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## Biomimetic Recyclable Microgels for On-Demand Generation of Hydrogen Peroxide and Antipathogenic Application

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### Abstract

Microgels that can generate antipathogenic levels of hydrogen peroxide ( $H_2O_2$ ) through simple rehydration in solutions with physiological pH are described herein.  $H_2O_2$  is a widely used disinfectant but the oxidant is hazardous to store and transport. Catechol, an adhesive moiety found in mussel adhesive proteins, was incorporated into microgels, which generated 1–5 mM of  $H_2O_2$  for up to four days as catechol autoxidized. The sustained release of low concentrations of  $H_2O_2$  was antimicrobial against both gram-positive (*Staphylococcus epidermidis*) and gram-negative (*Escherichia coli*) bacteria and antiviral against both non-enveloped porcine parvovirus (PPV) and enveloped bovine viral diarrhea virus (BVDV). The amount of released  $H_2O_2$  is several orders of magnitude lower than  $H_2O_2$  concentration previously reported for antipathogenic activity. Most notably, these microgels reduced the infectivity of the more biocide resistant non-envelope virus by 3 log reduction value (99.9% reduction in infectivity). By controlling the oxidation state of catechol, microgels can be repeatedly activated and deactivated for  $H_2O_2$  generation. These microgels do not contain a reservoir for storing the reactive  $H_2O_2$  and can potentially function as a lightweight and portable dried powder source for the disinfectant for a wide range of applications.

### Graphical Abstract

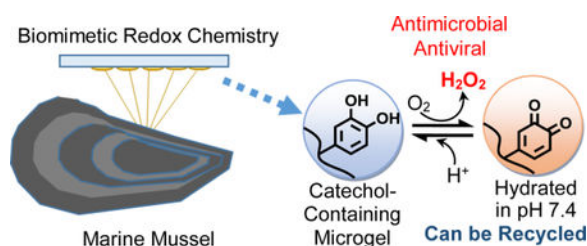
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Competing financial interests

The authors declare no competing financial interests.



## Keywords

dopamine; recyclable microgels; hydrogen peroxide; antimicrobial; antiviral

## 1. Introduction

Hydrogen peroxide ( $\text{H}_2\text{O}_2$ ) is a strong oxidizing agent [1]. Depending on the concentration, the exposure time, and the form of delivery (i.e., liquid or gas),  $\text{H}_2\text{O}_2$  has been demonstrated to function as an effective, broad-spectrum biocide in many industrial and biomedical applications [2, 3].  $\text{H}_2\text{O}_2$  is an attractive disinfectant because it decomposes into biocompatible degradation products (oxygen and water) [2]. Solutions with a  $\text{H}_2\text{O}_2$  concentration greater than 3% w/w (0.9 M) have been reported to kill both gram-positive (*Staphylococcus*, *Streptococcus*, *Enterococcus*) and gram-negative (*Pseudomonas*, *Klebsiella*, *Escherichia*) bacteria [2, 4]. Certain types of gram-positive bacteria (e.g., *Staphylococcus*) secrete catalase to decompose  $\text{H}_2\text{O}_2$  into water and oxygen, which effectively decrease  $\text{H}_2\text{O}_2$  concentration [5]. Therefore, higher concentration (>3%) of  $\text{H}_2\text{O}_2$  was necessary to inhibit or kill these bacteria [6]. In contrast, the growth of bacteria that do not secrete catalase (e.g., oral *Streptococcus*) can be inhibited at a concentration as low as 0.1 mM [7].  $\text{H}_2\text{O}_2$  solutions with similar concentrations (1–3 % w/w) also effectively inactivated enveloped viruses (e.g., influenza and rabies virus) for the development of vaccines [1, 8]. Non-enveloped viruses (e.g., poliovirus) are typically more resistant to biocides compared to enveloped viruses and aqueous  $\text{H}_2\text{O}_2$  solutions are not sufficient to inactivate most non-enveloped viruses [9]. Vaporized  $\text{H}_2\text{O}_2$  is an ideal alternative sterilization method to deliver gaseous  $\text{H}_2\text{O}_2$  to inactivate non-enveloped viruses [10–12]. However, this sterilization method requires specialized equipment (i.e., a generator and a vaporizer) for vapor formation, which is not cost effective [2, 13].

$\text{H}_2\text{O}_2$  is typically stored as an aqueous solution and is commercially available in concentrations ranging from 3–90% (w/w) [9]. However, it is highly challenging to transport and store  $\text{H}_2\text{O}_2$  solutions. Diluted solutions are bulky and not easily portable, while solutions with a concentration greater than 59% are hazardous to transport due to the unstable and explosive nature of concentrated peroxide [2]. Researchers are exploring alternative methods to increase the stability of  $\text{H}_2\text{O}_2$  in an aqueous solution for the convenience of storing it at ambient temperature. These methods include the addition of peroxide stabilizing additives [14], complex formation (e.g., urea- $\text{H}_2\text{O}_2$  complex) [15], and encapsulation of  $\text{H}_2\text{O}_2$  into polymer matrices (e.g., sol-gel silica hydrogels) [3]. However, these stabilization methods only decreased the decomposition rate of  $\text{H}_2\text{O}_2$  without inhibiting reactivity, resulting in mixed results.

Unlike the existing approaches that focus on stabilizing H<sub>2</sub>O<sub>2</sub> for prolonged storage, we are exploiting a unique, biomimetic reduction-oxidation (redox) chemistry to design microgels that can be repeatedly activated to generate H<sub>2</sub>O<sub>2</sub> on demand. Marine mussels secrete adhesive proteins that enable these organisms to bind to various surfaces underwater [16]. One unique structural component of these proteins is a catechol-containing amino acid, 3,4-dihydroxyphenylalanine (DOPA), which is capable of binding to surfaces via various strong interfacial interactions [17]. DOPA can transition between its reduced (i.e. catechol) and oxidized (i.e., quinone) forms depending on the solution pH and the presence of an oxidant (e.g., molecular oxygen, periodate, tyrosinase, etc.) [18–20]. As catechol oxidizes, H<sub>2</sub>O<sub>2</sub> is generated as a byproduct during the process [21]. Here, we exploit this unique redox active adhesive moiety to design microgels that generate H<sub>2</sub>O<sub>2</sub> on demand for antimicrobial and antiviral applications (Figure 1).

