# 1Sulfonated Biochar as Acid Catalyst for Sugar Hydrolysis and Dehydration

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### 18Abstract:

19This study investigated the use of 30 w/v% H<sub>2</sub>SO<sub>4</sub> sulfonated wood waste-derived biochar as 20catalysts for production of value-added chemicals from carbohydrates in water as an 21environmentally benign solvent. Physicochemical characteristics of the sulfonated biochar 22were revealed by Fourier transform infrared spectroscopy (FTIR), acid-base neutralization 23titration, gas adsorption analysis, thermogravimetric analysis (TGA), and scanning electron 24microscopy with energy dispersive X-ray spectroscopy (SEM-EDX). Using the sulfonated 25biochar as catalysts, hydrolysis of maltose at 140-160°C resulted in the maximum glucose 26yield of 85.4% and selectivity of 88.2%, whereas dehydration of fructose at 160-180°C 27produced the maximum HMF yield of 42.3% and selectivity of 60.4%. A higher range of 28reaction temperature was required for fructose dehydration due to the higher energy barrier 29compared to maltose hydrolysis. While increasing the temperature accelerated the catalytic 30reactions, the maximum product selectivity remained unchanged in the sulfonated biochar-31catalyzed systems. The products were stable despite the increase in reaction time, because 32rehydration and adsorption of products was found to be minor although polymerization of 33intermediates led to unavoidable carbon loss. This study highlights the efficacy of engineered 34biochars in biorefinery as an emerging application.

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**36Keywords:** engineered biochar; biomass valorization; hydroxymethylfurfural (HMF); **37**fructose dehydration; polysaccharide hydrolysis; waste recycling.

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#### 391. Introduction

40In view of the worldwide energy crisis, emerging technologies to seek alternative energy 41sources have drawn great research interest. Value-added chemicals produced from renewable 42biomass, *e.g.*, food waste [1-3] and forestry waste [4,5], have been advocated to replace 43conventional petrochemicals as the building blocks of a wide diversity of consumer products, 44including pharmaceuticals, polymers, and biofuels. For example, hydroxymethylfurfural 45(HMF) is one of the bio-derived platform chemicals, which can be transformed into various 46industrial chemicals, such as ethoxymethylfurfural, 2,5-furandicarboxylic acid, furfuryl 47alcohol, dimethylfuran, and 2,5-diformylfuran [6]. In biorefinery, acid catalysis is an 48important chemical process as many commonly employed reactions can be accelerated by

49protons, including hydrolysis (*e.g.*, from starch to glucose) and dehydration (*e.g.*, from 50fructose to HMF). While traditional liquid acid catalysts such as H<sub>2</sub>SO<sub>4</sub> may cause corrosion 51of facilities and increase difficulty for subsequent treatment and recycling, economical solid 52catalysts that allow easy separation from the reaction system with good performance are 53highly desirable.

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55Biochar as a waste-derived carbonaceous material offers significant environmental merits 56and, most importantly, possesses tunable surface area and porous structure, which render it 57 favorable to serve as a support of acid sites for catalytic hydrolysis and dehydration in 58common biorefinery reactions [7]. However, there is limited information on biochar-based 59catalysts for biomass conversion. Previous studies demonstrated the catalytic activity of 60sulfonated biochar for converting biomass (e.g., corn stover, switch grass and prairie cord 61grass; [8]) and model compounds (*i.e.*, cellulose, glucose, and fructose; [9]). Yet, the kinetics 62of individual reaction steps (*i.e.*, hydrolysis and dehydration) in the conversion system has 63not been illustrated. It was reported that the reaction time to reach 90% conversion of 64birchwood xylan (a hemicellulose component) over sulfonated pine biochar shortened from 6524 h at 93 °C to 2 h at 120 °C [10]. In addition, the significance of side reactions in the 66presence of biochar catalyst needs investigation. Polymerization among sugars and HMF as 67well as rehydration of HMF to levulinic acid and formic acid were often reported in 68conventional catalytic systems for biomass conversion (e.g., metal chloride catalysts and 69resin-based catalysts [1,3]). These side reactions should be suppressed in order to achieve 70high product selectivity.

