



Diclofenac biodegradation by newly isolated *Klebsiella* sp. KSC: Microbial intermediates and ecotoxicological assessment

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ABSTRACT

The presence of diclofenac, a frequently used analgesic drug in the environment, can be harmful to a variety of organisms like algae, crustaceans and fish. In this study, a bacterial strain of *Klebsiella* sp. KSC (Gen Bank, accession number KX500307) able to biodegrade high concentration of diclofenac was isolated from livestock soil and identified. The exposure of *Klebsiella* sp. KSC to 70 mg/L of diclofenac resulted in diclofenac mineralization after 72 h. This is the first study that points out substantial biodegradation of high concentration of diclofenac (70 mg/L) in less than 72 h; and this shows the potential of this strain to be bioaugmented in contaminated sites or to bioreactors. The chemical structure of twelve biotransformation products of diclofenac are proposed. Its transformation pathway may involve hydroxylation, dehydroxylation, decarboxylation and dechlorination of the central ring of diclofenac. Acute ecotoxicity assay with *Vibrio fischeri* test showed that the main biotransformation product (TP298) of diclofenac by *Klebsiella* sp. KSC was less toxic than the parent compound.

1. Introduction

Diclofenac (2-{2-[(2,6-dichlorophenyl)amino]phenyl}acetic acid) is a regularly used analgesic, antiarthritic and antirheumatic non-steroidal anti-inflammatory drug, whose global consumption quantities of 940 t per year [1]. Diclofenac has a low removal efficiency ranging from 5 to 81% in conventional sewage treatment [2–5], and has been found in wastewater treatment plant effluents in many countries worldwide like Germany [6], Spain [7], and Canada [8].

Diclofenac is considered as a contaminant of emerging concern. It has been included in the list of priority hazardous compounds [9]. Diclofenac has been found to be toxic at the low µg/L levels in chronic exposures of different organisms like fish and mussels, as reviewed in Vasquez et al. [10]. Interestingly, a permanent shift of the microbial community composition of a wastewater treatment bioreactor was observed after an exposure to diclofenac at 50 µg/L [11]. It has also been found to influence the nature of a fluvial bacterial community at the 10–100 µg/L range [12].

The advanced technologies for diclofenac treatment such as advanced oxidation and membrane-based technologies can remove diclofenac at a higher rate compared to biological treatment. However, their high operational cost and the potential formation of toxic

transformation products (for advance oxidation technologies) is a serious drawback [13]

Quintana et al. [14] used fresh activated sludge as inoculum with 20 mg/L of diclofenac as the only carbon source in phosphate buffer under aerobic condition and no transformation was obtained for diclofenac under these conditions over 28 days. The addition of external carbon source in order to achieve co-metabolism did not have any positive effect on diclofenac biodegradation either.

Tran et al. [15] in a review regarding biodegradation of emerging contaminants stated that diclofenac is poorly removed by bacterial consortia (i.e. mixed culture/activated sludge). The study of Bouju et al. [16] found that 40% diclofenac was removed after 18 days of biological MBR biomass batch incubation. The analyses of metabolites have shown that the hydroxylation of diclofenac to 4'-hydroxydiclofenac was identified as one major bottleneck in diclofenac biotransformation [16]. Gröning et al. [17] showed under aerobic conditions in a fixed-bed column bioreactor that biofilms of river sediment partially mineralised diclofenac to a stable end product 5-hydroxydiclofenac-pbenzoquinone imine.

Recently, Facey et al. [18] used forest soil and found that diclofenac 100 mg/L could be biodegraded in less than 10 days. The aforementioned studies [16–18,14], however, used a mixed culture during

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biodegradation of diclofenac and no information was pointed out regarding the microbial population and the dynamics of the tested soil. Therefore, one of this work's objective was to isolate the microorganisms that can biodegrade diclofenac as a sole carbon source. This, apart from fundamental knowledge can have a potential in practical applications; by adding the microorganisms (diclofenac utilizers) in environment or system can enhance diclofenac biodegradation.

Recently, Bessa et al. [19] has isolated from activated sludge the strain *Brevibacterium* sp. D4 that was able to biodegrade 35% of 10 mg/L of diclofenac as a sole carbon source in 30 days. Aissaoui et al. [20] has also isolated from activated sludge the *Enterobacter hormaechei* D15 strain that was able to biodegrade 52.8% of diclofenac as a sole carbon source in 48 h.

