ORIGINAL ARTICLE

Superior prognostic utility of gross and metabolic tumor volume compared to standardized uptake value using PET/CT in head and neck squamous cell carcinoma patients treated with intensity-modulated radiotherapy

Paul B. Romesser · Muhammad M. Qureshi · Bhartesh A. Shah · Luke T. Chatburn · Scharukh Jalisi · Anand K. Devaiah · Rathan M. Subramaniam · Minh Tam Truong

Received: 12 October 2011/Accepted: 19 April 2012/Published online: 19 May 2012 © The Japanese Society of Nuclear Medicine 2012

Abstract

Objective To compare the prognostic utility of the $2-[^{18}F]$ fluoro-2-deoxy-D-glucose (FDG) maximum standardized uptake value (SUV_{max}), primary gross tumor volume (GTV), and FDG metabolic tumor volume (MTV) for disease control and survival in patients with head and neck squamous cell carcinoma (HNSCC) undergoing intensity-modulated radiotherapy (IMRT).

Methods Between 2007 and 2011, 41 HNSCC patients who underwent a staging positron emission tomography with computed tomography and definitive IMRT were identified. Local (LC), nodal (NC), distant (DC), and overall (OC) control, overall survival (OS), and disease-

Presented in part at the 53rd Annual Meeting of the American Society for Therapeutic Radiology and Oncology, October 2nd–6th, 2011, Miami Beach, Florida, USA.

P. B. Romesser · M. M. Qureshi · B. A. Shah ·
R. M. Subramaniam · M. T. Truong (⊠)
Department of Radiation Oncology, Boston Medical Center, Boston University School of Medicine, 830 Harrison Ave, Moakley Building LL 238, Boston, MA 02118, USA
e-mail: minh-tam.truong@bmc.org

Present Address:

P. B. Romesser

Department of Medicine, Memorial Sloan-Kettering Cancer Center, New York, NY, USA

L. T. Chatburn · R. M. Subramaniam · M. T. Truong Department of Radiology, Boston Medical Center, Boston University School of Medicine, Boston, MA, USA

S. Jalisi · A. K. Devaiah

Department of Otolaryngology, Boston Medical Center, Boston University School of Medicine, Boston, MA, USA free survival (DFS) were assessed using the Kaplan-Meier product-limit method.

Results With a median follow-up of 24.2 months (range 2.7–56.3 months) local, nodal, and distant recurrences were recorded in 10, 5, and 7 patients, respectively. The median SUV_{max}, GTV, and MTV were 15.8, 22.2 cc, and 7.2 cc, respectively. SUV_{max} did not correlate with LC (p = 0.229) and OS (p = 0.661) when analyzed by median threshold. Patients with smaller GTVs (<22.2 cc) demonstrated improved 2-year actuarial LC rates of 100 versus 56.4 % (p = 0.001) and OS rates of 94.4 versus 65.9 % (p = 0.045). Similarly, a smaller MTV (<7.2 cc) correlated with improved 2-year actuarial LC rates of 100 versus 54.2 % (p < 0.001) and OS rates of 94.7 versus 64.2 % (p = 0.04). Smaller GTV and MTV correlated with improved NC, DC, OC, and DFS, as well.

Conclusion GTV and MTV demonstrate superior prognostic utility as compared to SUV_{max} , with larger tumor volumes correlating with inferior local control and overall survival in HNSCC patients treated with definitive IMRT.

Keywords Head and neck cancer · PET/CT · Standardized uptake value (SUV) · Gross tumor volume (GTV) · Metabolic tumor volume (MTV) · Intensity-modulated radiotherapy (IMRT)

Introduction

Radiation therapy (RT) is the mainstay of treatment for early and locally advanced head and neck squamous cell carcinoma (HNSCC). Improvements in identification of the tumor volume of head and neck tumors using imaging such as 2-[¹⁸F] fluoro-2-deoxy-D-glucose (FDG) positron emission tomography with computed tomography (PET/CT) have facilitated radiation treatment planning by improved target volume delineation and more accurate target localization, which is critical for intensity-modulated radiotherapy (IMRT). Sharp dose gradients between the high dose region targeted at the tumor and adjacent lowdose normal tissue regions in IMRT improves the therapeutic ratio between tumor control and radiation related toxicity, although this is reliant on the accurate identification of the tumor extent. Yet despite advanced IMRT techniques and integration of advanced radiological imaging such as PET/CT, locoregional failure still occurs in 30-50 % of locally advanced HNSCC largely within the high dose region [1, 2]. Such variable treatment responses argue for the need to characterize metrics that allow the a priori identification of patients at high risk of treatment failure and death.

