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Trends in Food Science & Technology 2017, 69(Part A), 131-147.

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DOI link to article:

<https://doi.org/10.1016/j.tifs.2017.09.007>

Date deposited:

19/09/2017

Embargo release date:

27 September 2018



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The Agri-food chain and Antimicrobial Resistance: a review

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ABSTRACT

Background

Antimicrobial resistance is becoming a major threat to public health and there is much current activity to ameliorate that threat. However, the relative contributions that potential sources of antimicrobial resistant (AMR) bacteria represent are not well established. Over-prescription of antimicrobials by clinicians is one source of selection for AMR bacteria/genes, but antimicrobials are used in greater quantities in food production. These bacteria/genes can then reach humans via food, the environment, or other means.

Scope and approach

Summarised in this review are potential transmission routes of AMR bacteria/genes from agricultural production to human infections. The situation is complicated, and it is difficult to

compare studies because of different methodologies and definitions of resistance being used. Data and examples to illustrate each transmission route are provided where available.

Key findings and conclusions

Quantitative data for defined organism/phenotype/gene combinations for exposure assessment are rare. Another problem is the identification of indistinguishable AMR bacteria in foods and human cases, which is invariably taken to show that food consumption is a source of infections. However, these data do not show the direction in which the flow of the organism/gene occurred nor do they rule out another source(s), and such data are scant. Case control studies could identify food exposures associated with particular organism/gene infections. The construction of models representing potential transmission pathways may help to reveal their relative contributions. However, the data may not be available to support these models. The lack of coherent data hampers the development of effective policy.

Keywords. Antimicrobial resistance; food; agriculture; transmission; environment: societal drivers

1 Introduction

The discovery and introduction of antibiotics prior to the Second World War marked a pivotal point in the ability of humanity to combat bacterial infections. Formerly life-threatening infections became easily treatable, although the oft-quoted comment by a US surgeon general “it is time to close the book on infectious diseases, and declare the war against pestilence won” appears to be an urban myth (Spellberg & Taylor-Blake, 2013). However, ever since the discovery of antibiotics, the phenomenon of antimicrobial resistance (AMR) has been recognised (Davies & Davies, 2010) and in 1945 Sir Alexander Fleming warned of the potential for AMR to become a public health threat “then there is the danger that the ignorant man may easily under dose himself and by exposing his microbes to non-lethal quantities of the drug make them resistant”¹. Of concern is the fact that AMR can readily be transmitted from bacterium to bacterium on segments of DNA by several means including phages, transposons and plasmids, so resulting in horizontal gene transfer (HGT) (Djordjevic, Stokes, & Chowdhury, 2013). The three predominant processes at play include “the emergence, invasion and occupation” (Baquero, Lanza, Cantón, & Coque, 2015) of environments, this process involving mobility in both bacteria and the genes themselves. HGT has been shown to occur in the environment, food (Jahan & Holley, 2016) and the gastrointestinal tract. These environments also act as reservoirs of AMR genes - the “resistome” (Forsberg, et al., 2012).

The emergence of AMR in clinically important bacteria has continued at the same time as the pipeline of new antibiotics has dwindled to the point where phrases such as “we are in the midst of an emerging crisis of antibiotic resistance for microbial pathogens in the United States and throughout the world” are appearing in the literature (Spellberg, et al., 2008). There are claims that AMR infections have been increasing, for example for *Salmonella* (Su, Chiu, Chu, & Ou, 2004), but

¹ http://www.nobelprize.org/nobel_prizes/medicine/laureates/1945/fleming-lecture.pdf

the data are patchy, complex and come from countries with different surveillance systems. A recent report from Canada indicates currently stable and, in some cases, decreasing incidences of AMR infections (Public Health Agency of Canada, 2016). In the UK the number of methicillin-resistant *Staphylococcus aureus* (MRSA) bacteraemia cases reduced by 81% from 2007/8 to 2012/13 but, in contrast, the number of isolates of carbapenemase-producing *Enterobacteriaceae* increased approximately ten fold between 2009 and 2014 (Department of Health antimicrobial resistance strategy analytical working group, 2016). This group of organisms is rated as “Priority 1: critical” for development of new antibiotics². A similar increasing trend has been identified for *Klebsiella pneumoniae* in wider Europe, and resistance to third generation cephalosporins in *Escherichia coli* is also increasing (European Centre for Disease Prevention and Control, 2016). A comparison between the USA, Kuwait and China between 1994 and 2000 found a growth in AMR infections of 6, 17 and 22%, respectively, over the period. Looking to the future the “O’Neill report” predicts 10 million lives lost per year in 2050 because of AMR bacteria (O’Neill, 2016).

The term “antimicrobial” has a number of meanings, but in this review it is taken to mean antibiotics (small compounds produced by one organism that kills or inhibits another) and their chemical derivatives. There are many such chemicals and a categorization with some examples is provided in Table 1.

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Table 1 about here
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2 Development and transmission of AMR bacteria in Agri-food settings

2.1 Use of antimicrobials in the agri-food chain

Antimicrobials are used for various purposes in animal production: 1) therapeutic treatment of diseased animals, 2) growth promotion, 3) treating a group of animals to combat disease in a

² http://www.who.int/medicines/publications/WHO-PPL-Short_Summary_25Feb-ET_NM_WHO.pdf

proportion of them and to prevent disease from occurring in the rest (metaphylaxis) and, 4) use during periods of high susceptibility to infections (prophylaxis). Of these, the use of antimicrobial growth promoters (AGPs) has been banned in the EU since 2006 (<http://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32003R1831>), and the cessation of AGP use is frequently suggested in the literature as a means of reducing or stabilising AMR bacteria. In the US medically important antimicrobials which are used in feed or water stopped being available over the counter at the end of 2016³. The benefits of using AGPs are not clear and might only be realised when sub-optimal hygiene conditions prevail (Rushton, 2015), or at early stages of production, although Hao, et al. (2014) report data tending to show increased productivity with AGP use. An evaluation of the use of AGPs in less affluent countries concluded that there would be “negligible effects in these countries” at the national level on pork and chicken production if the use of AGPs were to be discontinued (Angulo, Collignon, Wegener, Braam, & Butler, 2005). The reasons for this included likely small decreases in production resulting from cessation of use of AGPs and the minor contribution that meat protein makes to the diet of the poor, although this is increasing in many low to middle income countries for those with increasing disposable income. A study of broiler chickens in Denmark reported that the feed conversion rate increased slightly after the withdrawal of AGPs (Emborg, Ersbøll, Heuer, & Wegener, 2001) suggesting that their use would, in fact, represent an economic cost.

The risks and benefits of AGP use are still being debated (Hao, et al., 2014; Phillips, 2007). However, antimicrobials are necessary for the prevention and treatment of diseases in production animals with accompanying benefits to animal welfare. There may also be an effect in reducing zoonotic diseases and environmental impacts such as methane production both in the growing animal (by using monensin, for example) and in animal waste.

2.1.2 Antimicrobial usage

³ <https://www.fda.gov/AnimalVeterinary/NewsEvents/CVMUpdates/ucm507355.htm>

A recent survey reported on the veterinary use of antimicrobials in the EU broken down in to antimicrobial class and the disease that they were being used to treat (De Briyne, Atkinson, Pokludová, & Borriello, 2014). As an example, 40% of the citations of use of antimicrobials in cattle and calves were for the control of mastitis, and for 78% of the time non-critically important antimicrobials were used. Lists of critically important antimicrobials are available for veterinary⁴ and human clinical⁵ perspectives. In China an estimated 38.5 million kg of antimicrobials were used in pork and poultry production in 2012 (Krishnasamy, Otte, & Sibergeld, 2015). Estimates of the change in demand for antimicrobials for animal production indicates an increase of 67% from 2010 until 2030, driven largely by the intensification of animal production systems in emerging economies (Van Boeckel, et al., 2015). In Brazil, China, Russia, India and South Africa, the increase is predicted to be 99%. The ban on the use of AGPs in the EU did not necessarily result in a reduction of the veterinary use of antibiotics overall for the period 2005-2009 (Woolhouse, Ward, van Bunnik, & Farrar, 2015). The MARAN 2007 report noted an increase in the use of antimicrobials in the Netherlands and ascribed, in part, to a possible “substitution of growth promoters” implying continued use of antimicrobials in another guise, e.g. prophylaxis (Veterinary Antibiotic Usage and Resistance Surveillance Working Group, 2009). However, more recent data for the Netherlands suggest a slight reduction in sales of antimicrobials⁶ between 2014 and 2015 at almost one third of the 2007 volumes.

Within the EU there are marked differences in the volume of antimicrobials used for animal production when normalised on a mg/kg biomass basis (Grave, Torren-Edo, & Mackay, 2010). In a study of ten European countries (Netherlands, France, United Kingdom, Czech Republic, Switzerland, Germany, Denmark, Finland, Sweden and Norway), usage in France and the Netherlands was substantially higher (more than two fold) compared to the other eight countries and around ten

⁴ http://www.oie.int/fileadmin/Home/eng/Our_scientific_expertise/docs/pdf/Eng_OIE_List_antimicrobials_May2015.pdf

⁵ http://apps.who.int/iris/bitstream/10665/77376/1/9789241504485_eng.pdf

⁶ http://www.wur.nl/upload_mm/0/b/c/433ca2d5-c97f-4aa1-ad34-a45ad522df95_92416_008804_NethmapMaran2016+TG2.pdf

times the amounts used in Norway. Several potential reasons for these differences were listed, but differences of proportions of the animals produced (dairy cattle, cattle, poultry and pigs) was excluded.

