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# Evaluation of breast cancer using intravoxel incoherent motion (IVIM) histogram analysis: comparison with malignant status, histological subtype, and molecular prognostic factors

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#### Abstract

**Purpose**—To examine heterogeneous breast cancer through intravoxel incoherent motion (IVIM) histogram analysis.

**Materials and methods**—This HIPAA-compliant, IRB-approved retrospective study included 62 patients (age  $48.44\pm11.14$  years, 50 malignant lesions and 12 benign) who underwent contrast-enhanced 3 T breast MRI and diffusion-weighted imaging. Apparent diffusion coefficient (ADC) and IVIM biomarkers of tissue diffusivity (D<sub>t</sub>), perfusion fraction (f<sub>p</sub>), and pseudodiffusivity (D<sub>p</sub>) were calculated using voxel-based analysis for the whole lesion volume. Histogram analysis was performed to quantify tumour heterogeneity. Comparisons were made using Mann–Whitney tests between benign/malignant status, histological subtype, and molecular prognostic factor status while Spearman's rank correlation was used to characterize the association between imaging biomarkers and prognostic factor expression.

**Results**—The average values of the ADC and IVIM biomarkers,  $D_t$  and  $f_p$ , showed significant differences between benign and malignant lesions. Additional significant differences were found in the histogram parameters among tumour subtypes and molecular prognostic factor status. IVIM histogram metrics, particularly  $f_p$  and  $D_p$ , showed significant correlation with hormonal factor expression.

**Conclusion**—Advanced diffusion imaging biomarkers show relationships with molecular prognostic factors and breast cancer malignancy. This analysis reveals novel diagnostic metrics that may explain some of the observed variability in treatment response among breast cancer patients.

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#### Keywords

Intravoxel incoherent motion; Breast MR; Diffusion-weighted MRI; Tumour heterogeneity; Breast cancer

#### Introduction

Heterogeneity is a known hallmark of cancer [1–3], and confounds treatment planning and prevents effective drug delivery. Breast cancer is a prime example in which such heterogeneity can be clearly observed [4].

To quantitatively analyze this heterogeneous tumour microenvironment, an improved understanding of the connections between imaging markers and molecular markers is required. Recent studies have shown that magnetic resonance imaging (MRI) biomarkers such as apparent diffusion coefficient (ADC) correlate with prognostic factors of breast cancer [5–8]. Diffusion-weighted imaging (DWI) characterizes cancerous tissue cellularity [9, 10], particularly in breast cancer [11–15]. Through DWI, biomarkers have been developed that are sensitive to microvascular flow via the intravoxel incoherent motion (IVIM) effect [16]. Using IVIM, first proposed by LeBihan [16], one can quantify tumour hypervascularity and hypercellularity, and these IVIM markers have been shown in a range of studies [17–21], including breast cancer in preclinical [22, 23] and clinical settings [15, 24–28].

However, most studies have focused on average values of IVIM parameters. More information can be parsed from a histogram analysis of the spatial distribution of IVIM parameters [19]. Histogram analysis can provide information beyond the mean values, such as the skewness, and kurtosis of the parameter distributions [22, 29–32]. This additional analysis can potentially provide metrics for additional information on the heterogeneous tumour composition.

In this study, we calculate advanced diffusion MRI metrics from histogram analysis [average, extrema (maximum and minimum), and heterogeneity (kurtosis, skewness, standard deviation [SD])]. While it has been shown in previous studies that IVIM metrics can distinguish between benign and malignant lesions [15, 26, 28], we hypothesize that advanced metrics using histogram analysis can also potentially distinguish between tumour subtypes as well as better differentiate between tumours based on prognostic factors.

#### Materials and methods

#### Patients

In this Health Insurance Portability and Accountability Act of 1996 (HIPAA)-compliant, local institutional review board (IRB)-approved retrospective study, 62 patients underwent standard breast MR evaluation for staging of local disease between 1/7/2009 and 2/27/2013. Of these, 50 patients had confirmed malignant lesions and 12 patients had benign lesions (Table 1). Benign lesion classifications included fibrocystic change (n=4), cyst (n=1), atypical ductal hyperplasia (n=1), intraductal papilloma (n=2), fibroadenomas (n=2), and

other benign (n=2). All patients were newly diagnosed breast cancer patients and selected based on having unifocal disease. The mean age of all patients was  $48.4\pm11.1$  years (malignant lesion:  $50.2\pm10.5$  years; benign lesion:  $46.3\pm11.7$  years) with a range of 25–80 years. Patients were diagnosed with breast cancer either through stereotactic core biopsy, ultrasound (US)-guided fine needle aspirations (FNA), or MRI-guided core biopsy. Final histopathology diagnosis was confirmed through histology, surgery, and clinical follow-up. No new malignancies were found in either group within the follow-up periods (average 2.6 years; range: 1 month to 4.8 years). One patient, however, received treatment elsewhere after initial examinations; no follow-up results were recorded.

#### MRI scans

Patients underwent a bilateral MRI breast examination in a full body Siemens Tim Trio 3 T MRI scanner (Siemens Medical Solutions, Erlangen, Germany) using a seven-element breast coil array (Invivo Corp., Gainesville, FL, USA). Anatomical imaging was performed using a T1-weighted, volume-interpolated breath hold examination (VIBE) sequence with and without fat saturation [saturation time/echo time (TR/TE)=3.66/1.1 ms, matrix 384×384, 288 slices, resolution  $1.2 \times 0.9 \times 1.5$  mm<sup>3</sup> and scan time 1:10 each]. Total scan time was 2.3 min.

