beta$ . The rapid effects of estrogen result in the activation of endothelial nitric oxide synthase, leading to arterial vasodilation, whereas longer-term effects involve changes in gene and protein expression, modulating the response to injury and atherosclerosis [346]. It has been shown [348] that the protective effects of  $E_2$  against vascular injury are mediated via ER $\alpha$ .

Mitochondria in the adult mammalian heart have a tremendous capacity for oxidative metabolism, and the conversion of energy by these pathways is critical for proper

cardiac function[349]. Several inherited and acquired disorders of mitochondria including defects in MRC proteins, disruption of oxidative phosphorylation and increased ROS oxidative stress, lead to defects in cardiac functions as reflected in exercise intolerance, arrhythmias, dilated cardiomyopathy and heart failure [349–353]. Myocardial ischemia-reperfusion injury also causes mitochondrial dysfunctions, resulting in low ATP levels, malfunctional ion pumps/altered ion homeostasis, ruptured plasma membrane and cell death [186]. These observations suggest that alterations in cardiac mitochondrial structure and functions play a central role in the development of the overt heart failure and heart diseases [354].

Recent studies examining the effects of estrogen on mitochondria of vascular systems have revealed a new paradigm for estrogen's vascular protection, i.e. the effects of  $E_2/ER$  on cardiac mitochondrial structure and function contribute, at least in part, to the E2's cardiac protective effects [355].  $E_2$  has been shown to profoundly affect mitochondrial functions in cerebral blood vessels of rat brain, enhancing efficiency of energy production and suppressing mitochondrial oxidative stress by up-regulating a number of genes encoding MRC proteins and anti-oxidant enzymes such as MnSOD [217,355,356].  $E_2/ER\beta$  pathways mediate down-regulation of genes for nDNA-encoded subunits of all MRC complexes whereas  $ER\alpha$  is essential for most of the estrogen-mediated increase in gene expression including MRC proteins and proteins involved in defense against oxidative stress in wild-type rat aortas [116]. In contrast, Hsieh et al. [76] observed up-regulation of the expression and activity of complex IV by  $E_2/ER\beta$  in the heart of male rats that underwent T-H and that these effects were required for  $E_2$ -mediated inhibition of apoptosis induced by T-H.  $E_2/ER\alpha$ –[357,358] and  $E_2/ER\beta$ -pathways [359] were involved in protection of myocardial ischemia-reperfusion injury probably via their effects on preservation and maintenance of mitochondrial structure and functions [186].

## 7.5. Implications in Beneficial Effects of Estrogens/ERs on Lens Physiology

Cataract formation is a multifactorial eye disease and a leading cause of blindness worldwide. While surgical procedures correct vision loss, this presents a substantial financial burden on national health care systems, mandating the search for pharmaceutical agents that can prevent or delay the onset of cataract. Epidemiological studies indicate an increased frequency of cataract formation in postmenopausal women similar to men of the same age in contrast with lower incidence in premenopausal women, suggesting that the absence of estrogens in postmenopausal women replacement therapy may play some positive role in delaying or preventing cataract. The Beaver Dam Eye Study [361] and the Salisbury Eye Study [362] both supported some degree of protection associated with estrogen and the risk of cataract development. Aina et al. [363], using a large population based study of women with reported cataract and age-matched controls, concluded that, "estrogen and estrogen-progesterone hormone replacement therapies are associated with a reduced risk of cataract."

Work in the Cammarata laboratory has been aimed at elucidating the biochemical and molecular pathways by which estrogens, which behave as potent biologically active and selective mitochondrial protective compounds, may influence mitochondrial function. But is there a generally established connection between damaged mitochondria and cataractogenesis? "Mitochondriopathies show a chronic, slowly progressive course and present with multiorgan involvement. Although several proteins with signaling, assembling, transport and enzymatic function can be impaired, most frequently the activity of MRC complexes is primarily affected, leading to impaired oxygen utilization and reduced energy production [364]". Among the most frequently affected systems are eyes and in particular, the lens and the emergence of cataract. Therefore, cataract is not an exceptional occurrence in mitochondrial myopathies and should be included within its multisystem associations [365].

The means by which estrogen and estrogen-progesterone hormone replacement therapies might restrict or delay the onset of cataract is unknown. The primary focus of the Cammarata laboratory has been to demonstrate that  $E_2$  protects human lens epithelial cells against oxidative stress by preserving mitochondrial function, in part, via the non-genomic rapid activation of prosurvival signal transduction pathways[59,217,366]. Newly obtained information, derived from these recent studies, necessitates a reevaluation of the past interpretation of the cytoprotective scheme. To that end, this section of the review will briefly discuss recent data indicating the coupled duality to E2's cytoprotective action against acute oxidative stress and offer an interpretation that includes the possibility of genomic, as well as non-genomic interventions, as inclusive aspects of the protective mechanism.

