

Springer Tracts in Advanced Robotics

Volume 69

Editors: Bruno Siciliano · Oussama Khatib · Frans Groen

Eduardo Rocon and José L. Pons

Exoskeletons in Rehabilitation Robotics

Tremor Suppression



Springer

Professor Bruno Siciliano, Dipartimento di Informatica e Sistemistica, Università di Napoli Federico II, Via Claudio 21, 80125 Napoli, Italy, E-mail: siciliano@unina.it

Professor Oussama Khatib, Artificial Intelligence Laboratory, Department of Computer Science, Stanford University, Stanford, CA 94305-9010, USA, E-mail: khatib@cs.stanford.edu

Professor Frans Groen, Department of Computer Science, Universiteit van Amsterdam, Kruislaan 403, 1098 SJ Amsterdam, The Netherlands, E-mail: groen@science.uva.nl

Authors

Dr. Eduardo Rocon
Biongineering group, IAI-CSIC
Ctra. Campo Real, km 0.200
La Poveda - Arganda del Rey
28500 Madrid, Spain
E-mail: erocon@iai.csic.es

Dr. José L. Pons
Biongineering group, IAI-CSIC
Ctra. Campo Real, km 0.200
La Poveda - Arganda del Rey
28500 Madrid, Spain
E-mail: jlpons@iai.csic.es

ISBN 978-3-642-17658-6

e-ISBN 978-3-642-17659-3

DOI 10.1007/978-3-642-17659-3

Springer Tracts in Advanced Robotics ISSN 1610-7438

© 2011 Springer-Verlag Berlin Heidelberg

This work is subject to copyright. All rights are reserved, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilm or in any other way, and storage in data banks. Duplication of this publication or parts thereof is permitted only under the provisions of the German Copyright Law of September 9, 1965, in its current version, and permission for use must always be obtained from Springer. Violations are liable for prosecution under the German Copyright Law.

The use of general descriptive names, registered names, trademarks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

Typeset & Cover Design: Scientific Publishing Services Pvt. Ltd., Chennai, India.

Printed on acid-free paper

5 4 3 2 1 0

springer.com

Editorial Advisory Board

Oliver Brock, TU Berlin, Germany
Herman Bruyninckx, KU Leuven, Belgium
Raja Chatila, LAAS, France
Henrik Christensen, Georgia Tech, USA
Peter Corke, Queensland Univ. Technology, Australia
Paolo Dario, Scuola S. Anna Pisa, Italy
Rüdiger Dillmann, Univ. Karlsruhe, Germany
Ken Goldberg, UC Berkeley, USA
John Hollerbach, Univ. Utah, USA
Makoto Kaneko, Osaka Univ., Japan
Lydia Kavraki, Rice Univ., USA
Vijay Kumar, Univ. Pennsylvania, USA
Sukhan Lee, Sungkyunkwan Univ., Korea
Frank Park, Seoul National Univ., Korea
Tim Salcudean, Univ. British Columbia, Canada
Roland Siegwart, ETH Zurich, Switzerland
Gaurav Sukhatme, Univ. Southern California, USA
Sebastian Thrun, Stanford Univ., USA
Yangsheng Xu, Chinese Univ. Hong Kong, PRC
Shin'ichi Yuta, Tsukuba Univ., Japan

STAR (Springer Tracts in Advanced Robotics) has been promoted under the auspices of EURON (European Robotics Research Network)



To our families

Foreword

Robotics is undergoing a major transformation in scope and dimension. From a largely dominant industrial focus, robotics is rapidly expanding into human environments and vigorously engaged in its new challenges. Interacting with, assisting, serving, and exploring with humans, the emerging robots will increasingly touch people and their lives.

Beyond its impact on physical robots, the body of knowledge robotics has produced is revealing a much wider range of applications reaching across diverse research areas and scientific disciplines, such as: biomechanics, haptics, neurosciences, virtual simulation, animation, surgery, and sensor networks among others. In return, the challenges of the new emerging areas are proving an abundant source of stimulation and insights for the field of robotics. It is indeed at the intersection of disciplines that the most striking advances happen.

The Springer Tracts in Advanced Robotics (STAR) is devoted to bringing to the research community the latest advances in the robotics field on the basis of their significance and quality. Through a wide and timely dissemination of critical research developments in robotics, our objective with this series is to promote more exchanges and collaborations among the researchers in the community and contribute to further advancements in this rapidly growing field.