DWI protocol was collected using a twice refocused, bipolar gradient single-shot centricordered turbo-spin echo (TSE) sequence (TR/TE=2000/103 ms, 108\*×128 matrix, 12 axial slices, 2.7×2.7×4.0 mm voxel, parallel imaging [generalized auto-calibrating partially parallel acquisition (GRAPPA)] acceleration factor (iPat)=2, 24 reference lines; \*Note: 8 patients used a phase matrix of 128 rather than 108). Axial TSE-DWI images with bilateral breast coverage were collected with frequency-selective fat suppression and diffusion sensitization in the anterior-posterior direction applied with weighting factors (b-values) of 0, 30, 70, 100, 150, 200, 300, 400, 500, 800 s/mm<sup>2</sup> (total scan time=4 minutes). A TSE diffusion-weighted (DW) sequence was used instead of echo planar imaging to avoid susceptibility artifacts [33, 34]. The slices measured in the TSE–DWI scan covered the lesion as identified in the anatomical scan. A fat-saturated sagittal T2-weighted TSE scan  $(TR/TE=6070/84 \text{ ms}, \text{matrix } 384 \times 336, 88 \text{ slices}, \text{iPat} = 2, \text{ resolution } 1.0 \times 1.0 \times 5.0 \text{ mm}^3)$ was performed pre-contrast for a total scan time of 4.5 min. Patients also underwent dynamic contrast-enhanced (DCE) MRI. Contrast-enhanced scans consisted of threedimensional (3D), T1-weighted, sagittal fat-saturated VIBE images (TR/TE=4.23/1.55 ms, matrix  $384 \times 336$ , 224 slices, iPat = 2, resolution  $0.7 \times 0.7 \times 1.5$  mm<sup>3</sup>) and collected one pre-contrast and 4 consecutive time points after administration of gadolinium (Gd–DTPA) contrast agent (Magnevist, dosage 0.1 mM/kg body weight; average 14.6±5.1 cc). Scan duration was 1:13 for each, and total scan time lasted around 6 minutes. An axial 3D VIBE scan was collected post-contrast (TR/TE=4.23/1.55 ms, matrix 384×384, 224 slices, resolution  $1.3 \times 1.1 \times 1.5$  mm<sup>3</sup>) with a total scan time of 2.5 min. The images from this scan were used for guidance of region of interest (ROI) selection during analysis of the DW images. IVIM and contrast-enhanced data from 36 (out of 62) subjects were previously reported [25, 27], but without parametric map analysis or comparison with molecular prognostic factors.

#### Data analysis

The image processing workflow for this study is illustrated in Fig. 1. Two breast radiologists with over 5 years of experience independently identified lesions on anatomical images based on the hyperintense signals from post-contrast axial and sagittal T1 VIBE images. Lesion characteristics were recorded according to the American College of Radiology breast imaging-reporting and data system (ACR BI-RADS) MR lexicon [35]. The lesions were located in the TSE-DWI images using methods similar to previous studies [11, 27, 36]. Quantitative DWI analysis was performed with custom code (Igor Pro 6, WaveMetrics, Inc., Portland, OR, USA). Images were first analyzed voxel-by-voxel using a mono-exponential decay model with all b-values to produce ADC maps for all slices:

$$M/M_0 = e^{-b \cdot ADC} \quad (1)$$

Here, M is the DW magnetization and  $M_0$  is the total reference magnetization. ADC maps, weighted and unweighted diffusion images, and post-contrast VIBE images guided lesion segmentation. An ROI was drawn by a single operator (based on consensus of the two breast radiologists) on the whole lesion volume for each slice, excluding normal fibroglandular tissue (FGT) and adipose tissue.

#### **IVIM** analysis

Bi-exponential analysis is used to quantify biomarkers of the IVIM model [16]. The signal decay curve as a function of increasing b-value is fitted to a bi-exponential equation:

$$M/M_0 = f_p \cdot e^{-b \cdot D_p} + (1 - f_p) \cdot e^{-b \cdot D_t}$$
(2)

where  $f_p$  is perfusion fraction,  $D_p$  is pseudo-diffusivity, and  $D_t$  is tissue diffusivity. For all analyses, a "segmented" approach was employed to extract the IVIM parameters [17, 37–43]. The first step is to fit all b-values>200 s/mm<sup>2</sup> data mono-exponentially to obtain  $D_t$ , on the assumption that the pseudo-diffusion is negligible in this regime, and then extrapolate the mono-exponential fit to b=0 to estimate  $f_p$ . The second step is to constrain  $D_t$  and  $f_p$  in the bi-exponential fit for Eq. (2) to obtain  $D_p$ .

Parametric maps and voxel-based analysis for IVIM parameters ( $D_t$ ,  $f_p$ , and  $D_p$ ) were derived within the tumour ROI described above. Within the voxel-based analysis, an additional filter was generated to only select voxels of highly vascular tumour tissue (VTT) [44]. Specifically, the residuals of the mono-exponential and bi-exponential fit from Eqs. (1) and (2), respectively, were used to calculate the Bayesian information criterion (BIC); the fit (mono-exponential or bi-exponential) with the lower BIC value is validated for that voxel. A vascular tumour tissue (VTT) mask was then generated from all voxels with (a) lower bi-exponential than mono-exponential BIC and (b) ADC<2  $\mu$ m<sup>2</sup>/ms to exclude necrotic or normal tissue regions. This VTT filter was used to compute the percentage of VTT voxels from total voxels analyzed in each lesion (labelled as VTT%).