E<sub>2</sub> administration to the immortalized human lens epithelial cells, HLE-B3, initiates a rapid increase in intracellular (mitochondrial) ROS. This, in turn, promptly and transiently increases mitochondrial-associated MnSOD activity, without alteration in its mRNA expression or its protein expression profile. The outcome of increasing MnSOD activity is to immediately lower the mitochondrial ROS concentration before oxidative damage might ensue [217]. In agreement, estrogen has previously been reported to increase MnSOD activity levels without affecting the protein levels of MnSOD in mitochondria of rat pheochromocytoma cells (PC-12 cells) [367] and MCF-7 breast cancer cells [54]. Within the same timeframe that estradiol increases MnSOD activity, estradiol also initiates a signaling cascade culminating in the activation of the Ras/Raf/ERK (MAPK) and PKA pathways in cultured HLE-B3 cells [366].

E<sub>2</sub> leads to activation (i.e. phosphorylation) of ERK 1/2 (via MAPK) and Bad (via PKA). Transfection of HLE-B3 cells with ERK2-specific siRNA enhanced mitochondrial membrane depolarization subsequent to peroxide insult whereas Bad siRNA-treated and mock-transfected cells did not. The implication is that ERK2, but not Bad, plays a pivotal role in the regulation of mitochondrial membrane potential in human lens epithelial cells[366]. Estrogen treatment does, however, continue to offer some protection against mitochondrial membrane depolarization, despite ERK2 suppression. In agreement with this, an earlier study, using the MEK inhibitor, UO126, also showed that peroxide insult in the presence of estrogen and UO126 retained a considerable level of protection against depolarization [368]. That is, if pERK alone were the sole component driving the protection mechanism, one might reasonably expect that the loss mitochondrial membrane potential be equivalent in the presence of UO126 irrespective of whether estrogen were present or not. The fact that estrogen retains the ability to partially prevent depolarization in the absence of ERK activation suggests at least two parallel protective mechanisms at play. ERK2, and more specifically pERK2, represents one pathway of the estrogen-mediated mitochondrial protection pathway. Alternatively, estrogen may, in part, supersede the necessity for ERK activation and provide a measure of protection via an ERK-independent route[366].

ER $\beta$ 1 associates with both the nucleus and mitochondria while the ER $\beta$  isoform, ER $\beta$ 2, localizes exclusively with the nucleus [58,59]. ER $\beta$ 5 is found primarily in the cytosol [58, 59]. It has been established that mitochondrial-associated ER $\beta$ 1 is a necessary prerequisite for E<sub>2</sub>-mediated cytoprotection against H<sub>2</sub>O<sub>2</sub> toxicity as cells transfected with siRNA-wtER $\beta$ 1 lost the capability to prevent mitochondrial depolarization by estrogen intervention [59]. But in the course of completing these experiments, an interesting fact was uncovered in that suppression of ER $\beta$ 1, in turn diminished the relative levels of the ER $\beta$  isoforms, ER $\beta$ 2 and ER $\beta$ 5. As such, the working hypothesis was expanded to include the likelihood that, in conjunction with the non-genomic rapid activation of prosurvival signal transduction pathways, estrogen-mediated cytoprotection may include the possibility that nuclear ER $\beta$ 2 may provide genomic contribution to the mitochondrial mechanism of estrogen and estrogen receptors in mitochondrial protection, possibly via the upregulation of protective nuclearencoded mitochondrial proteins.

Clearly, considerably more work is needed to fully comprehend the molecular mechanism(s) of E2 and ERs in mitochondrial protection. The current conceptual framework regarding the protective mechanism(s) activated by  $E_2$  is based on the premise that mitochondrial cytoprotection will likely prove to be complex and multi-faceted with contributions from both genomic and non-genomic aspects simultaneously providing a combinatorial defense against mitochondrial permeability transition.

# 8. Conclusions and Prospective

# 8.1. Conclusions

A proposed model that summarizes the effects of  $E_2$  and ERs on the regulation of several aspects of MRC biogenesis and their physiological, pathological and pharmacological implications is presented in Figure 4. In this fascinating pathway, the transcription factors NRF-1, NRF-2, PGC-la and Sp1 play master roles in their regulation of almost all aspects of MRC protein biogenesis, whereas  $E_2$  and ERs serve as the key "directors" for the entire pathway. This pathway is of physiological importance for the proper functions of a number of cell types and organs. However, persistent over-stimulation or deficiency of  $E_2$ /ER-mediated MRC biogenesis and functions are implicated in the pathogenesis of a number of diseases in different organs/tissues. Persistent over-induction of this pathway may be valuable for prevention of breast cancer. In contrast, stimulation of this pathway could be highly beneficial in brain, heart and the eye, whereas deficiency of this pathway in these organs/tissues could be causally related to the pathogenesis of neurodegenerative, cardiovascular and the eye diseases.