Currently the American Joint Committee on Cancer (AJCC) staging, which utilizes a uni-dimensional tumor size, local anatomic invasion, nodal involvement, and presence of metastatic disease, is the most widely accepted and applied prognostic system in cancer [3]. Yet much attention has been called to its weaknesses, specifically in its ability to identify HNSCC patients at high risk of recurrence [4-6]. PET/CT has been increasingly integrated into diagnostic staging and radiation planning for HNSCC [7, 8], and has been demonstrated to be an accurate and sensitive imaging modality for the post-treatment evaluation of patients with HNSCC compared to clinical exam and CT alone [9, 10]. More, recently PET/CT variables including maximum standardized uptake value (SUV_{max}) and metabolic tumor volume (MTV) are emerging as potential radiological biomarkers in patients with HNSCC [11–17].

Volumetric indices have been proposed to risk stratify patients. Studies have reported that the primary gross tumor volume (GTV) correlates with outcomes and survival in patients with HNSCC undergoing curative surgery [18], radiation [19–21], or combined chemoradiation treatments in various head and neck cancer sites [4–6, 22, 23]. Given the interest in PET-based imaging the MTV has been recently explored as a combined volumetric and metabolic radiological biomarker. Studies have reported the predictive power of MTV in patients with head and neck cancer undergoing chemoradiation [12, 13].

The relative importance between SUV_{max} , GTV, and MTV of the primary tumor in the risk stratification of HNSCC patients has not been determined. This retrospective study sought to compare the prognostic utility of SUV_{max} , GTV, and MTV with respect to disease outcome and survival in patients with HNSCC undergoing IMRT with or without concomitant chemotherapy.

Materials and methods

Patient selection

The study was conducted as a retrospective review approved by the institutional review board (IRB). Informed consent was waived by the IRB. Fifty-one newly diagnosed HNSCC patients treated between January 2007 and March 2011 underwent IMRT (with or without chemotherapy) and had PET/CT imaging obtained prior to start of IMRT. Forty-one patients met the inclusion criteria. In total 10 patients were excluded: 6 had synchronous or metachronous malignancies within 3 years prior to HNSCC diagnosis, 2 had unknown primary, 1 had sino-nasal cancer, and 1 died shortly after treatment from non-cancer related causes (sepsis). All patients were staged according to the 2002 AJCC classification [24].

PET/CT protocol

All PET/CT studies were performed on a GE Discovery STE 16 (General Electric, Milwaukee) PET/CT scanner. Patients were scanned skull base to mid-thigh in treatment position on a flat table. Patients were injected with an average of 13.6 ± 3.3 mCi of 18F-FDG and incubated for an average period of 63.0 ± 5.9 min. The amount of injected radioactivity was routinely measured by quantification of the radioactivity of the syringe before and after injection. All patients were scanned using a dedicated head and neck protocol. Head and neck images were acquired with the arms down and body images were obtained with arms up from clavicle to mid-thigh. Body images were obtained first, followed by head and neck images and then low-dose deep inspiration images of the chest.

The dedicated head and neck PET scans were done using 2D imaging with emission scans lasting between 5 and 6 min, and a field of view (FOV) of 30 cm. The matrix size was 128×128 , and slice thickness was 3.3 mm. The CT images were obtained with a matrix of 512×512 . Beam collimation was 10 mm with a pitch of 0.984. Table speed was 9.84 mm/rotation and the slice thickness was 0.625 mm. kV of 120 and mAs of 440 were used. Intravenous contrast (IV) was administered by power injection (GE electric, Milwaukee) of 60 ml of Optiray IV (Tyco Health care/Mallinckrodt, Hezelwood) after a 40 s delay for the head and neck images. There was a second bolus after a 110 ml of IV contrast was given for the body section of the study. CT images were reconstructed to the PET slice thickness to match the PET and to create fused images. In addition, CT images were reconstructed at 1.25 mm with 1.25 mm spacing in soft tissue and bone algorithm for review.

PET/CT image analysis

All PET/CT studies were electronically retrieved from archives and reviewed on a GE Advantage Workstation by a single, board-certified radiologist with neuroradiology and nuclear medicine fellowship training. PET, CT, and fused PET/CT images were displayed in axial, coronal, and sagittal planes. For the purposes of this study, the relevant imaging parameter measurements were the primary tumor SUV_{max} and MTV segmented from PET. MTV was defined as the tumor volume with FDG uptake segmented by a gradient-based method. The commercially available MIMvista software analysis suite (MIM Software Inc., Cleveland, OH) includes a contouring suite for radiation therapy planning and a PET/CT fusion suite. Once the primary tumor (target) was segmented, SUV_{max} and MTV were automatically calculated by the MIMvista software. The gradient and threshold segmentation methods of volume measurement available in MIMvista software previously described rely on an operator-defined starting point near the center of the lesion [25, 26]. As the operator drags the cursor out from the center of the lesion, six axes extend out, providing visual feedback for the starting point of gradient segmentation. Spatial gradients are calculated along each axis interactively, and the length of an axis is restricted when a large gradient is detected along that axis. The six axes define an ellipsoid that is then used as an initial bounding region for gradient detection. The MTV and SUV_{max} within the bounding region are automatically calculated.