In another recent study, Moreira et al. [13] used *Labrys portucalensis* F11 and found 70% biotransformation of diclofenac (10 mg/L) in 30 days. This strain was previously isolated from industrial contaminated cited and can biodegrade fluorobenzene as a sole carbon source [21].

However, up to date, no study has attempted to isolate bacteria from environmental contaminated sites that can biodegrade substantially higher concentration of diclofenac as a sole carbon compared to other studies. The potential microbial isolate strain can point out fundamental aspect regarding diclofenac metabolites during its biodegradation. Also, it can be used for bioremediation in soil or aquatic environments and/or it can be bioaugmented in bioreactors or in biological systems in WWTPs.

Therefore the objectives of this study was (i) to isolate and identify bacteria capable to biodegrade high concentration of diclofenac (70 mg/L) in relatively short time (ii) to investigate diclofenac transformation pathways, and (iii) to assess the ecotoxicity of biotransformation products of diclofenac.

2. Materials and methods

2.1. Isolation of pure strain from environmental samples

Samples were collected from various sources such as from soil exposed to livestock in Aradipou area (Cyprus), sludge from a lake (Paralimni lake, Cyprus) and from surface water from a dam (Liopetri, Cyprus). The aforementioned samples could have been potentially exposed to recalcitrant compounds from various sources and therefore microbial population able to diclofenac biodegradation could have been potentially developed. The samples (5 g) were used as an inoculum for the enrichment culture, which was cultivated in a sterilized mineral salts medium (MSM) modified as follows: (0.5 g/L $(\text{NH}_4)_2\text{SO}_4$, 0.5 g/L K_2HPO_4 , 0.2 g/L MgCl_2 , 0.007 g/L $\text{CaCl}_2 \cdot \text{H}_2\text{O}$, 0.5 g/L NaCl, (chemicals were purchased from Sigma–Aldrich). Enrichment of microorganisms was carried out in 100 ml flasks with 50 ml working volume, which were supplemented with 0.1 g/L of diclofenac (from ethanol stock solution) and inoculated with 5 g of sample under sterile conditions for the initial activation of the pollutant degraders. The samples were incubated for a period of 7 days at 30 °C, at pH 7 and 100 rpm shaking. The isolation and characterization of diclofenac degrading bacteria was performed by the removing 0.1 ml sample from each flask that exhibited high turbidity, and then serially diluted by a factor of 10^{-1} to 10^{-10} . The diluted samples were plated by the streak method on the MSM medium containing agar and 0.1 g/L diclofenac. The inoculated Petri dishes were incubated for 7 days at 30 °C. Following the incubation period, single colonies were picked and re-cultivated in MSM agar media following the same procedure as stated above. This procedure was repeated four times until pure identical colonies were observed.

2.2. Strain characterization by 16S rRNA sequence analysis

DNA extraction was done by exposing the microbial pellet to 1%

Sodium Dodecyl Sulfate (SDS) (Sigma–Aldrich) and 0.2 M NaOH (Sigma–Aldrich) solutions for 15 min Drakou et al. [22]. The primers 27 F and 1492 R were used to amplify the 16S rRNA region. Sequencing products were resolved by Macrogen (the Netherlands) on an Applied Biosystems model 3730 XL automated DNA sequencing system (Applied BioSystems, USA). The 16S rRNA gene nucleotide sequences of isolated strain were analysed using BLAST (<http://ncbi.nlm.nih.gov/BLAST>).

2.3. Phylogenetic tree diagram

Alignment for phylogenetic analysis of 16S rDNA was made by using Clustal W. Phylogenetic tree was constructed using the neighbour joining method with MEGA 6.06 software. Bootstrapping analysis for 10000 replicates was performed to estimate the confidence of tree topologies. The analysis involved 9 nucleotide sequences.