# 8.2. Prospective

It should be pointed out that several key aspects of this important and newly emerging pathway are still far from being understood. Many new studies are needed to address the following aspects.

8.2.1. Understanding the Molecular Mechanisms Underlying the E<sub>2</sub>/ER-mediated Coordinate Regulation of MRC Biogenesis and Functions—Several lines of evidence presented above indicate that  $E_2$  and ERs are involved in the coordinate regulation of almost all aspects of MRC biogenesis, including: 1) nDNA-encoded MRC proteins and MRC complex assembly proteins; 2) nDNA-encoded protein factors involved in the replication, transcription and translation of mtDNA; and 3) nDNA-encoded proteins of mitochondrial protein import machinery and ANT. What is known, to date, is that E<sub>2</sub> and ERs are involved in the regulation of the proteins involved in these processes most likely via their stimulation of the expression of several transcription factors, particularly NRF-1, NRF-2, and Sp1. What is unknown is whether  $E_2$  and ERs simultaneously up-regulate all of the proteins or whether these factors selectively regulate only specific sets of proteins involved MRC biogenesis. In addition, the nature of the precise molecular mechanisms underlying these effects remains to be determined. Thus, a comprehensive investigation on the global role of  $E_2$  and ERs on all the aspects of MRC biogenesis, and many detailed studies on the underlying molecular mechanisms are required. Such studies will allow new insight into the role of  $E_2$ and ERs in the regulation of MRC energy metabolism and MRC functions.

8.2.2. Determining whether  $E_2$  and ERs Are Directly Involved in the Regulation of MRC Complex Assembly Proteins and the Molecular Mechanisms Involved—

The proper assembly of the nDNA- and mtDNA-encoded MRC proteins into functionally correct individual MRC complexes relies on several sets of assembly proteins specific for the MRC complexes. To date, little is known about whether the expression and functions of these

assembly proteins are directly regulated by  $E_2$  and ERs, though the binding sites for NRFs have been identified in the promoters of a few genes encoding assembly proteins. Because of their crucial role in MRC biogenesis, the expression of these proteins likely parallels the  $E_2$ / ER-induced changes in both nDNA- and mtDNA-encoded protein subunits. Further investigation on the  $E_2$ /ER-mediated regulation of these assembly proteins is essential for better insight into this pathway. More importantly, because mutations in assembly proteins are causally related to a number of lethal mitochondrial diseases (see section 2), new knowledge gained about the regulation of these proteins by E2/ERs will allow the identification of the therapeutic targets for these diseases that can be treated with  $E_2$  and  $E_2$ -related agents.

8.2.3. Determining how the Mitochondrial Import of nDNA-encoded Proteins Involved in MRC Biogenesis Is Regulated by E<sub>2</sub> and ERs—The nDNA-encoded proteins involved in MRC biogenesis are imported into mitochondria through mitochondrial protein import machinery. The presence of NRF-1 and NRF-2 binding sites in the promoters of the genes for several Tom proteins that are components of mitochondrial protein import machinery strongly points to the possibility that these proteins are regulated by  $E_2$  and ERs via NRFs. However, more work is needed to determine whether  $E_2/ERs$  are directly involved in the regulation of mitochondrial protein import machinery and whether the enhanced expression of these proteins leads to increased mitochondrial import of proteins required for MRC biogenesis. To date, at least four different pathways for the sorting and assembly of nuclearencoded mitochondrial proteins have been identified [369]. Thus, one needs to determine which pathway(s) are employed for the import of these proteins into mitochondria. Because mutations that disrupt the proper functioning of the mitochondrial import machinery are related to several diseases [370], elucidation of how  $E_2$  and ERs regulate the import of these proteins may be valuable for finding ways to control the mitochondrial import of these proteins and may lead to development of diagnostic tools to aid in the identification of mitochondrial diseases caused by defects in the mitochondrial protein import machinery.