The distribution of antimicrobials sold for veterinary use compared to those prescribed for human use in England is shown in Figure 1 (data from (Public Health England, 2015)). Some major differences were observed, for example penicillins, fluoroquinolones and 1st and 2nd generation cephalosporins were used in greater proportions for human therapy, while the opposite is true for tetracyclines, sulphonamides and trimethoprim, and lincosamides. Glycopeptides and monobactams/carbapenems were not sold for veterinary use.

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Figure 1 about here
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2.2 AMR bacteria and antimicrobials in meat and dairy production

2.2.1 Occurrence of AMR bacteria during production

There is evidence that the provision of antimicrobials to livestock can result in the emergence of AMR bacteria. For example, in Canadian feedlot cattle the inclusion of chlortetracycline in the diets of calves resulted in the appearance of tetracycline-resistant *C. jejuni* (Inglis, et al., 2005). In American feedlot cattle, administration of tylosin selected for enterococci resistant to erythromycin and tylosin (Beukers, et al., 2015). This appeared to result from selection for strains already present in animals arriving at the feedlot, and resistance fell at around the time that tylosin was withdrawn prior to slaughter. The use of antimicrobials may also lead to the selection of resistant veterinary pathogens, for example *Mannheimia haemolytica* which causes bovine respiratory disease (Cameron & McAllister, 2016).

Antibiotic resistant bacteria have been detected in farmed animals in many studies. For example, rectal swabs and faecal samples from farms in the US were tested for the presence of AMR *Campylobacter* (Bae, et al., 2005). The most prevalent resistance was to doxycycline (42.3%) and

lesser rates of resistance being found for erythromycin, nalidixic acid and ciprofloxacin. Most *C. jejuni* isolates (58.8%) were sensitive to all four antibiotics tested. Different results were obtained for *C. coli* where resistance was more prevalent, with 72.7% of isolates being resistant to one or more of these antimicrobials. This study also provided data which showed different levels of AMR bacteria with different kinds of farming practice. AMR bacteria, especially *C. coli*, were more prevalent in calf rearing farms. It was postulated that this may be caused by the greater use of antimicrobials in calf rearing. A generally higher level of resistance in *C. coli* compared to *C. jejuni* has been reported in other studies, e.g. in faecal isolates from US dairy cows (Englen, Hill, Dargatz, Ladely, & Fedorka-Cray, 2007) and feedlot cattle (Englen, Fedorka-Cray, Ladely, & Dargatz, 2005). In human cases infections caused by *C. jejuni* are much more common than those caused by *C. coli*.

A comparison was made between AMR *Salmonella* Dublin isolates from dairy and beef cattle in the US (Davis, et al., 2007). This serovar was selected as it is adapted to bovines so that any resistance acquired is most likely to have come from agricultural antimicrobial use rather than from human therapeutics. The two kinds of animal were selected as antimicrobial use with beef cattle is less than it is for dairy cattle. A problem with the study is that relatively few (19) beef isolates were obtained compared to 273 for dairy sources, but for all except one of the antimicrobials tested the prevalence of resistance was greater in the dairy isolates. The reported data therefore supported the hypothesis that the use of antimicrobials in dairy calf rearing results in the emergence of AMR *S. Dublin*.

Quinolone-resistant *Escherichia coli* were isolated from animals and farm environments in Sweden. These organisms were isolated from 60% of calf and 28% of cow faecal samples, although there was considerable farm-to-farm variability (Duse, et al., 2016). A report of 2013 UK data provides information of antibiotic resistance of *Salmonella*, *Campylobacter* and *E. coli* in veterinary isolates (Public Health England, 2015).

A study of faecal isolates of *Campylobacter* from organic and conventional dairy herds in the USA was unable to identify a difference in AMR patterns between the two types of agricultural

practice (Sato, Bartlett, Kaneene, & Downes, 2004), although resistance to tetracycline was higher in calves than adult animals. However, an analysis of AMR *E. coli* from cows and calves showed that isolates from the organic farms were less resistant for seven antibiotics, but not for an additional 10 (Sato, Bartlett, & Saeed, 2005). Resistance was also reported to be lower in *E. coli* from the faeces of pigs from organic farms compared to those on conventional farms (Hoogenboom, et al., 2008), as was the case for *E. coli* and *Ent. faecium* in broilers. In contrast no difference could be found with conventional and organic poultry with respect to AMR in *Campylobacter*.

A greater prevalence of AMR *E. coli* isolates in calves (81.5%) compared to beef cattle (39.2%) has been noted in Belgian data (Hanon, et al., 2015), and this is a common finding for a range of AMR bacteria (Sato, et al., 2004; Tenhagen, et al., 2014) reflecting the greater need to treat calves with antimicrobials. A comparison of veal, beef and dairy production reflected a large difference in AMR *E. coli* (Catry, et al., 2016) between intensively reared veal calves compared to dairy and beef animals and concluded that “intensively reared veal calves deserve special attention in antimicrobial resistance monitoring and intervention programmes”.

AMR *Salmonella* have been isolated from lairage environments in the UK and this may present a means whereby resistant organisms are passed from day to day to different groups of animals at slaughter (Small, et al., 2006). *Salmonella* can survive for long periods under dry conditions such as might occur in dried faeces. In Spanish goat and lamb slaughtering operations, some evidence has been provided to suggest that resistant bacteria are primarily introduced with the animals, resistant spoilage organisms persist along the process but pathogens do not persist beyond the cutting room (Lavilla Lerma, Benomar, Casado Muñoz, Gálvez, & Abriouel, 2014; Lavilla Lerma, Benomar, Gálvez, & Abriouel, 2013).

Low prevalence rates of AMR *E. coli* were found in wild deer and small mammals tested in Spain (Alonso, González-Barrio, Tenorio, Ruiz-Fons, & Torres, 2016). Similar results were obtained for white-tailed deer in the USA from 1999 to 2009 (Fitzgerald, Schooley, Berry, & Kaneene, 2011), and both studies suggest that carriage is lower where direct selection is minimal in wild animals. Data

form such sources could represent a baseline against which AMR in farmed animals could be measured.

2.2.2 *Linkages between agricultural use of antimicrobials and human infections*

It has been shown that indistinguishable strains of AMR bacteria have been found both in food isolates and human cases (Doi, et al., 2010; Kluytmans, et al., 2013; Leverstein-van Hall, et al., 2011), although the evidence is patchy and not necessarily consistent between countries (Wu, et al., 2013). For example, for gentamicin resistant *Campylobacter* it was concluded that chicken was not the only source of human clinical resistant strains (Zhao, et al., 2015), although poultry meat was the most likely source of resistant *C. coli* infections. The recovery of indistinguishable isolates of an AMR bacterium from two environments does not reveal the direction in which the transmission occurred (Singer & Williams-Nguyen, 2014). Evidence of a direction of flow from agriculture to humans is mounting from other sources and a case in point is the detection of plasmid-borne colistin-resistant *E. coli* in China (Liu, et al., 2016). This antibiotic is known as a “drug of last resort” (Al-Tawfiq, Laxminarayan, & Mendelson, 2017) and is used to treat otherwise intractable infections in humans, but also has use in the treatment of food animals. The fact that the resistant organism was much more frequent in the agricultural environment than in the human population suggests that the direction of transmission is environment to human, and not the other way round. A caveat is that colistin is manufactured in China and it is possible that effluent from the process may have passed into the environment, a phenomenon which has been reported for other antimicrobials in China and elsewhere (Larsson, 2014).

Virginiamycin, a streptogramin antimicrobial, was in use in livestock in the USA from 1975 while quinupristin/dalfopristin, also streptogramins, started to be used to treat Vancomycin Resistant Enterococcal infections in 1999. However, a study published in 1999 (Moellering, et al.) reported the detection of resistant, but not “highly resistant”, strains which implies that resistance could have been caused by co-selection alongside virginiamycin resistance in agricultural production.

There is conflicting information as to the connection between antibiotic use in animals and the emergence of AMR in humans. For example the reduction in the use of avoparcin has been linked to a reduction of vancomycin-resistant enterococci (VRE) in the human population (Klare, et al., 2009). At a larger scale, an analysis of antimicrobial use and resistance for seven European countries including Denmark has revealed a correlation between the two (Chantziaras, Boyen, Callens, & Dewulf, 2014). Limited use of fluoroquinolones in Norwegian broiler production was found to be associated with low levels of fluoroquinolones in Norwegian broilers and humans becoming infected in Norway, in comparisons to humans infected abroad (Norström, et al., 2006). However, carbapenem-resistant salmonellae were isolated from poultry and pig farms in Germany even though this group of antimicrobials is not permitted for use in pig production (Fischer, et al., 2013). This may have resulted from co-selection for another resistance determinant; co-selection of AMR bacteria has been described in the presence of heavy metals but less so for biocides (Wales & Davies, 2015). In addition, in the USA, a ban on the use of enrofloxacin in poultry production did not result in a reduction in ciprofloxacin resistance in chicken or human *Campylobacter* isolates (Food and Drug Administration, 2012). The potential for cattle to be a source of human *S. Typhimurium* DT104 infections has been argued against (Davis, et al., 1999) because of *inter alia* a lack of correct timing of the use of antimicrobials in the human population and use in animals, and the occurrence of *S. Typhimurium* DT104 in wildlife.

