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1 **Faba bean in cropping systems**

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Abstract

20 The grain legume (pulse) faba bean (*Vicia faba* L.) is grown world-wide as protein source for
21 food and feed, but at the same time faba bean offers ecosystem services such as renewable inputs
22 of nitrogen (N) into crops and soil via biological N₂ fixation, and a diversification of cropping
23 systems. Even though the global average grain yield has almost doubled during the past 50 years
24 the total area sown to faba beans has declined by 56% over the same period. The season-to-
25 season fluctuations in grain yield of faba bean and the progressive replacement of traditional
26 farming systems, which utilized legumes to provide N to maintain soil N fertility, with
27 industrialized, largely cereal-based systems that are heavily reliant upon fossil fuels (= N
28 fertilizers, heavy mechanization) are some of the explanations for this decline in importance.
29 Past studies of faba bean in cropping systems have tended to focus on the effect of faba bean as a
30 pre-crop in mainly cereal intensive rotations, whereas similar information on the effect of
31 preceding crops on faba bean is lacking. Faba bean has the highest average reliance on N₂
32 fixation for growth of the major cool season grain legumes. As a consequence the N benefit for
33 following crops is often high, and several studies have demonstrated substantial savings (up to
34 100-200 kg N ha⁻¹) in the amount of N fertilizer required to maximize the yield of crops grown
35 after faba bean. There is, however, a requirement to evaluate the potential risks of losses of N
36 from the plant-soil system associated with faba bean cropping via nitrate leaching or emissions
37 of N₂O to the atmosphere as a consequence of the rapid mineralization of N from its N-rich
38 residues. It is important to develop improved preventive measures, such as catch crops,
39 intercropping, or no-till technologies, in order to provide farmers with strategies to minimize any
40 possible undesirable effects on the environment that might result from their inclusion of faba
41 bean in cropping system. This needs to be combined with research that can lead to a reduction
42 in the current extent of yield variability, so that faba bean crop may prove to be a key component
43 of future arable cropping systems where declining supplies and high prices of fossil energy are
44 likely to constrain the affordability and use of fertilizers. This will help address the increasing
45 demand by consumers and governments for agriculture to reduce its impact on the environment
46 and climate through new, more sustainable approaches to food production. The aims of this

47 paper are to review the role of faba bean in global plant production systems, the requirements for
48 optimal faba bean production and to highlight the beneficial effects of faba bean in cropping
49 systems.

50 *Keywords:* Break-crop effect; Crop rotation; Nitrogen dynamics; N₂ fixation; *Vicia faba* L.

51 **1. Introduction**

52 Faba bean (*Vicia faba* L., broad bean, horse bean) is grown world-wide in cropping systems as a
53 grain (pulse) and green-manure legume. The faba bean contributes to the sustainability of
54 cropping systems via: 1) its ability to contribute nitrogen (N) to the system via biological N₂
55 fixation, 2) diversification of systems leading to decreased disease, pest and weed build-up and
56 potentially increased biodiversity, 3) reduced fossil energy consumption in plant production, and
57 4) providing food and feed rich in protein. Yet despite this, faba bean was only grown on c. 2.6
58 mill ha in recent years (FAOSTAT, 2008), which is comparable to just 39% of the global dry
59 pea (*Pisum sativum* L.) area and only 3% of the soybean (*Glycine max* L.) area. The area sown
60 to faba bean has been declining in the main countries of production such as China, and reflects a
61 general trend since the 1960's for an increasing reliance by farmers upon N fertilizers rather than
62 legume systems as a source of N input (Smil, 2001; Crews and Peoples, 2004). The productivity
63 of most cereal-based cropping systems (wheat [*Triticum aestivum* L.]: 216 mill. ha, rice [*Oryza*
64 *sativa* L.]: 154 mill. ha and maize [*Zea mays* L.]: 144 mill ha; FAOSTAT, 2008) are now
65 strongly dependent on fossil energy for N fertilizer manufacture, transport and spreading (Smil,
66 2001). The limited resources of fossil energy, the emissions of CO₂ as a result of the production,
67 distribution and application of fertilizer N, and the health and environmental implications of the
68 losses of large amounts of N from fertilized soils as a consequence of inefficiencies in plant use
69 of fertilizer N (Peoples et al., 2004; Crews and Peoples, 2005), suggests that it is timely to
70 reassess the potential role of legumes, such as faba bean, as a source of N for future cropping
71 systems (Jensen and Hauggaard-Nielsen, 2003; Crews and Peoples, 2004) .

72 A cropping system is characterized by three main factors: 1) the nature of the crops and
73 pastures in the system and how they respond to and affect the biological, chemical and physical
74 environment, 2) the succession of crops and pastures in the system (from monoculture to species

75 rich dynamic or fixed rotations) and 3) the series of management techniques applied, including
76 varieties of crop and pasture species in the system. To develop successful cropping systems it is
77 necessary to understand how a crop such as faba bean responds to biological, chemical, physical,
78 and climatic variables, and how this response can be influenced by management. It is also
79 important to determine how faba bean cultivation affects the productivity of subsequent crops
80 (Sebillotte, 1995; Crozat and Fustec, 2006; Peoples et al., 2009a). A farmer's decision about
81 which cropping system to adopt will be based on: 1) externalities to the farm such as the climate
82 change, markets, regulations and the availability of new technologies, and 2) the farmer's own
83 goals about production requirements, economics, and environmental stewardship, and attitude to
84 factors such as risk (Tanaka et al., 2002). To develop sustainable cropping systems is a complex
85 task, which involves many parameters, and it requires the necessary knowledge to be able to
86 respond to sudden changes in these parameters at different scales, e.g. in the market or in parts of
87 a field. The challenge is to exploit synergism in time and space through crop sequencing to
88 enhance crop yields with improved resource use efficiency and a reduced risk of negative
89 impacts on the environment via integration of ecological and agricultural sciences. Thus
90 considering the performance and effects of faba bean in a cropping system requires knowledge
91 on how faba bean reacts to the environment created by the preceding crops and management
92 before and during cropping in addition to how the faba bean modifies the environment for the
93 subsequent crops in the system. The encouraging research findings on faba bean's ability to fix
94 N₂ and to benefit following crops, and the emerging problems with pea cultivation in some
95 regions with a high proportion of peas in the rotation, may also be factors which can stimulate a
96 renewed interest for the use of faba bean in future sustainable cropping systems.

97 The aims of this paper are to: 1) review the role of faba bean in global plant production
98 systems, 2) review the requirements for optimal faba bean production in cropping systems, 3)
99 highlight the beneficial effects of faba bean in cropping systems, and 4) point to possibilities for
100 expanding the use of faba bean for food, feed and fuel production as well as its potential for
101 providing ecosystems services.

102 **2. Faba bean in cropping systems**

103 **2.1. Uses, world acreage, proportion in arable systems and yield**

104

105 Faba bean is native in the Near East and Mediterranean basin and has been cultivated for c. 8-
106 10,000 years (Zohary and Hopf, 2000), and it is an important winter crop in warm temperate and
107 subtropical areas. It is a significant source of protein rich food in developing countries and is
108 used both as a human food and a feed for pigs, horses, poultry and pigeons in industrialized
109 countries (Duke, 1981). Faba bean is most commonly included in the diets of inhabitants of the
110 Middle East, the Mediterranean region, China and Ethiopia, and it can be used as a vegetable,
111 green or dried, fresh or canned (Bond et al., 1985). The nutritional value of faba bean is high,
112 and in some areas is considered to be superior to peas or other grain legumes (Crépon et al., this
113 issue). Faba bean is also grown for green manure and can significantly enhance yields of cereals
114 or other crops (e.g. Wani et al., 1994). Faba bean straw is also considered as a cash crop in Egypt
115 and Sudan (Bond et al., 1985).

116 Faba bean production is more evenly distributed around the world than most other grain
117 legumes. The date of introduction of faba bean (var. minor) to China is believed to be around
118 100 BC (Bond et al., 1985). The cultivated faba bean world area was estimated to be 2.6 million
119 ha in 2006, with 40% of the total global area of production being located in China followed by
120 Ethiopia and the European Union with 16 and 10%, respectively (FAOSTAT, 2008). The UK,
121 France, Spain and Italy were the main producers in Europe with >100,000, 78,000, 56,000 and
122 45,000 ha, respectively. There has been a 56% decline in the area sown to faba bean since 1962,
123 but the total production has only decreased by about 20%, since the average yield almost
124 doubled (from c. 1 to 1.8 tonnes ha⁻¹) during the same period. Migration of people from rural
125 areas reduced the need for faba bean as a basic food, greater dependence on imported feedstuffs,
126 unstable grain yields due to production on marginal land, the prevalence of low cost N-fertilizer
127 replacing the need for legume systems to supply N, the susceptibility to a range foliar fungal
128 diseases (e.g. Chocolate spot, *Botrytis fabae*; Ascochyta blight, *Ascochyta fabae*; Cercospora
129 leaf spot, *Cercospora zonata*; Downy mildew, *Peronospora viciae*) that may require fungicide
130 treatment for control which adds to the production costs (Stoddard et al., this issue), and the
131 occurrence of parasitic weeds in some areas (e.g. *Orobanche crenata*) are among the many
132 factors that have contributed to a decline in popularity with farmers and the reduction in the
133 cultivated area (Cubero, 1981; Smil, 2001; Perez-de- Luque et al., this issue;). In fact all
134 legumes, except soybean, have declined in area since the early 1960's. However, the inclusion of

135 more legumes in a cropping sequence could greatly contribute to reduced fossil energy use and
136 greenhouse gas emissions (Nemecek et al., 2008, Peoples et al., 2009b).

137 In some countries, such as Egypt, the proportion of total arable land sown to faba bean
138 has rarely been more than 6% since 1965 (Fig. 1a). In China the proportion of faba bean has
139 been in a steady decline from 3.5% to less than 1% of the arable land by 2005 (Fig. 1a). In the
140 industrialized countries, with the exception of Italy, the proportion of faba bean in arable
141 cropping systems never exceeded 1% within the last 50 years and in some countries (e.g. UK)
142 the proportion of faba bean seems extremely variable (Fig. 1b). In Italy the proportion of faba
143 bean has declined from c. 4% in 1960 to about 0.5% in 2006 (FAOSTAT, 2008). In France the
144 recent slight increase in faba bean area may be due to substitution of pea with faba bean, due to a
145 too intensive cultivation of pea causing pea disease problems (e.g. *Aphanomyces*).

146 The global average faba bean yield in 2006 was 1.8 tonnes/ha (FAOSTAT, 2008), but
147 yields are highly variable within specific countries (Fig. 2). The FAOSTAT (2008) data show
148 that the yield increase during the past 50 years has been much greater in France (64 kg/year) and
149 Egypt (35 kg/year) than in China (22 kg/year) (Fig. 2a). In UK, Australia or Canada average
150 yield are more stable during the past three decades, but highly variable (Fig. 2b). There is a
151 strong requirement for yield stabilization world wide and greater yield improvement in some
152 countries, as there is limited scope for bringing additional land into cultivation. About 46% of
153 total world production comes from the approximately 1.05 million ha in China followed by the
154 European Union, Ethiopia and Egypt with 15, 13 and 7% respectively of the world production in
155 2006 (FAOSTAT, 2008). The North African contribution highlights the role of faba bean as a
156 basis protein food in this part of the world. We conclude that if major limitations to faba bean
157 yields can be overcome, there is a huge potential world-wide for increasing the frequency of
158 faba bean and other legumes in cropping systems.

160 **2.2. Faba bean position and frequency cropping systems**

161 For best production faba bean crops should be grown on well-structured loam or clay soils with a
162 pH of 6.5 to 9.0. They perform poorly on light sandy soils, and nodulation failures can occur on
163 acidic soils, especially if they are hard setting or prone to waterlogging. Plants are reasonably

164 tolerant to waterlogging, but are more prone to infection from foliar diseases such as Chocolate
165 spot under waterlogged conditions.