8.2.4. Understanding how E<sub>2</sub> and ERs Regulate the Expression of mtDNA-

**encoded MRC Proteins within Mitochondria**—A number of studies presented above have demonstrated that the mitochondrial ER $\alpha$  and ER $\beta$  are directly involved in the regulation of the expression of mtDNA-encoded MRC proteins within mitochondria. However, the underlying molecular mechanisms are only partially understood. Other mitochondrial transcription factors, particularly Tfam, TFBIM and TFBIIM and several nuclear factors, such as AP-1, Sp1, p53, NF- $\kappa$ B and high mobility group proteins present with in mitochondria, might be also involved. Further studies are needed to fully elucidate the molecular mechanisms by which E<sub>2</sub> and ERs in association with these mentioned proteins in regulation of the transcription of mtDNA within mitochondria. In addition, little is known about the role of E<sub>2</sub> and ERs in regulation of mitochondrial protein translation and MRC functions. These deficiencies merit future studies.

# 8.2.5. Understanding the Physiological, Pathological and Pharmacological

**Implications of E<sub>2</sub>/ER-mediated Pathway**—One of the most important aspects in this area is to delineate the precise physiological and pathological implications of over-stimulation or deficiency of this pathway in breast cancer, neurodegenerative and cardiovascular diseases. Further studies are needed to determine whether over-stimulation of this pathway in human breast cells is causally related to the development of breast cancer. Specifically, studies are needed to investigate the E<sub>2</sub>/ER-induced changes in this pathway during initiation, promotion and progression of cancinogenesis, invasion, tumoregenesis and metastasis of breast cancer. Such studies would be valuable for development of new intervention approaches to the treatment of breast cancers that would target this pathway. Further studies to investigate the role of this pathway in detoxification of Tau and  $\beta$ -amyloid toxicity in AD and in regulation

of the Pink-1-parkin-DJ-1- $\alpha$ -synuclein pathway in AD could also be valuable and highly beneficial for the development of therapeutic treatment for these neuro-degenerative diseases that utilize these target pathways.

Gaining a more complete understanding of  $E_2$ /ER-meatdied pathways will lead to new insights into the underlying molecular mechanisms. Targeting these pathways characterizes a fresh conceptual approach that will contribute to innovative regimens for prevention or treatment of a wide variety of medical complications.

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### Fig. 1. MRC, H<sup>+</sup>-ATP Synthase and ANT

Schematic picture showing MRC complexes, H<sup>+</sup>-ATPase, and ANT. MRC: Mitochondrial respiratory chain; ANT: Adenosine Nucleotide Translocase.



### Fig. 2. Human Mitochondrial Genome

The relative locations of genes encoding 13 MRC proteins for complex I (ND1, ND2, ND3, ND4, ND4L, ND5 and ND6); Complex III (cytb); Complex V (COX I, COX II and COX III) and H-ATP synthase (ATP6/8), two rRNAs (12S rRNA and 16S rRNA) and 22 tRNAs for specific amino acids indicated by the letters are shown. F: Phe, V: Val, L: Leu, I: Ile, Q: Gln; M: Met; W: Trp; A: Ala; N: Asn; C: Cys; Y: Tyr; S1: Ser, D: Asp; K: Lys; G: Gly; R: Arg; H: His; S2: Ser-2; L2: Leu-2; E: Glu; P: Pro; T: Thr. D-loop: Displace loop.

Cell Line	MRC Composition	STS	TG
WT		+	+
P <sup>8</sup>		5 <del>4</del> 8	3
COX		-	+++
CYTB		9 <del>7</del> 34	+++
MERRF		-	17
NARP	000	+++	+++

# Fig. 3A Correlation between MRC Composition and Apoptotic Response in mtDNA Mutant Cybrids

Fig. 3B: Pharmacological Evidence for MRC as Modulator of Apoptosis

Mode of Inhibition	MRC Complex	Inhibitors	Apoptotic Response	References
normal		No No	1277	
Complex I Inhibition	<u></u>	■ Rotenone TNF-α C₁-Ceramide	+	160-163 165 166
Complex II Inhibition		Nitroproprionic acid «-tocopheyl succinate	+	167 168-172
Complex III Inhibition		Benzyl isothiocyanate Antimycin A	+	173-175 176-178
Complex IV Inhibition		Mokola Virus M Protein Ethanolamine	+	179 180-181
Complex V Inhibition		Oligomycin A 3'3'-Dindolymethane	+	182 183

Fig. 3. Genetic and pharmacological evidence for MRC as Modulator of Apoptosis 3A. Correlation between MRC Composition and Apoptotic Response in mtDNA Mutant Cybrids The RC components of the cybrids are depicted. WT cells have a full complement of RC complexes.  $\rho^0$  cells are missing complexes I, III, IV, and V. COX cells are missing complex IV and have reduced complex I (depicted as a smaller circle). CYTB cells are missing complex III and have reduced complex I. MERRF cells are missing complexes I, III, IV, and V, detectable by BN gel, but have some residual ATP hydrolytic complex V activity. NARP cells have all of the complexes in place, but have reduced amounts and activities. Normal response to apoptosis induction is represented by +, high response by +++, and low response by -. (Adapted from Kwong JQ et al. (153) with permission granted by J. Cell. Biology).