2.2.3 Antimicrobials and the resistome in the environment

Antimicrobials themselves can be detected in farming environments as a large proportion of those administered to animals may be excreted un-metabolised. In China, testing of faecal samples from pigs, cows and chickens showed a prevalence range of 0 to 82.1% (chlortetracycline in cow faeces) and the highest concentration was 1420.76 mg enrofloxacin/kg chicken faeces (Zhao, Dong, & Wang, 2010), which was the highest identified in a recent review (Wohde, et al., 2016). Such contaminated manure may then, in turn, be applied as a fertiliser to agricultural land and so released to soil and

water thus creating a greater variety of transmission routes from treated animal to humans. Wohde et al (2016) includes tables of similar data for various classes of antimicrobials and discussion as to their fate once excreted from the animal. Also in China, antimicrobials have been detected in animal wastewater, effluent and river waters (Wei, Ge, Huang, Chen, & Wang, 2011). Only one of 18 river water samples was free of any detectable antimicrobials.

A study of AMR genes in historical samples of Danish soils which had been amended using manure or inorganic fertilisers showed found that four β -lactam AMR genes tended to increase only in the manured soils at about the same time as the relevant resistance was noted in humans (Graham, Knapp, Christensen, McCluskey, & Dolfing, 2016). Their abundance ceased possibly in line with reductions in their agricultural use. However, another gene, *int1* did not decrease and this may have been through co-selection as the concentration of copper increased. It was commented that we must be careful not to solve one problem by creating another.

2.3 AMR bacteria in aquaculture

Antimicrobials are not confined to use in the terrestrial agri-food chain, but also in aquaculture (Cabello, 2006). The similarities between both systems has been reviewed in respect to the development of AMR (Done, Venkatesan, & Halden, 2015). The industry has been associated with poor control of the use of antimicrobials and it has been said that this overuse was needed because of poor sanitary conditions in the industry, and this may be associated with the rapid increase in aquacultural production which has occurred over the last 30 years. For example, the production of *Tilapia* in China has increased from close to zero in 1986 to almost 1.4×10^6 tonnes in 2010 (Rico, et al., 2013). If the trend towards increasing aquacultural production continues, then any problems connected with AMR will grow with the industry unless the pattern of antimicrobial usage changes, and there is evidence that it has been reducing in some areas (Rico, et al., 2013). The use of antimicrobials in aquaculture in Asia is highly variable, but 100% of Vietnamese *Pangasius* farms used antimicrobials, while prawn and shrimp farms in Bangladesh were infrequent users (Rico, et al.,

2013). The emergence of AMR fish pathogens has been noted (Cabello, 2006) and the question as to the potential to spread AMR genes to humans raised. It is of note that the accumulation of tetracycline from water to plant to fish has been demonstrated experimentally (Boonsaner & Hawker, 2013).

2.4 *AMR bacteria and antimicrobials in vegetable production*

Fruits and vegetables can also be treated with antibiotics and so potentially result in AMR selection among their natural microflora, and other forms of exposure to AMR bacteria may occur during irrigation as well as application to crops of manures derived from animals exposed to antimicrobials (Schwaiger, Helmke, Hölzel, & Bauer, 2011). In the United States in 2009 16,465 kg of antimicrobials were applied to orchards, and this represented 0.12% of those used in animal production (Stockwell & Duffy, 2012). In a Chinese study, antimicrobials were reported to be higher in organic than conventional vegetables, presumably because the organic vegetables were fertilised with animal-derived manure (Hu, Zhou, & Luo, 2010). The addition of manure to soils has been shown to enrich AMR bacteria but with no resultant increase in accumulation of AMR bacteria in the vegetables themselves (Marti, et al., 2013) compared those grown in soils which had never received manure. Composting and mandated offset periods were proposed as means of minimising exposure of the population to AMR bacteria from vegetables.

Some information on the effects of agricultural uses of antimicrobials is provided by comparisons of food produced under conventional and organic practices. A study of lettuces in Costa Rica concluded that the organic status of one of ten farms studied “had little, if any, effect on the antimicrobial resistant communities” (Rodríguez, et al., 2006). This was explained either by the AMR communities identified being natural, or because the organic farm had previously been exposed to antimicrobials. Similarly, there were no differences in prevalence or concentration of AMR bacteria detected in French organic and conventional fruits and vegetables (Ruimy, et al., 2010). Produce growing in or on the soil was more frequently contaminated than produce growing above the soil. It

was concluded that the AMR bacteria present in the food were environmental, and that the foods “do not constitute a direct threat of infection of the general population”. This situation contrasts with the data for organic and conventional animal production.

3 Transmission routes from food production to humans

Essentially there are three transmission routes of AMR bacteria from agri-food systems to humans 1) the consumption of contaminated food 2) contact between humans and treated animals or 3) environmental contamination (which can also be of the antimicrobial itself). All would be classed as zoonotic transmission routes. Complicating the picture is HGT and consideration needs to be given to both bacteria and the genes that they carry. In addition, not all of the AMR bacteria which need to be assessed are conventional foodborne pathogens, as some are commensals (normal microflora) which may nevertheless act as a means of transmitting AMR genes and causing infections in humans. The importance of the role of commensals in linking different parts of the biosphere has been emphasised (Djordjevic, et al., 2013). An example is the VRE which are not usually regarded as foodborne pathogens yet can cause wound infections and septicaemia. Enterococci may acquire their resistance following the use of avoparcin in the production of poultry as avoparcin and vancomycin are structurally similar, although the common belief of such a link is not unequivocal (Phillips, et al., 2004).

3.1 Direct Food Consumption

The relative contribution between human and veterinary/food production use of antimicrobials in the emergence of human clinical AMR infections is not known (Singer & Williams-Nguyen, 2014). Even less is known about the proportionate role of foods containing AMR bacteria which may potentially be introduced accidentally during food production, from intentionally added bacteria such as lactic acid bacteria starter cultures (Aarts & Margolles, 2014), or cross contamination during processing.

Foodborne pathogens may themselves harbour mobile resistance genes, for example *tetM*, *ermB* and IS1542 in *L. monocytogenes* (Gelbíčová, Pantůček, & Karpíšková, 2016; Haubert, Mendonça, Lopes, de Itapema Cardoso, & da Silva, 2016; Yan, et al., 2010). Such resistance can be at a very low prevalence amongst isolates tested, for example 2% of 204 French isolates (Granier, et al., 2011). where resistance to tetracycline, cotrimoxazole and erythromycin was recorded. In addition spoilage and commensal organisms may also be resistant (Toomey, Bolton, & Fanning, 2010).

A study of virulence factors in AMR and non-AMR *E. coli* isolates from poultry, healthy vegetarians and hospital inpatients found that the human resistant strains were more like the poultry resistant and sensitive strains than human sensitive strains (Johnson, et al., 2007). Strains from the vegetarians were more like those from the poultry, and this group would not have been directly exposed to the food. It is possible that the *E. coli* was passed on to them by a family member or other meat-eating contact.

A Finnish study concluded that the *Enterobacteriaceae* in vegetables were different from those of the human faecal flora with respect to their AMR profiles and concluded that these organisms did not “contribute substantially” to high levels of AMR organisms in the human faecal flora (Österblad, Pensala, Peterzéns, Heleniusc, & Huovinen, 1999). In the UK ESBL *E. coli* isolates from chicken and turkey production were found to be distinct from those causing disease in humans (Randall, et al., 2010), although transfer of AMR might be occurring between the two. Vancomycin resistant enterococci (VRE) detected in German minced pork and beef were different to clinical isolates (Klein, Pack, & Reuter, 1998).

Another means of linking human colonisation with food consumption is by using a case-control approach. For relatives of cases with community-acquired urinary tract ESBL-producing *E. coli* eating outside of the home was shown to be protective, which might imply that eating at home was a risk factor which, in turn, might imply cross contamination within the home (Rodríguez-Baño, López-Cerero, Navarro, Díaz de Alba, & Pascual, 2008). A comparison of vegetarians and newly hospitalised patients in the USA focused on risk factors for colonisation by AMR *E. coli* (Sannes, et

al., 2008). Poultry meat contact and/or consumption was not predictive, and vegetarians were more frequently colonised by AMR *E. coli*. Travel and recent visits to a “developing nation” were risk factors. For campylobacteriosis in Denmark, risk factors for quinolone resistant infections included foreign travel, eating poultry other than chicken and turkey, and swimming. Eating chicken was protective (Engberg, Neimann, Nielsen, Aarestrup, & Fussing, 2004). In contrast poultry consumption and touching raw poultry were risk factors for colonisation by *Ent. faecium* with inducible quinupristin-dalfopristin resistance (Kieke, et al., 2006).

Tracking of antibiotic resistant *E. coli* and *Salmonella* in the beef production chain in America found that resistant organisms could be detected on hides, decreasingly present along the chain, and they were not present in 103 striploin purge samples at the end of production (Schmidt, et al., 2015). In another study the diversity of AMR genes reduced during meat processing with no AMR genes present on beef products (Noyes, et al., 2016). Similarly the prevalence of AMR bacteria was greater, when differences were noted, on vegetables obtained from the farm compared to those tested at retail (Schwaiger, et al., 2011). In Italy, resistant *Enterobacteriaceae* were present in 52.2% of pig rectal swab isolates, but in only 3% of minced pork isolates, and the species composition was different (Sabia, et al., 2017). Similar data were produced for Canadian samples where the prevalence of MRSA was 61.9% in nasal swabs reducing to 1.2% in retail pork (Aslam, Diarra, Checkley, Bohaychuk, & Masson, 2012). Italian data demonstrated a reduction of AMR bacteria in traditional mozzarella production, with the final product containing no AMR bacteria (Devirgiliis, Caravelli, Coppola, Barile, & Perozzi, 2008). It would be expected that the prevalence of AMR organisms of a given species would decline along the food chain in tandem with general increases in food hygiene.