72Therefore, this study aims to examine the kinetics of (1) hydrolysis of maltose to glucose and 73(2) dehydration of fructose to HMF over sulfonated biochar as the solid acid catalyst for 74biorefinery. The wood waste derived biochar was post-modified by 30% w/v H<sub>2</sub>SO<sub>4</sub> and used 75in maltose or fructose conversion under microwave heating in water as an environmentally 76friendly reaction medium (*i.e.*, without organic solvents). The catalytic performances of 77sulfonated biochar are evaluated in terms of product yield and selectivity and then discussed 78in relation to the catalyst characteristics (*e.g.*, surface functional groups and porous structure). 79This study elucidates the significance of sulfonated biochar in acid-catalyzed biorefinery 80reactions, and highlights the emerging application of engineered biochar in valorization of 81biomass waste for chemical synthesis.

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### 832. Materials and methods

#### 842.1. Wood biochar and model sugar compounds

85The biochar was produced from forestry wood waste (*Acacia confusa* and *Celtis sinensis*) at 86Kadoorie Farm and Botanic Garden, Hong Kong via slow pyrolysis at a temperature up to 87700 °C for 15 h. Standard compounds, *i.e.*, glucose (99%) from Alfa Aesar and maltose 88monohydrate ( $\geq$ 98%) from Wako, were used as substrates in catalytic conversions. Analytical 89equipment was calibrated by glucose (99%), cellobiose ( $\geq$ 98%), levulinic acid (98%), and 90formic acid (98%) from Alfa Aesar; maltose monohydrate ( $\geq$ 98%) from Wako; HMF ( $\geq$ 99%) 91from Sigma Aldrich; and levoglucosan from Fluorochem, respectively.

#### 932.2. Production of sulfonated biochar

94The raw biochar was ground and sieved through a 0.25-mm mesh before use. Biochar was 95mixed with 30% wt/v sulfuric acid solution at the ratio of 1 g biochar to 20-mL acid solution, 96and then were placed into a 200 mL acid digestion vessel (PARR, 4748A) for heating at 97150°C for 24 h in an oven. The diluted sulfuric acid (30% wt/v) is better than the use of 98concentrated counterpart (98% wt/v) in previous studies [10,11] in terms of safety issues and 99environmental compatibility. After cooling for 2 h to reach room temperature, the slurry was 100filtered and the solids were collected as sulfonated biochar, which was subsequently washed 101with deionized water until no sulfate ions detected in the filtrate (pH value was 3.9). The 102sulfate ions were detected by adding BaCl<sub>2</sub> (1 mol/L) to the filtrate, which was then analyzed 103by a spectrophotometer to determine precipitation that indicates the presence of sulfate ions 104[8]. The washed biochar were dried at 105°C overnight and stored in a desiccator before use.

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## 1062.3. Characterization of sulfonated biochar

107Both raw and sulfonated biochars were subjected to a range of physicochemical 108characterization tests. Biochar functional groups were examined using a Fourier transform 109infrared spectroscopy (FTIR) (Shimadzu IR Prestige 21, 400-4000 cm<sup>-1</sup>, resolution of 2 cm<sup>-1</sup>, 110potassium bromide disc technique). Brunauer-Emmett-Teller (BET) surface areas and pore 111volumes were determined by nitrogen adsorption-desorption isotherm measurements at -112196°C using a gas sorption analyzer (Micromeritics Accelerated Surface Area and 113Porosimetry system, ASAP 2020). The samples were degassed at 80°C for 16 h before 114analysis. Morphology and pore structures were observed with scanning electron microscopy 115with energy dispersive X-ray spectroscopy (SEM-EDX, JEOL Model JSM-6490). 116Thermogravimetric analysis (TGA; Rigaku Thermo plus EVO2) was conducted to reveal the 117thermal stability of biochars as the temperature increased from 100 to 1100°C at a rate of 11810°C min<sup>-1</sup>. The total acidity density and the -SO<sub>3</sub>H density were determined by acid-base 119neutralization titration, of which the detailed protocol can be found in our latest study [11].