166 Faba bean are grown during winter in subtropical and warmer temperate climates on water
167 remaining after crops such as maize and sorghum (*Sorghum bicolor* L.) (Pala et al., 1994).
168 Precipitation is often low and is a strongly limiting factor on the grain yield. The West Asia and
169 North African region has a Mediterranean-type climate with hot dry summers and wet mild
170 winter-dominant rainfall patterns (Pala et al., 1994). Faba bean are grown under rain-fed
171 conditions during the winter and typically rotated with cereals, cotton (*Gosypium hirsutum* L.),
172 sugar beet (*Beta vulgaris* L.) in the coastal regions. In China faba bean is typically autumn-sown
173 after rice (*Oryza sativa* L.), or intercropped with cotton or maize in southern and Western
174 provinces (Zhang et al., 2004), whereas it is grown in rotation with winter wheat and also
175 intercropped with cereals in the Northern provinces (Pala et al., 1994). In Northern parts of
176 Europe faba bean is primarily spring-sown in cropping systems with cereals, oilseed rape
177 (*Brassica napus* L.) and sugar beets. Preceding crops to faba bean in rain-fed systems in Australia
178 would almost certainly be either wheat or barley (*Hordeum vulgare* L.). The faba bean crop
179 would generally be followed by either wheat or oil seed rape. Faba bean is also used in rotation
180 with irrigated cotton (when the country is not in drought) to some degree and in that case cotton
181 would usually be the main crop before and after. However, if irrigation water is not available faba
182 bean would be followed by wheat.

183 Despite many studies with faba bean in cropping systems (e.g. McEwen et al., 1989; Pare
184 et al., 1993; Rochester et al., 2001; Lopez-Bellido et al., 2007; Walley et al., 2007), there seems
185 to be a serious knowledge gap concerning which preceding crop species and what management
186 regimes are able to create the most suitable environment for a succeeding faba bean crop. The
187 focus of most research has been on the influence of faba bean on following crops (see below).
188 This highlights the lower priority given to faba bean (and other legumes) regarding the
189 optimization of the biological, physical, chemical environment for optimal and stable faba bean
190 growth and yield formation, which contributes to the yield instability observed in faba bean and
191 other legume species.

192 Faba bean is typically followed by one or more cereals to exploit the “break-crop” and
193 N effect of faba bean in a cropping system. The succeeding crops should ideally have a long

194 growth period to make most efficient use of N mineralized late in the growing season. However,
195 the duration of the faba bean pre-crop effect has not been studied in great detail, since it can be
196 confounded by the subsequent crops. Nonetheless, Pare et al. (1993) was able to demonstrate
197 that maize whole-plant dry matter yields were enhanced in the third corn crop following faba
198 bean as compared to continuous maize. Wright (1990) also observed significant yield increases
199 (12%) in the second cereal following faba bean compared to N fertilized continuous cereals.

200 Ultimately the main constraint to increasing the frequency of faba bean in a rotation is
201 determined by the effects on soil-borne disease and pests, and it is usually recommended that the
202 maximum frequency a susceptible crop should be grown is only once in 4-5 years (Slinkard et
203 al., 1994). This is largely related to different root and stem rot diseases (*Fusarium*, *Pythium*,
204 *Phoma*, *Sclerotinia*), which can utilize a number of cool-season legume crops species in addition
205 to faba bean as hosts.

207 **2.3. Winter or spring – sole or intercrop of faba bean**

208 The choice of winter or spring faba bean depends very much on the climate, the soil type and
209 cropping system. In climates with warm winter temperatures and on heavy clay soil that are
210 difficult to till in the spring there is a preference for winter beans, since they are able to use
211 autumn and winter moisture and mature early. Spring beans are vulnerable to summer drought
212 and depends on early summer precipitation to obtain high yields. Consequently, early sowing is
213 extremely important. New early spring bean cultivars are available and in some cases they may
214 mature earlier than winter sown beans (PGRO, 2008).

215 Most faba bean crops in the industrialized countries are sole cropped, but in other parts
216 of the world (e.g. China) intercropping of faba bean with maize or other cereals is a common
217 practise (Zhang et al., 2004; Li et al., 2009). Intercropping was also previously common in
218 Europe and other part of the world, but “fossilization” of agriculture with N-fertilizers,
219 mechanization and pesticides have gradually eliminated intercrops of grain legumes and non-
220 legumes in the industrialized countries. However, intercropping may be revitalized in the
221 Western world, especially in organic agriculture (Jensen, 2006). Intercropping can improve the
222 use of resources (land, nutrients - especially soil nitrogen, light, water) by 10-50% above sole
223 crops grown on the same piece of land expressed in the Land Equivalent Ratio (LER) (Willey,

224 1979; Martin and Snaydon, 1982; Bulson et al., 1997; Hauggaard-Nielsen et al., 2008). LER is
225 the sum of the relative yields of the intercrop components relative to their respective sole crop
226 yield. The yield stability may also be improved (Jensen, 1986a) and intercropping can enhance
227 the grain quality (Gooding et al., 2007)

228 The benefits of intercropping are of special interest in cropping systems, where the
229 farmer wishes to grow both faba bean and the intercropped species (e.g. maize, wheat) and
230 intends using the grain on farm. This is because there are not yet sufficient markets for mixed
231 grain (e.g. faba bean and wheat) even though low cost separation machinery for the grain is
232 available. The advantages of intercropping are derived from the “competitive interference
233 principle” (Vandermeer, 1989), in which the interspecific competition between intercrop
234 component species will be less than the intraspecific competition in sole crops. This is based on
235 different growth patterns, more efficient interception of light and use of water and nutrients over
236 the growing season, due to different patterns of water and nutrient uptake by the intercropped
237 species (Willey, 1979). Nitrogen sources from N₂ fixation and soil will generally be utilized
238 more efficiently, since the greater competitive ability of the cereal component for soil mineral N
239 (or fertilizer N) results in a reduced uptake of soil N and higher dependence upon N₂ fixation by
240 intercropped faba bean compared to a faba bean crop (Fig. 3; Knudsen et al., 2004; Hauggaard-
241 Nielsen et al., 2008; Li et al., 2009).

242 Hauggaard-Nielsen et al. (2008) reported that when comparing intercropping of faba
243 bean or pea with barley cultivars on two soil types, faba bean was a better choice than pea, due
244 to better spatial or temporal complementarity with the barley companion crop (Fig. 3). Typically
245 the grain yield of a faba bean and cereal intercrop without N-fertilizer was similar to the grain
246 yield of the sole crop cereal fertilized with an optimal amount of N fertilizer, but total protein
247 yield was significantly greater, due to the faba bean content in the mixed grain (Jensen, 1986a;
248 Knudsen et al., 2004, Hauggaard-Nielsen et al., 2008). To maximize the use of resources,
249 especially of N, it is important that the intercrop components differ in their competitive ability,
250 and that it is the cereal, which is the more competitive crop for soil N. This is exemplified in Fig.
251 4 showing the effects of intercropping faba bean with pea, narrow leaf lupin (*Lupinus*
252 *angustifolius* L.) and barley in dual, triplicate or quadruple intercrops without N fertilization
253 (Hauggaard-Nielsen, unpublished). Thus, intercropping of grain legumes species does not seem

254 advantageous, as can be observed from the LER values in Fig. 4 (LER > 1 = advantage, LER <
255 1 = disadvantage). From the proportion of crops in the grain yield, it can also be observed that
256 pea was the most competitive component to faba bean. Intercrops in which the grain legume is
257 dominant may be less advantageous. This was observed in intercrops of faba bean and maize in
258 Denmark, where the slow establishment of maize resulted in faba bean being the dominant crop
259 and there was virtually no advantage from intercropping (Jensen, 1986b). However, under
260 different climatic conditions faba bean-maize seems more advantageous than faba bean wheat
261 intercrops (Fan et al., 2006). Studies on the effect of intercrop design of spring faba bean and
262 spring wheat: mixed in the same row, alternate rows or alternate double rows showed that the
263 more intimate the components were growing the more competitive was the wheat, but the
264 intercropping advantage and total yield was not significantly different (Martin and Snaydon,
265 1982; Jensen, 1986a). The proportion of faba bean in the mixed harvested grain was increased
266 from 37 to 51% (without fertilizer N) and 22 to 38% (with 50 kg N ha⁻¹), when the row design
267 was changes from mixed in the same row to alternating double rows, respectively.

268 Intercropping of faba bean with cereals may be an efficient management tool to control
269 weeds; particularly if no appropriate herbicides are available, or where herbicides cannot be used
270 such as in organic farming systems (Hauggaard-Nielsen et al., 2008). Growing the cereal with
271 faba bean will ensure earlier canopy closure and soil cover, which can otherwise be difficult to
272 obtain with a spring-sown faba bean crop. The intercropped cereal will also generally compete
273 better than faba bean with weeds for water and nutrients, and weed development in a faba bean-
274 cereal intercrops tend to be markedly lower than with a sole faba bean crop (Bulson et al., 1997).
275 Recently it has also been shown that legume parasitic weed broomrape can be controlled by
276 intercropping faba bean with cereals (Fernández-Aparicio et al., 2007). The number of
277 broomrape attachments on faba bean and emerged broomrape plants were significantly reduced
278 by intercropping with oat (*Avena sativa* L.), barley and other species. Similarly, there is now
279 evidence indicating a reduction in incidence and severity of disease in faba bean and its intercrop
280 component when the crops are grown together rather than separately (Hauggaard-Nielsen et al.,
281 2008; Kinane and Lyngkjær, 2002). However, until the appropriate investigations on the build-
282 up of pathogenic inoculum within intercropping systems have been undertaken, it is still
283 probably prudent to ensure that neither of the intercropped components occur more frequently in

284 a rotation than is desirable for sole crops, since it has not been determined to which degree a faba
285 bean-cereal intercrop is able to break disease cycles.

286 **2.4. Faba bean for green manure and biomass for bioenergy**

287 Using the faba bean biomass of 5-13 t DM ha⁻¹ as a green manure results in large inputs of
288 organic N and carbon (C) to the soil, which in the long-term significantly stimulates microbial
289 biomass C and N, enhance soil fertility, influence soil structure and water-holding capacity,
290 improve the supply of mineral N, and improve the yield potential of crops compared to
291 continuous cereal systems relying on N-fertilizers (e.g. Wani et al., 1991). Enhanced fertility is
292 of significant importance for the future capacity of the soil to sustain food production. But it is
293 also necessary to consider the possible role that faba bean green manuring may play in
294 increasing the risk of nutrient loss; especially losses of N via nitrate leaching to the ground-
295 water, or through denitrification and the production of the potent greenhouse gas N₂O.

296 Some of the old, indeterminate cultivars of faba bean with an extremely high biomass
297 production may be suited for use as “biomass crops”, possibly intercropped with high yielding,
298 perennial monocots, to be used in biorefineries for biofuels, biogas, green chemicals, power and
299 recycling of nutrients to agricultural land. The attraction of using a productive legume such as
300 faba bean is its ability to supply its own N via symbiotic N₂ fixation. Supplying N inputs as
301 fertilizer to grow non-legume biomass crops for biofuel purposes essentially negates the whole
302 of life-cycle energy cost and reduces the C neutrality because of the fossil fuels involved in
303 fertilizer production and the emission of N₂O from N fertilizer (Crutzen et al., 2007; Peoples et
304 al., 2009b). There could be ways that the high protein content of the legume can be valued to
305 cover the additional costs (e.g. biorefinery use of the protein fraction so it can be used for animal
306 feed). If the faba bean biomass is used for starch or lignocellulose, soil fertility issues must also
307 be considered as less organic N will be available to maintain long-term soil fertility (Peoples et
308 al., 2009a). To avoid nutrient depletion and soil degradation it would also be necessary to be
309 mindful of the need to replace limited nutrients such as phosphorus (P) that are taken up by faba
310 bean in large amounts (see section 3.2), if these are not recycled to the agro-ecosystems.