3B. Pharmacological Evidence for MRC as Modulator of Apoptosis: effects of specific inhibitors on individual complexes

Induction of apoptosis by inhibitors specific for individual MRC complexes is shown. Inhibition of individual complex is represented by X; Normal response to apoptosis induction is represented by +.

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# Fig. 4. Proposed Pathway for Regulation of MRC Biogenesis by $\rm E_2/ERs$ and Physiological & Pathological Implications

This proposed pathway is simultaneously initiated and performed within nuclei and mitochondria. Inside the nuclei, the  $E_2$ -activated ER $\alpha$  and/or ER $\beta$  bind to the EREs and other relevant regulatory elements present in the promoter regions of several key transcription factors including NRF-1, NRF-2 and PGC-1a and activates their transcription. The increased expression of these transcriptional factors, in turn, stimulate the cooperate expression of nuclear genes encoding: A) a number of proteins factors involved in the replication, transcription and translations of mtDNA; B) the MRC proteins and MRC complex assembly proteins and C) several proteins of mitochondrial protein import machinery. These proteins are synthesized on cytosolic ribosomes, and imported into mitochondria via the mitochondrial protein import machinery. The enhanced expression of proteins of mitochondrial import machinery facilitates the mitochondrial import of proteins involved in the replication- transcription-translations of mtDNA, the nDNA-encoded MRC proteins and MRC complex assembly proteins, along with other mitochondrially localized proteins. Within mitochondria, the protein factors involved in mtDNA replication stimulate mtDNA replication and maintain/protection of mtDNA integrity. On the other hand, ERa and/or ERB are imported into mitochondria. Once inside the mitochondria, E<sub>2</sub> enhances their binding to the mtEREs within the regulatory region (i.e. Dloop) of mtDNA, to form the active transcription complexes in association with the transcriptional factors (e.g. Tfam, TFBIM and TFBIIM) and stimulating mtDNA transcription. The enhanced levels of protein factors involved in translation of mitochondrial mRNAs stimulate the translation of thirteen mtRNAs encoding MRC proteins. Both the newly imported,

nDNA-encoded MRC subunits and the newly synthesized, mtDNA-encided MRC protein subunits are increasingly assembled into individual MRC complexes and ATP synthase by the increased MRC complex assembly proteins, resulting in increased MRC biogenesis and functions. The consequences of these processes are the increased production of mitochondrial energy in form of ATP and MRC-derived ROS. NRF-1, NRF-2, PGC-la and Sp1 are the master players involved in the regulation of the majority of proteins and important factors involved in almost all aspects of MRC protein biogenesis.  $E_2$  and ERs act as the key "directors" for the entire pathway.

The normal operation of this pathway is of physiological importance for the proper functions of a number of cell types and organs. Persistent over-stimulation or deficiency of  $E_2/ER$ mediated MRC biogenesis and functions can cause alterations in mitochondrial functions, resulting in over-abundance or deficiency of ATP and ROS. The changes in ATP and ROS levels and abnormal mitochondrial functions can also trigger retrograde regulation (e.g. redoxsensitive regulation) of the expression of nuclear genes involved a wide variety of physiological processes including oxidative response signaling pathways, various kinase-mediated pathways, cell cycle-related pathways and cell growth/death-related pathways. The combination of these E<sub>2</sub>/ER-mediated mitochondrial effects and the resulting retrograde regulation of expression of different types of nuclear genes have a number of physiological implications in different types of cells and organs. Persistent induction of these mitochondrial effects can exist in breast cells due to relatively higher levels of  $E_2$  and ERs in these cells, leading to over-abundance of these effects, which may contribute to increased cell proliferation and inhibition of apoptosis as well as resistance to anti-cancer drugs. These effects are relevant to estrogen-mediated carcinogenesis in human breast. On the other hand, stimulation of MRC biogenesis/functions by  $E_2$  and ERs are highly beneficial for providing the cells in brain, heart and the eye with sufficient MRC-derived energy for their proper functions. Maintenance of cell survival and inhibition of induced-apoptosis by E<sub>2</sub>/ER-mdiated MRC biogenesis and functions in neurons in brain, cardiomyocytes in heart and lens cells in the eye confer significant protection against AD and PD, heart diseases and the eye diseases (e.g. cataract formation).