2.4. Biodegradation of diclofenac

A 49 ml MSM growth medium (pH 7) placed in a 100 ml sterilized bottles and 1 ml of diclofenac was added (from a stock solution in ethanol) as a sole carbon source so the final diclofenac concentration was 70 mg/L. The bottles were placed in 30 °C and 100 rpm and the initial OD600 of inoculated microorganism was 0.07. Experiments were performed in duplicate under sterile conditions and control assays were set under the same conditions with no microorganism's inoculation. Samples were taken at 6 h, 18 h, 28 h, 48 h and 72 h. Cell growth was monitored spectrophotometrically (PerkinElmer Lambda 25 UV/VIS Spectrometer) by measuring the optical density at 600 nm in the batch experiments. A preliminary screening of diclofenac concentrations was also done by absorbance measurement at 278 nm with a calibration curve recorded between 1 and 30 mg/L ($R^2 = 0.99$). The samples were diluted in order to be within the calibration curve. At the 72 h, a UV scan of the samples from 240 to 340 nm took place for monitoring of the diclofenac pick at 278 nm or any other pick that could be form.

2.5. Acute toxicity testing with *Vibrio fischeri*

The toxicity of diclofenac and the biotransformation products created by the isolated strain was evaluated. Nine sterilized bottles (100 ml) were filled with 50 ml of MSM growth medium. All bottles were placed in a shaking incubator in which the conditions were stabled (32 °C and 100 rpm). Samples were taken at 0 h (immediately after addition of the diclofenac), 6 h, 24 h and 72 h, filtered through 0.22 µm, pH adjusted and kept at –20 °C until analysis. The bioluminescent marine bacteria *V. fischeri* were used for acute toxicity testing as described elsewhere [23]. The results are expressed as the inhibition percentage of the bioluminescence of the bacteria. The variability of this assay was in the range of ± 10% deviation.

2.6. UPLC–MS/MS analysis

Samples were taken from serum bottles at 0 h, 6 h, 24 h and 72 h, filtered through 0.22 µm and kept at –20 °C until analysis. Solid phase extraction (SPE) for pre-concentration of target analytes in samples was carried out using Oasis HLB cartridges (3 cc, 60 mg) from Waters Corporation (Milford MA, USA) Gros et al., 2009. Analyses of biotransformation products of diclofenac were performed by Ultra Performance Liquid Chromatography coupled with mass spectrometry on an ACQUITY TQD UPLC–MS/MS system (Waters) using a method specifically developed for this application. A triple quadrupole mass spectrometer TQD (serial number QBA012) coupled with electrospray ionization (ESI) source running in positive ion (PI) mode was used for the detection of analysis. Data acquisition was performed with MassLynx™ software.

For the identification of biotransformation products at first, a full scan mode (range of m/z 50–1000) and a selected ion recording (SIR)

mode at cone voltage of 50 V were performed. Additionally a multiple-reaction monitoring mode (MRM) were used to acquire Mass (MS) spectra to confirm the biotransformation products based on the observed fragmentations.

In this framework of the study, several analytical data such as information about MS/MS fragmentation pattern and the exact mass values of the protonated molecule $[M + H]^+$ which was selected as the precursor ion for the collision-induced dissociation fragmentation to give MS2 product were used for the most accurate determination of the unknown structures of the formed TPs. For the further MSn analysis the ion with the highest relative abundance in intensity was chosen.

Chromatographic separation was carried out using BEH Shield RP C18 column (50 mm × 2.1 mm I.D., particle size 1.7 μm) (Waters). An optimized gradient was used at a constant flow rate of 0.3 ml/min using water + 0.1% formic acid (solvent A) and methanol (solvent B). The gradient elution was: 0 min, 5% B; 0–2 min linear from 5 to 30% B; 2–3 min, 50% B; 3–5 min, 70% B; 5–7 min, 95% B; 7–9 min linear from 95 to 5% B. The temperature of column was $T_{\text{column}} = 40^\circ\text{C}$ and run time 9 min.

3. Results and discussion

3.1. Isolation and characterisation of bacteria

During the isolation procedure diclofenac was provided at a higher concentration (70 mg/L) compare to the concentrations found at the environment in order to avoid any substrate deficiency and to select the strains that diclofenac can be used as a sole carbon source.