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### 1212.4. Catalytic conversion of sugars

122Model sugar substrate (i.e., maltose or fructose) of 5 wt/v% [12] was added to water as the 123green reaction medium, followed by the addition of sulfonated biochar as catalyst. 124Preliminary tests on fructose conversion (160°C, 15 min) indicate that the HMF yield rose 125 from 2.7% to 3.8% and to 18.5% when the catalyst-to-substrate mass ratio increased from 0.1 126to 0.25 and to 0.5, indicating enhanced reaction rate with increasing catalyst loading. As the 127 fast conversion allows vivid comparison of the biochar performances, the loading of 0.5 was 128adopted in this study. The reaction mixture with a total volume of 10 mL was subjected to 129heating at 140-180°C for 5-60 min (determined based on our previous study [13]) under 130continuous magnetic stirring in the Ethos UP Microwave Reactor (Milestone, maximum 131power 1900 W), followed by 40-min cooling with mechanical ventilation. The temperature as 132a function of heating time was programmed and controlled by a self-adjusting mechanism, in 133which the microwave power varied according to the actual temperature indicated by a 134thermal sensor. Microwave heating in previous sugar conversion studies shows an advantage 135of efficient heat transfer with a smaller extent of side reactions compared with conventional 136heating methods [12,14,15]. After the reaction, the samples were extracted, diluted with 137deionized (DI) water at a volume ratio of 1:3, and filtered through 0.22- $\mu$ m mixed cellulose 138esters filter for product analysis. All the tests were conducted in duplicate.

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### 1402.5. Product analysis

141High performance liquid chromatography (HPLC) was carried out using the Chromaster 142(Hitachi, Japan) in conjunction with a 5110 pump, 5210 autosampler, 5310 column oven, as 143well as 5450 refractive index detector (Hitachi, Japan). The compounds were identified with 144reference to the retention times of pure standards. An Aminex HPX-87H column (Bio-rad) 145was employed, using 0.01 M H<sub>2</sub>SO<sub>4</sub> as the mobile phase flowing at 0.5 mL min<sup>-1</sup> [13]. The 146temperature of the column and detector was maintained at 50 °C. Blank and spiked samples 147(*i.e.*, model compounds in DI water with known concentrations) were included in each series 148of HPLC analysis for quality assurance. Product yield and selectivity were calculated on a 149basis of carbon number (mol) as below.

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<sup>151</sup>Product yield (mol%) = 
$$\frac{P_f(mgml^{-1}) \times n_p/MW_p}{S_i(mgml^{-1}) \times n_s/MW_s} \times 100$$

152 (1)

<sup>153</sup>Product selectivity (mol %) = 
$$\frac{P_f(mgml^{-1}) \times n_p / MW_p}{S_i - S_f(mgml^{-1}) \times n_s / MW_s} \times 100$$

154 (2)

<sup>155</sup>Turnover frequency 
$$(min^{-1}) = \frac{P_f(mg ml^{-1})/MW_p \times Vol(ml)}{A(mmol/g) \times m(g) \times t(min)}$$
 (3)

157where  $P_f$  represents the concentration of final products, *i.e.*, fructose, glucose, HMF, 158disaccharide, levoglucosan, levulinic acid, and formic acid;  $S_i$  and  $S_f$  refer to the initial and 159final concentration of substrates, respectively (*i.e.*, maltose and fructose);  $n_p$  and  $n_s$  are the 160numbers of carbon in the corresponding product and substrate, respectively; MW is the 161molecular mass of the corresponding compound; A and m represent total acidity density and 162mass of biochar catalyst loaded, respectively; V is the total volume, *i.e.*, 10 mL; and t is the 163reaction time.

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## 1652.6. Adsorption of substrates/products on sulfonated biochar

166To be consistent with the catalytic conversions, 0.5 g substrates/products (*i.e.*, maltose, 167glucose, fructose, or HMF) and 0.25 g sulfonated biochar were added to 10 mL DI water. The 168mixture was stirred at the room temperature for the maximum reaction time adopted in 169catalytic conversion system (*i.e.*, 60 min).