## 2. Experimental methods

### 2.1. Materials

N-Hydroxyethyl acrylamide (HEAA), TWEEN®80, SpanTM80, acetone, phosphate buffered saline (PBS, BioPerformance certified, pH 7.4), horse serum, and bovine liver catalase were purchased from Sigma Aldrich (St Louis, MO). Sodium phosphate monobasic monohydrate, sodium phosphate dibasic anhydrous, and methylene bis-acrylamide (MBAA) were purchased from Acros Organics (Fair Lawn, New Jersey). VA-086 was purchased from Wako Chemicals (Richmond, VA). Dimethyl sulfoxide (DMSO), hexanes and sodium dodecyl sulfate (SDS) were purchased from VWR (Radnor, PA). 12 M hydrochloric (HCl) acid was purchased from Fisher Scientific (Pittsburg, PA). DMEM (with 4.5 g/L glucose and glutamine, without sodium pyruvate) was obtained from Corning Cellgro (Tewksbury, MA). H<sub>2</sub>O<sub>2</sub> (30% stock solution) was from Avantor (Center Valley, PA). Pierce Quantitative Peroxide Assay Kit with sorbitol and dihydroethidium (DHE) was purchased from Thermo Scientific (Rockford, IL). Dopamine methacrylamide (DMA) was synthesized following published protocols<sup>[22]</sup>. Mueller Hinton Agars (28 ml fill, 15×100 mm), tryptic soy broth, and astral inoc loop (10 µL, blue, sterilized) were purchased from Hardy Diagnostics (Santa Maria, CA). Anprolene® gas sterilization and gas refills (ethylene oxide) were purchased from Andersen Sterilizers, Inc. (Haw River, NC). Medium essential media (MEM) 1×, sodium bicarbonate (7.5%), penicillin/streptomycin and 0.25% trypsin/EDTA for cell propagation were purchased from Life Technologies (Carlsbad, CA). Sodium pyruvate solution (100 mM) was purchased from Lonza (Walkersville, MD). Fetal bovine serum (FBS, Canada origin) was purchased from HyClone™ GE Healthcare (Pittsburg, PA). 3-(4,5-dimethyl-2-thiazolyl)-2,5-diphenyl-2H-tetrazolium bromide (MTT) was purchased from Alfa Aesar (Haverhill, MA). Porcine kidney cells (PK-13, CRL-6489), bovine testis cells (BT-1, CRL-1390), *Staphylococcus epidermidis* (*S. epi*, ATCC 12228) and *Escherichia coli* (*E. coli*, ATCC 11775) were purchased from American Type Culture Collection (ATCC, Manassas, Virginia). Enveloped bovine viral diarrhea virus (BVDV) was purchased from USDA APHIS and porcine parvovirus (PPV) was a generous gift from Dr. Ruben Carbonell, North Carolina State University. Phosphate buffer (PB) solutions with different pH (6.7–8.2) was prepared by mixing different volume percentages of 0.2 M stock solution of sodium phosphate monobasic and sodium phosphate dibasic, then further diluted to a 0.1 M

concentration using DI water[23]. pH 3.5 PBS was prepared following procedure found in European Pharmacopoeia (Chapter 4. Reagents) [24].

## 2.2. Preparation of microgels

Microgels were prepared by mixing 70 mL hexane, 500  $\mu$ L SpanTM80 and 100  $\mu$ L TWEEN®80 in a rubber plug-sealed flask and stirred vigorously under a nitrogen-rich atmosphere for 30 min. Polymer precursor solutions were prepared by mixing 1 M of HEAA with 0–10 mol% of DMA, 6 mol% of MBAA and 4 mol% of VA086 relative to HEAA in DI water. The precursor solutions were frozen for 30 min and degassed 3 times by backfilling with N<sub>2</sub>. Then the precursor solutions were added to the surfactant mixture drop by drop using a syringe. The reaction was initiated by irradiating UV light (365 nm, UVP UVGL-25, Analytik Jena) on the side of the flask and rigorous stirring for 4 h (Scheme S1). The microgels were collected by filtration and washed with acetone and isopropyl alcohol in succession until the microgels became white. The microgels were dried under vacuum for overnight and further washed with DI water (pH 3.5) for 30 min. Microgels were collected through centrifugation at 5,000 rpm for 10 min and lyophilized to yield dried microgel powder.

## 2.3. Characterization of microgels

Microgels were dried under vacuum and characterize using FTIR spectroscopy (Perkin Elmer Spectrum One spectrometer). The particle size of microgels were determine in both dried and swollen states. Dried microgels were coated with 2 nm thick Pt/Pd coating and characterized with FE-SEM (Hitachi S-4700). Dried microgels (10 mg/mL) were equilibrated in either pH 3.5 DI water or PBS (pH 7.4) for 1 h. 10  $\mu$ L of microgels suspension was added onto a glass slide and covered with a coverslip. The morphology of the swollen microgels was imaged under light microscope (Olympus BX51). The sizes of the microgels were measured using ImageJ software. The average size and size distribution of the microgels were calculated based on at least 200 microgels for each microgel composition.

## 2.4. H<sub>2</sub>O<sub>2</sub> concentration determination

Microgels were suspended in 725  $\mu$ L of the desired hydrating fluid (pH 7.4 or 3.5 PBS, pH 6.7–8.2 PB) and incubated for up to 96 h with gentle agitation on a shaking plate. H<sub>2</sub>O<sub>2</sub> concentration was quantified by using the Quantitative Peroxide Assay Kit following published protocols<sup>[25]</sup>. A standard curved was constructed using solution containing 0–1 mM of H<sub>2</sub>O<sub>2</sub> (double diluted to 10 concentrations). To determine the effect of catalase on the H<sub>2</sub>O<sub>2</sub> generation, 25 mg of 10 mol% DMA microgel was suspended in 225  $\mu$ L of PBS (pH 7.4) and mixed with 500  $\mu$ L of PBS containing 1 mg/mL of bovina liver catalase (2000–5000 U/mg), and incubated at 37°C.

## 2.5. Recyclability of microgel

25 mg of microgels were equilibrated with 725  $\mu$ L of DI water (pH 3.5) for 12 h at room temperature. The microgels were then centrifuged at 5,000 rpm for 5 min and the supernatant was assayed to determine H<sub>2</sub>O<sub>2</sub> concentration. The microgels were washed 3

times in PBS (pH 7.4) and further incubated in PBS for 12 h and centrifuged at 5,000 rpm for 5 min. The supernatant was assayed to determine  $\text{H}_2\text{O}_2$  concentration. This process was repeated 5 times to determine the amount of  $\text{H}_2\text{O}_2$  generated after successive incubation in DI water (pH 3.5) and PBS (pH 7.4). Recyclability between PBS buffered at pH 3.5 and pH 7.4 was also performed in a similar manner.

## 2.6. Staining microgel with DHE

The ability for the microgel to generate superoxide anion ( $\text{O}_2^{\bullet-}$ ) was determined by DHE staining [26]. 25 mg of microgel was incubated in 725  $\mu\text{L}$  of PBS (pH 7.4) at  $37^\circ\text{C}$  for 24h. The samples were further incubated with DHE (10 $\mu\text{M}$  in PBS) for 30 min at  $37^\circ\text{C}$ , while being protected from ambient light. Samples were imaged using a fluorescence microscope (excitation 480–520 nm).

## 2.7. UV-vis characterization of catechol oxidation

Linear polymer containing 10 mol% of DMA was prepared using the microgel fabrication protocol without the MBAA cross-linker. The polymer was dialyzed with pH 3.5 DI water for 3 days and freeze dried. The polymer was solubilized in either DI water (pH 3.5) or PBS (pH 7.4) with a DMA concentration of 0.36 mM and incubated at  $37^\circ\text{C}$  for 72 h. At a predetermined time, the UV-vis spectra (250 to 700 nm; PerkinElmer Lambda35) of the solution was recorded at a scan rate of 960 nm/min using either DI water (pH 3.5) or PBS (pH 7.4) as the reference.

## 2.8. Antimicrobial activity of the microgels

Microgels were separated into 24-well tissue culture plates and sterilized using ethylene oxide. The antibacterial activity of the microgels was evaluated following published paper with minor modifications[27]. Both *S. epi* and *E. coli* grown on the stock plate were diluted by broth to a concentration of 100,000 CFU/mL. 25 mg of the sterilized microgels containing either 10 or 0 mol% of DMA were equilibrated with 225  $\mu\text{L}$  of PBS (pH 7.4) for 5 min. 500  $\mu\text{L}$  of bacteria suspended in broth solution was added to the microgel and the mixture was incubated at  $37^\circ\text{C}$ . At a given time point, either a 10  $\mu\text{L}$  (for *S. epi*) or 1  $\mu\text{L}$  (for *E. coli*) loop was immersed into the mixture without touching the precipitated microgels and streaked onto agar plates, which were further incubated at  $37^\circ\text{C}$  for 24 h.  $\text{H}_2\text{O}_2$  stock solution (30%, 9M) was diluted to the concentration of 10 mM and 1 mM using broth, which were directly incubated with both bacteria strains as positive control. The agar plates with colonies were photographed and the bacteria colonies were counted using ImageJ. The relative colony numbers were calculated following the equation below[28]:

$$\text{Relative colonies numbers \%} = N_m/N_c \times 100\%, \quad (1)$$

where  $N_m$  is the colony numbers formed from the bacteria exposed to the microgels and  $N_c$  is the colony numbers formed from the bacteria cultured in broth that did not contain any microgel. To determine the effect of catalase on the antimicrobial property of the microgel, catalase was added to PBS and the broth solution at a concentration of 1 mg/mL and

sterilized using cellulose acetate syringe filter (0.2  $\mu\text{m}$ ). The antimicrobial experiment was carried out as described above.