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	Tabl	e 1			
Table 1A: Properties of mtDNA-amn nDNA-encod	ied Complex I Subunits and complex I Assemb	ly Proteins			
Properties		NRF-1 & NRF-2 — Binding Sites	Other Factors Binding Sites	Regulated by E2/ERs	References
Complex I Subunits		0	D		
	IUN	via Tfam	TFBIM & TFBIM	+	48,79
	ND2	via Tfam	TFBIM & TFBIM		
	ND3	via Tfam	TFBIM & TFBIM		
mtDNA- Encoded	ND4L	via Tfam	TFBIM & TFBIM		
	ND4	via Tfam	TFBIM & TFBIM		
	ND5	via Tfam	TFBIM & TFBIM		
	ND6	via Tfam	TFBIM & TFBIM		
	NDUFV1	NRF-2	Sp1 & YY1		143
	FP NDUFV2				
	NDUFV3				
	NDUFSI				
	NDUFS2				
	NDUFS3				
nDNA- Encoed	NDUFS4				
	IP NDUFS5				
	NDUFS6				
	NDUFS7				
	NDUFS8	NRF-1	Sp1 & YY1	+	116
	NDUFA8			+	116,142
	HP 31 subunits				
Nuclear- Encoded	CIA30 NDUFAFI				
Assembly Proteins	C20orf7 C6orf66				