After three months of enrichment, only 3 pure colonies were found to grow well in the Petri dish with 100 mg/L of diclofenac as the sole carbon source. Then, the ability of individual colonies to degrade 70 mg/L of diclofenac at liquid culture was tested and only 1 of the 3 strains grew well in 70 mg/L after 72 h. The initial source of the tolerant isolated strain to diclofenac (KSC) was from soil exposed to livestock in Aradipou area. The selected nucleotide sequence of KSC (1418 bp) was analysed for bacterial species homology through the NCBI database by BLASTn tool analysis. Following a comparison of the strain's homology with that of other microorganisms in the NCBI database, a 99.8% homology with *Klebsiella oxytoca* sp. CAV1752 was found. 16S rRNA sequences of isolated strain of *Klebsiella* sp. KSC was submitted to Gen Bank and the accession number KX500307 was given. Phylogenetic tree (Fig. 1) was constructed with a reliability branch percentage value of more than 70%. *Citrobacter freundii* ATCC 8090 (NR_028894.1) as well as *Escherichia coli* (J01859.1) were used as outgroups to root the tree. According to obtained phylogenetic tree, the sequences of 16S rRNA of the strain *Klebsiella* sp. KSC has a high level reliability (94%) and forms a single cluster and possesses 99% sequence similarity with the corresponding nucleotide sequence of the strain *K. oxytoca* JCM1665 (NR_112010.1).

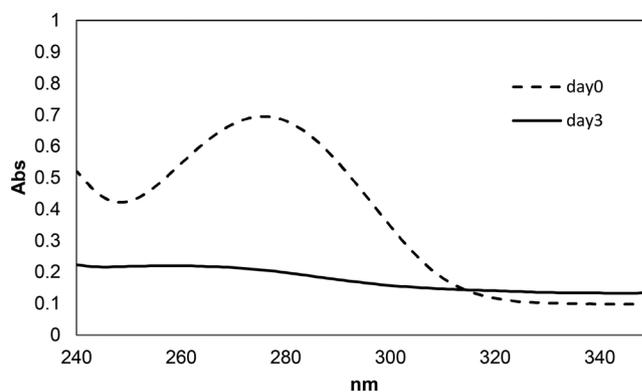
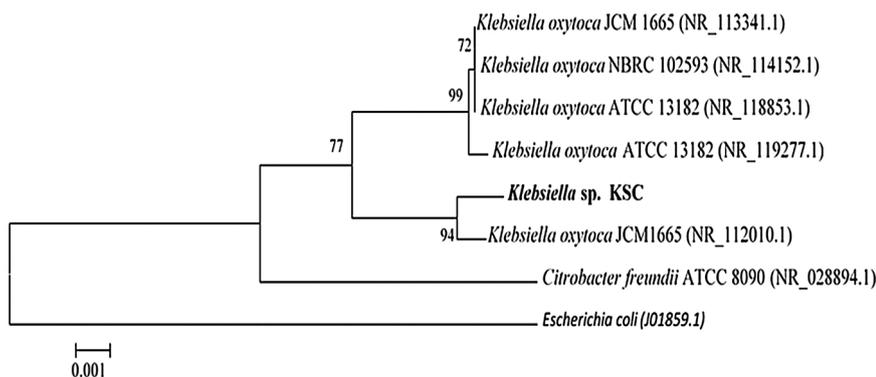


Fig. 2. UV absorbance of diclofenac at day 0 (broken line) and after the inoculation with *Klebsiella* sp. KSC at day 3 (continuous line).

3.2. Biodegradation of diclofenac by pure *Klebsiella* sp. KSC

During the first 28 h a slight reduction of the concentration of diclofenac was detected by the isolated *Klebsiella* sp. KSC. However, after 28 h the absorbance at 278 nm significantly decreased and at 72 h it was almost eliminated. As can be seen from Fig. 2 no peak is detected at 278 nm after 72 h, indicating a significant reduction of the core compound. A UPLC–MS/MS analysis took place in order to point out the microbial intermediates and the final products.