## 2.9. Antiviral property of microgels

25 mg of microgels were sterilized using ethylene oxide and equilibrated with 225  $\mu\text{L}$  PBS (pH 7.4) for 5 min. 500  $\mu\text{L}$  of log 6 MTT50/mL PPV or BVDV in PBS (pH 7.4) were added to the wells containing the equilibrated microgels and incubated at 37°C with 5%  $\text{CO}_2$  and 100% humidity. At the designated time points, the microgels were separated from the virus suspension by centrifugation (6000 rpm for 5 min) in a Sorvall ST16R Centrifuge (Thermo Scientific, Pittsburg, PA) and the supernatants containing the treated PPV and BVDV were used to PK-13 and BT-1 cells, respectively. The titer of virus was determined by colorimetric MTT mediated cell viability assay, as described previously [29, 30]. Briefly, either  $8 \times 10^4$  cells/ml (for PK-13 cells) or  $2.5 \times 10^5$  cells/ml (for BT cells) were seeded in 96-well plate for 24 h. 25  $\mu\text{L}$  of virus supernatant was added to the wells in quadruplicate, with a serial dilution of 1:5 across the plate for 5 days. MTT (5 mg/mL in PBS, pH 7.2) was added to the wells for 4 h. Then, 10% SDS (pH 2) was added to the wells to lyse the cells for 24 h. The absorbance was determined using a Synergy Mx microplate reader (BioTek, Winooski, VT) at 550 nm.

The 50% infectious dose of virus was found by determining 50% uninfected cell absorbance and labeled as the  $\text{MTT}_{50}$  [29]. Log reduction values (LRV) were calculated by [30]:

$$\text{LRV} = -\log\left(\frac{C_i}{C_f}\right) \quad (2)$$

where  $C_i$  and  $C_f$  are initial and final concentrations, respectively. For comparison purposes, the two viruses were incubated with 3–292 mM of  $\text{H}_2\text{O}_2$  at 37°C for 12 h and the viral titer values were determined based on the viability of the respective indicator cell lines using MTT assay as described above.

## 2.10. Microgel mesh size calculation

The mesh size ( $\xi$ ) was calculated using the following equation [31]:

$$\xi = v_s^{-1/3} \left( \frac{2C_n \bar{M}_c}{M_n} \right)^{1/2} l \quad (3)$$

where  $v_s$  is the polymer volume fraction in the swollen microgel,  $C_n$  is the Flory characteristic ratio,  $\bar{M}_c$  is the average molecular weight between crosslink, and  $M_n$  is molecular weight for the repeating unit (115 Da for HEAA), and  $l$  is the length of the bond along the polymer backbone (1.54 Å for vinyl polymers) [31].  $v_s$  was calculated using the following equation [32]:



$$v_s = \frac{V_p}{V_s} \quad (4)$$

where  $V_p$  and  $V_s$  are the volume of the hydrogels in the dried and swollen states, respectively. The average particle size for microgels in the dried and swollen (PBS pH 7.4) states (Table S1) were used as the diameter of a sphere to estimate  $V_p$  and  $V_s$ , respectively.  $C_n$  of polyvinyl alcohol (8.3) [33] was used as it has the same hydroxyl functional group as polyHEAA.  $\bar{M}_c$  was calculated using the Flory-Rehner equation [34]:

$$\bar{M}_c = \frac{\rho_p V_{H2O} (v_s^{1/3} - \frac{v_s}{2})}{\ln(1 - v_s) + v_s + \chi v_s^2} \quad (5)$$

where  $\rho_p$  is the density of polyHEAA (1.31 g/cm<sup>3</sup>) [35],  $V_{H2O}$  is the molar volume of water (18.1 mol/cm<sup>3</sup>) and  $\chi$  is the Flory-Huggins parameter for pHEAA and water, which approaches 0.5 when fully hydrated [36].

### 2.11. Statistical analysis

One-way analysis of variance (ANOVA) with Tukey HSD analysis and student t-test were performed for comparing means of multiple groups and two groups, respectively, using a p-value of 0.05.

## 3. Results and discussion

### 3.1. Microgel preparation and characterization

Catechol-containing microgels were prepared by photo-initiated polymerization of up to 10 mol% of DMA, a hydrophilic monomer (HEAA) and a bifunctional crosslinker (MBAA) in an emulsion. DMA contains a catechol moiety that mimics the redox active DOPA side chain (Figure S1). These microgels exhibited characteristic peaks in Fourier transform infrared (FTIR) spectra associated with catechol (Figure S2) [37]. From field emission scanning electron microscope (FE-SEM) images (Figure 1b, Figure S3), dried microgels appeared rounded in shape with an average diameter of around 10  $\mu$ m regardless of composition (Table S1). After hydrating in a liquid solution, the microgels increased in size and retained the spherical shape (Figure S4, Figure 1c, and Table S1). Microgels containing 0 mol% DMA enlarged by nearly 3 fold after equilibrating in solutions. On the other hand, the sizes of the swollen microgels decreased with increasing DMA content. Microgels containing 5 and 10 mol% DMA only increased 1.5 fold. The limited size change in DMA containing microgels was due to the extensive intermolecular interaction (i.e.,  $\pi$ - $\pi$  interaction, hydrogen bonding, etc.) between network-bound catechol moieties [38], which significantly restricted the swelling of these microgels.

### 3.2. Autoxidation of DMA containing microgels

Catechol moieties readily autoxidize in an oxygenated solution at neutral or alkaline pH [38, 39]. Microgels containing 10 mol% of DMA remained white in color when they were incubated in deionized (DI) water acidified to pH 3.5 (Figure 1d), indicating the catechol group remained in its reduced state at acidic pH. Microgels only became red in color when they were equilibrated in phosphate buffer saline (PBS) at pH 7.4 (Figure 1e), corresponding to catechol oxidation. UV-vis spectrum of polymer containing 10 mol% DMA dissolved in DI water (pH 3.5) exhibited only a single peak at 280 nm (Figure S5), corresponding to the characteristic peak of the reduced form of catechol [40]. The spectrum remained unchanged even after 72 h of incubation, confirming that catechol did not oxidize at pH 3.5. In contrast, the absorbance intensity of the polymer spectrum started to increase within 1.5 h of incubation in PBS. The characteristic peak for dopamine quinone ( $\lambda_{\text{max}} = 392$ ) [41] was not directly observed, potentially due to the rapid formation and decay of this transient intermediate in basic pH [42]. The drastic increase in the absorbance between 300 nm and 350 nm corresponded favorably to the formation of  $\alpha,\beta$ -dehydrodopamine ( $\lambda_{\text{max}} = 322$  nm) [43], a more stable oxidation product formed through the charge transfer complex between catechol and quinone [19].