Most reports of the presence of AMR bacteria in foods do not provide prevalence or concentration data for particular types of resistant bacteria. Usually they provide data on the proportion of isolates of a given taxon which are resistant to different panels of antibiotics (Schroeder, White, & Meng, 2004), and use different criteria to define what constitutes resistance.

These data therefore need further processing and interpretation if they are to be used in exposure assessments.

Example papers and summary information on the prevalence of AMR bacteria in raw (Table 2) and ready-to-eat (RTE) foods are provided (Table 3). In addition, a recent review commissioned for the UK Food Standards Agency provides prevalence data for AMR *Campylobacter*, *Salmonella*, *E. coli* and *Enterococcus* in pork, poultry, dairy products, seafood, and vegetables and fruit (Royal Veterinary College, 2016). It would be expected that the prevalence of pathogens in raw food would be higher than in RTE/cooked foods, but there is no reason for the proportion of AMR among isolates from the two kinds of food to be different unless, for example, AMR also conferred resistance to a physico-chemical characteristic used in food processing. It has been shown that AMR does not confer additional cross resistance to *L. monocytogenes* in respect to survival at high or low pH (Cunha, et al., 2016).

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Table 2 about here

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AMR organisms have been isolated in high concentrations in a variety of foods (Boehme, Werner, Klare, Reissbrodt, & Witte, 2004; Rodríguez, et al., 2006; Wang, et al., 2006). However, there is a need for some caution when considering these data. For example cefotaxime-resistant *L. monocytogenes* are common among isolates from food, but this resistance is natural since it results from the lack of a specific penicillin binding protein (Yan, et al., 2010). Other organisms are, likewise, naturally resistant to certain antibiotics (Fitzgerald, et al., 2011; Haubert, et al., 2016) and so the identification of generic AMR bacteria in a food may be of little or no consequence, especially if the resistance is not capable of undergoing HGT.

Outbreaks of foodborne disease caused by AMR pathogens are known to occur (Dechet, et al., 2006). For example an outbreak of 58 cases of multidrug-resistant *Salmonella* Typhimurium DT

104 infections associated with the consumption of contaminated minced beef. The same serovar of *Salmonella* also caused an outbreak in Denmark of 25 culture confirmed cases of whom 11 were hospitalised and two died (Mølbak, et al., 1999). The source was identified as two Danish pig herds. Interestingly the veterinarian claimed that fluoroquinolones had not been used on the farms yet the isolates were nalidixic acid resistant. The consequence of infection by AMR pathogens to humans are more severe than infection by their susceptible counterparts, including higher mortality rates (Helms, Vastrup, Gerner-Smidt, & Mølbak, 2002) and duration of illness (Engberg, et al., 2004).

The consumption of a contaminated food could result in foodborne disease caused by antibiotic-resistant variants of foodborne pathogens such as *Salmonella* (Su, et al., 2004) and *Campylobacter* (Zhao, et al., 2015). Different modelling exercises have resulted in very different estimates of risk, but the potential for AMR transmission by this route must be considered as possible (Chang, Wang, Regev-Yochay, Lipsitch, & Hanage, 2015). A review of publically available risk assessments concerning the use of antimicrobials in animal production and the emergence of AMR infections in humans has been published (McEwen, 2012). A great deal of heterogeneity of approach was noted, making comparisons difficult, and a lack of necessary data was noted. Differences in the outcomes of risk assessment will therefore reflect real differences, as well as differences in the availability of data and approach taken. A later discussion paper describes the data needs and complexities of carrying out a holistic human health risk assessment for AMR bacteria (Ashbolt, et al., 2013), which would be a larger task than a standard quantitative risk assessment for a defined food/hazard combination. A Swiss semi-quantitative risk assessment did, however, compare relative risks of AMR bacteria in chicken, beef, pork and veal (Presi, et al., 2009), and the order was (in % “of the overall risk”) was chicken (6.7%), pork (4.0%), beef (0.4%) and veal (0.1%).

Another potential source of contamination of foods by AMR bacteria is contact with infected food handlers at any point in the food chain. This may be especially important for AMR *Staphylococcus aureus* where it is known that the strains of the organism involved in human foodborne disease are those introduced onto food during handling, as opposed to strains present on

the food animal (Hudson, 2014), although livestock associated strains can be isolated from foods (Fox, et al., 2017). This is reflected in a study of MRSA in minced beef and pork in the USA which concluded that some of the MRSA present in beef were introduced by human contact (Jackson, Davis, & Barrett, 2013) and may represent a source of infection for consumers of that beef. This possible transmission route was attributed to a fatal hospital outbreak of MRSA infections where an infected health care worker was concluded to have contaminated food eaten by affected patients, and subsequent transmission occurred (Kluytmans, et al., 1995).

Foods produced from animals treated with antimicrobials may themselves contain residual concentrations of them and such foods are subject to testing programmes. Some data are reported, but results for other samples which contain antimicrobials but at concentrations beneath regulatory maxima are not necessarily reported. Foods containing antibiotics may therefore act as niches for the selection of AMR bacteria which are capable of growing on them under normal storage conditions. In the UK a survey of waste milk (which can be derived from animals being treated with antimicrobials) samples for β -lactam antibiotics found that 66/103 (64.1%) contained at least one member of the antibiotic groups penicillins and cephalosporins (Randall, et al., 2014). The maximum concentration was 4.6 mg/l cefquinome which was also detected up to 27 mg/l in a follow up study. The presence of antimicrobials was correlated to reported antibiotic use in the herds tested. Culture for AMR bacteria found that resistant bacteria were 20 times more likely to be present in cefquinome-containing milk. The use of waste milk to feed calves has been proposed as an explanation for why AMR *E. coli* gradually decreases during fattening once exposure to the milk has been removed (Hordijk, et al., 2013).

A study of the accumulation of antimicrobials by vegetables has shown that the leaves accumulate the most (Hu, et al., 2010). The paper also reported values for antimicrobials in various herb and vegetable plants finding 10 of the 11 antimicrobials sought to be present, and their origin was likely to be organic fertilisers applied to growing crops. It has been found that the presence of

antimicrobials at even low concentrations (several hundred fold less than the lethal concentration) can lead to the selection of resistant bacteria (Sandegren, 2014).

3.2 Non-Food Transmission Routes associated with the agri-food chain

AMR bacteria may be excreted in the faeces to contaminate the wider environment. Therefore non-food environmental transmission routes may result from antimicrobial use in food production. Transmission might occur via environmental contamination (e.g. manure to water to humans) (Thanner, Drissner, & Walsh, 2016) or via insects, such as flies, to ready-to-eat foods (Zurek & Ghosh, 2014). The incidence of campylobacteriosis appears to be correlated with fly development time in the UK (Nichols, 2005). A high throughput sequencing study of the resistome of feedlot cattle showed “environmental connectivity” between pens and feedlots indicating the potential for transmission to humans through the environment (Noyes, et al., 2016).

Food contact surfaces may also become contaminated. In Malaysian wet markets 38.8% of poultry contact surfaces were contaminated with Extended Spectrum β -Lactamase-producing (ESBL) *E. coli* (Aliyu, Saleha, Jalila, & Zunita, 2016). Logistic regression analysis identified two factors which predicted the contamination of poultry; stall sanitation and counter top material, with wood associated with the greatest risk. Interestingly, cross-resistance to antimicrobials resulted from exposure to increasing concentrations of quaternary ammonium compounds in *Salmonella* and, to a greater extent, *E. coli*, but not in *L. monocytogenes* or *C. coli* (Soumet, et al., 2016) indicating that food processing sanitation operations could lead to co-selection of AMR bacteria which could then contaminate foods. A similar variability in the potential for cross-resistance to occur has been found for *E. coli* and enterococci (Wieland, et al., 2017).

Transmission of AMR *Staphylococcus aureus* (methicillin-resistant *S. aureus*, MRSA, which is resistant to penicillins and cephalosporins) can occur via direct contact between livestock and humans, with potential subsequent person-to-person transmission. The dynamics of the spread of MRSA between humans and pig herds have been shown for one particular genotype, although in this

case the direction of transmission appeared to be from humans to animals (Grøntvedt, et al., 2016). A review of livestock-associated MRSA reported that 77-86% of those with occupational exposure to colonised pigs will themselves be colonised in the nasal cavity (Cuny, Wieler, & Witte, 2015) and further transmission can result in 3% of nosocomial MRSA infections and higher proportions of septicaemia and wound infection cases. An analysis of clonal complex 398 MRSA cases found that contact with livestock and residence on a pig farm were risk factors (Deiters, Günnewig, Friedrich, Mellmann, & Köck, 2015). For those cases without livestock contact there were still livestock-associated risk factors, as well as risk factors such as prior hospital stays and contact with MRSA carriers. Butchers have also been shown to be colonised by livestock associated MRSA (Boost, Ho, Guardabassi, & O'Donoghue, 2013). There are flows between humans and pigs in both directions as well as person-to-person transmission of MRSA, but the evidence for foodborne transmission is not apparent.