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### 1713. Results and discussion

#### 1723.1. Physicochemical characteristics of sulfonated biochar

173As shown in the SEM images (Fig 1), the raw biochar presents intact porous structure, while 174the sulfonated biochar displays collapsed porosity, which evidence the physical changes of 175biochar surface induced by sulfonation process. Table 1 shows that after the sulfonation 176process, the average pore diameter decreases from 68.4 to 4.8 nm, the pore volume decreases 177from 0.066 to 0.036 cm<sup>3</sup>/g, and the surface area decreases from 131.9 to 57.0 m<sup>2</sup>/g, 178corroborating the observed collapse of mesopores and macropores to open structure besides 15 8 179pore cracking to form micropores. In comparison, more intensive conditions using 98% 180H<sub>2</sub>SO<sub>4</sub> (which was widely studied) were found to impart more substantial structural changes 181[11]. However, previous studies adopting different sulfonation methods reported an increase 182in surface area and porosity of biochar [10,17]. It was suggested that biochar from 400°C-183pyrolysis had a lower degree of cross-linkage that favored the sulfonation efficiency 184compared to pyrolysis at 900-1000°C [10]. The effects of sulfonation are, therefore, 185dependent on the physical properties of raw biochar.

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187In the FTIR spectra, a new peak emerged at 1096 cm<sup>-1</sup> after the sulfonation process (Fig 2), 188which is assigned to the –SO<sub>3</sub>H groups [10,18]. In addition, the peaks at 3428 cm<sup>-1</sup> and 1720 189cm<sup>-1</sup> indicate the presence of –OH and –COOH groups, respectively [16], suggesting that the 190weak acid groups were also created by sulfonation. The titration results confirm that while the 191raw biochar has negligible acidity, the sulfonated biochar presents a total acidity density of 1920.658 mmol/g and -SO<sub>3</sub>H group density of 0.196 mmol/g (Table 1). Such acid properties are 193comparable to the reported values when using diluted H<sub>2</sub>SO<sub>4</sub> for sulfonation (*e.g.*, total 194acidity density of 0.95 mmol/g [8]), but weaker than biochars sulfonated by concentrated 195H<sub>2</sub>SO<sub>4</sub>(98%) (*e.g.*, total acidity density of 2.42-3.66 mmol/g and –SO<sub>3</sub>H density of 0.69-0.96 196mmol/g [10,11,16]). According to the titration results, the weak acid groups revealed by FTIR 197analysis (*i.e.*, –OH and –COOH groups) account for 70% of the total acidity density in the 198current study. These findings demonstrate that sulfonation can introduce a diverse spectrum 1990f acidic functional groups to the biochar surface.

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201The TGA spectra depict a greater mass loss of sulfonated biochar compared with raw biochar 202(Fig 3a), suggesting that sulfonation unavoidably reduces the thermal stability of biochar. It is 203noted that the DTG peak of biochar at 600-700°C diminishes while the peak at 200-300°C 204becomes much more significant after the sulfonation process (Fig 3b). At 1000°C, the mass 205loss percentages of raw biochar and sulfonated biochar are 16 and 20 wt%, respectively. The 206sulfonated structure is weakened in cross-linkage and more prone to thermal degradation. 207This is probably because sulfonation partially oxidizes the carbon structure and reduce the 208temperature required for the onset of thermal decomposition [17]. In view of the TGA 209spectra, the sulfonated biochar as catalysts should be employed for reactions below 200°C.

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### 2113.2. Hydrolysis of disaccharide to glucose