IMRT treatment planning

Patients underwent CT simulation (Brilliance CT Big Bore, Philips Medical Systems, Cleveland, OH) in the supine position immobilized with a custom thermoplastic mask. The radiation planning CT acquisition encompassed the vertex of the scalp to at least 5 cm below the clavicle using 2-3 mm slice thickness. Treatment planning was performed using Philips Pinnacle³ software suite (version 6.0 to 8.0m, Philips Medical Systems, Fitchburg, WI). GTVs were contoured incorporating diagnostic CT, PET, and/or MR images. To aid GTV contouring, PET/CT images were fused using Philips Pinnacle³ software suite prior to 2008 or MIMVista version 5.1.2 (MIMVista Corp., Cleveland, OH) after 2008. Structures on the planning CT contoured by the physician included: GTV, clinical target volume (CTV), planning target volume (PTV), and organs at risk including critical normal tissue organs adjacent to the target volumes. GTVs were manually contoured for IMRT by a single board-certified radiation oncologist and the volumes were then calculated by the software when generating dose volume histograms. No auto segmentation was used to create GTVs. Volumetric expansions from GTV to CTV were 7–15 mm (respecting normal tissue planes) followed by a 3–5 mm expansion to PTV. IMRT plans were designed with seven to ten 6 mv photon beams, using an inverse optimization algorithm with normalization such that 95 % of PTV was covered with the prescription dose (66–70 Gy), with the goal of no more than 1 % of PTV receiving less than 93 % of prescription dose, and no more than 1 % or 1 cc of the tissue outside the PTV receiving more than 110 % of prescription dose. Elective nodal areas and regions at risk for subclinical disease were treated to 54–60 Gy using a dose painting technique.

Treatment

All patients were treated with definitive IMRT. The GTV was treated to a median dose of 69.96 Gy (range 66.0–69.96 Gy), over a median of 33 fractions (range 32–33), and a median of 48 days (range 39–72 days). Concurrent chemotherapy was given to 36 (87.8 %) patients: 23 received cisplatin, 8 received carboplatin, and 5 received cetuximab. Of these 36 patients 15 also received induction chemotherapy.

Follow-up

Patients were followed after the conclusion of treatment, continuing until analysis or patient death. PET/CT was used to assess clinical response in addition to clinical exam at 3 months as part of standard treatment care. Disease recurrence was defined as the first site of failure including local failure, nodal failure or distant failure. All failures were confirmed by biopsy.

Statistical analysis

The statistical endpoints analyzed in this study were local control (LC), nodal control (NC), distant control (DC), overall control (OC), overall survival (OS) and disease-free survival (DFS), measured from the end of IMRT to the date of event, censoring patients at last follow-up or death. For OC, the event is occurrence of first local, nodal, or distant relapse. Overall survival was defined as death due to any cause, DFS included patients who died or had disease relapse anytime after the end of IMRT.

The Kaplan–Meier product-limit method was used to estimate the probabilities of tumor control and survival rates at 2 years irrespective of follow-up length [27]. The comparison of survival rates among the groups was done using the two-tailed log rank test. A probability value of less than 0.05 was considered statistically significant. All other statistical computations were performed on SAS 9.1 system (SAS Institute, Cary, NC).

Results

Patients and tumor characteristics

Non-white patients comprised 61 % of patient cohort, with 71 % of patients presenting with stage IV disease. The overall median follow-up was 24.2 months (range 2.7–56.3 months) and 27.1 months (range 4.0–56.3) among surviving patients. Complete patient and tumor characteristics are described in Table 1. For the patient cohort the median SUV_{max} of the primary tumor was 15.8 (range 4.5–33.8), the median GTV was 22.2 cc (range 1.5–162.5 cc), and the median MTV was 7.2 cc (range 0.40–43.5 cc). Overall and sub-site specific PET/CT and tumor volume characteristics are described in Table 2.