Another potential route might be the HGT of resistance genes from foodborne commensal or starter bacteria to recipient cells in the guts, as shown in humans (Devirgiliis, Barile, & Perozzi, 2011; Huddleston, 2014) and mice (Sparo, et al., 2012). Ingestion of AMR *E. faecium* isolates resulted in transient colonisation of human volunteers, and all twelve were clear of their inoculum 35 days post exposure (Sørensen, et al., 2001), and only one person was shedding 14 days post-exposure. The organism could be isolated at concentrations up to 10^7 - 10^8 /g faeces, depending on the strain. The potential for AMR strains to be present for significant periods and high concentration in the gut was therefore shown.

4. Farmer and stakeholder behaviour and AMR

It has been noted that, as part of the process of developing effective policy interventions to combat the emergence of AMR, the (interactive) behaviours of multiple actors, including farmers, but also veterinarians, policy makers and the public, result in antimicrobials being used either to facilitate or restrict the development of resistance to important drugs in both animals and humans (Garforth,

2015). However, there is a limited empirical evidence base to help understand the relevant issues, the most relevant being the understanding of farmer and veterinarian behaviours in relation to decision-making associated with antimicrobial use and AMR (Morris, Helliwell, & Raman, 2016). Understanding societal drivers of, and responses to, the restricted prescription of antimicrobials in human medicine is more extensive. Garforth (2015) notes that classical agricultural economics would predict that farmers only make decisions based on net income or profit. However, this is not supported by the associated evidence base. Understanding the relative importance of financial incentivisation for farmer antimicrobial usage, as opposed to other motivating factors, is important as it will allow the development of policy interventions targeting the drivers of farmer behaviour.

Farmers are the ultimate decision makers when deciding whether to use antimicrobials as part of animal production practices, and they may subsequently put pressure on other agricultural stakeholders to maintain existing animal husbandry practices which are reliant on antimicrobial use (Speksnijder et al, 2015). Understanding the behaviours of all stakeholders is central to developing effective policy interventions targeting farmer use of antimicrobials. Farmer decision-making may be motivated by multiple sets of values, for example in relation to traditional practices, or the embedding of the farm itself within the social structure of the family (Gasson, 1973). However, farm businesses need to be profitable to survive, which arguably may incentivise greater use of antimicrobials on farms. In reality, the evidence regarding financial incentivisation is somewhat mixed. For example, Speksnijder, Jaarsma, van der Gugten, Verheij, and Wagenaar (2015) suggest that economic factors are an important driver of whether farmers put pressure on veterinarians to prescribe antimicrobials. In this context, they report that some farmers perceive diagnostic tests as expensive, and that preventive use of antibiotics is a financially “safer” option. An additional pressure on veterinarians is the perception that farmers will blame them for not prescribing preventative antimicrobials if animal diseases arise at a later date, with concomitant impacts on animal welfare and/or economic functioning of the farm (Gibbons, et al., 2012). In addition, farmers may be unwilling to change existing animal husbandry practices, which they perceive have resulted

in risk avoidance, or because they are unaware of the benefits of adopting alternative strategies (Gunn, Heffernan, Hall, McLeod, & Hovi, 2008; Klerkx & Jansen, 2010). Coyne, et al. (2016) explored the drivers and motivators behind antimicrobial use and prescribing by veterinary surgeons and farmers in the pig industry in the UK. The results indicated that veterinarians responded to pressure from clients, legislation and public perception when deciding whether to prescribe antibiotics. In contrast, farmers may consider issues surrounding farming systems and management to be important drivers of antimicrobial use. Lack of awareness of the consequences of inappropriate or overzealous use of antimicrobials may also be a driver of farmer decision-making. For example, Friedman, et al. (2007) report that most cattle farmers included in their South Carolinian sample (86%) were not concerned that overuse of antibiotics in animals could result in AMR, in particular failing to recognise that this could result in antibiotic resistance among farm workers.

Understanding why different actors are making decisions will facilitate the development of effective communication interventions targeting different stakeholder groups. While this is by no means only driven by economic motivation, it needs to be considered as part of a broader set of potentially interacting socio-economic and animal production system related drivers, including, *inter alia*, lack of knowledge about the effects of antimicrobials, and motivations for using antimicrobials as a preventative measures. Both factors may link to understandings of animal welfare in the context of animal husbandry, in particular in relation to disease prevention, and represent a driver of social pressure to use and prescribe antimicrobials. It is also important to note that AMR represents a transboundary risk (Gebreyes, et al., 2014; You, Bong, & Lee, 2016), which does not respect regulatory or national barriers to migration to other regions or areas. Communication needs to address stakeholder and end-user requirements in different geographical locations, which requires not only international cooperation across policy and industry communities, but harmonisation of cultural, regulatory and policy objectives, and animal production system requirements internationally. Furthermore, an important policy objective is to ensure that a critical mass of individuals are changing use behaviours to reduce the risks of AMR, and prevent economic

disadvantages and production losses having a negative impact on affecting compliant farmers alone. The question of whether policies focused on incentivisation, for example, subsidizing farmers who can demonstrate appropriate use of antimicrobials, or enforcement (e.g. financial penalties for non-compliance following AMR testing) is the optimal approach to ensure effective risk mitigation measures are implemented requires further investigation. Without international harmonisation of approaches any risk mitigation policies may remain ineffective (Kendall, et al., Submitted).

4.1 *Illegal use of antimicrobials*

Although more difficult to assess from the perspective of farmer behaviour, and an issue representing a difficult policy dilemma, the issue of illegal use needs to be addressed (Donoghue, 2003). There are examples in the literature demonstrating that illegal antimicrobial administration is widespread. For example, feed and fish from an aquaculture farm in eastern Sicily (Italy) were tested using commercial ELISA kits. Levels of antimicrobials exceeding the method's detection capability were found in all feed and tissue samples (Oliveri Conti, et al., 2015). In China, overuse and illegal use of antimicrobials across the entire farming sector are well documented (Hu & Cheng, 2016; Zhong, Huang, & Wu, 2017). Similar patterns of illegal use in animal production systems have been identified, *inter alia*, in Vietnam (Kim, et al., 2013), Cameroon (Gondam Kamini, Tatfo Keutchatang, Yangoua Mafo, Kansci, & Medoua Nama, 2016), and the US (Alvy, 2014).

There are reports that illegal antimicrobial use is also prevalent in specific sectors, either as a preventative measure or a growth promoter. Prominent examples include within aquaculture (Tuševljak, et al., 2013) and pig sectors (Došen, et al., 2014). Regulatory and industry action is required to prevent illegal use: ignoring it may be viewed as supplying tacit approval (Morley, et al., 2005). However, a major barrier to understanding the motivations for farmers to use antimicrobials illegally is obviously that their use is illegal, and farmers are unlikely to be honest about illegal use and their motivations for doing so when interviewed by researchers. Monitoring illegal use may be

possible, but understanding why antimicrobials are being used, may represent a particularly difficult research problem. Answering it will require the application of social science research methods which guarantee the anonymity of participants, allow them to develop trust in researchers, and engage with the problems of AMR bacteria.

4.2 *The importance of effective communication*

A recent report, published by the UK Food Standards Agency (Royal Veterinary College, 2016), highlighted the need to adopt “clear transparent reporting standards that help consumers have access to and understand information about the responsible use of antibiotics in the food chain”. Increased consumer recognition of problems associated with antimicrobial usage may increase demand for products with certified and reduced antimicrobial use. Certain segments of the population may be willing to pay more for such products. For example, it has been proposed that labelling and marketing may demonstrate how policy makers can develop a verified antimicrobial-free labelling program that would allow consumers to purchase meat products from animals that had never received antimicrobials, although this would require considerable risk communication and public education efforts to ensure that the general public know the importance of the issue (Centner, 2016). Although there is a substantial body of literature focused on understanding consumer attitudes towards animal welfare and welfare indicators on products, the issue of antimicrobial use in animal production systems has not been extensively researched, (Clark, Stewart, Panzone, Kyriazakis, & Frewer, 2016) and, as a consequence, little is understood regarding the extent to which consumers prioritise their reduction nor are willing to pay for products produced from animals raised without the use of, or with reduced use of, antimicrobials. While there is evidence that consumers express concerns about the use of antimicrobials in animal production systems, for example in relation to farm animal welfare and food safety, concern about AMR has not been reported, although this may reflect the construction of investigative methodologies (i.e. the

question was not asked) rather than lack of consumer and societal concern about the issue. For a review please see (Clark, Stewart, Panzone, Kyriazakis, & Frewer, 2017).

5 Mitigation and intervention strategies

A number of alternatives/mitigation strategies have been proposed and these are summarised in Table 4. A detailed consideration of many potential interventions is given by Baquero, et al. (2015), and reviews of alternatives (Czaplewski, et al., 2016) and management options (Pruden, et al., 2013) published.

Table 4 about here

One strategy that is often highlighted in the literature is the cessation of use of antimicrobials as AGPs and allied to this a general reduction in their use whenever possible. However, there is conflicting evidence as to whether such bans result in a general decrease in AMR bacteria. The outcome may be determined by factors such as co-selection or a fitness cost to possession of the resistance gene. In co-selection multiple resistance determinants may be present on the same genetic structure such that if the selective pressure to one is removed then resistance may still be maintained if one of the other selective pressures endures. In contrast, vancomycin-resistant *Staphylococcus aureus* lacking *mecA* (methicillin resistance) was shown to out-compete an homologous strain which possessed the gene (Noto, Fox, & Archer, 2008) so here the sensitive strain should be selected for over the resistant one. Resistance to macrolides and glycopeptides did, however, reduce in *Ent. faecium* consistently between 1997 and 2003 following a ban on their use as growth promoters in 1995-1998 in Denmark (Hasman & Aarestrup, 2005).