The monograph written by Eduardo Rocon and José Pons is a contribution in the area of rehabilitation robotics, which has been receiving a growing deal of attention by the research community in the latest few years. The contents are focused on the management and suppression of pathological tremor in upper limb exoskeletons. Solutions deal with both cognitive and physical human-robot interaction issues of such wearable devices. Results are validated in a rich set of experiments, revealing a promising outlook toward the application to a wide range of so-called soft robots.

Remarkably, the monograph is based on the first author's doctoral thesis, which received the prize of the Seventh Edition of the EURON Georges Giralt PhD Award devoted to the best PhD thesis in Robotics in Europe. A very fine addition to STAR!

Naples, Italy
September 2010

Bruno Siciliano
STAR Editor

Preface

The scientific community is becoming more and more interested in rehabilitation robotics. From a robotics perspective, exoskeletons are mechatronic systems worn by a person in such a way that the physical interface leads to a direct transfer of mechanical power and exchange of information. A wearable robot is designed to match the shape and function of the human body. Segments and joints correspond to some extent to those of the human body while the system is externally coupled to the person. Initially, the primary applications of these robotic mechanisms were teleoperation and power amplification. Later, exoskeletons have been considered as rehabilitation and assistive devices for disabled or elderly people. One important and specific feature of wearable robotics is the intrinsic interaction between human and robot. This interaction is twofolded: first, cognitive, because the human controls the robot while it provides feedback to the human; secondly, a biomechanical interaction leading to the application of controlled forces between both actors.

The fact that a human being is an integral part of the design is one of the most exciting and challenging aspects in the design of biomechatronics wearable robots. It imposes several restrictions and requirements in the design of this sort of devices.

Tremor is characterized by involuntary oscillations of a part of the body. The most accepted definition is as follows: “an involuntary, approximately rhythmic, and roughly sinusoidal movement”. Tremor is a disabling consequence of a number of neurological disorders. Tremor, the most common of all involuntary movements, can affect various body parts such as the hands, head, facial structures, tongue, trunk, and legs. Most tremors, however, occur in the hands. Tremor is a disorder that is not life-threatening, but it can be responsible for functional disability and social inconvenience. More than 65% of the population with upper limb tremor have serious difficulties performing daily living activities. In many cases, tremor intensities are very large, causing total disability to the affected person. The overall management of tremor

is directed towards keeping the patient functioning independently as long as possible while minimizing disability.

It has been established in the literature that most of the different types of tremor respond to biomechanical loading. In particular, it has been clinically tested that the increase of damping and/or inertia in the upper limb leads to a reduction of the tremorous motion. This phenomenon gives rise to the possibility of an orthotic management of tremor. An orthosis is a wearable device that acts in parallel to the affected limb. In the case of tremor management, the orthosis must apply a damping or inertial load to a selected set of limb articulations. As a wearable device, it must exhibit a number of aesthetic and functional characteristics. Aesthetics is more directly related to size, weight and appearance of the exoskeleton. Functionality is related to generating required torque and velocity while maintaining the robustness of operation.

This book will give a general overview of the robotic exoskeletons field and will introduce the reader to this new robotic field with an extensive review of its main applications and the technologies suitable for its development. In addition, it provides detailed studies of the main topics in the wearable robotics field. The book will use the development of an upper limb exoskeleton for tremor suppression in order to illustrate the influence of a specific application in the designs decisions. It will present the development and validation of an upper limb exoskeleton with three main objectives: monitoring and diagnosis, and validation of non-grounded tremor reduction strategies.

At the end, the book focuses on describing the upcoming research in the rehabilitation field which leads to the concept of Soft-Robots (SRs). They are also wearable, but SRs use functional human structures instead of artificial counterparts, e.g. artificial actuators are substituted by Functional Electrical Stimulation (FES) of human muscles. The borderline between human and robot becomes fuzzy and this immediatilly leads to hybrid Human-Robot systems.