Table 1 Patient characteristics of 41 head and neck cancer patients

	Median	Mean \pm SD	Range
Age (years)			31-86
Smoking (pack-years)			0-100
p (months)	24.2	25.5 ± 15.7	2.7–56.3
n (%)			
31 (75.6)			
10 (24.4)			
3 (7.3)			
5 (12.2)			
18 (43.9)			
4 (9.8)			
11 (26.8)			
2 (4.9)			
4 (9.8)			
6 (14.6)			
29 (70.7)			
5 (12.2)			
8 (19.5)			
13 (31.7)			
15 (36.6)			
13 (31.7)			
3 (7.3)			
22 (53.7)			
2 (7 2)			
	p (months) n (%) 31 (75.6) 10 (24.4) 3 (7.3) 5 (12.2) 18 (43.9) 4 (9.8) 11 (26.8) 2 (4.9) 4 (9.8) 6 (14.6) 29 (70.7) 5 (12.2) 8 (19.5) 13 (31.7) 15 (36.6) 13 (31.7) 3 (7.3) 22 (53.7)	Median 57.0 35.0 p (months) 24.2 n (%) 31 (75.6) 10 (24.4) 3 (7.3) 5 (12.2) 18 (43.9) 4 (9.8) 11 (26.8) 2 (4.9) 4 (9.8) 6 (14.6) 29 (70.7) 5 (12.2) 8 (19.5) 13 (31.7) 15 (36.6) 13 (31.7) 3 (7.3) 22 (53.7)	Median Mean \pm SD 57.0 58.9 \pm 10.3 35.0 37.3 \pm 25.4 p (months) 24.2 25.5 \pm 15.7 n (%) 31 (75.6) 31 (75.6) 10 (24.4) 3 (7.3) 5 (12.2) 18 (43.9) 4 (9.8) 11 (26.8) 2 (4.9) 4 (9.8) 6 (14.6) 29 (70.7) 5 (12.2) 8 (19.5) 13 (31.7) 15 (36.6) 13 (31.7) 3 (7.3) 22 (53.7)

Ann Nucl Med (2012) 26:527–534

Disease control and patterns of failure

Local, nodal, and distant recurrences occurred in 10, 5, and 7 patients, respectively, with a median time to recurrence of 2.4 months. The median time to local, nodal, and distant failure was 2.9, 2.2, and 2.2 months, respectively. The estimated 2-year actuarial LC rate was 77.7 %, NC rate was 87.7 %, and the DC rate was 82.0 %. The estimated actuarial DFS and OS rates at 2 years were 67.6 and 79.8 %, respectively, Table 3.

SUV parameters, tumor volume and treatment outcome

Table 3 describes the LC, NC, DC, OC, OS, and DFS of the patient cohort dichotomized by median SUV parameters and tumor volume measurements. SUV_{max} (<15.8 vs. \geq 15.8) showed a non-significant trend for DC (95.0 vs. 69.7 %, p = 0.053). A smaller GTV (median cutoff of <22.2 cc) was associated with improved disease control and survival for all treatment outcomes including LC (100.0 vs. 56.4 %, p = 0.001) and OS (94.4 vs. 65.9 %, p = 0.045), Figs. 1, 2. A similar result was noted for MTV where patients with smaller MTVs (median cutoff of <7.2 cc) had improved LC and OS as compared to

 Table 2 PET/CT and tumor volume characteristics of 41 head and neck cancer patients

	п	Median	Mean \pm SD	Range
SUV _{max}				
Overall	41	15.8	16.0 ± 6.9	4.5-33.8
Oral cavity	3	18.3	17.0 ± 3.3	13.2–19.5
Nasopharynx	5	13.5	12.1 ± 5.2	4.5-17.3
Oropharynx	18	16.2	15.9 ± 6.6	5.9-32.4
Hypopharynx	4	25.0	24.4 ± 8.3	13.9–33.8
Larynx	11	11.0	14.4 ± 6.6	7.6–23.4
GTV (cc)				
Overall	41	22.2	33.1 ± 34.1	1.5-162.5
Oral cavity	3	32.6	44.3 ± 20.9	32.0-68.4
Nasopharynx	5	15.5	20.4 ± 13.7	8.0-39.5
Oropharynx	18	16.3	27.6 ± 29.3	1.5-108.2
Hypopharynx	4	53.7	53.9 ± 38.1	9.1–99.2
Larynx	11	22.0	37.2 ± 47.2	3.3-162.5
MTV (cc)				
Overall	41	7.2	11.2 ± 11.9	0.40-43.5
Oral cavity	3	17.2	20.0 ± 12.2	9.4–33.4
Nasopharynx	5	3.7	4.6 ± 3.5	0.90-9.2
Oropharynx	18	5.3	11.7 ± 13.0	0.40-40.9
Hypopharynx	4	9.2	13.9 ± 10.5	7.7–29.6
Larynx	11	5.7	10.2 ± 12.8	0.50-43.5