To know whether mitigations are effective it is necessary to have in place robust surveillance systems such that effects can be measured. Several national and international programmes releasing data online are now in existence, for example The National Antimicrobial Resistance Monitoring

System (NARMS) in the US⁷ and the European Antimicrobial Resistance Surveillance Network (EARS-Net)⁸. However, currently such programmes may be disjointed, especially where they cross jurisdictions (McNulty, Soon, Wallace, & Nastasijevic, 2016). Clearly policy needs to be founded on reliable data, and so such data need to be compatible and use the same criteria (e.g. definition of “resistant”). Similarly policy needs to be based on a sound understanding “of those practices that are truly contributing to the antibiotic resistance problem (Singer & Williams-Nguyen, 2014).

6 Economics of resistance

The selection pressures leading to resistance are heavily influenced by the behavioural responses of individual actors who may not have an incentive to take into account the negative impact on the future effectiveness of antimicrobials being used. The costs and benefits of antimicrobial use and the magnitude of resistance can be estimated using economic approaches. However, economic analysis can go much further than this in understanding the evolution of resistance and developing policy responses.

The response to resistance occurs on two fronts:

- 1) Need to manage existing portfolio on antimicrobials to maximise the value from their use
- 2) Develop new products to replace those that resistance has made ineffective

These two strategies are linked, somewhat paradoxically, in that better use of existing products reduces potential returns from developing new products.

The economics literature has considered antimicrobial effectiveness as a societal resource and how use of this resource might be optimised. As an example, in agricultural economics there has long been a consideration of the impacts of pesticide resistance. For example, Hueth and Regev

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<https://www.fda.gov/AnimalVeterinary/SafetyHealth/AntimicrobialResistance/NationalAntimicrobialResistanceMonitoringSystem/>

⁸ http://ecdc.europa.eu/en/healthtopics/antimicrobial-resistance-and-consumption/antimicrobial_resistance/EARS-Net/Pages/EARS-Net.aspx

(1974), considered pest susceptibility to pesticides as a non-renewable resource that is privately costless to use in the short run but very costly longer term to society when new products are required. Treating susceptibility as an exhaustible resource and the temporal mismatch between the costs and benefits of the product are central characteristics of the economic problem.

The use of products to control harmful biological organisms has two side-effects neither of which is considered by users:

- The effective use of the product by a farmer reduces the spread of the pest/disease elsewhere producing a positive outcome for other farmers;
- On the negative side, the use of the product engenders greater resistance in the future

Unless the perceived value of negative future impacts is greater than the value of disease reduction, end users will deploy the product at a greater level than is best from a societal perspective. The likelihood of a farmer using antimicrobials is a function of the perceived consequences of non-use, the probability of these consequences occurring, how effective the therapy is likely to be, and the cost (Lhermie, Gröhn, & Raboisson, 2016). In essence this suggests that, in economic terms, the farmer will use antimicrobials when it is profitable to do so (although see previous section on alternative drivers of farmer behaviour with respect to antimicrobial use). Veterinarians may profit from the sales of antimicrobials and this driver for potential over use can be negated by legislating for the reduction in such profits; in Denmark a 40% reduction in overall use between 1994 and 1995 resulted from such an action (Dar, et al., 2016).

7 Conclusions

The continued emergence of AMR bacteria will mean that infections which are currently treatable by the use of antimicrobials may become untreatable in the future. It seems clear that the rise of AMR is, in part, attributable to overuse of antimicrobials in the treatment of human diseases. However, the link between the emergence of AMR bacteria in food production and transmission through the food chain to potentially susceptible human hosts is less clear (Chang, et al., 2015) and described as

“a prominent and contentious issue” (Schmidt, et al., 2015). There is evidence, though, that the use of antimicrobials in animal production does result in an increase in the presence of AMR bacteria in food animals. The degree of subsequent transmission to humans is less clear.

The AMR situation is more complicated than is the case for tracking contamination pathways of pathogenic bacteria in food production as account needs to be taken of the epidemiology of both the organisms containing AMR genes and the genes themselves, as part of the complexity lies in the ability of the genes to transfer between taxa. Commensal organisms in foods also have a role to play in conveying resistance from food production to human infections. More data to demonstrate, or otherwise, the flow of AMR bacteria/genes from food and/or the food production environment to clinical cases, and to provide data to satisfy the many identified data gaps (Thanner, et al., 2016) would provide much needed evidence to establish the size of the role of the agri-food chain in the transmission of AMR to humans.

For food to transmit AMR bacteria the bacteria need to be present in the food in the first place, and the food industry goes to great lengths to ensure that food is prepared in as hygienic manner as possible, and free of bacterial pathogens. Some food is brought into the household raw and could therefor harbour AMR bacteria. For example, red meats may be contaminated by *Salmonella* or *E. coli* O157:H7. These foods are normally cooked prior to consumption, markedly reducing the risk but not eliminating it, as cross contamination might occur prior to cooking. The controls needed to prevent transmission of AMR bacteria via food are the same as those needed to control foodborne pathogens and spoilage organisms *in toto* and involve all stakeholders along the food chain, including everyone from the grower to the consumer. Data for the prevalence and concentration of AMR bacteria on ready-to-eat foods are scarce and to assist with exposure assessment the acquisition of such data should preferably focus on defined bacterium/phenotype/genotype combinations. Prevalence data would be of more use if they were expressed as the proportion of each food type containing the bacterium/gene in question instead of as a proportion of the isolates obtained from a variety of foods as granularity is lost when this is

done (although the data are no doubt available from the authors of such studies). To gain the maximum benefit data need to be collected in a consistent and comparable manner according to agreed and registered protocols.

A recent review concluded that: 1) both the risks and benefits of the use of antimicrobials in animals need to be considered, 2) currently available data are inadequate to enable (1) to be considered properly and that, 3) relevant policy making is impaired because of this (Rushton, 2015). There does seem to be a bias in that often only the risks of AGP use are considered and comparatively little consideration of the benefits is given. A first step might be to assess the economics of AGP use, as well as the benefits and risks perceived by farmers and veterinarians, including biological and socio-economic factors. If it is a cost then this will need to be countered by benefits such as animal welfare and reduced zoonoses. Reduction in the use of AGPs would seem to be of little use if the overall antimicrobial usage does not decrease in tandem, as seems to be the case in the EU, or at least for some countries.

Generalisations in respect to control of AMR are very difficult to make as consistent patterns are hard to discern since particular organism/resistance combinations do not necessarily behave the same as one another and are driven by human behaviours which are poorly understood. This compounds the complex web of routes by which humans might become exposed/infected/colonized by AMR bacteria. Reducing the use of antimicrobials in animal production is unlikely to reduce MRSA infections of humans, for example. For some organism/gene combinations withdrawal of antimicrobials seems to be effective, and for others not.

It was not the intent of this review to examine data on the emergence of AMR veterinary pathogens. However, it would be expected that if agricultural use of antimicrobials is causing AMR bacteria to become a problem in human clinical cases then the same should apply to veterinary pathogens.

The transmission of AMR genes is a large and complex area involving agriculture, medicine, the environment, food and the interfaces of each with each other. Human behaviour, whether on

the part of farmers, veterinarians, other stakeholders, or consumer demand, represents an important driver of agricultural antimicrobial use and emergence of resistance. This complex picture, alongside the increasing recognition that there is a significant risk to human health, has meant that the volume of publications in this area has increased greatly. For example a search in Google Scholar for all of the words “antimicrobial resistance beef” anywhere in the article over the last 20 years returned publication numbers shown in Figure 2. Clearly it is not possible for a review to cover this volume of material and this in itself presents a problem with respect to understanding the complexities of the issue.

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Figure 2 about here
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The large volume of literature and need for an interdisciplinary systems approach pose challenges for evidence synthesis which might be better met with structured model development than traditional systematic review and meta-analysis (Stewart, Meader, & Mengersen, 2014). Another potential approaches might include computer-based ways such as text mining. Text mining is useful when the volume of data available is so extensive that effective and efficient critical evaluation/review is impractical and/or very time consuming on a manual basis. Furthermore, text mining can provide the facility to add new knowledge and understanding quickly. An alternative to text mining would be the establishment of an AMR data repository with *de facto* pre-registration and use of common agreed outcome measurement, reporting and meta-language. This would address problems relating to selective reporting and publication bias in addition to facilitating access but would require increased investment in comparison to text mining.

Acknowledgements

794 This review was produced by collaboration and supported by IAFRI, Fera Science Ltd and Newcastle
795 University. We thank Nigel Cook for constructive criticism of the manuscript.

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Table 4. Some examples of potential alternatives/interventions to negate AMR bacteria.