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Table LB: Properties of nDNA-encoded complex II shouts         NRF-1 & NRF-1         NRF-1 & NRF-1         Conce       NRF-1 & NRF-1         Conce       NRF-1         Conce       NRF-1         Conce       NRF-1       NRF-1         Conce       NRF-1       NRF-1         Conce       NRF-1       NA         SDHD       NRF-1       NRF-1         Conc       NRF-1       NA         SDHD       NRF-1       NA         SDHD       NRF-1       NA         SDHD       NRF-1       NA         SDHD       NRF-1       NA         Conc       NRF-1       NA         Conc       NRF-1       NA         Conperties       NRF-1       NA         Conperties       NRF-1       NA         Conperties       NRF	<sup>9</sup> A Author Manuscript	NIH-F	IIH-PA Author Manuscript	cript N	uthor Manuso	NIH-PA A
Properties         IRF-1 & NRF-3         Other         Regulated         <	Table 1B: Properties of nDNA-enc	oded complex II subunits				
Genes         SDHA         NRF-1:         NRF-1         NA           IDVA:         SDHB         NRF-1:         NRF-1:         NA         +         1           IDVA:         SDHB         NRF-1:         NRF-1:         NRF-1:         NRF-1:         +         1           IDVA:         SDHB         NRF-1:         NRF-1:         NRF-1:         NRF-1:         +         1           IDVA:         SDHD         NRF-1:         NRF-1:         NRF-1:         +         1           Into to the interval of nuDNA and nDVA encoded Complex III Submits and Assembly Proteins          +         +         6           Into to the into	Properties		NRF-1 & NRF-2 Bindino Sites	Other Factors	Regulated Rv F2/FRs	References
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Genes		G			
MDNA- Encode         SDHB SDHC         NRF-1: NRF-2         N/A         +         1           IDNA- Encode         SDHC         NRF-1: NRF-2         N/A         N/A         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         +         + <td></td> <td>SDHA</td> <td>NRF-1</td> <td>NA</td> <td></td> <td>122</td>		SDHA	NRF-1	NA		122
mDNA     SDHC     NRF-1: NRF-2     NA       Enoode     SDHD     NRF-1: NRF-2     NA       Cyrc     NRF-1: NRF-2     NA       Table 1C: Properties of mDNA and nDNA encoded Complex III Submits and Assembly Proteins     -       Table 1C: Properties of mDNA and nDNA encoded Complex III Submits and Assembly Proteins     -       Table 1C: Properties of mDNA and nDNA encoded Complex III Submits and Assembly Proteins     -       Table 1C: Properties of mDNA and nDNA encoded Complex III Submits and Assembly Proteins     -       ImDNA     Complex III Submits     NRF-1/NRF-2       ImDNA     Cyrdb     NRF-1/NRF-2       ImDNA     Complex III Submits     NRF-1/NRF-2       ImDNA     Complex III Submits     NRF-1/NRF-2       ImDNA     Cyrdb     NA       ImDNA     NA     NA       ImDNA		SDHB	NRF-1; NRF-2	N/A	+	116,120
Enode     SDHD     NRF-I, NRF-2     NA       Table 1C: Properties of mDNA and nDNA encoded Complex III Subunits and Assembly Proteins     -     +     -       Table 1C: Properties of mDNA and nDNA encoded Complex III Subunits     NRF-I/NRF-2     +     +       Table 1C: Properties of mDNA and nDNA encoded Complex III Subunits     NRF-I/NRF-2     +     +       ImDNA-     Complex III Subunits     NRF-I/NRF-2     0.ther Factors     Regulated       ImDNA-     Cyth     Via Tiam     TFBIM & TFBIM     +       ImDNA-     Cyth     Via Tiam     NA     NA       InDNA-     CYth     NA     NA </td <td>-DNA-</td> <td>SDHC</td> <td>NRF-1; NRF-2</td> <td>N/A</td> <td></td> <td>18</td>	-DNA-	SDHC	NRF-1; NRF-2	N/A		18
Cycl     NRF-1, NRF-2     +     6       Table IC: Properties of mtDNA and mDNA encoded Complex III Submits and Assembly Proteins     NRF-1/NRF-2     +     6       Properties of mtDNA and mDNA encoded Complex III Submits and Assembly Proteins     NRF-1/NRF-2     0     +       ImtDNA     Properties     NRF-1/NRF-2     N     NRF-1/NRF-2     +       ImtDNA     Complex III Submits     NRF-1/NRF-2     N     N     +       ImtDNA     Cyth     Via Tfam     TFBIM & TFBIM     +       ImtDNA     Cyth     Via Tfam     TFBIM & TFBIM     +       ImtDNA     Cyth     N/A     N/A     +       ImtDNA     OCR8     N/A     N/A     +       ImtDNA     OCR9     N/A     N/A     +       ImtDNA     OCR8     N/A     N/A     +       ImtDNA     OCR8     N/A     N/A     +       ImtDNA     OCR9     N/A     N/A     +       ImtDNA     OCR9     N/A     N/A     +       ImtDNA     OCR8     N/A     N/A     +       ImtDNA     OCR8     N/A     N/A     +       ImtDNA     OCR9     N/A     N/A     +       ImtDNA     OCR9     N/A     N/A <td>Encode</td> <td>SDHD</td> <td>NRF-1; NRF-2</td> <td>N/A</td> <td></td> <td>121</td>	Encode	SDHD	NRF-1; NRF-2	N/A		121
Table 1C: Properties of mtDNA and nDNA encoded Complex III Submits and Assembly Proteins         Table 1C: Properties         Properties         Properties         NRF-1/NEF-2         Other Factors         Complex III Submits         Inding Sites		CytC	NRF-1, NRF-2		+	67,118
Complex III Submits     Complex III Submits     Complex III Submits       IntDNA-     Cyth     via Tfam     TFBIM & TFBIM     +       IntDNA-     Cyth     N/A     +     +       IntDNA-     QCR6     N/A     N/A     +       IntDNA-     QCR9     N/A     N/A     +       IntDNA-     QCR9     N/A     N/A     +       IntDNA-     QCR9     N/A     N/A     +       IntDNA-     QCR10     N/A     N/A     +	Table 1C: Properties of mtDNA an Pro	d nDNA encoded Complex I perties	II Subunits and Assembly Proteins NRF-1/NRF-2 Rinding Stace	Other Factors Binding Stee	Regulate hv. F.7.ED	References
mtDNa- Encoded     Cyth     via Tfam     TFBIM & TFBIM     +       Encoded     QCR6     N/A     N/A     +       nDNa- Encoded     QCR9     N/A     N/A     +       nDNa- Encoded     QCR10     N/A     N/A     +       nDNa- Encoded     BCSIL     N/A     N/A     +	Complex	III Subunits				0
QCR6     N/A     N/A       nDNA-     QCR8     N/A     N/A       Encoded     QCR9     N/A     N/A       QCR10     N/A     N/A     +       nDNA-     QCR10     N/A     N/A       nDNA-     QCR10     N/A     N/A       nDNA-     QCR10     N/A     N/A       nDNA-     QCR1     N/A     N/A       nDNA-     QCR7     N/A     N/A       Protein     BCSIL     N/A     N/A	mtDNA- Encoded	Cyth	via Tfam	TFBIM & TFBIIM	+	48
nDNA- Encoded     QCR8     N/A     N/A       Encoded     QCR0     N/A     N/A     +       QCR10     N/A     N/A     N/A     +       nDNA- Encoded     QCR7     N/A     N/A     +       Protein     QCR7     N/A     N/A     N/A       Protein     BCSIL     N/A     N/A		QCR6	N/A	N/A		
EncodedQCR9N/AN/A+QCR10N/AN/AN/A+IDNA- Encoded AssemblyQCR7N/AN/AProtein ProteinBCSILN/AN/A	-DNA-	QCR8	N/A	N/A		
QCR10 N/A N/A nDNA- QCR7 N/A N/A Encoded BCSIL N/A N/A Proteins	Encoded	QCR9	N/A	N/A	+	116
nDNA- QCR7 N/A N/A Encoded BCSIL N/A N/A Assembly BCSIL N/A N/A		QCR10	N/A	N/A		
Executed BCSIL N/A N/A N/A Proteins	-PNDNA-	QCR7	N/A	N/A		
	Encoded Assembly Proteins	BCSIL	N/A	N/A		