### 3.3. H<sub>2</sub>O<sub>2</sub> generation under different conditions

We investigated the effect of DMA concentration, microgel concentration, incubation temperature, and pH of the hydrating fluid on the release of H<sub>2</sub>O<sub>2</sub> (Figure 2). Dried microgels with various amounts of DMA content (0–10 mol%) were incubated in PBS (pH 7.4) at 37°C. For microgel containing 10 mol% DMA, more than 740  $\mu\text{M}$  of H<sub>2</sub>O<sub>2</sub> was detected within 1.5 h of incubation. Molecular oxygen oxidizes catechol to semiquinone and quinone, while generating superoxide anion ( $\text{O}_2^{\bullet-}$ ) during the process [44].  $\text{O}_2^{\bullet-}$  was detected in DMA-containing microgels using the superoxide indicator, DHE, which was oxidized to red-fluorescence specifically by  $\text{O}_2^{\bullet-}$  (Figure S6). However, no red fluorescent signal was captured in 0 mol% DMA microgels, indicating that  $\text{O}_2^{\bullet-}$  was generated through catechol autoxidation.  $\text{O}_2^{\bullet-}$  can further oxidize catechol to generate H<sub>2</sub>O<sub>2</sub> or react with proton ions to generate H<sub>2</sub>O<sub>2</sub> [45]. H<sub>2</sub>O<sub>2</sub> concentration reached a maximum of nearly 4 mM after 24 h and the microgels continued to generate over 4 mM of H<sub>2</sub>O<sub>2</sub> for up to 96 h (Figure 2a). Given the short half-life of H<sub>2</sub>O<sub>2</sub> at physiological pH and temperature [46], H<sub>2</sub>O<sub>2</sub> was continuously being generated by these microgels. Increasing DMA content increased the amount of released H<sub>2</sub>O<sub>2</sub> from the microgels. No H<sub>2</sub>O<sub>2</sub> was detected for microgels that did not contain DMA (e.g., 0 mol% DMA), indicating that the network-bound catechol was the source for the detected H<sub>2</sub>O<sub>2</sub>. Similarly, H<sub>2</sub>O<sub>2</sub> concentration increased proportionally with increasing microgel concentration (Figure 2b).

These observations differed from the release profile of H<sub>2</sub>O<sub>2</sub> from our previously reported macro-size hydrogel discs (1 cm diameter, 2–4 mm thick), where H<sub>2</sub>O<sub>2</sub> concentration did not increase proportionally with increasing DMA content or hydrogel concentration [38]. H<sub>2</sub>O<sub>2</sub> generated by the macro-size hydrogel was largely diffusion limited and trapped within its bulk, and increased crosslinking density and thickness limited liquid exchange between the hydrogel network and the extract. However, diffusion of H<sub>2</sub>O<sub>2</sub> from microgels was not an issue due to a significantly higher surface-to-volume ratio (~100 times higher), which



facilitated the release of  $\text{H}_2\text{O}_2$ . Microgels generated nearly an order of magnitude higher  $\text{H}_2\text{O}_2$  when compared to the macro-size hydrogels (Figure S7).

$\text{H}_2\text{O}_2$  concentration generated from DMA-containing microgels increased with increasing incubation temperature (Figure 2c). Although the decomposition rate of  $\text{H}_2\text{O}_2$  increases with increasing temperature [47], the oxidation rate of catechol as well as the molecular diffusion was accelerated at the same time [48]. After 1.5 h of incubation,  $\text{H}_2\text{O}_2$  concentrations increased with incubation temperature, and the highest concentration was measured at  $60^\circ\text{C}$  (2 mM). This indicated that the rate of catechol oxidation and release increased with temperature. With prolonged incubation time,  $\text{H}_2\text{O}_2$  concentrations plateaued, implying that an equilibrium between  $\text{H}_2\text{O}_2$  production and decomposition was achieved. In general, the  $\text{H}_2\text{O}_2$  equilibrium concentration increased with incubation temperature. However, the equilibrium concentration measured at  $60^\circ\text{C}$  (3.5 mM) was lower when compared to that of  $37^\circ\text{C}$  (4 mM), indicating that the rate of  $\text{H}_2\text{O}_2$  decomposition was higher at the elevated temperature.  $\text{H}_2\text{O}_2$  production from microgels at  $4^\circ\text{C}$  increased slowly over time and reached around 1 mM after 96 h of incubation, without a clear equilibrium being achieved.

Phosphate buffer (PB) solutions (without sodium chloride (NaCl)) with different pH (6.7–8.2) were prepared to assess the effect of pH on  $\text{H}_2\text{O}_2$  generation (Figure 2d). DMA-containing microgels initially generated a higher amount of  $\text{H}_2\text{O}_2$ , when they were incubated at an elevated pH level. After 1.5 h, microgels generated the highest amount of  $\text{H}_2\text{O}_2$  (~1.8 mM) at pH 8.2, which is 1.3 and 3.4 fold higher when compared to those generated at pH 7.4 and 6.7, respectively. However, unlike microgels that were incubated in PBS (pH = 7.4) that generated  $\text{H}_2\text{O}_2$  continuously for 96 h,  $\text{H}_2\text{O}_2$  released from microgels incubated in PB (pH 7.4 and 8.2) reached a maximum after 24 hours and decreased over time. When hydrated in pH 6.7 PB,  $\text{H}_2\text{O}_2$  was continuously generated and reached a peak concentration of 5.4 mM after 96 h. For comparison purposes, microgels were also incubated in pH 3.5 DI water.  $\text{H}_2\text{O}_2$  was not detected during the entire 96 h incubation.

The pH and composition of the hydrating fluid greatly influenced the generation and decay of  $\text{H}_2\text{O}_2$ . In basic pH, catechol oxidized more rapidly and initially released a higher concentration of  $\text{H}_2\text{O}_2$ . However, the concentration of  $\text{H}_2\text{O}_2$  decreased drastically over time because  $\text{H}_2\text{O}_2$  is less stable at an elevated pH [49]. Additionally, covalent crosslinking via dimer formation and polymerization of catechol moieties occurs more rapidly at an elevated pH [42], which decreased the number of catechol that could be further oxidized to generate  $\text{H}_2\text{O}_2$ . We previously reported that chemical oxidant-induced oxidation and crosslinking of catechol exhibited a similar initial burst release of  $\text{H}_2\text{O}_2$  followed by a drastic reduction in measured  $\text{H}_2\text{O}_2$  over time [50]. Microgels did not release  $\text{H}_2\text{O}_2$  at pH 3.5 as catechol remained in its reduced form as demonstrated from the UV-vis spectra (Figure S5a). A pH of 6.7 appeared to be more suitable for sustained release of  $\text{H}_2\text{O}_2$ , which combined a moderate rate of catechol oxidation and a relatively slower  $\text{H}_2\text{O}_2$  decomposition rate [51]. Interestingly,  $\text{H}_2\text{O}_2$  concentration profiles differed significantly for microgels hydrated in PB and PBS, even though both solutions were buffered at pH 7.4 (Figure S8). PBS contained 138 mM of NaCl, which may have reduced the rate of catechol oxidation [52] and prevented subsequent oxidative crosslinking. Microgels incubated in PBS generated significantly lower

amount of H<sub>2</sub>O<sub>2</sub> (~50% after 1.5 h) when compared to those incubated in PB. Additionally, the presence of the chloride ion may have decreased the rate of H<sub>2</sub>O<sub>2</sub> decomposition [53].