#### **Histogram analysis**

Histogram analysis was performed to extract mean, extrema, and heterogeneity metrics. Following manual lesion segmentation, histograms were generated from each parameter using the following standardized parameter ranges:  $0.01 \ \mu m^2/ms < ADC$ ,  $0.01 < D_t < 3 \ \mu m^2/ms$ ;  $0.01 < D_p < 100 \ \mu m^2/ms$ ;  $0 < f_p < 100 \ \%$ . Voxels for which fits generated unphysical values (<0) were nulled (set to zero) for outlier rejection. Percentages of excluded voxels outside these ranges were computed for each parameter. Maximum and minimum values were extracted from the distribution. Given the outlier rejection scheme, the minimum value  $f_p$  was often zero; and given its equal value amongst all lesions, the  $f_p$  minimum was excluded from statistical analysis. Higher order moment calculations were performed in a standard method [19, 22, 29–32] to compute SDs, kurtosis, and skewness values from each lesion's histogram and its distribution.

#### Histopathological data analysis

Immunohistochemistry data were collected from 45 out of the 50 patients with malignancies (Table 1), who underwent an imaging-guided biopsy. In addition, not all histological data was available for each patient, as indicated in Table 1 (e.g. hormonal tests were available for a given patient, but not Her2/neu). Histological subtype was determined for all lesions. Using percentage expression of molecular prognostic factors, positive expression for hormonal receptors [HR; specifically, oestrogen receptor (ER) and progesterone receptor (PR)] were defined as 10 %; for cellular proliferation stain Ki-67 also 10 %; and for Her2/neu expression, scores higher than +1 [45]. Table 1 shows the number of patients for which prognostic factor data was available. Treatment paradigm categories were defined based on recent consensus [46]: ER-positive and Her2-negative (ER+HER2–), ER-positive and Her2-positive (ER+HER2+), and ER-negative and Her2-negative (ER-HER2+), and ER-negative and Her2-negative (ER-HER2-), and ER-negative and Her2-negative (ER-HER2-), and ER-negative and Her2-negative (ER-HER2-), ER-negative and Her2-negative (ER-HER2+), and ER-negative and Her2-negative (ER-HER2-), ER-negative and Her2-negative (ER-HER2+), ER-negative and Her2-negative (ER

#### Statistical analysis

Each subject contributed to exactly one value of each imaging measure to the analyses. Exact Mann-Whitney (MW) tests were used to compare subject groups defined in terms of tumour type (benign versus malignant), histological subtype, or marker status (positive versus negative) with respect to each imaging measure. Kruskal-Wallis tests were used to compare subject groups that had more than two groupings. We also used MW tests to compare IVIM parameters between groups defined by treatment paradigms (ER+HER2-, ER+HER2+, ER-HER2+, and TN). Due to our small sample size, we limited analyses to comparing a specific group to all others, e.g. TN (n=15) versus all other patients. The Spearman rank correlation was used to characterize the correlation of imaging measures with expression level of each marker. Binary logistic regression and region of concern (ROC) analyses were used to assess the diagnostic utility of the imaging measures, alone and in combination, for the detection of malignant lesions or lesions characterized as positive for a given marker or constellation of markers. The area under the ROC curve (AUC) and p values from logistic regression were used to assess the diagnostic utility of each imaging measure for the detection of lesions characterized as positive for a given constellation of markers. Sensitivity, specificity, and overall accuracy were computed at the

threshold value of each numeric measure that maximized the Youden index in an ROC analysis. All statistical tests were conducted at the two-sided 5 % significance level using SAS 9.3 (SAS Institute, Cary, NC).

#### Results

#### MRI data

From the total number of malignant lesions (n=50), 35 masses and 15 non-mass enhancements were identified. The mean size of the largest axis of malignant lesions from histopathology data was  $3.25\pm2.72$  cm (range 1.2 to 12.7 cm) and that from MR contrastenhanced data was  $3.69\pm2.71$  cm (range 1.2 to 12.9 cm). Average total ROI size for the whole lesion on DWI was 15.38 cm<sup>3</sup> (range 0.28 to 164.16 cm<sup>3</sup>) for breast cancer patients and 1.84 cm<sup>3</sup> (range 0.28 to 3.57 cm<sup>3</sup>) for benign cases. The percentage of excluded voxels represented a minority fraction for all parameters (D<sub>t</sub>:  $9.19\pm16.81$  %; f<sub>p</sub>:  $5.67\pm9.85$  %; D<sub>p</sub>:  $17.61\pm34.02$  %). Figure 2 shows parametric map results from three patients with different tumour subtypes: (i) DCIS (Fig. 2a–e), (ii) invasive lobular carcinoma (Fig. 2f–j), and (iii) invasive ductal carcinoma (Fig. 2k–o). The histogram results for the patient with invasive ductal carcinoma are shown below each of the patient's parameter maps.

#### Benign versus malignant

Using MW tests, average ADC values were significantly lower for patients with malignant lesions (p=0.040; Fig. 3a). These patients also had significantly lower D<sub>t</sub> (p=0.003) and higher f<sub>p</sub> (p=0.019) than those with benign lesions (Fig. 3b–c). Histogram analysis also revealed other significant differentiators between benign and malignant with a majority of these findings coming from extrema or heterogeneity markers of f<sub>p</sub> or D<sub>p</sub> with two examples (maximum f<sub>p</sub> and D<sub>p</sub> kurtosis) shown in Fig. 3d and e. VTT% was also found to be significantly different between benign and malignant groups (p=0.040; Fig. 3f).

The ROC analyses to assess diagnostic utility for the detection of malignant lesions (Table 2) reveals that the average  $D_t$  and  $f_p$  values had higher AUC values (0.77 and 0.72, respectively) and accuracy percentages (71.0 % and 72.6 %, respectively) than ADC (AUC=0.69, accuracy=62.9 %). Regarding parameter combinations, malignancy was independently predicted by the combination of minimum  $D_t$  (*p*=0.004),  $D_p$  skewness (*p* = 0.017),  $D_t$  skewness (*p* = 0.036), and minimum ADC (*p*=0.011), which together achieved an AUC of 0.917.