SD standard deviation, n number of patients, AJCC American Joint Committee on Cancer

SUV_{max} maximum standardized uptake value, GTV gross tumor volume, MTV metabolic tumor volume, cc cubic centimeters

 Table 3 PET/CT parameters, tumor volume and survival and disease control status

		Local control	Nodal control	Distant control	Overall control	Overall survival	Disease-free survival		
Median	n	Events (2-year a	Events (2-year actuarial control rates)						
All subjects									
	41	10 (77.7 %)	5 (87.7 %)	7 (82.0 %)	13 (70.3 %)	14 (79.8 %)	18 (67.6 %)		
SUV _{max}									
<15.8	20	3 (90.0 %)	1 (95.0 %)	1 (95.0 %)	4 (85.0 %)	5 (88.7 %)	7 (78.9 %)		
≥15.8	21	7 (66.0 %)	4 (80.7 %)	6 (69.7 %)	9 (57.1 %)	9 (71.4 %)	11 (57.1 %)		
p value		0.229	0.179	0.053	0.178	0.661	0.506		
GTV (cc)									
<22.2	20	0 (100.0 %)	0 (100.0 %)	1 (94.4 %)	1 (94.4 %)	4 (94.4 %)	5 (88.9 %)		
≥22.2	21	10 (56.4 %)	5 (75.9 %)	6 (70.3 %)	12 (47.6 %)	10 (65.9 %)	13 (47.6 %)		
p value		0.001	0.021	0.032	0.0004	0.045	0.009		
MTV (cc)									
<7.2	21	0 (100.0 %)	0 (100.0 %)	0 (100.0 %)	0 (100.0 %)	4 (94.7 %)	4 (94.7 %)		
≥7.2	20	10 (54.2 %)	5 (74.7 %)	7 (61.1 %)	13 (39.4 %)	10 (64.2 %)	14 (39.4 %)		
p value		0.0003	0.015	0.002	< 0.0001	0.040	0.001		



Fig. 1 Local control according to median gross tumor volume (GTV)

patients with larger MTV; LC (100.0 vs. 54.2 %, p < 0.001) and OS (94.7 vs. 64.2 %, p = 0.040), Figs. 3, 4.

Correlating T category, AJCC stage with SUV parameters and tumor volume

There was a significant correlation between GTV and MTV with a Pearson correlation coefficient of 0.53 (p < 0.0004). Smaller GTV (<22.2 cc) was associated with lower MTV (4.0 vs. 18.1 cc, p < 0.001). A significant association was also found between tumor volume measurements and SUV parameters with larger tumor volume associated with greater SUV_{max}, Table 4.

AJCC stage correlated with SUV_{max} , but not GTV and MTV though number of patients with stage I, II and III disease were fewer. Compared to patients with AJCC stage I–III disease, stage IV disease patients had higher values of



Fig. 2 Overall survival according to median gross tumor volume (GTV)

SUV_{max} (11.9 vs. 17.7, p = 0.013), larger GTV (19.8 vs. 38.6 cc, p = 0.109), and larger MTV (4.1 vs. 14.2 cc, p = 0.012). A non-significant trend was noted for SUV_{max}, GTV, and MTV with increasing T stage, Table 4.

Discussion

This study demonstrates that both GTV and MTV are superior prognostic radiological biomarkers of treatment outcome and survival for HNSCC patients undergoing definitive IMRT as compared to SUV_{max} . Improved local, nodal, and overall control rates were seen in patients with smaller GTV and MTV. SUV_{max} was found to correlate significantly with AJCC stage, GTV and MTV, although in this study it was not found to be prognostic for outcome.



Fig. 3 Local control according to median metabolic tumor volume (MTV)



Fig. 4 Overall survival according to median metabolic tumor volume (MTV)

Schwartz et al. [28] evaluated 54 patients with HNSCC, undergoing definitive RT including postoperative patients with or without concurrent chemotherapy, and reported that a SUV of greater than 9, the median, significantly correlated with inferior local control and disease-free survival. On univariate and multivariate analyses these data remained significant or borderline significant. Similarly, Machtay et al. [14] reported in a cohort of 60 HNSCC patients, treated with definitive radiotherapy with or without concurrent chemotherapy, that an $SUV_{max} < 9$, median SUV_{max} of the study was 7.2, was associated with improved 2-year DFS of 72 versus 37 % (p = 0.007). Torizuka et al. [15] reported in 50 consecutive HNSCC patients who underwent definitive RT with or without chemotherapy, or surgery with or without postoperative RT that an SUV_{max} of ≤ 7 significantly predicted higher rates of 2 year local control and disease-free survival. When adjusted for age and nodal stage these findings remained significant. However, the median SUV_{max} for the cohort