### 3.4. Recyclability of the microgel

To determine if the microgels could be repeatedly activated and deactivated, microgels containing 10 mol% of DMA were sequentially incubated in PBS (pH 7.4) and pH 3.5 DI water for 12 h during each incubation cycle (Figure 3). The microgels are not water soluble and can be easily separated from the hydrating solution by centrifugation or filtration for repeated use. Cycling between the two pH levels repeatedly activated and deactivated the microgels for H<sub>2</sub>O<sub>2</sub> generation. In each cycle of incubation at pH 7.4, microgels generated around 1.2 mM of H<sub>2</sub>O<sub>2</sub> and the concentration did not change significantly over 5 cycles ( $p > 0.05$ ). On the other hand, no H<sub>2</sub>O<sub>2</sub> was detected when the microgels were incubated at pH 3.5. Similar H<sub>2</sub>O<sub>2</sub> generation was observed when the microgels were repeatedly incubated in PBS buffered at pH 3.5 and 7.4 (Figure S9). When the microgels were initially oxidized in pH 7.4, microgels transformed from white to red in appearance (Figure 3b and c), indicating the oxidation of network-bound catechol to quinone. The oxidized DMA-containing microgels did not regain the initial white color after incubation in pH 3.5, instead became yellow in color (Figure 3d). These observations suggest that dopamine (white) in DMA was first transformed into dopamine quinone (red) when it was initially oxidized (Scheme 1). Our UV-vis data (Figure S5b) indicated the formation of  $\alpha,\beta$ -dehydrodopamine (yellow) during autoxidation, potentially due to its increased stability at a basic pH when compared to dopamine quinone [54].  $\alpha,\beta$ -dehydrodopamine regained a catechol moiety that could be further oxidized to  $\alpha,\beta$ -dehydrodopamine quinone, generating H<sub>2</sub>O<sub>2</sub> during the process [50, 54]. The subsequent cycles of pH changes likely involved the oxidation and reduction of  $\alpha,\beta$ -dehydrodopamine.

For DMA-containing microgels, the color change occurred rapidly (supplementary video), indicating rapid diffusion and exchange between the microgels and the surrounding solution. The ability to transition quickly between the two oxidation states is important for designing a rapidly responsive and reversible smart biomaterial. On the other hand, macro-size hydrogel required several days to exhibit similar color changes in response to changing solution pH (data not shown). The ability to be rapidly and repeatedly activated to release millimolar levels of H<sub>2</sub>O<sub>2</sub> makes the microgels a suitable and recyclable source for the antipathogenic H<sub>2</sub>O<sub>2</sub>.

### 3.5. Antimicrobial property of the microgel

Both gram-positive (*S. epi*) and gram-negative (*E. coli*) bacteria were used to evaluate the antimicrobial property of the H<sub>2</sub>O<sub>2</sub> releasing microgels. Both *S. epi* and *E. coli* (100,000 CFU/mL) were exposed to microgels containing either 0 or 10 mol% DMA at 37°C for up to 24 h, and the treated bacteria were streaked onto agar plates to determine their viability. For both bacteria strains, incubation with microgels containing 10 mol% DMA decreased the number of colonies formed over time, and no colony formation was observed after 24 h of incubation (Figure S10 and S11). When compared to the bacteria growth in the broth control, the relative colony numbers decreased to  $19.9 \pm 10.7\%$  for *S. epi* exposed to microgels containing 10 mol% DMA within 6 h and reached 0% after 24 h (Figure 4a).

Similarly, the relative bacteria colony numbers for *E. coli* decreased to  $5.3 \pm 1.7\%$  only after 3 h of incubation, and there was nearly no colony formation after 6 h (Figure 4b). These results indicated that  $H_2O_2$  generated by DMA-containing microgel completely killed both bacteria strains within 24 h. The bacteria cultured with 0 mol% DMA microgels and in the broth without microgels demonstrated an increase in the number of colony forming unit overtime. In the absence of DMA-containing microgels, bacteria rapidly replicated and the relative bacteria colony numbers were around 100% within 6 h of incubation. When compared to the antimicrobial response to a single dose of  $H_2O_2$ , the efficacy of the DMA-containing microgels was equivalent to exposure to 1 mM of  $H_2O_2$  (Figures S12 and S13, Table S2).

To confirm that the released  $H_2O_2$  was responsible for the observed antimicrobial property, control experiments were carried out in the presence of bovine liver catalase. Catalase is an enzyme found in many living organisms that are exposed to air and catalyzes the decomposition of  $H_2O_2$  into water and oxygen [55]. No  $H_2O_2$  was detected for microgels containing 10 mol% of DMA when they were incubated with 0.7 mg/mL of catalase (2000–5000 U/mg; Figure S14). In the presence of catalase, DMA-containing microgels lost their antimicrobial properties as both bacteria strains readily replicated over 24 h of incubation (Figure S15). Adding catalase alone to the broth containing no microgel had no impact on the colony formation of the bacteria.

### 3.6. Antiviral property of the microgel

The ability for the DMA-containing microgel to inactivate virus was tested against both non-enveloped PPV and the enveloped BVDV. PPV and BVDV are standard viruses used by the Food and Drug Administration (FDA) to test virus removal operations in biotherapeutic production. These viruses were incubated with microgels containing either 0 or 10 mol% DMA at 37°C with a starting viral titer of 6 log<sub>10</sub> MTT/ml. The viral titer values were determined based on the viability of indicator cell lines (PK-13 and BT-1 for PPV and BVDV, respectively) and measured with the cell proliferation dye MTT (Figure S16) [29]. DMA-containing microgels reduced the PPV titer value by nearly 3 log reduction value (LRV), which corresponds to a 99.9% reduced infectivity after 12 h of incubation (Figure 5). This value is approaching the Environmental Protection Agency and FDA limit of 4 log reduction for a virus inactivation method [56, 57]. Most impressively, DMA-containing microgels reduced the BVDV titer value by 4–5 LRV (99.99%–99.999% reduced infectivity) over the same time period. This result corroborates previous findings that enveloped viruses are more susceptible to inactivation by liquid  $H_2O_2$  [1, 8]. For comparison purposes, the two viruses were exposed to a single dose of  $H_2O_2$  at a concentration of 3–292 mM (Figure S17). 3 mM  $H_2O_2$  was ineffective in inactivating these viruses and concentrations that were 1–2 orders of magnitude higher were required to inactivate these viruses. However, only a titer value of 1–1.8 LRV was achieved after 12 h of exposure.

Microgels containing 0 mol% DMA also demonstrated a 2 log reduction in the viral activity after 48 h (Figure 5). The particle size of PPV (18–26 nm)[58] and BVDV (40–60 nm)[59] are smaller than the estimated mesh size for microgels containing 0 mol% of DMA (70 nm, Table S3). It is possible that these viruses were able to diffuse into the microgel network

over time and were removed along with the microgel during centrifugation. Additionally, the envelope glycoproteins found on the surface of BVDV can potentially interact with the hydroxyl and amide functional groups found on polyHEAA backbone of the microgel through hydrogen bonding and be removed with the microgel [60].

Previous publications demonstrated that a single dose of  $\text{H}_2\text{O}_2$  solution with a concentration greater than 1000 mM was necessary to kill both gram-positive and gram-negative bacteria [2, 4] and to inactivate enveloped viruses [1, 8]. In contrast to these studies, our results demonstrated that the sustained release of a significantly lower amount of  $\text{H}_2\text{O}_2$  (1–5 mM) from DMA-containing microgels was both antimicrobial and antiviral. Most importantly, our microgels reduced the infectivity of the more chemical resistant non-envelope virus by 3 LRV.  $\text{H}_2\text{O}_2$  solutions have been previously found to be ineffective in inactivating non-enveloped viruses [61] and vaporized  $\text{H}_2\text{O}_2$  was required to reduce the infectivity of non-enveloped polio virus and norovirus [12, 13]. This is potentially due to the longer half-life of  $\text{H}_2\text{O}_2$  in vapor than in water. By continuously generating  $\text{H}_2\text{O}_2$  over time, DMA-containing microgels may have created a similar antipathogenic environment by maintaining an effective  $\text{H}_2\text{O}_2$  concentration similar to using vaporized  $\text{H}_2\text{O}_2$  in an enclosed space. Catechol also generates more potent reactive oxygen species such as  $\text{O}_2^{\bullet-}$ , which may have contributed to the enhanced antipathogenic effect [45]. Future studies will be required to determine the ability for DMA-containing microgels to inactivate antibiotic resistant bacterial strains (such as methicillin resistant staphylococcus aureus (MRSA)) and a wider range of viruses. Additionally, the ability to activate the microgels in solutions with complex compositions, such as biological fluid in wounds or solutions with a higher bacterial load like standard drinking water, will be required for applications in the field. Nevertheless, the ability to successfully kill bacteria or inactivate viruses using a very low  $\text{H}_2\text{O}_2$  concentration is highly attractive as elevated levels of  $\text{H}_2\text{O}_2$  are cytotoxic and can damage healthy tissue [62, 63].