#### Subtype comparison

Using MW tests, significant differences among tumour subtypes were only found when comparing ADC and  $D_t$  metrics (Tables 3 and 4). Average ADC and  $D_t$  values were significantly lower in the invasive or mixed groups than in the DCIS groups (Table 3). Comparisons of IVIM histogram parameters between lesions of histological subtypes showed all but one of the significant differences (maximum  $f_p$ ) came from extrema or heterogeneity parameters of ADC and  $D_t$  (Table 4). Results from a Kruskal–Wallis test also indicated significance for comparing subtypes for average and histogram parameters of ADC and  $D_t$ .

#### Molecular prognostic factor comparisons and correlations

As shown in Table 5 and Fig. 4, when using MW tests, many significant differences among groups defined by hormonal status involved the heterogeneity metrics from  $f_p$  and  $D_p$ . The heterogeneity metrics of the vascular-based IVIM parameters showed significant differences between groups with hormonal positive and negative status (Fig. 4a–b). In addition,  $f_p$  and  $D_p$  heterogeneity metrics also differentiated between Ki-67-positive and Ki-67-negative status (Fig. 4c), and average  $D_p$  values significantly differentiated Her2/Neu status (Fig. 4d).

Regarding Spearman correlations of IVIM parameters with expression levels of prognostic factors, significant correlations were mostly observed between hormonal expression (ER, PR) and vascular parameters ( $f_p$ ,  $D_p$ ), largely involving the heterogeneity metrics (kurtosis and skewness). Figure 5 shows the significant correlation coefficients (R) connecting imaging parameters and prognostic factors. When including all parameters, a total of 18 different correlations out of a possible 92 (~20 %) were significant between IVIM parameters and the molecular prognostic factors (Fig. 5). Of these, 12 heterogeneity metrics showed an average R of 0.40, 5 extrema values showed an average R of 0.41, and 1 average value from  $f_p$  (%) showed an R of 0.33.

Table 6a shows the IVIM histogram parameters showing significant differentiation of lesion subtype groupings (ER+HER2–, ER+HER2+, and TN) by either MW group comparison or logistic regression.  $D_p$  showed the most significant differentiators for the IVIM histogram parameters. For ER+HER2– and ER+HER2+ groups,  $D_p$  average, skewness, and kurtosis values were significant differentiators, with ADC average also differentiating the ER +HER2+ group. Similarly, for the TN group, maximum  $D_p$  and  $f_p$  skewness showed significant differentiation by MW testing.

Table 6b shows the results from analyses to identify combinations of metrics that independently predict prognostic factor status (e.g. discriminate ER+ from ER-) or group membership defined by multiple prognostic factors (e.g. TN cancers). There was no set of two or more measures that were independent predictors of ER only, Her2/Neu only, Ki67, hybrid, or TN status. Cases with at least one hormonal positive expression were independently predicted by the set of measures D<sub>t</sub> standard deviation, f<sub>p</sub> kurtosis, and D<sub>p</sub> skewness; or ADC standard deviation, f<sub>p</sub> skewness, and D<sub>p</sub> skewness. PR positivity was independently predicted by the set of measures D<sub>t</sub> standard deviation, f<sub>p</sub> kurtosis, and D<sub>p</sub> skewness as well as f<sub>p</sub> skewness and D<sub>p</sub> skewness. Finally, ER+HER2– patients were independently predicted by the combination of D<sub>p</sub> average and D<sub>p</sub> skewness.

#### Discussion

This study illustrates the spatial heterogeneity found in cellularity and angiogenesis through IVIM biomarkers. Histogram analysis helps distinguish between tumour subtypes and reveals correlations with prognostic factors. In combination with guidance from contrastenhanced imaging, the image quality of the TSE-DWI results collected was sufficient to delineate and segment each lesion for whole-volume histogram analysis.

Recent studies of IVIM in breast cancer [15, 26–28], demonstrated that  $f_p$  significantly differentiates malignant from benign lesions, as shown in the present study. Malignant lesions are more cellular and vascular than benign entities; the IVIM approach can separate these effects to avoid conflicting contrast. The results from the ROC analysis show that significant malignant/benign differentiation was provided via both  $D_t$  and  $f_p$ , with stronger accuracy than with ADC alone.

IVIM analysis also shows significant differences between different tumour subtypes. Invasive breast and lung cancers (IDCs and ILCs, respectively) displayed lower ADC and D<sub>t</sub> values. These are more aggressive cancers, with generally higher cell density. In addition, IVIM histogram analysis may have the ability to potentially separate the heterogeneous mixed tumour type, which contains both DCIS and invasive cancer cells. Likewise, histogram analysis has merits when comparing between molecular prognostic factors. This correlation with prognostic factors evidently involves both the magnitude and the spatial distribution of blood volume.

Several studies have considered the relationship of ADC with prognostic factors in breast cancer [5, 8, 14]. A key finding in these reports is a significantly lower ADC value in ER+ tumours, which is speculated to be related to a lower perfusion contribution. While relationships between ER status and vascularity are not uniform [47, 48], the present study seems to confirm that the ADC versus ER findings in human breast tumours are indeed vascular, based on the significantly lower  $D_p$  kurtosis and skewness in ER+ tumours and the significant negative correlations between  $f_p$  kurtosis and skewness and  $D_p$  kurtosis and skewness with ER expression. Interestingly, mean  $f_p$  values correlated positively with PR expression. Similarities in hormone receptor effects would suggest a negative correlation, as with ER expression; however, PR+ expression tumours may increase tumour growth by angiogenesis through the normalization of tumour vasculature, which would allow for proper blood flow. In fact, studies have shown that progesterone may increase angiogenesis through regulation of VEGF in breast cancer cells [49]. Moreover, tamoxifen treatment has been shown to be more effective in patients with higher PR+ expression [50]. However, a more extensive observation of this relationship is needed to confirm these findings.