Table 4 SUV_{max}, GTV, MTV by AJCC stage and tumor stage

	п	SUV_{max} Mean ± SD	GTV (cc)	MTV (cc)
AJCC Stage	e			
Ι	2	6.8 ± 1.3	5.1 ± 2.0	2.5 ± 0.43
II	4	9.0 ± 4.2	30.4 ± 45.9	4.6 ± 3.0
III	6	15.6 ± 6.1	17.7 ± 11.0	4.4 ± 2.8
IV	29	17.7 ± 6.5	38.6 ± 35.7	14.2 ± 13.0
p value		0.018	0.350	0.104
I–III	12	11.9 ± 6.1	19.8 ± 26.7	4.1 ± 2.6
IV	29	17.7 ± 6.5	38.6 ± 35.7	14.2 ± 13.0
p value		0.013	0.109	0.012
Tumor cate	gory			
T1	5	10.1 ± 5.7	10.5 ± 5.8	2.3 ± 1.0
T2	8	14.9 ± 4.4	28.5 ± 35.6	9.1 ± 13.1
Т3	13	17.1 ± 6.5	27.3 ± 29.7	9.3 ± 11.1
T4	15	17.5 ± 8.0	48.1 ± 38.2	17.0 ± 12.0
p value		0.171	0.129	0.066
GTV (cc)				
<22.2	20	13.5 ± 5.6	N/A	4.0 ± 4.2
≥22.2	21	18.4 ± 7.2		18.1 ± 12.8
p value		0.021		< 0.0001
MTV (cc)				
<7.2	21	12.8 ± 5.1	11.7 ± 7.6	N/A
≥7.2	20	19.3 ± 7.0	55.6 ± 36.8	
p value		0.002	< 0.0001	

N/A not applicable

was 10.53, and they did not identify how an SUV max of 7 was selected as the optimal cut point. Limitations of comparing SUV as a radiological biomarker between studies includes the use of different SUV cutoff values which may be influenced by multiple factors including patient selection, differences in imaging technique, injected FDG dose, incubation period, protocol, scanner, and reconstruction algorithm variation [29–31].

Our study confirms the findings of Strongin et al. [22] who reported a series of 78 patients with stage III–IV oropharyngeal, laryngeal or hypopharyngeal cancer, in which patients with locoregional failure had greater tumor volumes than patients free of disease (58 vs. 36.5 cc, p = 0.028), and those with a GTV <35 cc had significantly improved overall control (71 vs. 41 %), progression free survival (61 vs. 33 %), and overall survival (84 vs. 41 %) rates. Chen et al. [6] demonstrated that a primary tumor volume of greater than 60 cc in patients with nasopharyngeal cancer is superior to the AJCC and the TNM classification system when correlated with survival rates in patients with nasopharyngeal cancer who underwent definitive RT. Similarly, Studer et al. [4] reported that a GTV-based staging system was superior to TNM and

AJCC systems in correlating overall survival in patients with any site, except laryngeal HNSCC undergoing definitive IMRT.

The current study demonstrated that the clinical GTV based on PET/CT and clinical examination, appears to be prognostic as it correlates with control and survival in HNSCC patients who were treated with definitive IMRT with and without induction and/or concurrent chemotherapy regimens. Given the expanding interest in metabolic and volumetric-based indices, we evaluated the prognostic utility of MTV, defined as the volume of tumor with FDG avidity. Chung et al. [12] reported on 64 patients with pharyngeal cancer undergoing definitive radiation therapy with or without concomitant chemotherapy. Patients with a MTV greater than 40 cc, a statistically optimized cut point, indicated a significantly worse disease-free survival than those with MTV ≤ 40 cc (HR 3.42, p = 0.04) using a raw SUV cutoff of 2.5 to define MTV within a radiologist contoured margin of the primary tumor and areas of nodal disease. La et al. [13] recently demonstrated the predictive value of MTV in patients with head and neck cancer undergoing chemoradiation. MTV was defined by autosegmentation in three dimension of volume with 50 % or greater SUV_{max} using custom software on pretreatment PET scans [13]. An increase in MTV of 17.4 cc or greater correlated with recurrence or death. MTVs correlated with the GTV with a correlation coefficient of 0.73, but consistently underestimated GTV, which was a finding confirmed in our study [13]. Similar to our findings, these studies failed to demonstrate a correlation with SUV_{max} and DFS or OS.