Recently,  $\text{H}_2\text{O}_2$ -releasing hydrogels have demonstrated antimicrobial property. Lee et. al. [64] utilized  $\text{H}_2\text{O}_2$  to catalyze tyrosinase-induced crosslinking of tyrosine-conjugated polymer, which released the residual  $\text{H}_2\text{O}_2$  (0.002–0.5 mM) after the oxidant had catalyzed the crosslinking reaction. Although this hydrogel system exhibited strong antimicrobial property against gram-positive bacteria,  $\text{H}_2\text{O}_2$  was not generated *in situ*. A carboxymethyl cellulose (CMC)-based hydrogels that contained cellobiose dehydrogenase, an enzyme that catalyze CMC while generating  $\text{H}_2\text{O}_2$  as a byproduct, demonstrated *in situ* release of 0.03 mM of  $\text{H}_2\text{O}_2$  for 24 h [65]. This hydrogel inhibited the growth of both *E. coli* and *Staphylococcus aureus*. These hydrogels systems released significantly lower amount of  $\text{H}_2\text{O}_2$  when compared to DMA-containing microgels, and these previous systems were not designed to repeatedly generate  $\text{H}_2\text{O}_2$  on command. To our knowledge, our microgel is the first to demonstrate successful inactivation of both non-enveloped and enveloped viruses.

Taken together, we exploited the byproduct generated during a unique redox chemistry found in mussel adhesive proteins for antipathogenic application. The reported microgel generated  $\text{H}_2\text{O}_2$  on demand when hydrated in an aqueous solution with a neutral to basic pH. The microgels do not contain a reservoir for storing the reactive  $\text{H}_2\text{O}_2$ , which greatly minimizes the potential hazard associated with transporting and storing a highly reactive

oxidation species. These microgels were fabricated using a simple one-step synthetic approach and were easily activated and deactivated in aqueous solutions. The simplicity in fabrication and activation will enable this biomaterial to function as a lightweight and portable source of disinfectant (e.g., instead of large volume of diluted H<sub>2</sub>O<sub>2</sub> solution or pressurized gas) for a wide range of applications.

#### 4. Conclusion

In summary, microgels were fabricated with network-bound catechol, which can be activated to generate H<sub>2</sub>O<sub>2</sub> on demand. Microgels continuously generated 1–5 mM of H<sub>2</sub>O<sub>2</sub> over a period 4 days. The H<sub>2</sub>O<sub>2</sub> generation profile can be tuned by the catechol content in the microgel and is dependent on the pH, composition, and temperature of the hydrating fluid. By controlling the oxidation state of the catechol, these microgels can be repeatedly activated and deactivated to generate H<sub>2</sub>O<sub>2</sub>. Finally, these microgels continuously generated antipathogenic levels of H<sub>2</sub>O<sub>2</sub> for killing both gram-positive and gram-negative bacteria and inactivating both enveloped and non-enveloped viruses at H<sub>2</sub>O<sub>2</sub> concentrations that were several orders of magnitude lower than previously reported for antipathogenic activity.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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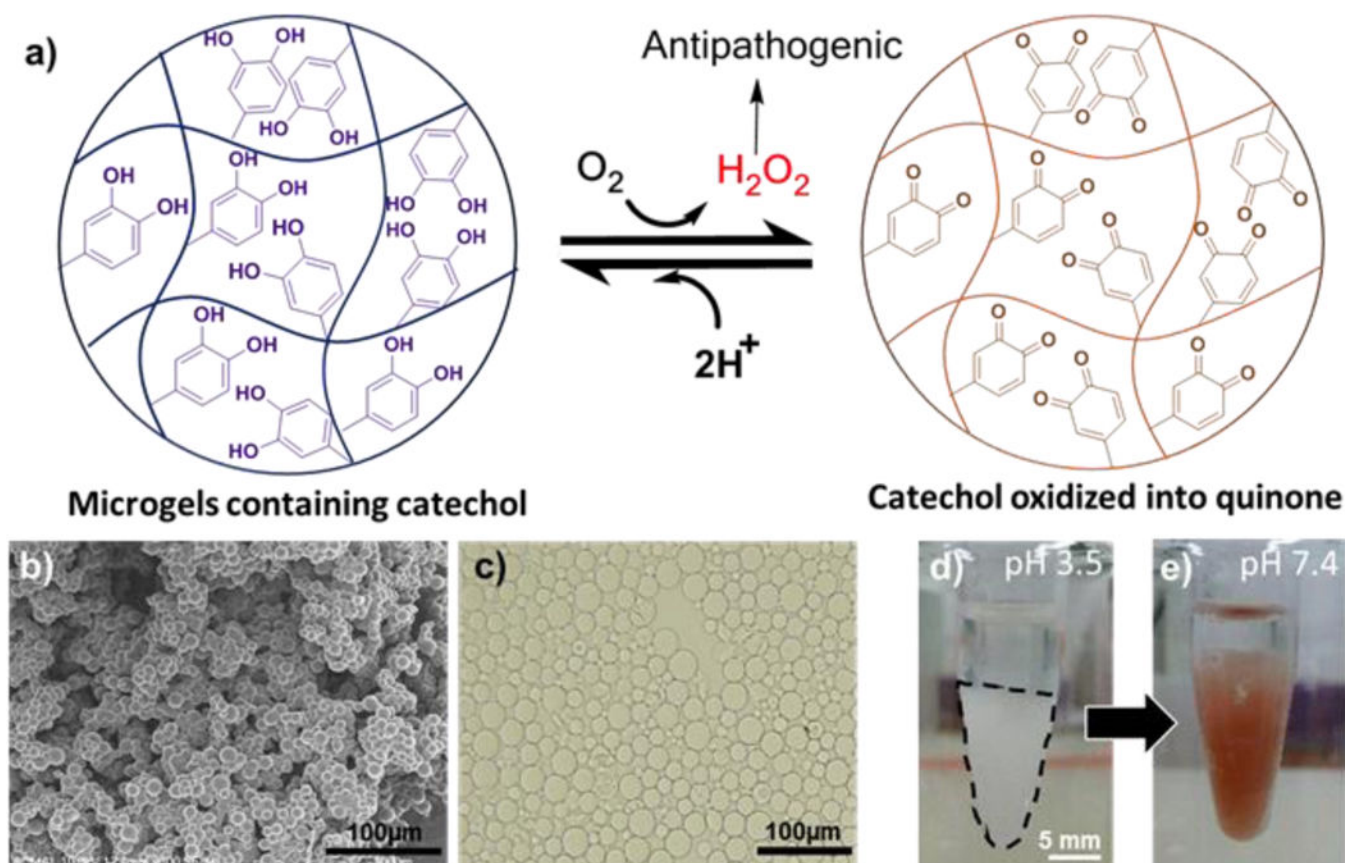