212The use of sulfonated biochar as a solid acid catalyst for hydrolysis is evaluated via maltose 213conversion. As shown in Fig 4a, the maltose content decreases gradually with the reaction 214time to produce glucose at 140°C, with the maximum yield ~68% at 60 min. As the 215temperature increases, the maltose hydrolysis is accelerated that the glucose yield reaches the 216plateau within 30 min at 150°C and 20 min at 160°C, respectively (Fig 4b&c). The initial rate 217of maltose conversion during the first 5 min (Table 2) increases from 1.34 mmol/L-min 218(140°C) to 3.44 mmol/L-min (150°C) and then to 4.07 mmol/L-min (160°C). This underscores 219that a higher energy input can substantially enhance the catalytic hydrolysis over the 220sulfonated biochar. The highest glucose yield is 85.4% at 160°C after 40-min heating (Fig 2214c). The turnover frequency remains steady at 0.2-0.24 min<sup>-1</sup> (Table 2), suggesting that there 222is insignificant mass transfer limitation in the biochar-catalyzed system. The sulfonated 223biochar surface with open structures (Fig 1b) may enable facile diffusion of maltose substrate 224to the acid sites for catalytic hydrolysis [11]. In addition, the carboxyl and hydroxyl groups 225on sulfonated biochar can provide hydrogen bonding sites for water during hydrolysis [17] 226and render the carbonaceous surface more polar for substrate adsorption [18], while the 227phenolic hydroxyl groups on sulfonated biochar may weaken the glycosidic bond of maltose 228via strong hydrogen bond interaction with the oxygen atoms [19].

#### 229

230At all tested temperatures, maltose can only undergo hydrolysis to glucose but subsequent 231conversion of glucose is not feasible (Fig 4). It was observed that glucose can be directly 232dehydrated into HMF under strong Brønsted acidity [3,20,21]. However, direct dehydration 233of glucose has a higher activation energy (36.4 kcal mol<sup>-1</sup>) compared with dehydration of 234 fructose (29.4 kcal mol<sup>-1</sup>) because glucose with a six-membered ring is more stable [12,22]. 235As the isomerization of glucose to fructose is Lewis acid-catalyzed, the sulfonated biochar 236catalyst in this study carrying Brønsted acid sites only cannot facilitate fructose formation for 237more thermodynamically favorable dehydration to HMF. Moreover, the results reveal that the 238Brønsted acidity of biochar sulfonated using dilute H<sub>2</sub>SO<sub>4</sub> (*i.e.*, total acidity density of 0.658 239mmol/g) is not sufficient for undergoing direct glucose dehydration under the tested reaction 240conditions, as reflected by glucose being the major final product from maltose hydrolysis. In 241contrast, biochar sulfonated by 98% H<sub>2</sub>SO<sub>4</sub> has a higher total acidity density of 2.42 mmol/g, 242which is able to facilitate one-pot conversion of bread waste to HMF [11]. Alternatively, 243impregnation of Lewis acid sites on the biochar catalysts [7,23,24] should be investigated in 244 future studies to facilitate glucose-fructose isomerization for energy-efficient HMF

245 formation.

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247As shown in Fig 5a, in general, the selectivity of glucose increases with the reaction time as 248the maltose-derived intermediates transform to glucose gradually. The selectivity eventually 249achieves the plateau of 83-88%, suggesting that side reactions (*e.g.*, polymerization and 250rehydration) are marginal in this biochar-catalyzed system. A previous study on starch 251hydrolysis over a carbon-based acid reported a constant glucose yield (70%) at 100°C 252regardless of the increase of reaction time from 12 to 24 h [25]. While the glucose selectivity 253reaches the maximum within ~10 min at 150 and 160 °C, the increase at 140 °C is notably 254slower that nearly 60 min is required for attaining the maximum. This indicates that the 255conversion of maltose-derived intermediates to glucose is unfavorable at the lower 256temperature. However, it is noteworthy that similar maximum selectivity different 257temperatures suggests that an increase in energy input only shortens the reaction time to reach 258the maximum yield, but cannot alter the balance between the rate of hydrolysis and rate of 259glucose-consuming side reactions. Such results are in good agreement with our recent study 260using homogeneous catalysts [2].