ER expression showed the most significant correlations with the diffusion parameters (Fig. 5). This may indicate the potential to provide a surrogate measure of ER expression through noninvasive imaging tools. Within the IVIM parameter set, the biggest contributing predictive factors were  $f_p$  and  $D_p$ , and IVIM parameters showed more significant correlations with prognostic factors than ADC alone. IVIM analysis, therefore, appears to provide a more nuanced representation of the microenvironment, with connection to hormonal expression levels. A combination analysis using the most promising parameters showed improvement in accuracy in discriminating between different prognostic factors or treatment paradigms. It is noteworthy that several such predictive sets involved heterogeneity metrics of all three IVIM parameters, showing the benefit of extending beyond both the ADC parameter and mean value descriptions.

This study was limited by a relatively small sample size and did not employ multiple comparison corrections. The tumour segmentation workflow was performed by a single

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reader who was not blinded to malignancy status. Neither age nor menstrual cycle were controlled for in the enrolment. Also, the bi-exponential IVIM fitting model can be problematic, with the estimation of vascular diffusion effects at lower b-values (b<200 s/ mm<sup>2</sup>) being most challenging. A variety of methods have been employed to address this challenging quantification; fitting methods including optimized sampling patterns [25, 51, 52], fitting algorithms [24, 53–57], or fit models [58, 59] can improve the precision of IVIM parameters. Others have also employed maximum likelihood (ML) estimation [60, 61] or used "fusion bootstrap moves" [62]. The segmented approach taken in the current study was adopted as a compromise between precision, accuracy, and practicality; future studies may invoke more advanced strategies. Additionally, the standardized parameter (such as from fit non-convergence, failure of the fit algorithm approximation, or macroscopic flow effects) and may have induced biases.

Heterogeneity could also exist in tumour subtype and prognostic factor expression. Classification schemes based on biopsy sampling can be approximate representations of the tumour aggressiveness. Thus, while the imaging/prognostic factor correlations observed here increase experimental understanding of tumour biology, imaging surrogates of the molecular prognostic factors need not be the only predictive route. Rather, the prognostic value of the IVIM biomarkers should be evaluated in longitudinal breast cancer treatment studies.

Understanding the full lesion characterization through imaging biomarkers and observing their utility in treatment monitoring for neoadjuvant therapies can vastly change the clinical landscape. This not only lessens the need for invasive biopsy procedures, but it can also give clinicians abilities to strategize the timing of drug deliveries as they quickly interpret the patient's response to treatment. The prediction of treatment response in the neoadjuvant setting is a significant opportunity to apply quantitative imaging to breast cancer; studies have been performed using either ADC, DCE biomarkers, or both (see meta-analyses: [63, 64]). Future studies will explore whether the combination of advanced IVIM modelling and spatial histogram analysis can advance predictive ability in the neoadjuvant setting. This study suggests that a comprehensive evaluation of breast cancer patients using advanced imaging may increase insight into tumour physiology, and potentially contribute to individualized treatment plans for high-risk women.

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Methodology: retrospective, diagnostic or prognostic study, performed at one institution.

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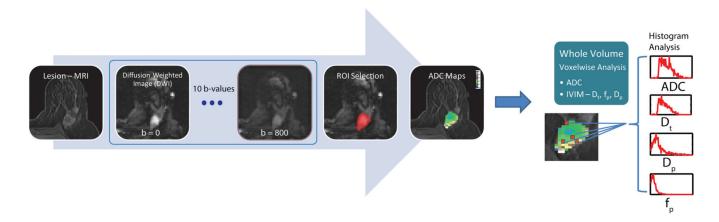
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• Novel IVIM biomarkers characterize heterogeneous breast cancer.

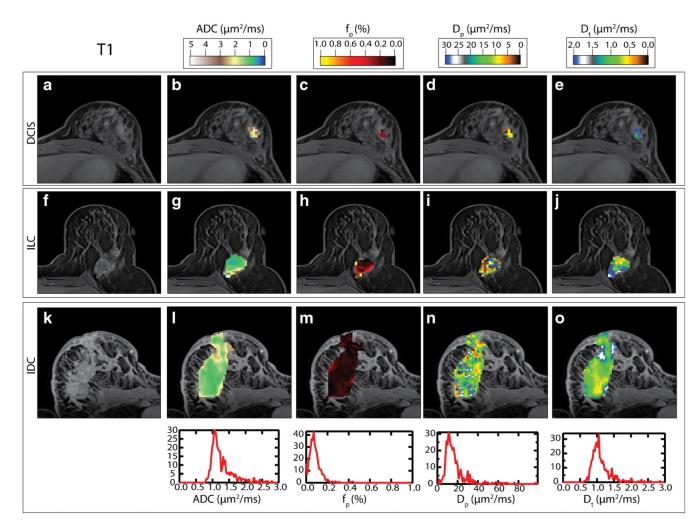
- Histogram analysis enables quantification of tumour heterogeneity.
- IVIM biomarkers show relationships with breast cancer malignancy and molecular prognostic factors.