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Table 1D: Properties of mtDNA and nDNA enco	oded Complex IV Subunits	and complex IV Assembly Pro	teins		
Properties		NRF-1 & NRF-2 Binding Sites	Other Factors Binding Sites	Regulated By E <sub>2</sub> /ERs	References
Complex IV Subunit	x			I	
	COXI	via Tfam	TFBIM & TFBIIM	+	48,51,53,55,67,69
mtDNA- Encoded	COXII	via Tfam	TFBIM & TFBIIM	+	48,51,53,55
	COXIII	via Tfam	TFBIM & TFBIIM	+	48,51,53,55
	COXIV	NRF-1; NRF-2	Sp1	+	67,76,79,130,135,136
	COXVa	NRF-1; NRF-2		+	115,130,135,136
	COXVb	NRF-1; NRF-2	Sp1, Ets; NF-E1	+	126,127,130,135,136
	COXVIb	NRF-1; NRF-2		+	130,135,136
	COXVIc	NRF-1, NRF-2		+	130,135,136
	COXVIIb	NRF-1, NRF-2		+	130,135,136
nDNA-	COXVIIc	NRF-1, NRF-2		+	130,135,136
Encoded	COXVIII	NRF-1, NRF-2		+	128,133,134
I	COXVIa(L)	NRF-1; NRF-2	Sp1, YY-1,Est		124
	COXVIa(H)		<b>MEF2A</b>		
	COXVIIa(L)	NRF-1; NRF-2	Sp1		
	COXIIa(H)		MEF2A	+	116,137
	COXVIII(H/L)				
nDNA-	COX17p	NRF-1; NRF-2	Sp1		128
Encoded Assembly	SC01				
Proteins	SCO2				
	SURF1				
	COX11				
	COX15				

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Table 1E: Properties of mtDNA and nDNA	encoded ATP Synthase Subunits and	d Assembly Prote	ains				
	Properties		NRF-1/NRF-2 Binding Sites	Other Factors Binding Sites	Regulated By E2/ERs	References	
F0-F1 ATI	? Synthase Subunits						
mtDNA-	1	ATP6	via Tfam	TFBIM & TFBIIM	+	48	
Encoded	1	ATP8	via Tfam	TFBIM & TFBIIM	+	48	
	I	hibitor					
	d	protein					
		а					
		р					
		c					
		þ			+	116	
	F0	e			+	116	
		f			+	115	
		50			+	115	
	)	OSCP					
nDNA- Encoded		J			+	114	
		F6					
		A6L					
I	IV	lpha(α)	ATPF1, YY-1				
	B	3eta(β)			+	116	
	F1 Ga	$mma(\gamma)$	NRF-1			142	
	Ā	)elta(δ)					
	Ep	ssilon(ɛ)			+	114	
1	Stalk	45-A					
nDNA- Encoded Assembly Proteins	A	Oxal ATP12 MEM70					

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Processes	Protein Factors	NRF-1 & NRF-2 Binding Sites	Other Factors	Regulated By E2/ERs	References
Involved in Replication & Maintenance of mtDNA	POLGI POLG2 RNase MRP Tfam Mtssb hSUV3	N/A N/A NRF-1; NRF-2 NRF-1; NRF-2 NRF-1 NRF-1	Sp1 Sp1 Sp1	+	100 76-80,89-92 101 102
Involved in In mtDNA Transcription	POLRMT Třam TFB1M TFB2M mtERF	NRF-1; NRF-2 NRF-1; NRF-2 NRF-1; NRF-2	Sp1	+	76-80,89-92 104 104
Involved in mt mRNA Translation	MTIF2 EF-TU EF-TS EF-G HMRFIL mtRF1a	NRF-1; NRF-2 N/A N/A N/A N/A N/A N/A	Spl, ERs N/A N/A N/A N/A N/A		011,601

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 Table 3

 nDNA-Encoded TOMs Involved Mitochondrial Protein Import Machinery

Properties	NRF-1 & NRF-2 Other Binding Sizes Eactors	Regulated ] Bv E2/ERs	References
Genes			
TOM20	NRF-1 N/A	N/A	149–150
TOM40 TOM40	N/A N/A	N/A	
Encode TOM70	NRF-1; NRF-2 N/A	N/A	151–152
TOM34	NRF-1; NRF-2 N/A	N/A	153