3.3. Biotransformation products of diclofenac during *Klebsiella* sp. KSC biodegradation

Table 1 presents the proposed twelve biotransformation products of diclofenac (i.e. TP144, TP177, TP254, TP259, TP294, TP312, TP310, TP328, TP298, TP282, TP286 and TP301) with a peak height greater than 15% the highest peak intensity. Most of the biotransformation products of diclofenac demonstrate preservation of the core of diclofenac structure (i.e. TP254, TP294, TP312, TP310, TP328, TP298, TP282, TP286 and TP301). Hydroxylation may be the driving mechanism to form TP328, TP298, TP286 and TP312. This is in accordance with previous reports, in which hydroxylation and decarboxylation of the aromatic rings of diclofenac was observed [24]; Sargado et al., 2013. According to Facey et al. [18], one of the biodegradation mechanisms, which is observed at diclofenac, is the a bio-activated hydroxylation by the hepatic cytochrome P450 enzymes in humans and animals, producing several hydroxylation biotransformation products such as TP312 and TP328 [25–28,18]. It is significant to mention that the TP312, TP328 and TP310 are reported by Facey et al. [18]. As a result of these mechanisms, alcohols and ketones may be formed. More specifically, the biodegradation of diclofenac could lead to the formation of mono-hydroxylated compounds (HO-diclofenac, i.e. TP312, TP254, T177 and TP144), di-hydroxylated compounds (2-HO-diclofenac, i.e. TP328 and TP259), tri-hydroxylated product (3-HO-

Fig. 1. Phylogenetic position of new isolated *Klebsiella* sp. KSC. The evolutionary history was inferred using the Neighbor-Joining method. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (10000 replicates) are shown next to the branches. The evolutionary distances were computed using the Maximum Composite Likelihood method. Evolutionary analyses were conducted in MEGA 6.

Table 1
Identification of the transformation products of diclofenac.

Compound	<i>m/z</i>	Structure
TP144	144	
TP177	177	
TP254	254	
TP259	259	
TP282	282	
TP286	286	
TP294	294	
TP298	298	
TP301	301	
TP310	310	
TP312	312	
TP328	328	

diclofenac, i.e. TP298 and TP286) and tetra-hydroxylated compound (4-HO-diclofenac, i.e. TP301). TP298 can be formed through the joint removal of a carboxyl group and two hydrogens from the diclofenac and addition of three hydroxyl groups to the parent compound. TP286 can originate from the detachment of the acetate group from the structure of the parent substance and subsequently tri-hydroxylation of the diclofenac. TP282 may occur by the concurrent elimination of carboxylic group, dihydroxylation and dehydrogenation of the core molecule. TP294 may be obtained due to (i) the sequential loss of

hydroxyl group and cyclization between the carboxyl group and the atom of nitrogen and (ii) addition of hydroxyl group at the benzene ring of diclofenac. TP259 can be formed through the reaction pathway diclofenac \rightarrow TP294 \rightarrow TP259, through the elimination of the C_4H_6O group and hydroxylation of the di-chlorobenzene. Loss of acetate group of the aromatic ring of diclofenac, as well as the addition of the hydroxyl group at the structure of diclofenac can lead to the formation of TP254. TP301 (*m/z* 301) may be possibly formed from the elimination of the acetic acid group and the addition of four hydroxyl groups or through the reaction diclofenac \rightarrow TP286 \rightarrow TP301 in which a hydroxyl group is added to the benzene moieties of TP286. TP177 and TP144 may be generated by the cleavage of the non-chlorinated aromatic ring. More specifically, TP177 may be formed by the cleavage between the amine group and the benzene ring followed by the addition of the hydroxyl moiety at the atom of nitrogen. TP144 can result from the cleavage between the amine atom and the aromatic ring, the elimination of the chlorine group and subsequently addition of a hydroxyl group. TP312 may be formed by the addition of hydroxyl group to the aromatic ring. It is considered as one of the major metabolites of the oxidative diclofenac metabolism in humans [28]; [26] and one of the main transformation products during the (sono)photocatalysis of diclofenac [28]. TP310 can result from dehydrogenation of the hydroxyl and amine group of TP312. Cytochromes P450 (CYP) are the most significant genes involved in the hydroxylation of diclofenac in humans [29] and are known to be produced by bacteria as well [30].

Fig. 3(A), shows the fragmentation spectrum of diclofenac (*m/z* 296) which presents the characteristic fragment ions at *m/z* 278, *m/z* 250 and *m/z* 215 which are obtained due to the (i) sequential loss of hydroxyl group and cyclization between the methyl group and the nitrogen moiety, (ii) loss of the carboxyl group and, (iii) loss of carboxyl group and chlorine from the central ring of diclofenac. The biotransformation products were generated through the combination of several mechanisms such as hydroxylation, decarboxylation and dechlorination. It should be noted that this study clearly demonstrates that all the biotransformation products of diclofenac are chlorinated compounds, showing that the complete reduction of chlorine moiety is difficult to occur.