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312 **3. Faba bean environmental requirements for growth and effects on subsequent crops and** 313 **the soil**

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3.1. Temperature

Faba bean is a long-day plant and requires a cool season for best development and can be seeded early. The crop is grown as a winter annual in warm temperate and subtropical areas; hardier cultivars in the Mediterranean region tolerate winter temperatures of -10°C without serious injury, whereas the hardiest European cultivars can tolerate up to -15°C. Growing seasons should have little or no excessive heat, optimum temperatures for production range from 18 to 27°C (65-85°F)" (Duke, 1981; Link et al., this issue). Faba beans are late maturing so they benefit from a longer growing season, which will influence the timing and autumn development including N uptake of the subsequent crop in the cropping system.

3.2. Soil and non-N nutrient requirements

Faba beans grow best on heavier-textured soils, but tolerate nearly any soil type (Duke, 1981; PGRO, 2008). On lighter soils spring-sown faba bean may suffer from drought during early summer, which can have detrimental effects on yields. Unfortunately faba bean is often grown on marginal soils, and is commonly considered to be of lower priority in the cropping system management by farmers, which can lead to late sowing, water stress, poor weed control, late harvesting and grain losses.

Rochester et al. (2001) demonstrated that the vigorous tap-roots of faba bean and other legumes can reduce the soil strength for a succeeding cotton crop compared to continuous cotton and cereals as pre-crops. Measuring the field soil strength is an efficient means of diagnosing mechanical resistance to root growth. It was deduced that faba bean may improve the structure of poorly structured soil by stabilizing soil aggregates (Rochester et al., 2001).

The seasonal nutrient requirement of a spring-sown faba bean crop yielding 5 t grain ha⁻¹ has been determined by Jensen et al. (unpublished, 1985b) (Table 1). Whereas the N concentration in the biomass decreased sharply (5% to 2.8%) from prior to flowering to early pod-filling the P concentration remained almost constant around 0.35% implying that the P uptake rate follows (or regulates) dry matter production (Jensen et al., 1985b). The potassium (K) concentration decreased steadily from onset of reproductive growth until maturity (3.0 % to 1.5%). The decline in K concentration may be associated with some K being leached from the above-ground herbage during maturation.

344 Faba bean seeds have greater N, P and Ca concentrations than pea seeds, whereas faba
345 bean empty pods were lower in N, P, Ca and magnesium (Mg) than pea empty pods (Table 1).
346 Faba bean pods are rich in K and sodium (Na). When comparing stubble of faba bean and pea
347 remaining after grain harvest, faba bean had lower N, K, Ca and Mg concentrations than of pea,
348 but greater Na concentrations (Table 1). Finally, the concentration of most nutrients in roots
349 (from simple excavation from the plough layer) indicated that faba bean had lower
350 concentrations than pea except for K and Na.

351 The faba bean crop had accumulated 12.4 t dry matter ha⁻¹ by maturity and had
352 assimilated a total of 324 kg N, 36 kg P, 197 kg K, 12 kg Na, 106 kg Ca and 18 kg Mg per ha
353 (Table 1). This accumulation was 19, 12, 47, 310, -14 and 21 %, respectively, greater than in
354 pea, which suggested that faba bean generally has greater nutrient requirements than pea. The
355 proportion of N and P removed with the grain was greater in faba bean (71% and 82%,
356 respectively) than in pea (67% and 72%), whereas the proportion of K, Na and Mg removed by
357 faba bean was greater than in pea (Table 1). Similar proportions of Ca were removed. Similar
358 amounts of N were found in faba bean and pea stubble (c. 90 kg N ha⁻¹), less P and Ca in faba
359 bean, more Na and Mg in faba bean and much more K in faba bean residues than in pea residues
360 (134 vs. 78 kg K ha⁻¹).

361 Studies (pot experiments) of faba bean response to P fertilization have shown that during
362 early growth stages the P response in faba bean and other large seed legumes is less than in
363 wheat and oil seed rape (Bolland et al., 1999). It is suggested that the lack of response in faba
364 bean may be due to the large P reserves in the seed. Field experiments showed that at maturity
365 faba bean had a greater response to P than white lupin (*Lupinus albus* L.) and chickpea (*Cicer
366 arietinum* L.) (Bolland et al., 1999),

367 High boron (B) and Na levels often occur together and are frequently associated with soil
368 salinity. High levels of B and Na are toxic to plants. Boron toxicity causes reduced plant growth,
369 marginal necrosis and in extreme cases, plant death. As a general rule, pulses are more sensitive
370 to boron toxicity than cereals, but critical levels at which boron begins to reduce the growth of
371 grain legumes have not yet been determined. Some cultivars of field pea, faba bean and vetch
372 appear to tolerate boron toxicity, well whereas lentil and chickpea are considerably more
373 sensitive. Faba bean is more sensitive to high Na levels than pea and lentil (Poulain and Al

374 Mohammad, 1995; Choi et al., 2006). Boron deficiency is not common, but as with other
375 micronutrients limited knowledge is available, since deficiency symptoms are seldom observed.

376

377 **3.3 Water supply and use efficiency**

378 Leaf development in spring-sown faba bean in the northern hemisphere tends to be slower than a
379 cereal such as oat, and peaks at a leaf area index (LAI) around 5 in mid July (Schmidtke, 2006)
380 compared to early June in oat with subsequent consequence of temperature effects on
381 transpiration rate and total dry matter production being lower in faba bean than in oat. The faba
382 bean roots reached a depth of 0.6 m early July, but oat roots were observed down to 1.5 m in a
383 loess soil (Schmidtke, 2006). Faba bean and oats extracted similar amounts of water from the top
384 soil, but oats extracted more water below 0.8 m, due to its deeper root system. Schmidtke (2006)
385 concluded that the water spared by faba bean in the deeper soil layer, could benefit the
386 subsequent crops in water-limited environments, where there are constraints to the replenishment
387 of the soil water reserves. This is consistent with the findings of Lopez-Bellido et al. (2007),
388 who observed that a preceding faba bean crop resulted in the highest yield and water use
389 efficiency in by a following wheat compared to other pre-cropping options.

390 Sprent et al. (1977) suggested that soil moisture was a more important factor in determining
391 the spring-sown faba bean yield than either solar radiation or plant competition. This is of
392 special importance in the period following pod setting, when the supply of water is essential for
393 pod retention, N₂ fixation, photosynthesis and translocation of photosynthates to pods and root
394 nodules (Sprent et al., 1977; Sprent and Bradford, 1977; Siddique et al., 2001). Comparing
395 several cool-season grain legume species in a Mediterranean-type environment on fine textures
396 soils Siddique et al. (2001) found that faba bean had the greatest water use efficiency (WUE) for
397 dry matter production (30 kg ha⁻¹ mm⁻¹); although WUE for grain (13 kg ha⁻¹ mm⁻¹), tended to
398 be lower than for pea (16 kg ha⁻¹ mm⁻¹). In another study, Schmidtke (2006) found that the WUE
399 for shoot biomass of faba bean (26 kg ha⁻¹ mm⁻¹) to be slightly lower than of oats (26 kg ha⁻¹
400 mm⁻¹). Siddique et al. (2001) concluded that the major trait for adapting faba bean to produce
401 large yields in a low rainfall environment is early flowering, pod and seed set, enabling access to
402 more soil water during post flowering before the onset of terminal drought.

403 Due to the shallower root system of spring compared to autumn-sown faba beans the
404 spring-sown types are more sensitive to water stress and the crop responds strongly to water
405 deficits during flowering and early pod filling via many physiological effects (see Green et al.,
406 1986; Sprent and Bradford, 1977). Saxena et al. (1986) showed that alleviating moisture stress
407 had a greater effect than alleviating nutrient supply constraints. Even though rain-fed faba bean
408 can produce impressive biomass yields in dryland Mediterranean conditions (Loss and Siddique,
409 1997), irrigation (if available) should be prioritized during these growth stages, since root
410 growth ceases at the beginning of pod fill (Green et al., 1986; Sprent et al., 1977). Green et al.
411 (1986) observed that the effect of irrigation during and after flowering was not due to an effect
412 on the partitioning of dry matter for the grain, but rather a general increased biomass production.
413 In faba bean breeding drought tolerance can be screened by a combination of leaf temperature
414 measurement and other tests of stomatal characteristic followed by carbon isotope discrimination
415 in the most valuable materials (Khan et al., this issue).

416

417 **3.4 Micro-symbionts**

418 Faba beans form a symbiotic relationship with the soil bacteria *Rhizobium leguminosarum* bv.
419 *viciae* and with the fungi arbuscular mycorrhizae. Most cultivated soils contain large populations
420 of indigenous rhizobia and mycorrhizae for faba bean and inoculation is usually not required;
421 particularly if the land had previously been sown to faba bean (Murinda and Saxena, 1985;
422 Jensen, 1987; Patriquin, 1986). When faba beans are inoculated on soils containing indigenous
423 populations the inoculant strain may be responsible for a large proportion of the nodules (Carter
424 et al., 1994). However, Amarger (1986) found that about one third of the *R. leguminosarum*
425 strains recovered from French soils where $\text{fix}^{\text{minus}}$, meaning that the nodules were not effective in
426 N_2 fixation. Furthermore, it has been shown, that there may be interaction between strains of
427 rhizobia and faba bean genotypes, whereby one strain may be very efficient with one faba bean
428 genotype, but perhaps inefficient on another faba bean genotype (Mytton et al., 1977). In most
429 cases the plant will be infected by many different strains, some of which are likely to be
430 efficient. Consequently, inoculation will generally be of most interest where either efficient
431 rhizobia are absent from the soil because faba bean, or other legumes such as pea or lentil which
432 are nodulated by compatible rhizobial strains, have never been sown, or if superior inoculant

433 strains are developed that are competitive with the indigenous strains (Amager, 1986; Brockwell
434 et al., 1995).

435 In acid soils with pH lower than 5, the survival and persistence of faba bean rhizobia are
436 most likely to be poor and inoculation may be required after liming (Unkovich et al., 1997).
437 Several micronutrients are also important in the infection, development and function of the root
438 nodule, but limited information is available on deficiencies in micronutrient supply (Stanforth et
439 al., 1994). On low P soils there may be a positive interaction between mycorrhizal activity and
440 N₂ fixation by legumes. Since P is a key nutrient in legume nutrition, populations of mycorrhizae
441 which can infect faba bean roots may play an important role in supporting plant growth by
442 assisting the supply of additional P; especially in soils with low plant-available P. Cropping
443 systems which include crops of the family *Brassicaceae*, which are not infected by mycorrhizae,
444 may have critically low populations, similar to where soil is treated with fungicides, or kept bare
445 (George et al., 1994). This potentially could limit access to soil P by faba bean if it is grown
446 immediately after a brassica crop.

447

448 **4. Role of faba bean in nitrogen cycling**

449 As with other legumes, faba bean can deliver an important ecosystem service to cropping
450 systems via its ability to symbiotically fix atmospheric N₂. However, initially faba bean will
451 depend on seed N sources and mineral N during seedling emergence until nodules are
452 established. Provided the soil contains sufficient populations of effective rhizobia faba bean can
453 accumulate N both from soil and the atmosphere. The relative contribution from each source to
454 satisfying faba bean's N requirements for growth will be heavily influenced by the
455 concentrations of available soil mineral N in the rooting zone (Peoples et al., 2009a). Faba
456 bean's subsequent contribution to the N-economy of the remainder of the cropping system can
457 be derived from either: 1) unused ("spared") soil mineral N and rhizodeposits of N remaining
458 after crop growth, 2) N mineralized from above-ground organic residues and the nodulated roots
459 following grain harvest, or 3) via N in animal manures and urine when the faba bean grain is
460 used as animal feed or its residues are grazed. It is essential to be able to determine the potential
461 net N benefit of faba bean in order to appropriately adjust the supply of fertilizer N for later
462 crops in the rotation.