#### Fig. 1.

General schematic diagram of the DWI and IVIM analyses showing voxel-wise analysis. Note: The DWI images are from b=0 and 800 s/mm<sup>2</sup>

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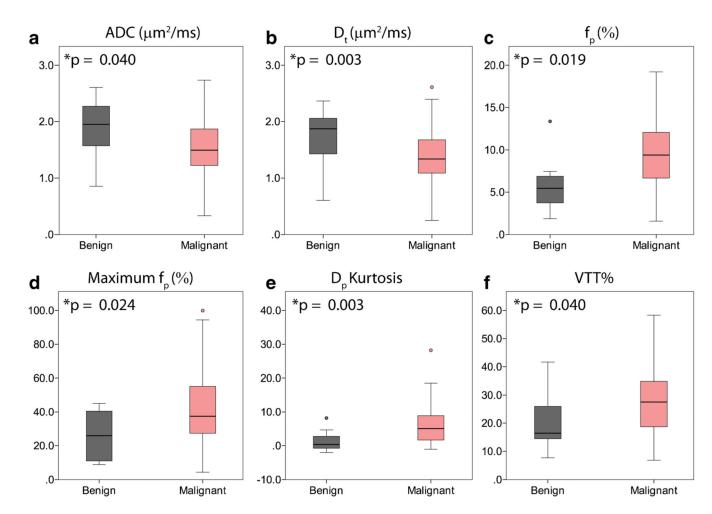


#### Fig. 2.

ADC and IVIM parameter maps  $(f_p, D_p, D_t)$  superimposed on contrast-enhanced T1weighted MRI within ROIs containing breast tumours. Patient 1 (**a**-**e**) is a DCIS 53-year-old patient. Patient 2 (**f**-**j**) is a 59-year-old ILC patient. Patient 3 (**k**-**o**) is an IDC 62-year-old patient, with the corresponding whole-volume histograms below each of Patient 3's parameter map

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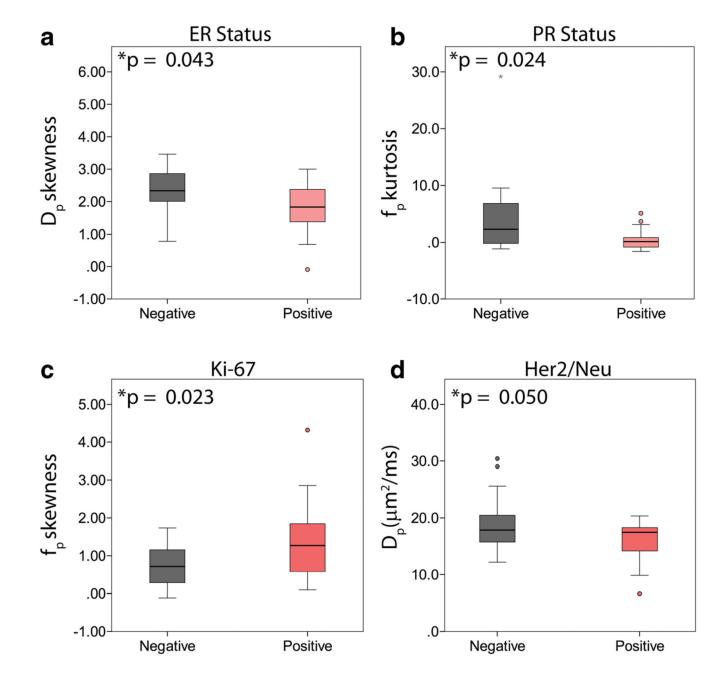
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#### Fig. 3.

Box plot illustration of benign/malignant lesion differentiation from IVIM parameters and histogram analysis. Significant findings (p < 0.05) are marked with \* and their corresponding p value. Refer to Table 2. The *lines* in the box plot indicate the median value and the interquartile range (IQR)

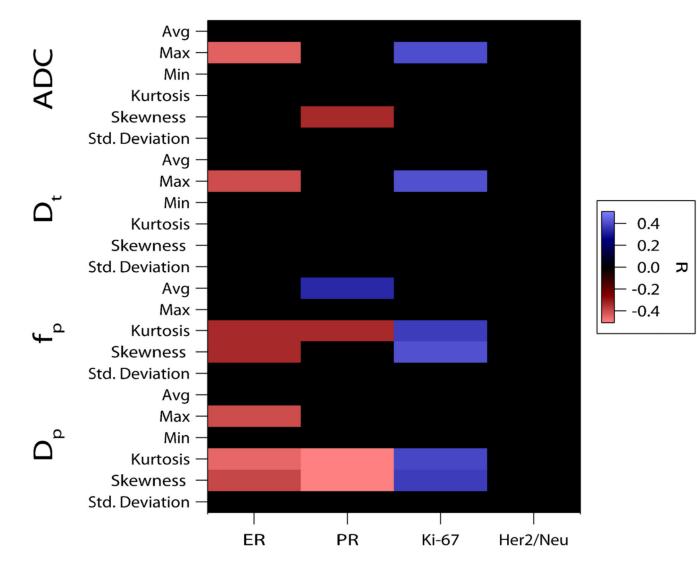
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#### Fig. 4.

Comparison of different vascular IVIM extrema and heterogeneity metrics with hormonal status: (a) ER status vs.  $D_p$  skewness, (b) PR status vs.  $f_p$  kurtosis, (c) Ki-67 status vs.  $f_p$  skewness, and (d) Her2/Neu vs. average value of  $D_p$ . All comparisons shown here were significant (*p*<0.05). The *lines* in the box plot indicate the median value and the IQR

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#### Fig. 5.