Alternative/intervention	Effect	Examples	References
Antibacterial peptides	Alternative to antibiotics	Structurally nanoengineered antimicrobial peptide polymers to treat Gram negative infections	(Lam, et al., 2016)
Antibodies	Bind to and inactivate pathogens	Avian Antibodies (IgY)	(Kollberg, 2015)
Bacteriophages	Antimicrobial replacement	Treatment of AMR <i>Pseudomonas</i> otitis	(Wright, Hawkins, Änggård, & Harper, 2009)
Competitive exclusion	A benign bacterium is able to outcompete a pathogen	Control of <i>E. coli</i> infections in weaned pigs	(Harvey, Anderson, Genovese, Callaway, & Nisbet, 2005)
Decontamination of waste/irrigation water	Breaking the cycle of environmental transmission	AMR bacteria have been detected in tap water and grew in the distribution system	(Xi, et al., 2009)

Diagnostics	Provide rapid information to aid decision making in antimicrobial prescription	C-reactive protein testing to inform prescribing in lower respiratory tract infections	(Cals, Schot, de Jong, Dinant, & Hopstaken, 2010)
Incentives for stakeholders (farmers/veterinarians)	Subsidies for appropriate AMR use; fines for inappropriate use; standards for traded foods; increased surveillance; retailer pressure	McDonald's goal set in 2015 to "only serve chicken not treated with antibiotics important in human medicine"	http://news.mcdonalds.com/us/news-stories/2016/mcdonald-s-usa-announces-big-changes-to-its-food
Infection prevention and control interventions	Minimise the spread of pathogens regardless of antimicrobial susceptibility	"all-in-all-out" animal rearing systems	(Schuppers, et al., 2005)
Phage endolysins	Antimicrobial replacement	Various clinical applications to replace use of antibiotics and, for example, killing MRSA. For treatment of Gram positive infections.	(Schmelcher, Donovan, & Loessner, 2012)

Probiotics/prebiotics/syn biotics	Improve animal health	Treatment of <i>Clostridium difficile</i> infections	(Lau & Chamberlain, 2016)
Risk/benefit communication for consumers	Consumers drive demand for foods produced with reduced use of antimicrobials	Introduction of front of pack labelling, public education programmes	(Centner, 2016)
Use of alternative food animals	Hardier species need reduced applications of antibiotics to remain healthy	Replacement of the black tiger shrimp with the white leg shrimp in Asian shrimp farms	(Rico, et al., 2013)
Strategic use of antimicrobials	Using antimicrobials in a controlled to stop emergence of AMR bacteria	Prevent animal use of an antimicrobial while clinical resistance is rare	(Smith, Harris, Johnson, Silbergeld, & Morris, 2002)
Vaccination	Prevents disease occurring and hence mitigates the need to use antibiotics	Norwegian salmon industry	(Burridge, Weis, Cabello, Pizarro, & Bostick, 2010)

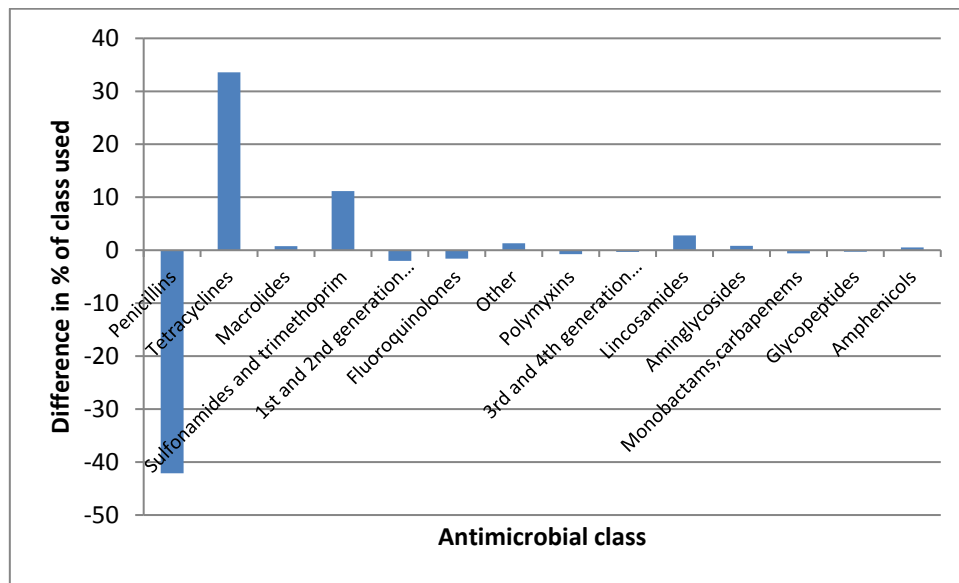


Figure 1. Example of the growth in AMR-related publications over the last two decades. The search was for all of the words “antimicrobial risk beef” anywhere in the record.

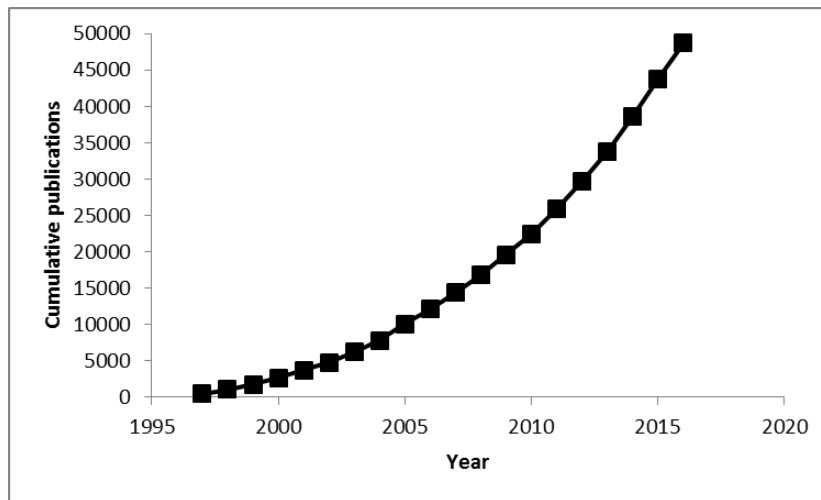


Figure 2. Comparison of the proportion of antibiotics prescribed for human use and sold for veterinary use. A positive number indicates a greater proportion sold for veterinary purposes.

Table 1. A taxonomy of antimicrobial families

Major Group	Sub groups	Site of action	Examples
β-lactams	Penicillins Cephalosporins	Cell wall synthesis	ampicillin, methicillin, penicillin G, amoxicillin 1 st generation: cefalothin, cefalonium 2 nd generation: cefuroxime 3 rd generation: cefotaxime, ceftriaxone, ceftiofur, ceftazidime 4 th generation: cefquinome aztreonam
Aminoglycosides	Monobactams Carbapenems	Protein synthesis	ertapenem, imipenem, meropenem kanamycin, streptomycin, tobramycin, gentamicin, neomycin, amikacin,
Sulphonamides		Folic acid synthesis	sulphonamide, sulfamethoxazole, sulfamethazine, sulfamerazine, sulfadimethoxine
Quinolones/Fluoroquinolones		DNA synthesis	enrofloxacin, ciprofloxacin, levofloxacin, moxifloxacin, norfloxacin , nalidixic acid, flumequine, pefloxacin
Macrolides		Protein synthesis	azithromycin, clarithromycin, dirithromycin, erythromycin, azithromycin, clindamycin, tylosin, spiramycin, carbomycin, oleandomycin, kitsamycin, tiamulin
Polypeptides		Cell membranes	colistin, polymixin B, bacitracin
Other: Glycopeptides		Cell wall synthesis (Gram +ve)	avoparcin, vancomycin, teicoplanin, ardacin
Rifampin/Rifampicin		RNA synthesis	
Linezolid		Protein synthesis (Gram +ve)	
Tetracyclines		Protein synthesis	Tetracycline, doxycycline, oxytetracycline, chlortetracycline
Trimethoprim/ sulfamethoxazole		Folate synthesis	
Coccidiostats		Ionophore	Lasolacid, monensin, salinomycin, narasin. Not used in human medicine.
Streptogramins		Protein synthesis	virginiamycin, quinupristin, dalbapristin (last two combined=synercid)

TABLE 2. Examples of the Prevalence of AMR Isolates in Raw Foods

Organism	Food, country	Criteria	Number (%) of samples containing AMR bacteria and phenotype tested	Reference
<i>Campylobacter</i>	Beef, Poland	EUCAST	0 (0) N = 153 panel	(Wieczorek, Szewczyk, & Osek, 2012)
<i>Escherichia coli</i>	Pork, Poland		0 (0) N = 85 panel	
	Beef, UK	http://eur-ar.eu/233-protocols.htm	2 (0.6) N=312 ESBL, AMPC	(Animal and Plant Health Agency, 2016)
	Pork, UK		6 (2) N=312 ESBL, AMPC	
	Chicken, Thailand	Not related to external standards	4 (29) N=14 ESBL	(Boonyasiri, et al., 2014)
	Meat, Thailand		8 (31)N=26 ESBL	
	Seafood, Thailand		0 (0) N = 9 ESBL	
	Chicken, UK domestic	Not related to external standards	1 (2) N =62 CTX M ESBL enzymes	(Warren, et al., 2008)
	Chicken, Imported into the UK	Not related to external standards	10 (37) N = 27 CTX M ESBL enzymes	
	Retail poultry meat in wet markets, Malaysia	CLSI	86 (54) N = 160 ESBL	(Aliyu, et al., 2016)
	Minced meat, Austria	EUCAST	20 (20) N = 100 ESBL	(Petternel, et al., 2014)
	Retail chicken, Netherlands	Not related to external standards	92 (94) N = 98 ESBL	(Leverstein-van Hall, et al., 2011)
<i>Enterobacteriaceae</i>	Minced pork, Italy	CLSI	3 (0.5-1.5) ¹ N=200 AMPC	(Sabia, et al., 2017)
			0 (0) N =200 ESBL	
<i>Enterococcus faecium</i>	Conventional retail poultry, USA	NCCLS	43 (56) N = 77 quinupristin-dalfopristin R	(Kieke, et al., 2006)
	Antibiotic-free poultry, USA		3 (13) N =23	
<i>Klebsiella</i> spp.	Chicken, Thailand	Not related to external standards	2 (22) N=9 ESBL	(Boonyasiri, et al., 2014)
	Meat, Thailand		8 (31) N=26 ESBL	
	Seafood, Thailand		4 (20) N=20 ESBL	
	Vegetables, Thailand		4 (15) N=15 ESBL	
Methicillin-resistant <i>Staphylococcus aureus</i>	Minced meat, Austria	EUCAST	9 (9) N = 100	(Petternel, et al., 2014)
	Beef products, USA	CLSI	4 (4) N = 100 <i>mecA</i>	(Jackson, et al., 2013)
	Pork products, USA	CLSI	3 (3) N = 100 <i>mecA</i>	
	Beef, Netherlands	Not related to external standards	42 (10.6) N = 395	(de Boer, et al., 2009)
	Veal, Netherlands		39 (15.2) N = 257	
	Lamb/mutton, Netherlands		20 (6.2) N = 324	
	Pork, Netherlands		33 (10.7) N = 309	