Fig. 3(B) shows the fragmentation pattern of TP301. This MS/MS pattern confirmed the postulated structure of TP301 showing the different fragments at *m/z* 254 and *m/z* 205, which correspond to the: (i) loss of an acetic acid moiety and subsequently addition of a hydroxyl group, and (ii) loss of a chlorine group and one acetic acid group. According to the formation ratio of the detected TPs of ERM based on the area of the peaks of the chromatograms

Fig. 4 illustrates the evolution of the formation ratio of the main biotransformation products of diclofenac based on the area of the peaks of the chromatograms. The amount of each biotransformation product is expressed as a percentage of the peak area obtained over the concentration of diclofenac. After 72 h of treatment, the estimated transformation ratio of diclofenac and its biotransformation products ranged between 5 and 90%. Diclofenac has shown incomplete removal within the 72 h treatment. TP298 was the dominant intermediate product over the other detected biotransformation products. It appears after 6 h of treatment appeared while its concentration continuously decreases until 72 h of treatment. TP177 was found at constant concentration from the start until the end of treatment reaction. In contrast, the concentrations of the other biotransformation products were reduced but remained steady until the end of the treatment.

3.4. Acute toxicity assessment with bioluminescent bacteria

As presented in Fig. 5, diclofenac was severely toxic to *Vibrio fischeri* at the concentrations tested (mg/L range) both 5 and 15 min exposure time. The same trend was observed in the control experiments (MSM + diclofenac) of 24 and 72 h incubation, indicating that diclofenac is not transformed by other abiotic factors during the experiment.