463 Comparisons of the N dynamics of an indeterminate spring-established faba bean cultivar
464 with pea indicated slower rates of N accumulation by faba bean during the first months after
465 seedling emergence, but after two months of growth the rate of N accumulation was greater in
466 faba bean than pea (Jensen, 1986c). Due to their indeterminate growth habit faba beans
467 continued assimilating N for a longer period than pea, reaching about 315 kg N ha⁻¹ after 110
468 days. The N concentration in the faba bean crop biomass was around 5% a few days before
469 flowering; during the initial stages (c. 30 days) of reproductive growth the N concentration
470 declined rapidly to c. 2.5-3%, due to the biomass accumulation rate being faster than the N
471 assimilation rate, and the N concentration remained at this level until maturity (Jensen, 1986c).
472 Faba bean accumulates N from N₂ fixation at an increasing rate until initiation of the maturation
473 process unless other factors such as water availability restricts the N₂ fixation process earlier in
474 growth.

475

476 **4.1. Nitrogen requirement during early growth**

477 Large seed cultivars of faba bean may contain up to 10 kg N ha⁻¹ in their seed reserves, when
478 sown at a population of 40 seeds m⁻² and this N is important for supporting early growth until
479 nodules are formed and functioning c. 10-12 days after seedling emergence. Especially in soils
480 low in mineral N, Jensen et al (1985a) observed that the seed N was equally distributed between
481 roots and leaves during the first weeks of growth. There has been some controversy about the
482 requirement for sowing grain legumes with low levels of “starter N” to overcome N-limitations
483 during early growth stages, but a positive yield response is seldom observed if the soil contains
484 >20-30 kg N ha⁻¹ in the plough-layer (Richards and Soper, 1982; Jensen, 1986c).

485

486 **4.2. Acquisition of soil mineral N**

487 In natural ecosystems legumes are often found in habitats with low levels of soil mineral N
488 either because soil organic matter is low (environments for pioneer species) or because non-
489 legumes compete strongly with the legumes in mixed plant communities. When soil mineral N is
490 present faba bean will utilize this source of N. Patriquin (1986) found that faba bean was capable
491 of acquiring substantial amounts of soil mineral N (280 kg soil N ha⁻¹) from a sandy clay loam in
492 Nova Scotia and suggested that a large part of this mineral N was extracted by faba bean’s tap-

493 roots from relatively deep soil layers. Table 2 presents examples of estimates soil mineral N
494 uptake ranging from 3 to 276 kg N ha⁻¹ depending on growth conditions. A major part of the soil
495 mineral N may have been used more efficiently if the faba bean was intercropped with a non-
496 legume.

497 Adding 50 kg N ha⁻¹ labelled with the “heavy” stable-isotope ¹⁵N to follow the fate of the
498 inorganic soil N pool showed that faba bean had a slower uptake of the mineral N than either pea
499 or spring barley until full bloom (Fig. 5; Jensen, 1986c). At maturity faba bean had also
500 recovered less fertilizer N than both pea and spring barley. Since the estimated soil N uptake is
501 partly based on the recovery of fertilizer, estimates of soil N uptake was similarly lower in faba
502 bean than in peas and spring barley, despite the longer growth period (Fig. 5). Faba bean
503 compensated for the lower fertilizer and soil N uptake by a greater N₂ fixation compared to the
504 pea cultivars (Fig 5.). Smith et al. (1987) and Rennie and Dubetz (1986) also reported that faba
505 bean was less efficient in recovering ¹⁵N-labelled fertilizer from soil than other grain legumes
506 and cereals. The explanation for the lower N-fertilizer utilization in faba bean compared to other
507 crops is not known, but may be related to the lower plant populations of faba bean.

508

509 **4.3. Symbiotic N₂ fixation in faba bean**

510 The amount of N₂ fixed by the symbiotic relationship between faba bean and the soil bacteria
511 rhizobia is determined by the relative reliance of the crop upon N₂ fixation for growth (i.e. the
512 proportion of the crop N derived from atmospheric N₂, %Ndfa) and the amount of N
513 accumulated by the crop over the growing season. There is sufficient capacity for biological N₂
514 fixation to supply the majority of the faba bean N requirements for growth and field data indicate
515 that N₂ fixation can support the accumulation of 10-15 t shoot dry matter (DM) per ha (e.g.
516 Rochester et al., 1998). However, the formation of a working symbiosis between legume and
517 rhizobia is dependent upon many environmental factors and management practices, so it cannot
518 be assumed that it will occur as a matter of course. This is reflected in the range of experimental
519 estimates of %Ndfa and amounts of N₂ fixed by faba bean and other legume crops growing in
520 different parts of the world (Tables 2 and 3). Yet despite the measures of %Ndfa ranging from
521 close to zero to almost 100% in some instances, there were marked similarities in the mean
522 estimates of %Ndfa within a species across geographic regions (Table 3). There also appeared to

523 be distinct differences between faba bean and the other legume species in their capacity for N₂
524 fixation, with faba bean having a higher reliance upon N₂ fixation for growth and fixing larger
525 amounts of N (Table 3). Hardarson and Atkins (2003) came to the same general conclusion
526 based on data collated from a series of FAO/IAEA co-ordinated research programmes
527 undertaken in different countries around the world. Similar differences between faba bean and
528 alternative cool-season legume crops are also apparent in the %Ndfa and N₂ fixation data
529 collected from commercial crops growing in Australia (Table 4).

530 Although the levels of %Ndfa are important, provided there are adequate numbers of
531 effective rhizobia in the soil in which the legume is growing and concentrations of soil mineral
532 N are not too high, N₂ fixation will generally be regulated by faba bean growth rather than by
533 %Ndfa (Peoples et al., 2009a). Limited data collected from commercial faba bean crops suggest
534 that about 2 t shoot DM per ha was required before substantial N₂ fixation was evident, but over
535 the total range of shoot biomass measured (2-12 t DM ha⁻¹) around 22 kg shoot N was fixed, on
536 average, for every tonne of shoot DM produced (Rochester et al., 1998).

537 The %Ndfa of a legume is not a characteristic determined by a legume genotype and
538 rhizobia alone, but reflects the interaction between plant-available soil N and legume growth
539 (Unkovich and Pate, 2000). Soil mineral N and N₂ fixation are complementary in meeting the N
540 requirements for growth by a food legume crop, and the inhibitory effect of nitrate on nodulation
541 and N₂ fixation processes is well documented. High levels of soil nitrate, induced by such factors
542 as excessive tillage, long fallows, applications of fertilizer N and extended legume rotations, are
543 all known to delay the formation of nodules and the onset of N₂ fixation and to reduce %Ndfa,
544 and the amount of N₂ fixed by faba beans and other legumes (e.g. Schwenke et al., 1998;
545 Peoples et al., 2001). High levels of soil mineral N may have a detrimental effect on the yield of
546 faba bean, since high levels of mineral N will delay nodulation and if nodules are not well
547 established at the time of the highest N demand during flowering and early pod-fill then N may
548 temporarily be limiting growth and the final grain yield. Strategies that reduce soil mineral N
549 availability to faba bean include sowing faba bean following a cereal or some other N-hungry
550 crop, and increased competition for plant-available soil N such as intercropping legumes with
551 cereals as discussed in section 2.3 (Hauggaard-Nielsen et al., 2008; Li et al., 2009). Lopez-
552 Bellido et al. (2006) compared the effects of no-till and conventional tillage on N₂ fixation, but

553 found no significant difference between treatments. However, no major difference in the soil
554 nitrate dynamics was observed between the different tillage methodologies in this particular
555 experiment, and this could explain the lack of response.

556 Data collected from rain-fed commercial faba bean crops in the northern cropping zone
557 of eastern Australia suggested the critical value of soil nitrate present at sowing in the crop
558 rooting zone that totally inhibits N₂ fixation may be greater than 150-200 kg nitrate-N ha⁻¹ (Fig.
559 6; Schwenke et al., 1998). While these on-farm results may have been complicated by low
560 rainfall conditions, it was illuminating to note that neighboring chickpea crops, sampled as part
561 of the same survey, had much lower levels of %Ndfa than faba bean at equivalent concentrations
562 of soil nitrate (Fig. 6). Data from experimental trials also led Turpin et al. (2002) to conclude
563 that faba bean can maintain a higher dependence on N₂ fixation for growth and fix more N than
564 chickpea under the same soil N supply (Fig. 7). Herridge et al. (2008) calculated a global
565 estimate of the total amount of N₂ fixed by faba bean to be in the order of 290,000 t N each year
566 out of around 22 million t N by all grain legume crops including soybean.

567

568 **4.4. Rhizodeposition of N and soil N balances**

569 Senescent leaves that are dropped as faba bean reaches maturity may contain up to 90 kg N ha⁻¹,
570 and the shoot residues remaining after grain harvest can represent substantial amounts of N
571 (Patriquin, 1986). Faba bean has been reported to withdraw substantially more N from the soil in
572 the grain than it contributed through inputs of fixed N₂ resulting in a negative soil N balance
573 (Patriquin, 1986), but other studies have suggested the opposite (e.g. Rochester et al., 1998). The
574 general conclusions drawn by researchers about whether faba bean cropping is likely to provide a
575 net contribution of fixed N to the soil, or result in a net depletion of soil N, is strongly dependent
576 on the estimate of total N₂ fixation and whether the potential inputs of fixed N associated with, or
577 derived from the nodulated roots are included or not in N balance calculations (Peoples et al.,
578 2001).

579 The rhizodeposition of legume N is constituted by root exudates, sloughed cells and
580 root nodules during plant growth, and the decomposition and mineralization of the complete root
581 system following crop maturity (Wichern et al., 2008). The quantitative role of faba bean N
582 rhizodepositions have been studied in some detail during the past decade (Rochester et al., 1998;

583 Khan et al., 2002; Mayer et al., 2003a; Mayer et al., 2003b) and estimates range from 14 to 39%
584 of total plant N. This has been calculated to represent up to 100 kg N ha⁻¹ of additional N being
585 deposited below-ground (Rochester et al., 1998; Schwenke et al., 1998; Walley et al., 2007;
586 Hauggaard-Nielsen et al., 2009). However, other researchers suggest a much lower net effect of
587 rhizodeposition on the soil N balance (<27 kg N ha⁻¹; Evans et al., 1991). High levels of
588 rhizodeposition will improve the soil N balance, assist in maintaining soil organic fertility, and
589 appear to provide an important source of N for following crops in the rotation (Table 5). The
590 duration between faba bean harvest and sowing the next crop, the turnover rate of above and
591 below-ground legume N in soil, the timing of the requirement for N by the subsequent crop in
592 relation to the supply of plant-available forms of N, and the prevailing climatic conditions are all
593 factors that will influence the efficiency with which N derived from legume residues will either
594 be utilized for the growth of a following crop, or be lost from the plant-soil system (Peoples et
595 al., 2004; Crews and Peoples, 2005).