Given the ability of autosegmentation algorithms, MTV has potential to become a standardized prognostic metric. We suspect that interest in the standardization of MTV will continue to grow as new algorithms are developed, but it is critical to understand the current limitations of MTV as a metric including the lack of a standardized SUV threshold, lack of true correlation with anatomic structures, validation of autosegmentation software, and variability in SUV cutoffs. The impact of these limitations were clearly demonstrated by Ford et al. [32] who reported that a 5 % change in threshold contour can translate into a 200 % increase in contour volume resulting in a significant dosimetric effect. Furthermore, MTV has significant limitation in defining target volumes for treatment planning and using the MTV for treatment planning purposes alone could risk marginal treatment failure as it may underestimate the tumor volume. In contrast, GTV integrates multiple information including radiological and clinical examination findings.

In summary, GTV and MTV demonstrate superior prognostic utility as compared to SUV_{max} as patients with larger tumor volumes are associated with significantly

inferior control and survival in HNSCC patients treated with definitive IMRT.

Acknowledgments RMS was supported by a GERRAF fellowship and a career development award from the Boston University Radiology Associates.

Conflict of interest None of the authors have conflict of interest to disclose.

References

- Posner MR, Hershock DM, Blajman CR, Mickiewicz E, Winquist E, Gorbounova V, et al. Cisplatin and fluorouracil alone or with docetaxel in head and neck cancer. N Engl J Med. 2007; 357(17):1705–15.
- Vermorken JB, Remenar E, van Herpen C, Gorlia T, Mesia R, Degardin M, et al. Cisplatin, fluorouracil, and docetaxel in unresectable head and neck cancer. N Engl J Med. 2007;357(17): 1695–704.
- Edge SB, Byrd DR, Compton CC, Fritz AG, Greene FL, Trotti A, editors. AJCC cancer staging manual. 7th ed. Chicago: Springer; 2010.
- Studer G, Lutolf UM, El-Bassiouni M, Rousson V, Glanzmann C. Volumetric staging (VS) is superior to TNM and AJCC staging in predicting outcome of head and neck cancer treated with IMRT. Acta Oncol. 2007;46(3):386–94.
- Doweck I, Denys D, Robbins KT. Tumor volume predicts outcome for advanced head and neck cancer treated with targeted chemoradiotherapy. Laryngoscope. 2002;112(10):1742–9.
- Chen MK, Chen TH, Liu JP, Chang CC, Chie WC. Better prediction of prognosis for patients with nasopharyngeal carcinoma using primary tumor volume. Cancer. 2004;100(10):2160–6.
- Rothschild S, Studer G, Seifert B, Huguenin P, Glanzmann C, Davis JB, et al. PET/CT staging followed by intensity-modulated radiotherapy (IMRT) improves treatment outcome of locally advanced pharyngeal carcinoma: a matched-pair comparison. Radiat Oncol. 2007;2:22.
- Subramaniam RM, Truong M, Peller P, Sakai O, Mercier G. Fluorodeoxyglucose-positron-emission tomography imaging of head and neck squamous cell cancer. AJNR Am J Neuroradiol. 2010;31(4):598–604.
- Abgral R, Querellou S, Potard G, Le Roux PY, Le Duc-Pennec A, Marianovski R, et al. Does 18F-FDG PET/CT improve the detection of posttreatment recurrence of head and neck squamous cell carcinoma in patients negative for disease on clinical followup? J Nucl Med. 2009;50(1):24–9.
- Isles MG, McConkey C, Mehanna HM. A systematic review and meta-analysis of the role of positron emission tomography in the follow up of head and neck squamous cell carcinoma following radiotherapy or chemoradiotherapy. Clin Otolaryngol. 2008; 33(3):210–22.
- Imsande HM, Davison JM, Truong MT, Devaiah AK, Mercier GA, Ozonoff AJ, et al. Use of 18F-FDG PET/CT as a predictive biomarker of outcome in patients with head-and-neck nonsquamous cell carcinoma. AJR Am J Roentgenol. 2011;197(4): 976–80.
- 12. Chung MK, Jeong HS, Park SG, Jang JY, Son YI, Choi JY, et al. Metabolic tumor volume of [18F]-fluorodeoxyglucose positron emission tomography/computed tomography predicts short-term outcome to radiotherapy with or without chemotherapy in pharyngeal cancer. Clin Cancer Res. 2009;15(18):5861–8.