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## 2623.3. Dehydration of fructose to HMF

263For dehydration of fructose to HMF over the sulfonated biochar, Fig S1 shows that a small 264amount of HMF ( $\leq$  10%) was produced at 140-150°C in 40 min, pointing to a higher energy 265barrier for fructose dehydration compared with that for maltose hydrolysis. Therefore, a 266higher reaction temperature range is required, *i.e.* 160-180°C (Fig. 6). In general, HMF yield

267increases and fructose content decreases steadily as a result of dehydration reaction over time. 268Similar to maltose hydrolysis, the kinetics is promoted by increasing temperature that the 269initial rate of fructose conversion increases from 1.19 mmol/L-min at 160°C to 2.40 mmol/L-270min at 180°C (Table 2). The HMF yield attains plateau ~42% in 20 min at 180°C (Fig 6c), 271whereas the highest HMF yield is 23.4% at 160°C (Fig 6a), which is expected to increase 272beyond 60 min. Similar maximum HMF yields are obtained at 170°C (41.5%) and 180°C 273(42.3%). This indicates a constant balance between the rates of HMF formation and HMF-274consuming side reactions at the tested temperatures, similar to maltose hydrolysis (Section 2753.2). The performance of sulfonated biochar (~40% HMF in 20 min at 180 °C) is desirable 276compared with other biochar-based catalysts, for example, fluorine anion-containing ionic 2771iquid-functionalized biochar sulfonic acid that achieved 27.4% HMF from fructose in 180 278min at 80°C [9].

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280As shown in Fig 5b, HMF selectivity curve at 170 °C nearly overlaps with that at 180 °C, 281where the maximum remains ~60% in 10-20 min. At 160 °C, the HMF selectivity increases at 282a slower rate and reaches the maximum at ~30 min, implying the slower conversion of 283intermediates to HMF. The similar plateau of HMF selectivity at 160-180 °C suggests the high 284stability of HMF against side reactions over the sulfonated biochar catalyst despite increasing 285reaction time. The loss ~40% (HMF selectivity subtracted by 100%) may be attributed to side 286reactions of intermediates during fructose dehydration. The trivial amounts of levulinic acid 287and formic acid indicate a minor degree of rehydration reactions during fructose dehydration 288(Fig 6), possibly due to the relatively weak Brønsted acidity given by the sulfonated biochar.

289Therefore, it is deduced that polymerization reactions of intermediates to form unquantifiable 290oligosaccharides/humins play a more significant role in determining the HMF selectivity. 291

2923.4. Adsorption of substrates/products on sulfonated biochar

293The results of adsorption test on sulfonated biochar (Table 3) reveal 8-12.3% adsorption of 294sugars, *i.e.*, maltose, glucose, and fructose, which is higher than 3.6% adsorption of HMF. 295This is probably because HMF as a dehydrated product from sugars has a lower affinity for 296the hydrophilic functional groups on sulfonated biochar, *i.e.*, –OH, –COOH, and –SO<sub>3</sub>H 297groups (Fig 2). The sugar adsorption may partially account for the carbon loss to unidentified 298products of 12-13% for maltose hydrolysis and 14-27% for fructose dehydration after 60 min 299(Fig 7). Nevertheless, during fructose dehydration, polymerization of intermediates to humins 300contributes more significantly to the notable carbon loss.

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#### 3024. Conclusions

303This study evaluated the emerging application of the wood waste-derived biochar sulfonated 304in 30% w/v H<sub>2</sub>SO<sub>4</sub> as a solid acid catalyst for important biorefinery reactions. The sulfonated 305biochar displayed significant changes in morphology and surface chemistry, and effectively 306promoted hydrolysis of maltose to glucose at 140-160°C with the maximum glucose yield of 30785%. However, direct dehydration of the resultant glucose was infeasible because of the 308relatively weak acidity of the sulfonated biochar. A higher temperature range of 160-180°C 309was required for dehydration of fructose to HMF (42% yield at maximum), reflecting a 310higher energy barrier for fructose dehydration compared with that for maltose hydrolysis. 311There was a constant balance between the rates of product formation and rate of side 312reactions at the studied temperature ranges. The product loss to rehydration and adsorption 313was minor in the sulfonated biochar-catalyzed processes, whereas polymerization of sugar 314intermediates led to the unavoidable carbon loss depending on the reaction temperature and 315total acidity. This study elucidates the kinetics of hydrolysis and dehydration catalyzed by 316sulfonated biochar in water as an environmentally benign medium, and demonstrates the 317novel application of engineered biochar as catalysts for cost-effective and sustainable 318biorefinery.

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