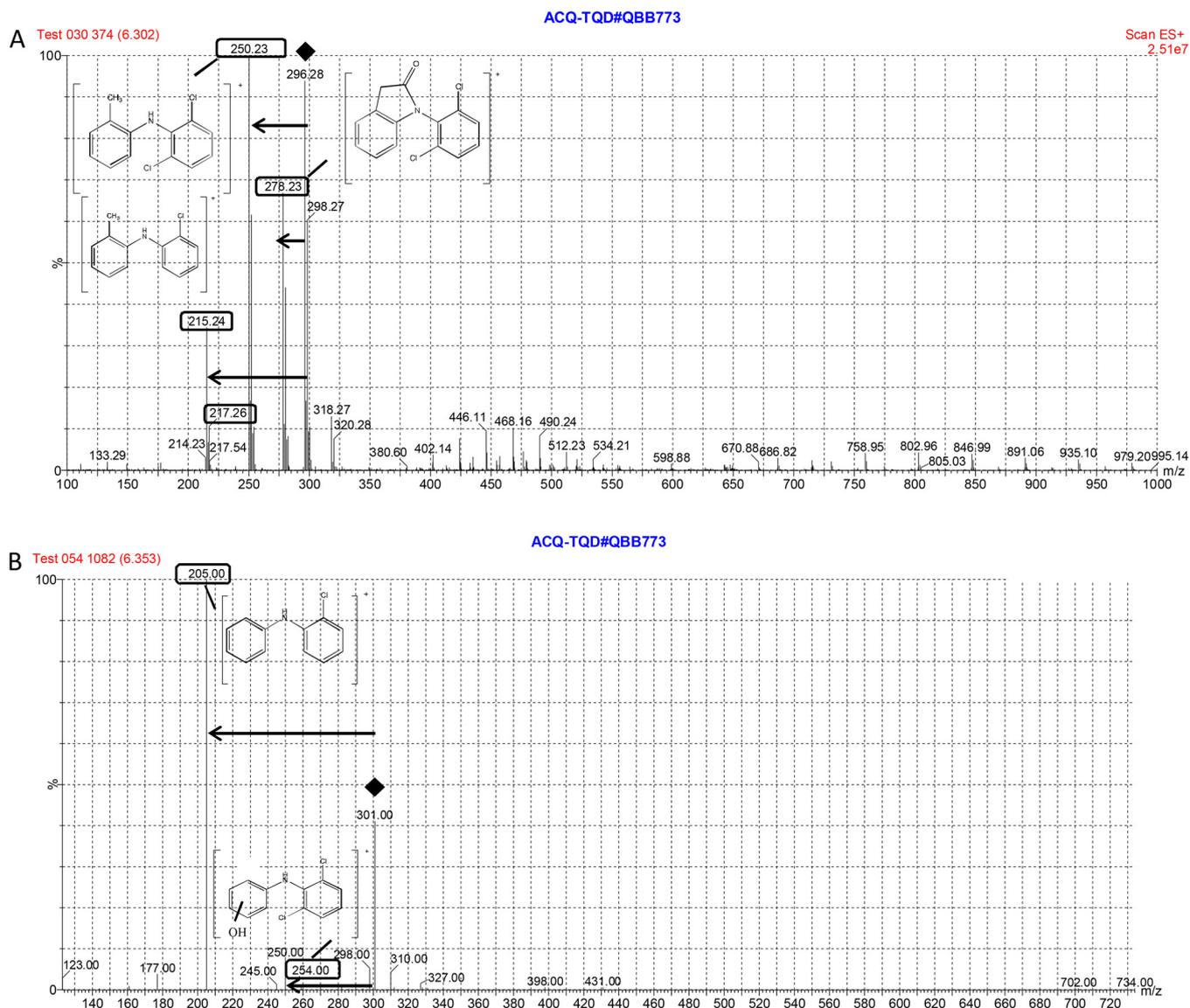


Fig. 3. (A) Product ion mass spectra (MS/MS spectrum) of the diclofenac and (B) Product ion mass spectra (MS/MS spectrum) of the TP301.

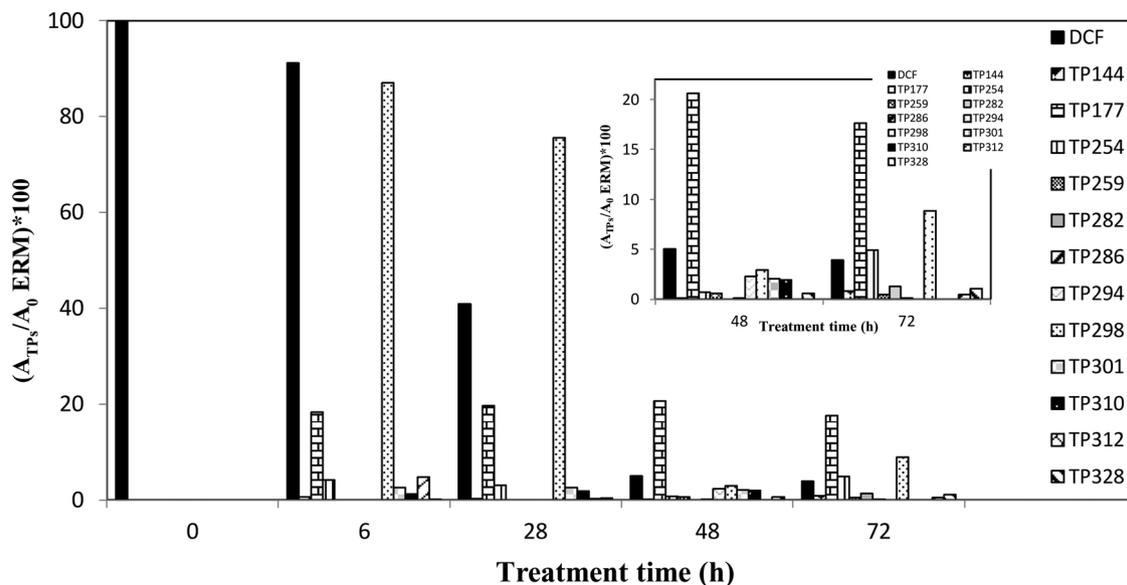


Fig. 4. The formation ratio based on the area of the chromatographic peaks of the identified biotransformation products during the transformation of diclofenac.