596

597 **4.5. Losses of N by leaching and denitrification**

598 It is frequently observed that the level of soil mineral N is greater after harvesting grain legumes
599 than after cereals. The enhanced level of soil mineral in the autumn may constitute a risk of
600 enhanced nitrate leaching and denitrification in some regions. Soils sampled to 80 cm depth on
601 12 German farms following cultivation of faba bean, pea or winter wheat, showed about twice
602 the levels of soil mineral N after grain legumes compared to wheat (Maidl et al., 1991). Later in
603 the autumn the maximum value of soil mineral N was found to be 120, 140 and 65 kg N/ha after
604 faba bean, pea and winter wheat, respectively. The lower C:N ratio of pea residues (21:1) than in
605 faba bean (27:1) was suggested to cause a higher net N mineralization after pea (Maidl et al.,
606 1991). A major proportion of the mineral soil N accumulated in the autumn can be lost by
607 leaching during the winter from bare soil. In lysimeter experiments Hauggaard-Nielsen et al.
608 (2009) found that the leaching in autumn and winter after faba bean, pea and oat (oat was not
609 fertilized with N) were 24, 29 and 15 kg N ha⁻¹, respectively. Autumn-established catch crops
610 such as oil radish (*Raphanus sativus* L.) and white mustard (*Sinapis alba* L.) or winter oil seed
611 rape showed that these crops recovered almost all the soil mineral N (Maidl et al., 1991). The
612 biochemical nature of the catch crop material will greatly determine the proportion of faba bean

613 derived N that will be subsequently mineralized in the next growing season (Thorup-Kristensen
614 et al., 2003). Hauggaard-Nielsen et al. (2009) observed that a grass-clover catch crop after faba
615 bean took up a major part of the available N which then remained immobilized over the
616 following autumn and winter, due to the C:N ratio of the catch crop biomass.

617 Very limited data are available on the effects of faba bean on annual denitrification
618 rates. It is generally hypothesized that the greater residue N concentrations and enhanced soil
619 mineral N availability in the autumn are likely to enhance the risk of denitrification (Crutzen et
620 al., 2007). However, the concentration of soil nitrate alone may not be a valid predictor of
621 denitrification (Rochette et al., 2004). The proportion of the total emissions of denitrified N as
622 greenhouse gas N₂O as compared to N₂, which is environmentally benign is also very important
623 (Peoples et al., 2004). The ratio of N₂O:N₂ emitted during denitrification is subject to many
624 variables (Peoples et al., 2009b). For example, Kilian and Werner (1996) found that the mean
625 denitrification increased four-fold in plots of N₂-fixing faba bean compared to non-nodulated
626 faba bean, perennial ryegrass (*Lolium perenne* L.) and oilseed rape. However, the data also
627 indicated that much of this enhanced denitrification led to the end product N₂ rather than N₂O
628 (Kilian and Werner, 1996).

629

630 **4.6. Effects of faba bean on subsequent crop performance**

631 Faba bean can improve the economic value of a following crop by enhancing the yield and/or
632 increasing the protein concentration of the grain (e.g. Lopez-Bellido et al., 1998). Increased
633 concentrations of inorganic N in the soil profile after faba bean cropping and increased N uptake
634 by subsequent crops can result from “spared N” remaining in the soil as a result of a relatively
635 inefficient recovery of soil mineral N compared to other crops (Fig. 7; Turpin et al., 2002), the
636 release of N mineralized from above- and below-ground residues, and/or from the impact of the
637 labile legume N on the balance between gross mineralization and immobilization processes
638 undertaken by the soil microbial biomass (Rochester et al., 2001; Peoples et al., 2009a). Few
639 studies have attempted to ascertain the relative importance of each of these pathways of N
640 supply. Evans et al. (1991) used a multiple regression method to deduce that the soil mineral N
641 remaining at harvest of a grain legume can be of greater significance in determining the residual
642 N effect in wheat than the N in crop residues.

643 The impact of faba bean on the N dynamics of following crops is well documented. For
644 example, the residual N benefit to a winter wheat from a previous spring-sown faba bean was
645 found to represent a savings of 30 kg fertilizer N ha⁻¹ compared to a wheat-wheat sequence
646 (McEwen et al., 1989). A Canadian five cycle rotation-study comparing a faba bean-barley-
647 wheat and a barley-barley-wheat rotation showed that faba bean enhanced the average yield in
648 the subsequent barley and wheat crops by 21 and 12%, respectively, which was equivalent to
649 providing the cereals with around 120 kg N ha⁻¹ of N fertilizer (Wright, 1990). Rochester et al.
650 (2001) observed that the optimum N fertilizer rate required to be applied to cotton following
651 non-legume rotation crops was on average 180 kg N ha⁻¹, whereas after sequences including
652 either faba bean, soybean or pea the requirement was only c. 90 kg N ha⁻¹. Later studies indicated
653 almost a 50% improvement in cotton lint yield after faba bean compared to other cropping
654 sequences in the absence of additional fertilizer N, with the non-legume rotations requiring rates
655 of 150-200 kg fertilizer N ha⁻¹ before equivalent yield levels to those achieved following faba
656 bean could be attained (Peoples et al., 2009a).

657 The few studies which have directly followed the fate of faba bean N using ¹⁵N-labeled
658 residues indicate that a following wheat, barley or cotton crop may recover between 11-17% of
659 the plant N remaining after faba bean, although this may represent only 2-19% of the total N
660 requirement of those following crops (Muller and Sundman, 1988; Peoples et al., 2009a).
661 However, studies that estimate uptake efficiencies of labeled N from recently applied legume
662 residues can underestimate the overall N-supplying capacity of a legume-based system. This is
663 demonstrated in the data from an Australian field-study where the N dynamics of a faba bean-
664 wheat cropping sequence was compared with barley-wheat presented in Table 5. In this case
665 when the additional N accumulated by the wheat grown after the faba bean was compared to
666 wheat following barley the apparent recovery of legume N (40%) was calculated to be 4-fold
667 higher than indicated from the wheat's direct recovery of faba bean ¹⁵N (11% total; 3% from faba
668 bean's above-ground residues, and 8% derived from a below-ground pool associated with N from
669 the nodulated roots and rhizodeposition, Table 5). Such differences appear to be the result of N
670 'pool substitution' whereby the newly applied ¹⁵N-labeled legume N is preferentially immobilized
671 in the microbial biomass, and older (unlabeled) soil organic N is mineralized and subsequently
672 becomes available for crop uptake (Peoples et al., 2009a). The net result of such processes is that

673 calculations based on direct crop recovery of ^{15}N -labeled leguminous material are often lower
674 than the total benefits to the soil N dynamics derived from including a legume in a rotation.

675 Despite the above discussion, one should be cautious in necessarily attributing all such
676 improvements in crop performance after faba bean to solely improvements in N availability. Faba
677 bean can also provide a range of other potential rotational benefits that are not directly related to
678 N such as reductions in the incidence of grassy weeds, reductions in diseases or pests,
679 improvements in soil structure, or carry-over of available soil water (Rochester et al., 2001;
680 Kirkegaard et al., 2008; Peoples et al., 2009a, Peoples et al., 2009b). Faba bean is known to be
681 able to break soil-borne disease cycles within cereals such as take-all (*Gaeumannomyces*
682 *graminis*), and the effect appears to be similar to the effect of other legume and non-legume
683 break-crops (McEwen et al., 1989).

684 Legumes in rotations also generally result in greater microbial activity and diversity in
685 soils (Lupwayi and Kennedy, 2007). Some of these changes in the composition of the microbial
686 population in the legume's rhizosphere may be related to the release of molecular hydrogen (H_2)
687 as a by-product of symbiotic N_2 fixation in legume nodules (Dong et al., 2003).

688 About 35% of the energy consumed in the overall nitrogenase activity goes towards H_2
689 production (Hunt and Layzell, 1993). In some legume systems, the rhizobial bacteria possess a
690 hydrogenase uptake enzyme system within the nodule (designated Hup^+) that is able to recycle
691 almost all of the H_2 evolved and recover most of the energy that might otherwise be lost.
692 However, the Hup^+ trait appears to be rare in legumes nodulated by strains of *Rhizobium*,
693 *Sinorhizobium*, or *Mesorhizobium* spp., and the H_2 produced in Hup^- symbioses (i.e. those legume
694 x rhizobia combinations that lack a hydrogenase uptake system) diffuses out of the nodules into
695 the soil (Evans et al., 1988; Hunt and Layzell, 1993). Experimentation undertaken in Australia to
696 assess the Hup status of different nodulated legumes suggested that all the faba bean x rhizobial
697 strain treatments examined were Hup^- and the faba bean nodules exhibited much higher rates of
698 H_2 evolution from symbioses formed with any of the eight rhizobial strains tested (153-335 μmol
699 H_2 per g nodule dry weight per hour, average of 224 μmol H_2 per g nodule dry weight per hour)
700 than when the same strains were tested on lentil or pea (average of 48 and 60 μmol H_2 per g
701 nodule dry weight per hour, respectively; Peoples et al., unpublished data). Rates of H_2 evolution
702 from faba bean nodules were also higher than from chickpea symbioses (average of 86 μmol H_2

703 per g nodule dry weight per hour), but were similar to emissions from lupin nodules (average of
704 278 $\mu\text{mol H}_2$ per g nodule dry weight per hour). Other research has demonstrated that the
705 exposure of soil to H_2 results in an increase in Actinomycete species and other H_2 -oxidizing
706 bacteria (Maimaiti et al., 2007; Osborne et al., 2009). The net result is that within 10-14 days after
707 the initial exposure to H_2 all of the H_2 emitted is consumed and none escapes from the soil surface
708 (Dong et al., 2003; Osborne et al., 2009). There appears to be substantial consequences of the H_2
709 emissions, and its uptake by the soil microflora, on the soil and experiments undertaken both
710 under controlled growth conditions and in the field have pointed to improvements in plant
711 productivity in soils previously exposed to H_2 (e.g. Dong et al., 2003; Dean et al., 2006; Peoples
712 et al., 2008). Therefore, it could legitimately be speculated that the changes expected to be
713 induced in soil microbial populations in response to the generally higher emissions of H_2 from
714 faba bean nodules would be beneficial for following crops.

715 Some or all of the factors discussed above could potentially contribute to more prolific
716 and extensive root growth or overall enhanced growth potential by a following crop that might
717 result in increased uptake of N and other nutrients from the soil. The true extent of the residual N
718 effect of faba bean on a subsequent crop is probably best determined by comparing faba bean
719 with other pre-crop treatments likely to provide similar non-N-benefits through their suppression
720 of diseases, pests and weeds as faba bean. For example, when undertaking an experiment to
721 estimate how much of faba bean's rotational impact on wheat was specifically derived from N it
722 would be preferable to include another broadleaf species such as a brassica crop as a control
723 rather than, or in addition to, the more commonly used continuous wheat-wheat or barley-wheat
724 sequences which tend to favor the build-up of cereal-pathogens rather than diminish them as
725 would be the case with the faba bean or brassica crops. Unfortunately few such studies have been
726 undertaken, but it is clear that the choice of pre-crop species used for comparative purposes
727 could influence the size of the presumed N benefits simply because the observed improvements
728 in productivity of following crops may be confounded by effects of faba bean on factors other
729 than N.

730
731

732 **5. Conclusions**

733 Faba bean has three main functions in an arable cropping systems: 1) the contribution protein
734 rich food and feed, 2) supplying N to the system via symbiotic N₂ fixation, and 3) diversifying
735 the cropping system to reduce constraints to the growth and yield by other crop species in the
736 rotation. To enhance the benefits of faba bean in the context of a future, more sustainable
737 agriculture with less dependence on fossil energy, it is essential to stimulate research which aims
738 at eliminating the yield instability of faba bean, to maximize its rotational benefits, and to
739 determine, and eventually minimize or prevent, the risk of faba bean cropping having unwanted
740 effects on the environment.

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1118 **Figure legends**

1119 **Fig. 1.** Proportion of faba bean in arable cropping systems in selected countries (a) China and
1120 Egypt, (b) Australia, Canada, France and UK (FAOSTAT, 2008)

1121
1122 **Fig. 2.** Development of faba bean grain yield in selected countries. (a) China, Egypt and France,
1123 (b) Australia, Canada and UK (FAOSTAT, 2008).