- La TH, Filion EJ, Turnbull BB, Chu JN, Lee P, Nguyen K, et al. Metabolic tumor volume predicts for recurrence and death in head-and-neck cancer. Int J Radiat Oncol Biol Phys. 2009; 74(5):1335–41.
- 14. Machtay M, Natwa M, Andrel J, Hyslop T, Anne PR, Lavarino J, et al. Pretreatment FDG-PET standardized uptake value as a prognostic factor for outcome in head and neck cancer. Head Neck. 2009;31(2):195–201.
- Torizuka T, Tanizaki Y, Kanno T, Futatsubashi M, Naitou K, Ueda Y, et al. Prognostic value of 18F-FDG PET in patients with head and neck squamous cell cancer. AJR Am J Roentgenol. 2009;192(4):W156–60.
- Xie P, Yue JB, Zhao HX, Sun XD, Kong L, Fu Z, et al. Prognostic value of 18F-FDG PET–CT metabolic index for nasopharyngeal carcinoma. J Cancer Res Clin Oncol. 2010;136(6): 883–9.
- 17. Xie P, Yue JB, Fu Z, Feng R, Yu JM. Prognostic value of 18F-FDG PET/CT before and after radiotherapy for locally advanced nasopharyngeal carcinoma. Ann Oncol. 2010;21(5):1078–82.
- Mukherji SK, O'Brien SM, Gerstle RJ, Weissler M, Shockley W, Stone JA, et al. The ability of tumor volume to predict local control in surgically treated squamous cell carcinoma of the supraglottic larynx. Head Neck. 2000;22(3):282–7.
- Mendenhall WM, Parsons JT, Mancuso AA, Pameijer FJ, Stringer SP, Cassisi NJ. Definitive radiotherapy for T3 squamous cell carcinoma of the glottic larynx. J Clin Oncol. 1997;15(6): 2394–402.
- Mukherji SK, Mancuso AA, Mendenhall W, Kotzur IM, Kubilis P. Can pretreatment CT predict local control of T2 glottic carcinomas treated with radiation therapy alone? AJNR Am J Neuroradiol. 1995;16(4):655–62.
- 21. Lee WR, Mancuso AA, Saleh EM, Mendenhall WM, Parsons JT, Million RR. Can pretreatment computed tomography findings predict local control in T3 squamous cell carcinoma of the glottic larynx treated with radiotherapy alone? Int J Radiat Oncol Biol Phys. 1993;25(4):683–7.
- 22. Strongin A, Yovino S, Taylor R, Wolf J, Cullen K, Zimrin A, et al. Primary tumor volume is an important predictor of clinical

outcomes among patients with locally advanced squamous cell cancer of the head and neck treated with definitive chemoradio-therapy. Int J Radiat Oncol Biol Phys. 2012;82(5):1823–30.

- 23. Lok BH, Setton J, Caria N, Romanyshyn J, Wolden SL, Zelefsky MJ, et al. Intensity-modulated radiation therapy in oropharyngeal carcinoma: effect of tumor volume on clinical outcomes. Int J Radiat Oncol Biol Phys. 2012;82(5):1851–7.
- 24. AJCC. Cancer staging manual. New York: Springer; 2002.
- 25. Dibble E, Lara Alvarex A, Truong M, Mercier G, Cook E, Subramaniam RM. Metabolic tumor volume and total glycolytic activity of oral cavity and oropharyngeal squamous cell cancer: adding value to clinical staging. J Nucl Med. 2012;53(5):709–15.
- 26. Werner-Wasik M, Nelson AD, Choi W, Arai Y, Faulhaber PF, Kang P, et al. What is the best way to contour lung tumors on PET scans? Multiobserver validation of a gradient-based method using a NSCLC digital PET phantom. Int J Radiat Oncol Biol Phys. 2012;82(3):1164–71.
- Kaplan EL, Meier P. Nonparametric estimation from incomplete observations. J Am Stat Assoc. 1958;53(282):25.
- Schwartz DL, Rajendran J, Yueh B, et al. FDG-PET prediction of head and neck squamous cell cancer outcomes. Arch Otolaryngol Head Neck Surg 2004;130:1361–67.
- 29. Kinahan PE, Doot RK, Wanner-Roybal M, Bidaut LM, Armato SG, Meyer CR, et al. PET/CT assessment of response to therapy: tumor change measurement, truth data, and error. Transl Oncol. 2009;2(4):223–30.
- Fahey FH, Kinahan PE, Doot RK, Kocak M, Thurston H, Poussaint TY. Variability in PET quantitation within a multicenter consortium. Med Phys. 2010;37(7):3660–6.
- Brasse D, Kinahan PE, Lartizien C, Comtat C, Casey M, Michel C. Correction methods for random coincidences in fully 3D whole-body PET: impact on data and image quality. J Nucl Med. 2005;46(5):859–67.
- 32. Ford EC, Kinahan PE, Hanlon L, Alessio A, Rajendran J, Schwartz DL, et al. Tumor delineation using PET in head and neck cancers: threshold contouring and lesion volumes. Med Phys. 2006;33(11):4280–8.