Matrix plot of the significant correlation coefficients between IVIM histogram parameters and prognostic factors. Coloured entries indicate significant correlations (p < 0.05) with positive (blue) or negative (red) Pearson correlation coefficients R (see colour scale)

Clinical data for patients enrolled in this study, including benign/malignant status, histological subtype, molecular prognostic status, and treatment paradigm. Note: Certain histological data was not available for each patient

		Number of patients
Benign		12
Malignant	Invasive	31
	Mixed	12
	DCIS	7
	Total	50
TOTAL		62
Molecular prog	nostic factors	
ER	-	16
	+	29
PR	-	23
	+	22
Ki-67	-	12
	+	25
Her2/neu	-	28
	+	13
Lesion subtypin	ng groups	
ER+HER2-		22
ER+HER2+		7
ER-HER2+		6
ER-HER2- (TI	N)	15

The median and interquartile range (IQR) of each average IVIM value among patients with malignant and benign tumour types. The *p* value results are shown between each mean value comparison along with sensitivity, specificity, and accuracy. Significant differences (p<0.05, MW) are marked with an \*. Refer to Fig. 3

		Median	IQR	P value	AUC	Sensitivity (%)	Specificity (%)	Accuracy (%)
ADC (µm <sup>2</sup> /ms)	Benign	1.82	0.67	0.040*	0.69	58.0	83.3	62.9
	Malignant	1.46	0.68					
$D_t ~(\mu m^2/ms)$	Benign	1.89	0.70	0.003*	0.77	66.0	91.7	71.0
	Malignant	1.32	0.65					
f <sub>p</sub> (%)	Benign	5.0 %	3.0 %	0.019*	0.72	72.0	83.3	72.6
	Malignant	9.1 %	5.1 %					
$D_p (\mu m^2/ms)$	Benign	18.03	16.50	0.10	0.500	100	25.0	85.5
	Malignant	17.73	4.45					

The median and IQR of each average IVIM value among patients with different tumour subtypes. The *p* value of each IVIM mean value comparison is shown on the far right column with significant differences (p<0.05, MW) marked with an \*. † indicates significance using Kruskal–Wallis

	Туре	Median	IQR	Comparisons	P value
ADC† (µm <sup>2</sup> /ms)	Invasive	1.40	0.42	Invasive vs mixed	0.581
	DCIS	2.28	0.57	Invasive vs DCIS	0.008*
	Mixed	1.38	0.55	Mixed vs DCIS	0.025*
$D_t \ddagger (\mu m^2/ms)$	Invasive	1.27	0.51	Invasive vs mixed	0.884
	DCIS	1.75	0.85	Invasive vs DCIS	0.009*
	Mixed	1.30	0.66	Mixed vs DCIS	0.051
f <sub>p</sub> (%)	Invasive	9.53	4.21	Invasive vs mixed	0.247
	DCIS	7.88	5.15	Invasive vs DCIS	0.435
	Mixed	7.46	3.85	Mixed vs DCIS	0.966
$D_p ~(\mu m^2/ms)$	Invasive	17.93	4.02	Invasive vs mixed	0.387
	DCIS	19.79	3.85	Invasive vs DCIS	0.374
	Mixed	16.30	4.14	Mixed vs DCIS	0.225

### Table 4

The median, IQR, and p value results from extrema and heterogeneity metrics compared with tumour subtypes.

		DCIS (D)						anna a	anne i	r value
	Measure	Median	IQR	Median	IQR	Median	IQR	D vs. I	D vs. M	I vs. M
ADC (µm <sup>2</sup> /ms)	Max	2.80	0.36	2.91	0.66	2.35	1.00	0.528	0.058	0.057
	Min	0.91	1.25	0.10	0.71	0.38	0.87	$0.034^{*}$	0.295	0.315
	Kurt	0.44	1.45	-0.20	1.64	-0.46	1.09	0.584	0.538	0.527
	Skew $^{\dagger}$	-0.21	0.73	0.33	0.84	0.22	0.76	$0.026^{*}$	0.384	0.066
	SD	0.32	0.13	0.41	0.28	0.31	0.21	0.182	0.833	0.061
D <sub>t</sub> (µm <sup>2</sup> /ms)	${\rm Max}^{\not \tau}$	2.79	0.39	2.73	0.75	2.14	0.58	0.553	0.035*	$0.023^{*}$
	Min≁	0.60	1.05	<0.001	0.54	0.48	06.0	$0.009^*$	0.189	0.231
	Kurt	-0.29	0.50	-0.033	3.14	-0.48	0.81	0.628	0.595	0.138
	$\operatorname{Skew}^{ eq}$	-0.086	0.69	0.42	0.93	-0.047	0.87	0.081	0.967	$0.023^{*}$
	SD	0.36	0.15	1.27	0.51	0.31	0.11	0.314	0.474	$0.047^{*}$
$f_{p}(\%)$	Max	44.21	5.73	37.14	36.70	27.71	12.41	0.973	$0.035^*$	0.053
	Kurt	0.98	4.13	0.66	4.86	0.16	3.18	0.420	0.085	0.408
	Skew	1.10	0.68	0.84	0.92	0.72	0.92	0.193	0.067	0.230
	SD	0.058	0.050	8.22	5.70	6.47	2.29	0.743	0.593	0.174
D <sub>p</sub> (µm <sup>2</sup> /ms)	Max	97.11	44.48	92.50	23.34	83.81	18.17	0.638	0.488	0.080
	Min	0.072	2.13	<0.001	1.05	0.86	2.01	0.488	0.492	0.054
	Kurt	3.21	2.84	5.58	7.42	4.52	5.83	0.085	0.198	0.475
	Skew	1.77	0.79	2.22	1.23	2.07	1.17	0.115	0.198	0.556
	SD	17.16	3.49	14.18	4.10	14.85	5.56	0.510	0.483	0.567

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 $\dot{\tau}$  indicates significance using Kruskal–Wallis

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## Table 5

The median and IQR for average and extremal IVIM values and their p value results versus hormonal status. Significant differences (p < 0.05, MW) are marked with an \*. SD standard deviation. IQR interquartile range