1124
1125 **Fig. 3.** Average (a) grain dry matter (DM) yields and (b) above-ground N accumulation of sole
1126 cropped pea (cv. Agadir), faba bean (cv. Columbo) and the two barley cultivars A (cv. Otira) and
1127 B (cv. Lysiba) grown in a sandy loam soil during 2001–2003 as compared to the respective dual
1128 50%+50% (replacement design) cereal-legume intercrops. Land equivalent ratio (LER) using
1129 grain yield data are given on top of the intercrop bars (a). Total above-ground N accumulation is
1130 partitioned in soil N and leguminous symbiotic N₂ fixation using the ¹⁵N natural abundance
1131 technique. Percentage of leguminous N originated from fixation is given on the top of bars (b).
1132 LSD_{0.05} between total values of the cropping strategies is given by floating bars. Modified from
1133 Hauggaard-Nielsen et al. (2008)

1134 **Figure 4** Faba bean (cv. Columbo), pea (cv. Agadir), lupin (angustifolius; cv. Prima) and barley
1135 (cv. Otira) grain dry matter (DM) when grown as sole crops (SC) at recommended sowing
1136 densities as compared to intercrop (IC) designs with dual (IC2 = 50%+50%), triplicate (IC3 =
1137 33.3%+33.3%+33.3%) or quadruple (IC4 = 25%+25%+25%+25%) replacement designs
1138 assuming that the interactions between intercrop components are not confounded by alterations
1139 in the relative plant density in the intercropping compared to sole cropping (De Wit and van der
1140 Bergh, 1965). The study was conducted on a temperate sandy loam soil in 2002. Values are the
1141 mean (n = 4) and columns with the same letter on top within each individual diagram are not
1142 significantly different using Tukey's Studentized Range (HSD) Test for treatments. Land
1143 equivalent ratio (LER) using the respective grain yield parameters are given on top of the
1144 intercrop bars (unpublished data). Open columns: faba bean yield, filled columns: sum of other
1145 IC components.

1146 **Fig. 5.** Above-ground N accumulated from (a) fertilizer N (50 kg N ha^{-1} , ^{15}N -labelled), (b) soil
1147 N and (c) atmospheric N_2 in spring-sown faba bean (cv. Diana), a determinate white-flowered
1148 pea cultivar A (cv. Bodil), an indeterminate purple-flowered pea cultivar B (cv. Timo) and spring
1149 barley (cv. Nery) determined at full bloom/anthesis (open column) and maturity (closed column).
1150 The numbers in the top of the columns in diagram (a) represent fertilizer recovery while the
1151 percentage of shoot N derived from N_2 fixation are indicated at the top of the columns in
1152 diagram (c). The values are the mean of three years field experiments \pm SE. Modified from
1153 Jensen et al. (1986c).

1154

1155 **Fig. 6.** The impact of concentrations of available soil N at sowing on plant reliance upon N_2
1156 fixation for growth (%Ndfa) by farmers' (a) chickpea and (b) faba bean crops in Australia
1157 (modified from Schwenke et al., 1998)

1158

1159 **Fig. 7.** Comparison of the amounts of soil N and N_2 fixed accumulated at crop maturity by (a)
1160 chickpea and (b) faba bean growing in soils of differing N fertility. Estimates of soil N supply
1161 was determined by the uptake of N by wheat growing in the same soils (derived from data
1162 presented by Turpin et al. 2002). Note that for each of the 3 soils examined the amounts of soil N
1163 estimated to have been recovered by the legumes (closed portion of each histogram) were
1164 substantially less than the N assimilated by wheat.

Figures

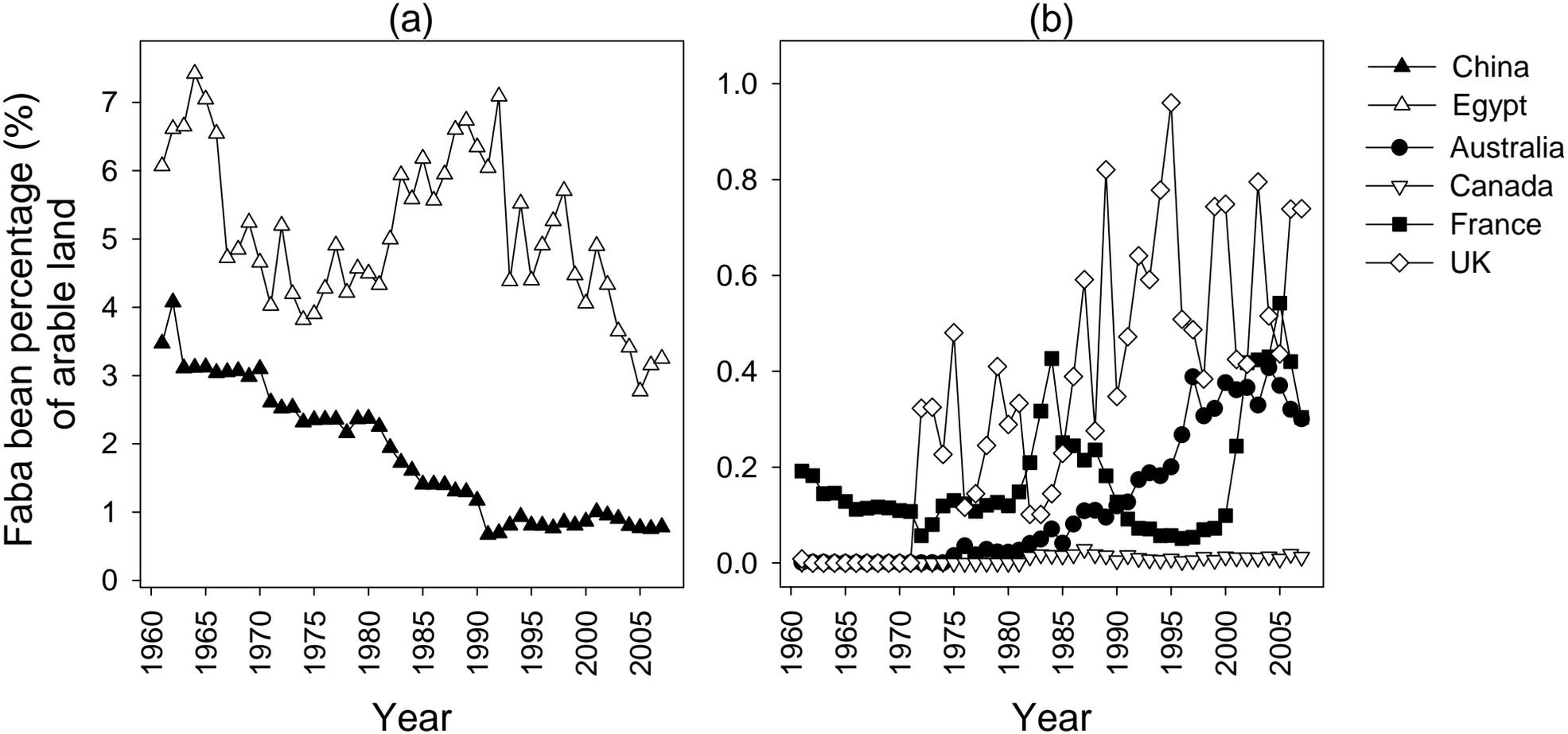


Figure 1.

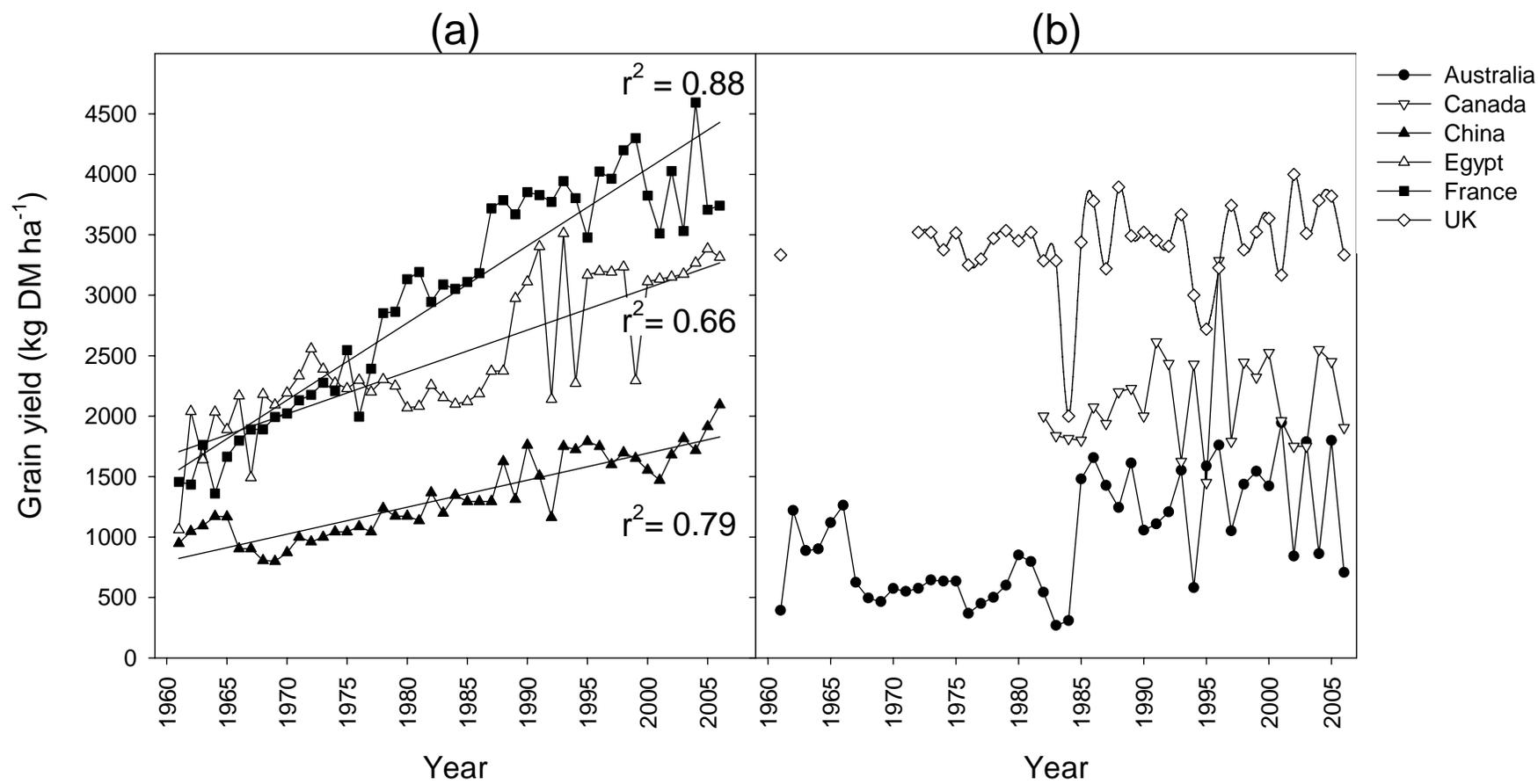


Fig. 2.