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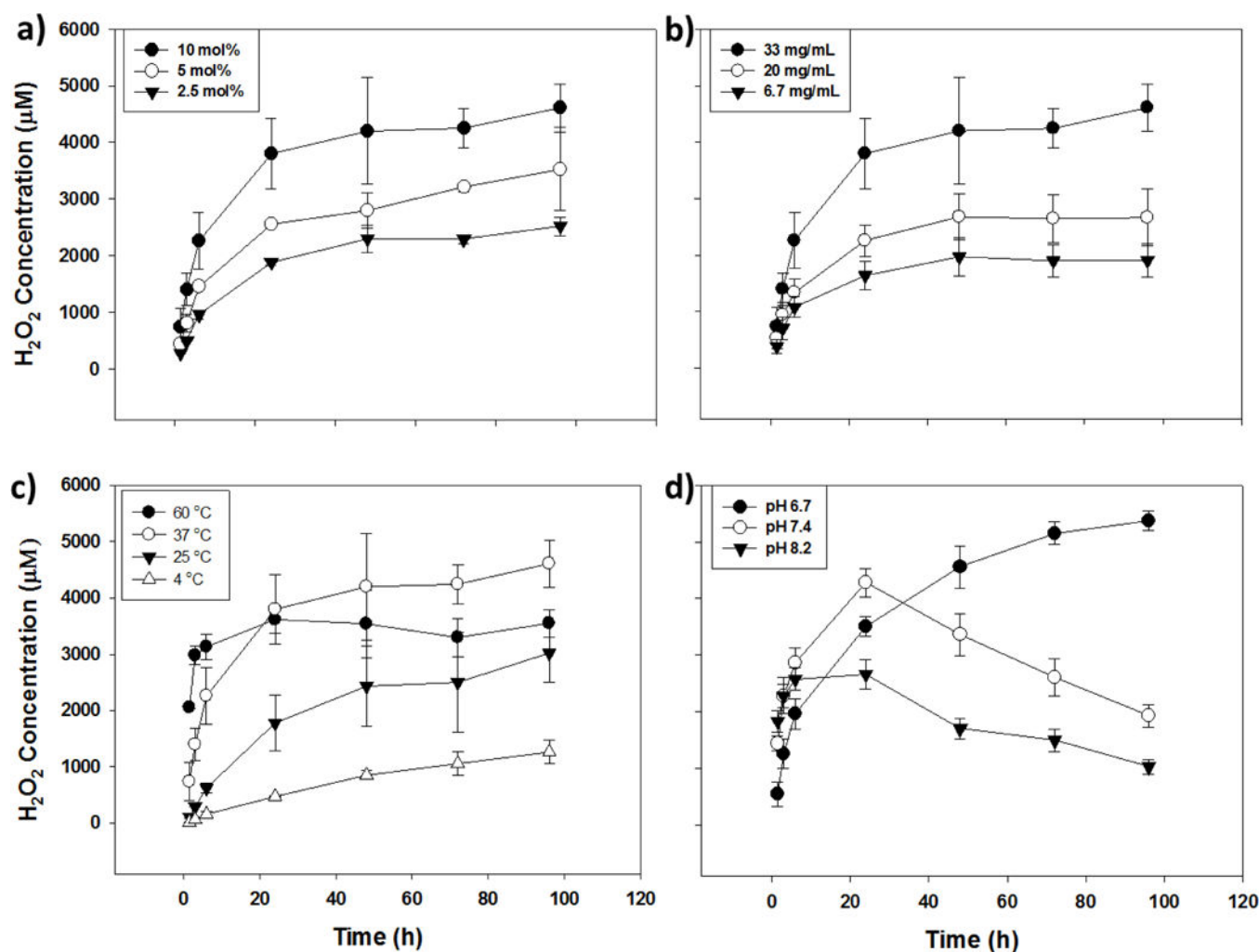
### Statement of Significance

Researchers have designed bioadhesives and coatings using the adhesive moiety catechol to mimic the strong adhesion capability of mussel adhesive proteins. During catechol autoxidation, hydrogen peroxide ( $\text{H}_2\text{O}_2$ ) is generated as a byproduct. Here, catechol was incorporated into microgels, which can generate millimolar levels of  $\text{H}_2\text{O}_2$  by simply hydrating the microgels in a solution with physiological pH. The sustained release of  $\text{H}_2\text{O}_2$  was both antimicrobial and antiviral, inactivating even the more biocide resistant non-enveloped virus. These microgels can be repeatedly activated and deactivated for  $\text{H}_2\text{O}_2$  generation by incubating them in solutions with different pH. This simplicity and recyclability will enable this biomaterial to function as a lightweight and portable source for the disinfectant for a wide range of applications.