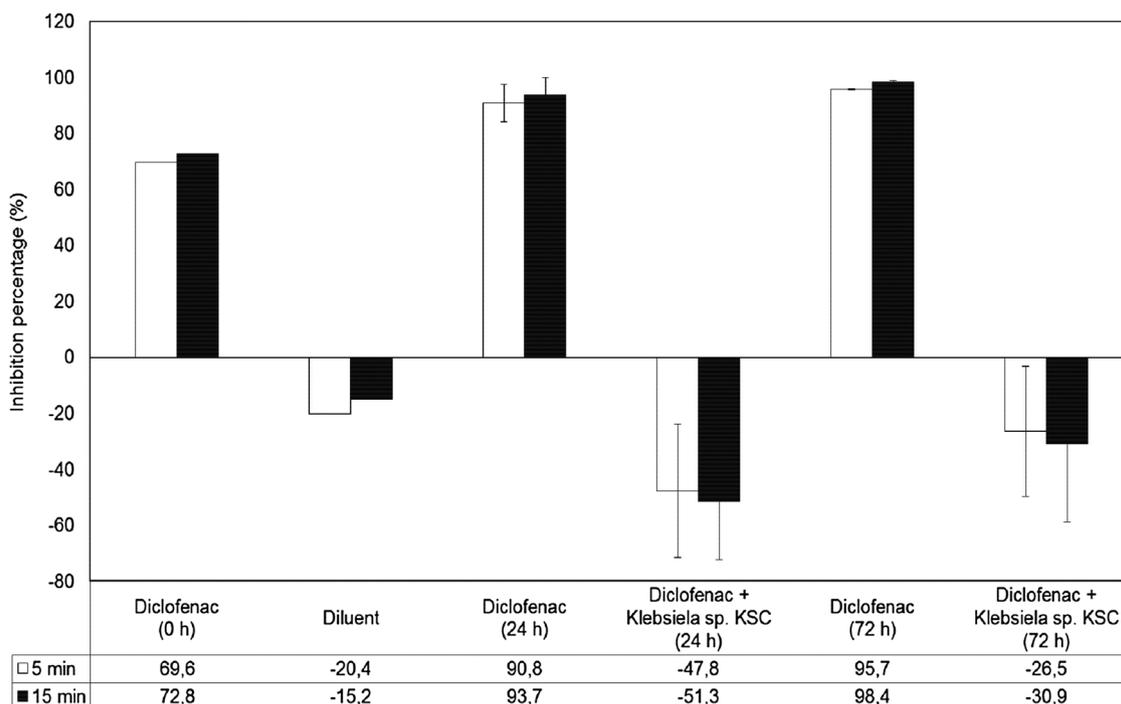


Fig. 5. Toxicity of diclofenac and diclofenac to *Vibrio fischeri* at the concentrations tested (mg/L range) both 5 and 15 min exposure time.

The bioluminescence inhibition of the control experiments ranged between 69 and 98%. The incubation of diclofenac with the isolated strain *Klebsiella* sp. KSC resulted to the biodegradation of diclofenac to non-toxic/hormetic compounds both at 24 and 72 h. The bioluminescence inhibition of *V. fischeri* after a 5- and 15-min exposure time to the samples incubated for 24 h (MSM + diclofenac + microorganisms 24 h) was -47.8% and -51.3% , respectively. Greater bioluminescence inhibition was observed when the incubation period was 72 h with -26.5% (5 min) and -30.9% (15 min), inhibition percentage. This is an indication that the reaction intermediates stimulated the microbial growth. The differences between exposure times (5 and 15 min) and incubation periods (24 and 72 h) are not statistically significant. The diluent (ethanol) at the concentrations used in this study was not found to cause toxic effects.

4. Conclusions

Soil and sludge samples from various environmental sources in Cyprus such as livestock, lake and dam were screened for microbial isolates able to biodegrade high concentration of diclofenac (70 mg/L). The strain able to biodegrade diclofenac (70 mg/L of diclofenac in 72 h) was isolated from livestock soil and was identified as *Klebsiella* sp. KSC (Gen Bank, accession number KX500307). This is the first study that points out an environmental isolate strain able to biodegrade high concentration of diclofenac (70 mg/L) in less than 72 h and this shows the potential of this strain to be bioaugmented in contaminated sites or to bioreactors. The main degradation pathways of diclofenac appear to involve hydroxylation, decarboxylation and partly dechlorination of the compound. The *V. fischeri* test showed that the biotransformation products of diclofenac by *Klebsiella* sp. KSC, were not able to cause toxic effects in contrast to diclofenac.

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Further reading

- T. Testing, Toxicity Testing “sensitive, rapid & cost effective” Applications of the Microtox System, Fisheries (Bethesda) (1988).