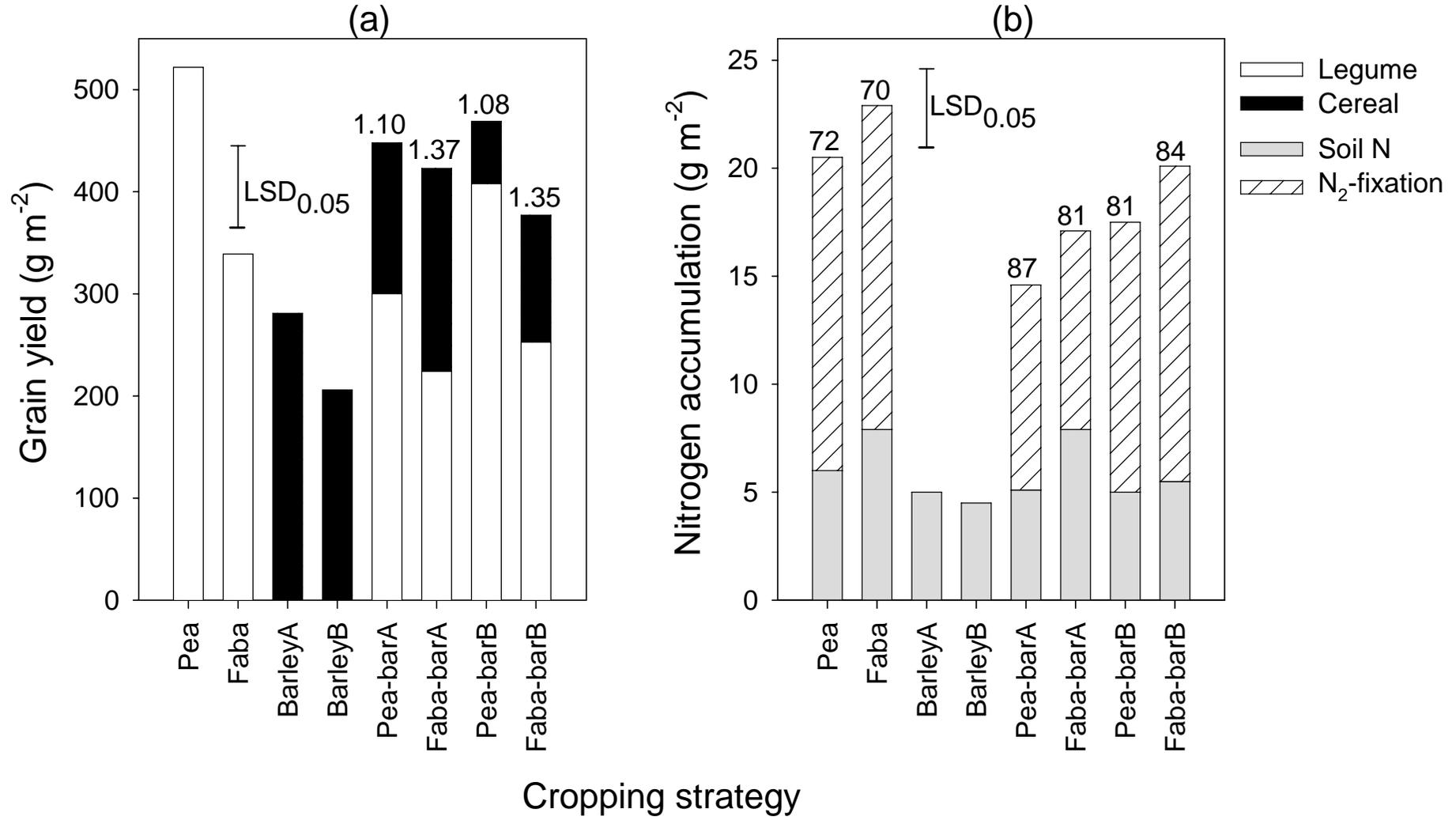


Fig. 3.

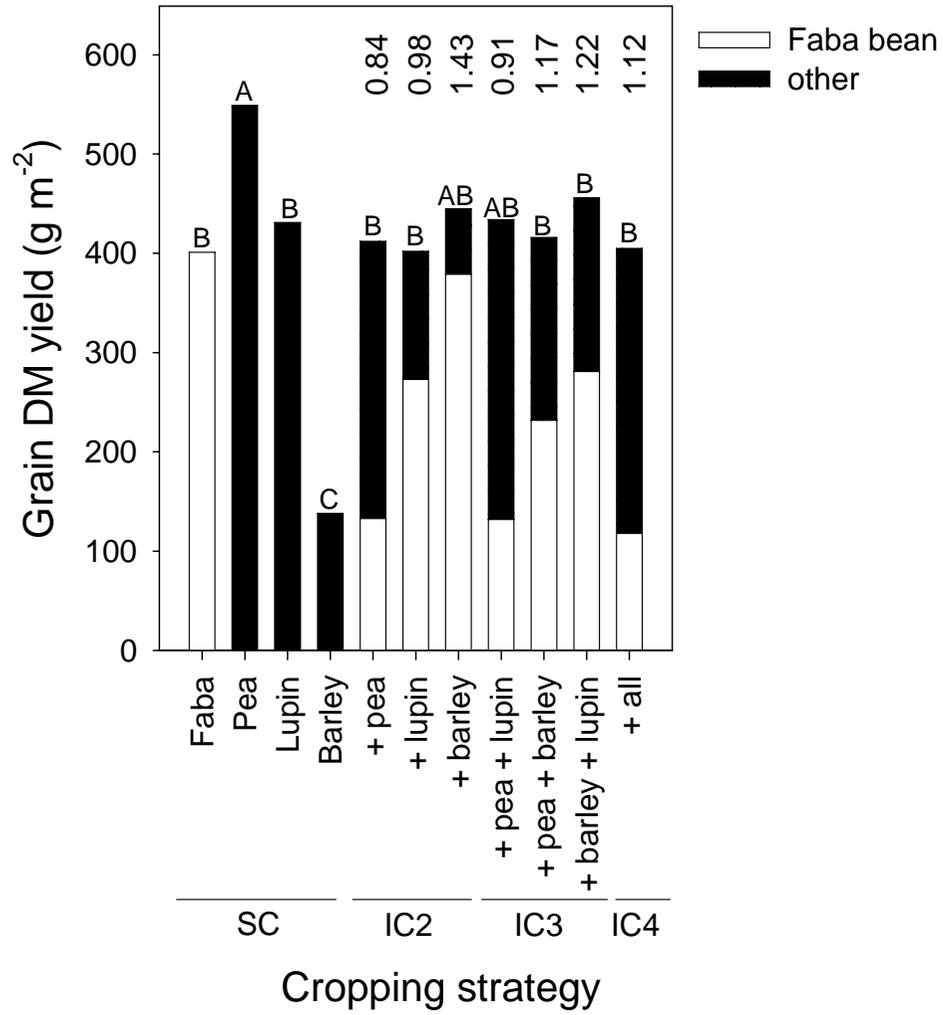


Fig. 4.

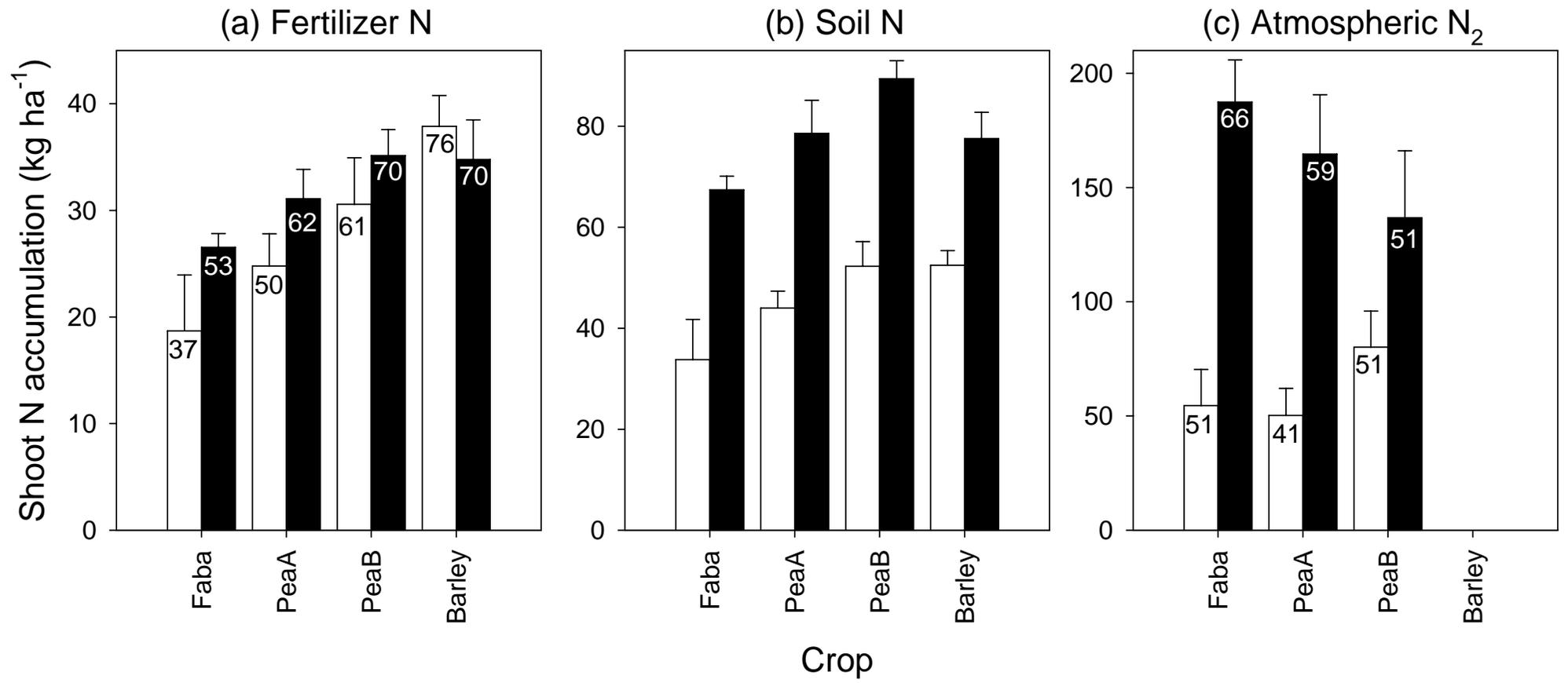


Fig. 5.

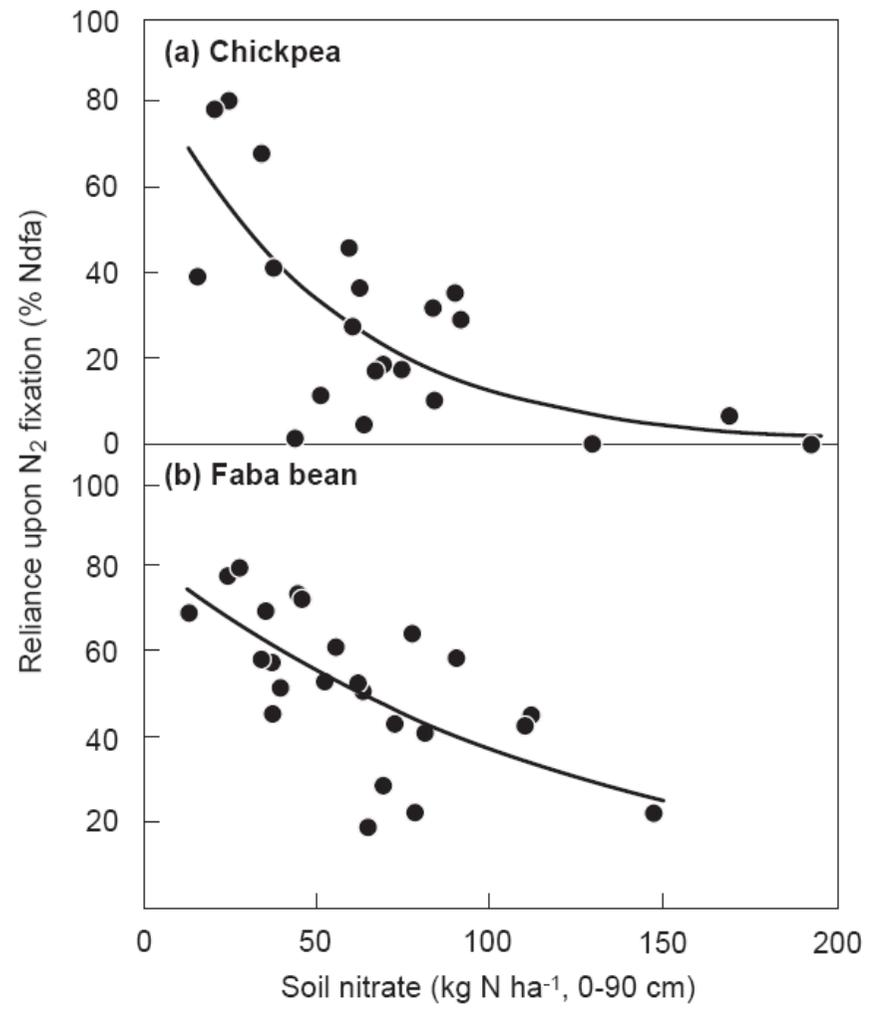


Fig. 6.