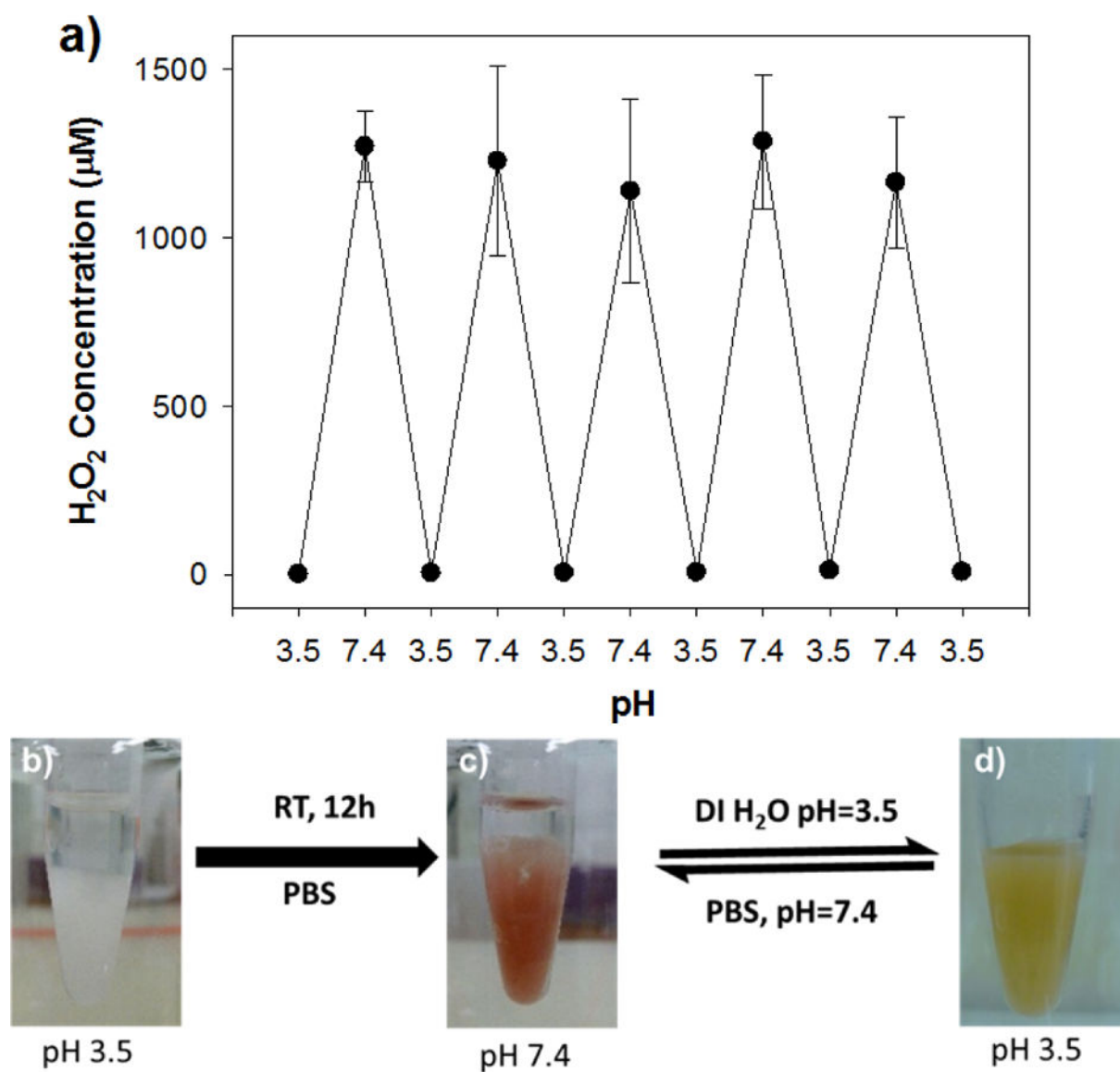
**Figure 1.**

Catechol-containing microgels generate  $\text{H}_2\text{O}_2$  during the autoxidation of catechol to form quinone, when the microgel is hydrated in a neutral to basic pH solution (a). SEM (b) and bright-field microscopy (c) images of the dried and hydrated microgels, respectively, confirmed the spherical shape of the microgels. Photographs of the microgels suspended in pH 3.5 DI water (d) and PBS (pH 7.4) (e) indicated that catechol only oxidizes (red color in panel e) in a basic solution. The dashed line in (d) encloses the white, opaque microgels.



**Figure 2.**

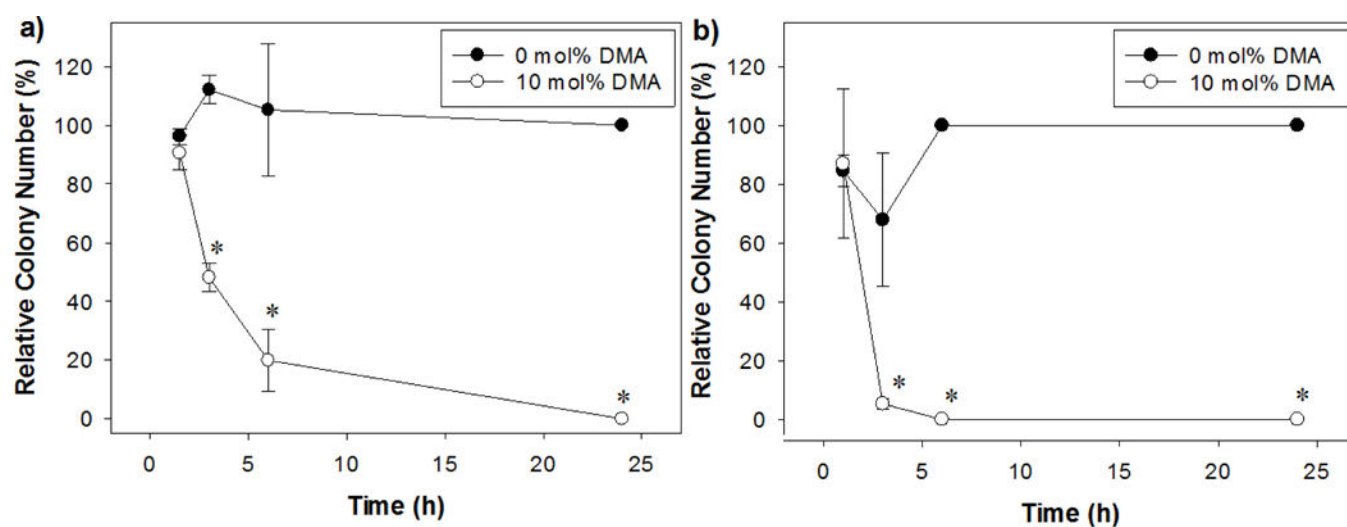
$H_2O_2$  generation from 33 mg/mL of microgels with various DMA concentration (0–10 mol %) in PBS (pH = 7.4) at 37°C (a), microgels with 10 mol % DMA at various concentrations (6.733 mg/mL) in PBS (pH = 7.4) at 37°C (b), 33 mg/mL microgels with 10 mol% DMA in PBS (pH = 7.4) at various temperatures (4–60°C) (c), and 33 mg/mL of microgels with 10 mol% DMA in PB with various pH (6.7–8.2) and pH 3.5 DI water at 37°C (d).



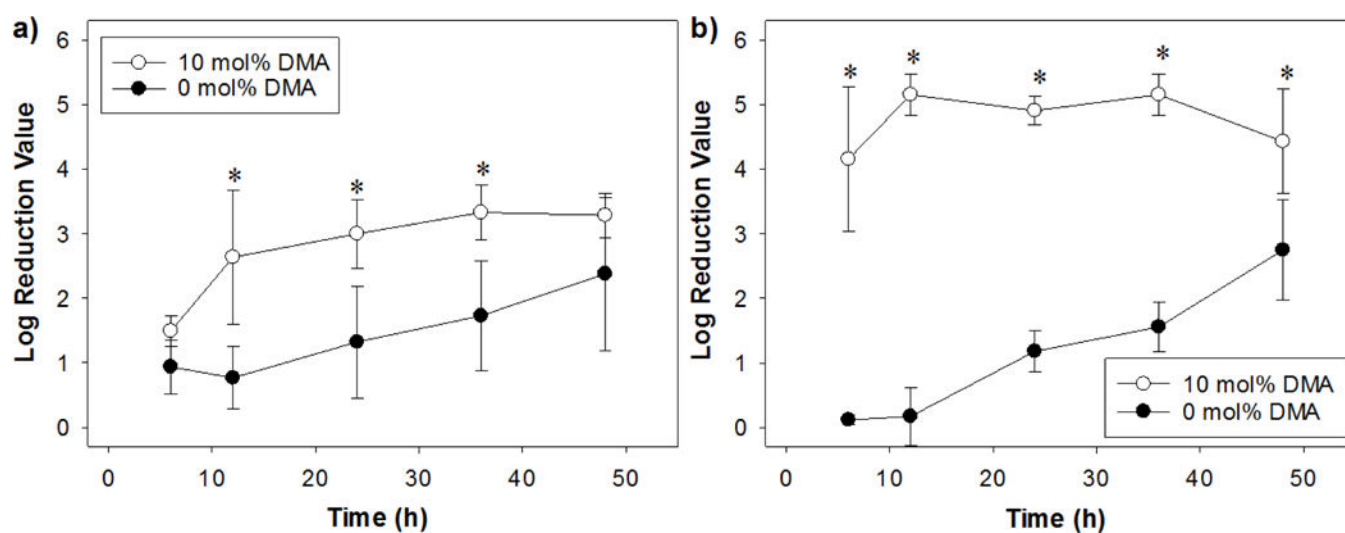
**Figure 3.**

H<sub>2</sub>O<sub>2</sub> generation from 10 mol% DMA-containing microgels when repeatedly incubated at pH 3.5 DI water and pH 7.4 PBS at room temperature (a). H<sub>2</sub>O<sub>2</sub> concentration was measured after 12 h of incubation. Photographs of the microgels suspended in pH 3.5 DI water (b) and repeatedly incubated cycled between PBS (pH 7.4) (c) and pH 3.5 DI water (d).





**Figure 4.** Relative bacteria colony number for *S. epi* (a) and *E. coli* (b) incubated with microgels containing 0 mol% or 10 mol% DMA. The relative bacteria colony number was normalized by the number of colonies formed by bacteria that were not treated with the microgels. \*  $p < 0.05$  when compared to microgel containing 0 mol% DMA at a given time point.



**Figure 5.** Log reduction value for PPV (a) and BVDV (b) exposed to microgels containing 0 or 10 mol % DMA with a starting viral titer of 6 log. \*  $p < 0.05$  when compared to microgel containing 0 mol% DMA at a given time point.