Salmonella	Chicken, Netherlands		83 (16.0) N = 520	
	Turkey, Netherlands		41 (35.3) N = 116	
	Fowl, Netherlands		4 (3) N = 118	
	Game, Netherlands		4 (2) N = 178	
	Beef, USA	CLSI	0 (0) N = 29	(Hanson, et al., 2011)
	Turkey, USA		0 (0) N = 36	
	Pork, USA		2 (4) N = 55	
	Chicken, USA		0 (0) N = 45	
	Beef, USA	CLSI	1 (3) N = 38	(Waters, et al., 2011)
	Turkey, USA		1 (4) N = 26	
	Pork, USA		1 (4) N = 26	
	Chicken, USA		0 (0) N = 46	
	Pork, USA	CLSI	5 (6) N = 90	(Pu, Han, & Ge, 2009)
	Beef, USA		1 (3) N = 30	
	Pork, Netherlands	CLSI	2 (3) N = 64	(van Loo, et al., 2007)
	Beef, Netherlands		0 (0) N = 15	
	Beef, USA	CLSI	2 (1) N = 156	(Bhargava, et al., 2011)
	Chicken, USA		3 (4) N = 76	
	Turkey, USA		1 (2) N = 57	
	Chicken, UK	EUCAST	4 (8) N = 50	(Fox, et al., 2017)
	Pork, UK		3 (5) N = 63	
	Turkey, UK		2 (18) N = 11	
Vancomycin-resistant enterococci	Beef, Ireland	Not related to external standards	0 (0) N=22	(Duffy, et al., 1999)
	Lamb, Ireland	Not related to external standards	0 (0) N =10	
	Minced beef, USA	NCCLS	1 (2) N = 50	(White , et al., 2001)
	Live freshwater finfish, China	CLSI	5 (5) N =100. All 5 resistant to erythromycin and penicillin	(Broughton & Walker, 2009)
	Minced meat, Austria	EUCAST	0 (0) N =100	(Petternel, et al., 2014)
	Chicken, Turkey	NCCLS	2 (8) N = 25	(Koluman, Akan, & Çakiroğlu, 2009)
	Beef, Turkey		0 (0) N = 25	
	Spices, Turkey		0 (0) N = 30	
	Seafood, Spain	NCCLS	0 (0) N = 24	(Valenzuela, Benomar, Abriouel, Cañamero, & Gálvez, 2010)
	Beef, Denmark (<i>E. faecium</i>)	Not related to external standards	0 (0) N = 128 ²	(Wegener, Madsen, Nielsen, & Aarestrup, 1997)

¹ Between 0.5 and 1.5% of the samples were positive

² Enrichment was not used

ESBL = extended spectrum β -lactamase producers

AMPC = Class C β -lactamase producing

N = number sampled tested

NCCLS = National Committee for Clinical Laboratory Standards

EUCAST = European Committee on Antimicrobial Susceptibility Testing

CLSI = Clinical and Laboratory Standards Institute

mecA = gene indication MRSA

TABLE 3. Examples of the Prevalence of AMR Isolates in RTE Foods

Organism	Food, country	Criteria	Results (% of isolates resistant) and phenotype	Reference
<i>Escherichia coli</i>	Lettuce leaves, Belgium	CLSI	58 (22) N= 264 Antibiotic panel	(Holvoet, Samplers, Callens, Dewulf, & Uyttendaele, 2013) (Boonyasiri, et al., 2014)
	Market foods, Thailand	Not related to external standards	2 (6.7) N= 30 ESBL	
	Street vended hamburgers, Portugal	CLSI/EUCAST	2 (20) N = 10; 1 R to tetracycline, 1 R to amoxicillin, chloramphenicol, streptomycin, sulfamethoxazole, tetracycline	(Campos, Gil, Mourão, Peixe, & Antunes, 2015)
	Street vended hot dogs, Portugal		2 (20) N = 10; 1 R to nalidixic acid, 1 R to amoxicillin, chloramphenicol, ciprofloxacin, streptomycin, kanamycin, nalidixic acid, tetracycline, trimethoprim	
<i>Listeria spp.</i>	Retail foods, Turkey	Not related to external standards	13 (13) N = 100. All S to chloramphenicol, trimethoprim, tetracycline and tobramycin; all R to rifampicin	(Şireli & Gücükoğlu, 2008)
	Vegetable products, Brazil	CLSI	2 (2) N = 132. R to Penicillin G and tetracycline. S to cefoxitin, ciprofloxacin, erythromycin, streptomycin, oxacillin, vancomycin	(de Vasconcelos Byrne, Hofer, Vallim, & de Castro Almeida, 2016)
	Dairy products	CLSI	0 (0) N = 65	(Chen, Wu, Zhang, Yan, & Wang, 2014)
	Cold noodles in sauce		0 (0) N = 24	
	Cooked beef		0 (0) N = 3	
<i>Salmonella</i>	Miscellaneous ready-to eat foods		N/A (1) N = 131	(Khaitsa, Kegode, & Doetkott, 2007)
	Retail turkey products, USA	NARMS/FDA	6 (1) N =614 R ≥ 2 antibiotics	
Vancomycin resistant enterococci	Cheese (different types), Turkey	NCCLS	0 (0) N =50	(Koluman, et al., 2009)
	Yoghurt		0 (0) N =20	
	RTE cooked foods		0 (0) N = 50	

N = number sampled tested R resistant S susceptible

NCCLS = National Committee for Clinical Laboratory Standards

Table 4. Some examples of potential alternatives/interventions to negate AMR bacteria.

Alternative/intervention	Effect	Examples	References
Antibacterial peptides	Alternative to antibiotics	Structurally nanoengineered antimicrobial peptide polymers to treat Gram negative infections	(Lam, et al., 2016)
Antibodies	Bind to and inactivate pathogens	Avian Antibodies (IgY)	(Kollberg, 2015)
Bacteriophages	Antimicrobial replacement	Treatment of AMR <i>Pseudomonas</i> otitis	(Wright, Hawkins, Änggård, & Harper, 2009)
Competitive exclusion	A benign bacterium is able to outcompete a pathogen	Control of <i>E. coli</i> infections in weaned pigs	(Harvey, Anderson, Genovese, Callaway, & Nisbet, 2005)
Decontamination of waste/irrigation water	Breaking the cycle of environmental transmission	AMR bacteria have been detected in tap water and grew in the distribution system	(Xi, et al., 2009)

Diagnostics	Provide rapid information to aid decision making in antimicrobial prescription	C-reactive protein testing to inform prescribing in lower respiratory tract infections	(Cals, Schot, de Jong, Dinant, & Hopstaken, 2010)
Incentives for stakeholders (farmers/veterinarians)	Subsidies for appropriate AMR use; fines for inappropriate use; standards for traded foods; increased surveillance; retailer pressure	McDonald's goal set in 2015 to "only serve chicken not treated with antibiotics important in human medicine"	http://news.mcdonalds.com/us/news-stories/2016/mcdonald-s-usa-announces-big-changes-to-its-food
Infection prevention and control interventions	Minimise the spread of pathogens regardless of antimicrobial susceptibility	"all-in-all-out" animal rearing systems	(Schuppers, et al., 2005)
Phage endolysins	Antimicrobial replacement	Various clinical applications to replace use of antibiotics and, for example, killing MRSA. For treatment of Gram positive infections.	(Schmelcher, Donovan, & Loessner, 2012)

Probiotics/prebiotics/syn biotics	Improve animal health	Treatment of <i>Clostridium difficile</i> infections	(Lau & Chamberlain, 2016)
Risk/benefit communication for consumers	Consumers drive demand for foods produced with reduced use of antimicrobials	Introduction of front of pack labelling, public education programmes	(Centner, 2016)
Use of alternative food animals	Hardier species need reduced applications of antibiotics to remain healthy	Replacement of the black tiger shrimp with the white leg shrimp in Asian shrimp farms	(Rico, et al., 2013)
Strategic use of antimicrobials	Using antimicrobials in a controlled to stop emergence of AMR bacteria	Prevent animal use of an antimicrobial while clinical resistance is rare	(Smith, Harris, Johnson, Silbergeld, & Morris, 2002)
Vaccination	Prevents disease occurring and hence mitigates the need to use antibiotics	Norwegian salmon industry	(Burridge, Weis, Cabello, Pizarro, & Bostick, 2010)