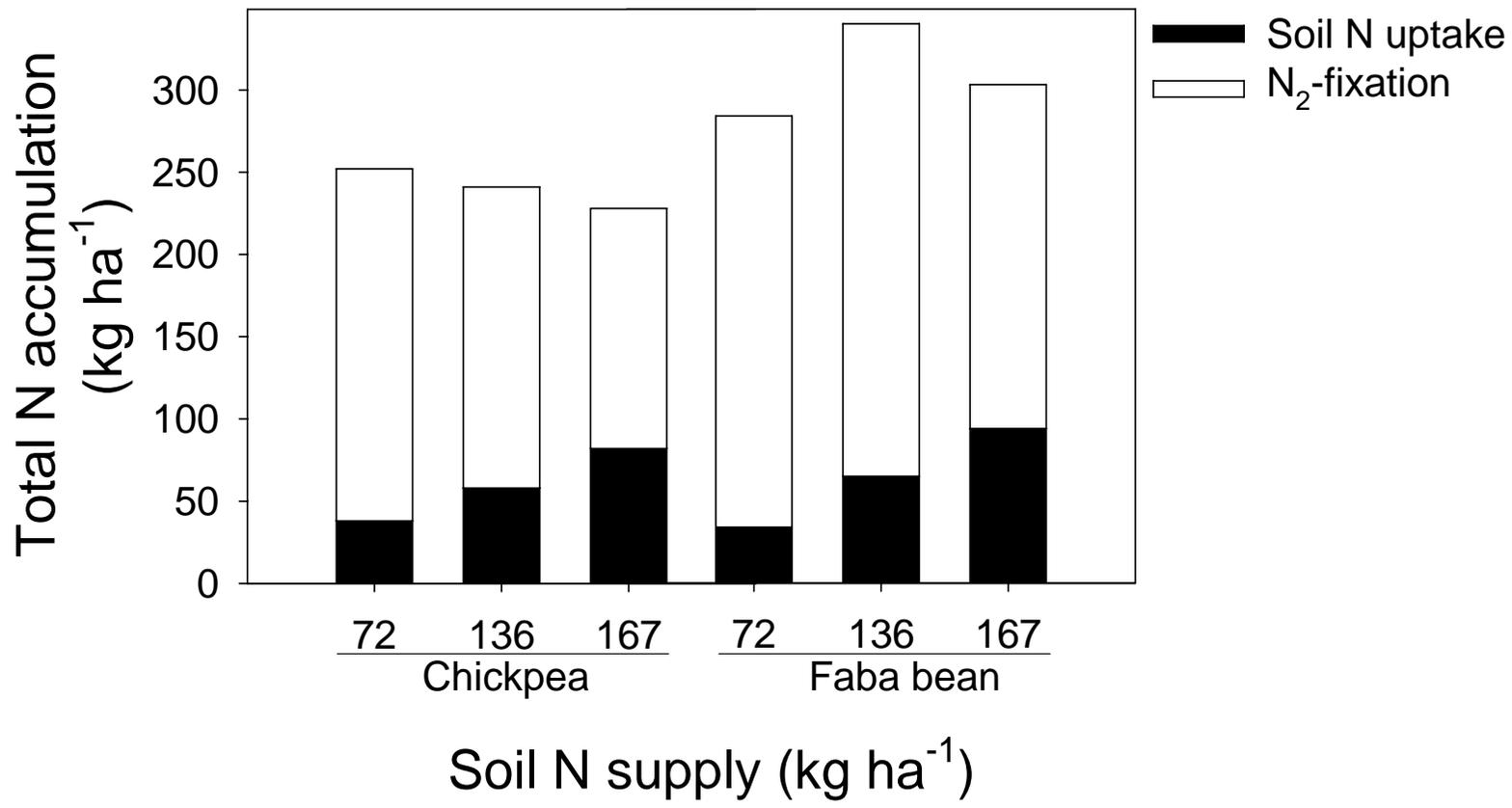


Fig. 7.

Table 1 Dry matter (DM) production, nutrient concentrations, amounts of nutrients accumulated, and calculated harvest indexes for DM and nutrients for an indeterminate spring-sown faba bean cultivar (cv. Diana) and two pea cultivars*. Crops were grown on a sandy loam soil in Denmark with or without the supply of 50 kg N ha⁻¹. Results are shown as means of N fertilizer levels and 3 years of experimentation. Results of the pea cultivars are shown as means of the two cultivars (Jensen et al., 1985a)

Plant part	Crop	DM yield gm ⁻²	Nutrient concentrations (%)						Nutrient accumulation (gm ⁻²)					
			N	P	K	Na	Ca	Mg	N	P	K	Na	Ca	Mg
Seed	Faba bean	507	4.56	0.58	1.24	0.01	0.09	0.12	23.1	2.9	6.3	0.1	0.5	0.6
	Pea	452	4.07	0.51	1.24	0.01	0.07	0.13	18.4	2.3	5.6	0.0	0.3	0.6
Empty pods	Faba bean	124	1.29	0.07	3.28	0.05	0.07	0.19	1.6	0.1	4.1	0.1	0.1	0.2
	Pea	102	1.61	0.14	1.62	0.02	2.03	0.26	1.6	0.1	1.7	0.0	2.1	0.3
Stubble**	Faba bean	538	1.28	0.22	1.60	0.16	1.80	0.17	6.8	0.5	8.7	0.9	9.7	1.0
	Pea	339	2.05	0.21	1.78	0.06	2.86	0.19	6.9	0.7	6.0	0.2	9.7	0.6
Roots	Faba bean	75	1.01	0.07	0.92	0.25	0.57	0.08	0.8	0.1	0.7	0.2	0.4	0.1
	Pea	14	2.12	0.19	0.87	0.13	2.34	0.15	0.3	0.0	0.1	0.0	0.3	0.0
Total in DM excl. roots	Faba bean	1169							31.6	3.5	19.0	1.0	10.2	1.8
	Pea	893							27.0	3.2	13.3	0.3	12.1	1.5
Harvest index		%							%	%	%	%	%	%
	Faba bean	43							73	83	33	5	4	34
	Pea	51							68	73	42	17	3	39

* Cv Bodil is a white-flowered determinate cultivar and Cv Timo is a purple-flowered indeterminate cultivar.

Crops were fertilized with 30 kg P and 50 kg K ha⁻¹

** Stubble is the sum of stems and leaves.

Plants samples derived from 1 m⁻² subplots were analyzed using conventional methods (Kjeldahl for N, spectrometric methods for P and atom absorption for cations.)

Table 2 Examples of field estimates of the proportions (Ndfa) and amounts of N fixed the atmosphere and assimilated from soil N by faba bean.

Fertilizer N supplied at sowing (kgN ha ⁻¹)	Ndfa (%)	Amounts fixed (kg shoot N ha ⁻¹)	Soil N uptake (kg shoot N ha ⁻¹)	Reference
120	34	134	260	Fan et al. (2006)
100	69	197	89	Fried and Broeshart (1975)
50	66	188	96	Jensen (1986c)
30	58	160	115	Witty (1983)
30	88	94	8	Lopez-Bellido et al. (2006)
18-72	66	83	42	Schmidt et al. (1987)
20	69	204	92	Sparrow et al. (1995)
10	84	190	36	Rennie and Dubetz (1986)
2	40	73	109	Haynes et al. (1993)
0	44	217	276	Patriquin (1986)
0	58	220	159	Fan et al. (2006)
0	70	255	109	Stülpnagel (1982)
0	72	155	60	Hauggaard-Nielsen et al. (2008)
0	74	177	62	Rochester et al. (1998)
0	78	128	36	Peoples et al. (2001)
0	79	136	36	Richards and Soper (1982)
0	86	114	29	Rochester et al. (2001)
0	99	335	3	Hauggaard-Nielsen et al. (2009)

Table 3. Comparison of estimates of the proportions (Ndfa) and amounts of shoot N fixed by faba bean with other important cool-season legume crops in different geographical regions of the world^a.

Legume species Region	Ndfa (%)		Amount fixed (kg shoot N ha ⁻¹)	
	range	mean	range	mean
Pea (<i>Pisum sativum</i>): total area grown = 10.4 Mha				
West Asia	70-74	72	33-62	47
Europe	26-99	60	28-215	130
North America	0-87	56	11-196	83
Oceania	31-95	68	26-183	83
Overall mean		62		86
Chickpea (<i>Cicer arietinum</i>): total area grown = 6.6 Mha				
South Asia	25-97	60	18-80	36
West Asia	8-91	60	3-115	51
Europe	44-77	56	23-74	43
North America	0-92	50	24-84	54
Oceania	37-86	60	43-124	70
Overall mean		57		51
Lentil (<i>Lens culinaris</i>): total area grown = 4.4 Mha				
South Asia	9-97	65	4-90	42
West Asia	58-68	64	110-152	122
North America	7-89	60	4-145	50
Overall mean		63		71
Faba bean (<i>Vicia faba</i>): total area grown = 2.6 Mha				
East Asia	52-73	61	158-413	239
West Asia	63-76	69	78-133	100
Europe	60-92	74	73-211	153
North America ^b	60-92	88	13-252	135
Oceania	69-89	82	82-216	143
Overall mean		75		154

^a Adapted from data and publications cited by Fan et al. (2006); Walley et al. (2007); Herridge et al. (2007); Peoples et al. (2009a); Li et al. (2009). Data from N fertilized treatments have not been included. Total global area grown by each crop derived from FAOSTA (2008).

^b Note: most of the faba bean data from North America come from irrigated crops, elsewhere in the world the data come from a mixture of rain-fed and irrigated crops.

Table 4. Comparison of the proportion of plant N derived from N₂ fixation (Ndfa) and estimates of the amounts of N₂ fixed by commercial faba bean crops with other pulses in the farming systems of eastern Australia ^a.

Legume	Number of crops	Mean Ndfa (%)	Shoot N fixed (kg N ha ⁻¹)
Faba bean	56	68	95
Pea, Chickpea, Lentil	33	56	71

^a Values derived from information presented by Rochester et al. (1998); Schwenke et al. (1998); Peoples et al. (2001); Peoples et al. (2009a).

Table 5 Calculations of the impact of faba bean or barley on the N dynamics of a following wheat crop based on comparisons of N accumulated by wheat, or using ¹⁵N-based estimates of wheat's direct uptake of faba bean-N^a

Parameter	Cropping sequence	
	Faba bean – Wheat	Barley – Wheat
Residue N from faba bean or barley (kg N ha ⁻¹)	96 ^b	73 ^b
Wheat N at maturity (kg N ha ⁻¹)	97	59
Wheat N benefit from legume (kg N ha ⁻¹)	38 ^c	
Apparent recovery of faba bean N (%)	40 ^d	
¹⁵ N-based estimated recovery of faba bean N (%)		
- from shoot residues	3 ^e	
- from nodulated roots and rhizodeposition	8 ^e	
Total	11 ^e	

^a Modified from data presented by Peoples et al. (2009a).

^b Includes shoot N remaining after grain harvest and an estimate of below-ground N.

^c Calculated as: (wheat N after faba bean) – (wheat N after barley)

^d Calculated as: $100 \times (\text{wheat N benefit}) / (\text{faba bean residue N}) = 100 \times (38) / (96) = 40\%$

^e Calculated from the measured recovery of the legume residue ¹⁵N present in the wheat crop.