		Oestrog	<b>Oestrogen receptor</b>	otor					Proges	Progesterone receptor	ceptor		
		Negative	'e	Positive		<i>p</i> value			Negative	ve	Positive	0	<i>p</i> value
	Measure	Med.	IQR	Med.	IQR			Measure	Med.	IQR	Med.	IQR	
ADC (µm <sup>2</sup> /ms)	Avg.	1.45	0.63	1.45	0.64	0.753	ADC (µm <sup>2</sup> /ms)	Avg.	1.43	0.46	1.47	0.83	0.68
	Max.	2.94	0.48	2.56	0.71	0.025*		Max.	2.92	0.47	2.46	0.80	0.519
	Min.	0.20	0.64	0.47	1.00	0.31		Min.	0.10	0.65	0.59	1.06	0.047*
	Kurt.	0.04	2.97	-0.27	1.75	0.441		Kurt.	-0.04	2.66	-0.41	1.70	0.141
	Skew.	0.35	1.18	0.12	0.67	0.467		Skew.	0.33	0.76	-0.05	0.73	0.068
	SD	0.46	0.25	0.34	0.20	0.088		SD	0.43	0.28	0.32	0.19	0.052
D <sub>t</sub> (µm <sup>2</sup> /ms)	Avg.	1.30	0.73	1.31	0.61	0.593	$D_t$ ( $\mu m^{2/ms}$ )	Avg.	1.21	0.70	1.33	0.67	0.768
	Max.	2.94	0.69	2.26	0.68	0.018*		Max.	2.85	0.76	2.25	0.70	0.5
	Min.	0.01	0.41	0.34	0.74	0.186		Min.	0.02	0.48	0.39	0.81	0.050*
	Kurt.	-0.03	3.04	-0.25	1.39	0.9		Kurt.	0.14	3.68	-0.23	0.88	0.19
	Skew.	0.37	1.61	0.26	0.88	0.901		Skew.	0.38	1.46	0.12	0.83	0.161
	SD	0.51	0.30	0.36	0.15	0.048*		SD	0.51	0.25	0.36	0.18	$0.028^{*}$
f <sub>p</sub> (%)	Avg.	8.54	4.11	9.16	4.43	0.301	$f_{p}(\%)$	Avg.	8.53	5.06	9.34	4.05	0.161
	Max.	46.74	37.72	37.15	24.18	0.334		Max.	44.93	41.72	35.72	21.67	0.224
	Kurt.	2.44	8.83	0.40	3.91	0.077		Kurt.	2.32	6.98	0.16	1.74	$0.024^{*}$
	Skew.	1.38	1.92	0.97	0.86	0.082		Skew.	1.30	1.44	0.82	0.84	0.050*
	SD	7.63	7.24	7.17	4.12	0.953		SD	7.28	6.14	7.70	4.62	0.791
$\mathrm{D}_\mathrm{p}$	Avg.	17.10	4.21	17.71	6.12	0.656	$\mathrm{D}_\mathrm{p}$	Avg.	16.29	4.48	18.53	5.47	0.256
	Max.	92.96	19.17	84.32	31.10	0.063		Max.	92.50	21.90	84.16	38.38	0.007*
	Min.	0.00	1.13	0.14	1.50	0.246		Min.	0.07	1.23	0.09	1.81	0.164
	Kurt.	7.11	4.91	3.69	5.55	$0.024^{*}$		Kurt.	7.00	5.50	2.28	4.22	0.391
	Skew.	2.34	06.0	1.83	1.26	0.043*		Skew.	2.29	0.84	1.66	1.18	0.008*
	SD	13.54	3.04	16.20	7.14	0.538		SD	13.59	3.96	16.26	8.39	85

(*a*) Significant discrimination of treatment paradigm groups by IVIM histogram parameters by Mann–Whitney group comparisons and by logistic regression. Median values are given with IQR values in parentheses. (*b*) Significant group predictors of prognostic factor status or treatment paradigm. The significance of including each parameter in the combined set along with the combined AUC value for target predication is shown for each target group. *SD* standard deviation

a						
Group	Parameter	Mann-Whitney gr	oup comparisons		Logistic 1	regression
		Group Value	Others	р	р	AUC
ER+HER2-	D <sub>p</sub> Avg.	19.15 (5.81)	17.47 (3.56)	0.024*	0.017*	0.69
	D <sub>p</sub> Kurt.	2.15 (3.93)	6.14 (5.84)	0.004*	0.012*	0.75
	D <sub>p</sub> Skew.	1.62 (1.10)	2.32 (0.91)	0.004*	0.008*	0.74
ER+HER2+	ADC Kurt.	-0.56 (0.83)	-0.04 (1.91)	0.027*	0.059	0.77
	D <sub>p</sub> Avg.	15.36 (6.47)	18.19 (4.48)	0.034*	0.073	0.75
	D <sub>p</sub> Kurt.	6.41 (14.05)	4.29 (6.22)	0.050*	0.038*	0.73
	D <sub>p</sub> Skew.	2.38 (2.07)	1.97 (1.28)	0.023*	0.017*	0.76
TN	D <sub>t</sub> Max.	2.97 (0.76)	2.31 (0.71)	0.004*	0.26	0.70
	f <sub>p</sub> Skew.	0.88 (1.98)	1.02 (0.98)	0.16	0.037*	0.63
b						
Target		Set of measures			AUC	
ER+ or PR+		D <sub>t</sub> SD (0.008)	f <sub>p</sub> Kurt. (0.0023)	D <sub>p</sub> Skew. (0.024)	0.867	
		ADC SD (0.025)	f <sub>p</sub> Skew. (0.049)	D <sub>p</sub> Skew. (0.024)	0.829	
PR+		D <sub>t</sub> SD (0.018)	f <sub>p</sub> Kurt. (0.018)	D <sub>p</sub> Skew. (0.026)	0.857	
		f <sub>p</sub> Skew. (0.023)		D <sub>p</sub> Skew. (0.023)	0.783	
ER+HER2-		D <sub>p</sub> Skew. (0.011)		D <sub>p</sub> Avg. (0.026)	0.800	