Madrid, September 2010

Eduardo Rocon
José L. Pons

Acknowledgements

The work presented in this paper has been carried out with the financial support from the Commission of the European Union, within Framework 5, specific RTD programme “Quality of Life and Management of Living Resources”, Key Action 6.4 “Aging and Disabilities”, under Contract QKL6-CT-2002-00536, “DRIFTS - Dynamically Responsive Intervention for Tremor Suppression.” The future work defined in the book is ongoing at the Bioengineering Group, CSIC. These activities are part of a large-scale project called HYPER which is funded by CONSOLIDER- INGENIO Research supported by CONSOLIDER-INGENIO 2010 Programme of the Spanish Ministry of Science and Innovation, (grant CSD2009-00067). However, in the particular scenario of tremor suppression ongoing research activities are being carried out in the framework of the European project TREMOR with the financial support from the Commission of the European Union, within Framework 7, specific IST programme “Accessible and Inclusive ICT”, Target outcome 7.2 “Advanced self-adaptive ICT-enabled assistive systems based on non-invasive Brain to Computer Interaction (BCI) ”, under Grant Agreement number ICT-2007-224051, “TREMOR. An ambulatory BCI-driven tremor suppression system based on functional electrical stimulation.”

We wish to express our gratitude to the consortium of DRIFTS and TREMOR projects, in particular to Lawrence Normie, Juan Manuel-Belda Lois and Andrés Felipe Ruiz Olaya.

The writing of this book would have not been possible without help and contribution of all our colleagues at CSIC, in particular to the Bioengineering Group headed by Prof. Ramón Ceres.

Finally, we would like to thank Prof. Bruno Siciliano for encouraging and giving us the opportunity to write this book.

Contents

1	Introduction: Exoskeletons in Rehabilitation Robotics	1
1.1	Introduction to Rehabilitation Robotics: Tremor Management	1
1.2	Historical Note on Rehabilitation Robotics	5
1.3	The Role of Robotic Exoskeletons in Rehabilitation	7
1.4	Salient Issues in Exoskeletal Rehabilitation Robotics	10
1.4.1	Bioinspiration	12
1.4.2	Mechanisms and Dynamic Models	13
1.4.3	Physical HRI in the Context of Exoskeletal Robots	13
1.4.4	Cognitive HMI in the Context of Exoskeletal Robots	14
1.4.5	Sensors, Actuators and Supporting Technologies	16
1.5	Scope of the Book	16
2	Pathological Tremor Management	21
2.1	Introduction	21
2.2	Tremor Manifestations	22
2.3	Tremor Patterns	24
2.3.1	Essential Tremor	26
2.3.2	Parkinsonian tremor	27
2.3.3	Cerebellar tremor	28
2.4	Tremor Characterization at Joint Level	29
2.4.1	Measuring Tremor: The Experimental Protocol	31
2.4.2	Studying Tremor: The Hilbert Spectrum	35
2.4.3	Tremor Study Based on Empirical Mode Decomposition	45
2.4.4	Study of Tremor Characteristics Based on a Biomechanical Model of the Upper Limb	46
2.5	Conclusions	50

3 Upper Limb Exoskeleton for Tremor Suppression:	
Cognitive HR Interaction	53
3.1 Tremor Isolation	54
3.2 Estimation of Voluntary Movement	55
3.2.1 Two Points Extrapolator	57
3.2.2 g-h Filters	57
3.2.3 The Kalman Filter	61
3.2.4 Figure of Merit	63
3.3 Real-Time Estimation of Voluntary and Tremorous Movement	64
3.4 Conclusions	65
4 Upper Limb Exoskeleton for Tremor Suppression:	
Physical HR Interaction	67
4.1 Biomechanics of the Upper Limb	68
4.1.1 Tolerance to Pressure	68
4.1.2 Mechanical Characterization of Soft Tissues at the Upper-Limb	69
4.2 Wearable Orthosis for Tremor Assessment and Suppression, WOTAS	71
4.2.1 Mechanical Design	71
4.2.2 Sensors	74
4.2.3 Actuators	75
4.3 Control Architecture	77
4.4 Control Strategies for Tremor Suppression	78
4.4.1 Tremor Reduction through Impedance Control	80
4.4.2 Exploitation of the Repetitive Characteristics of Tremor Movement	90
4.5 Conclusions	97
5 Upper Limb Exoskeleton for Tremor Suppression:	
Validation	99
5.1 Experimental Protocol	99
5.1.1 Users	99
5.1.2 Materials and Methods	100
5.1.3 Tasks	101
5.1.4 Data Analysis	102
5.2 Results and Discussion	102
5.3 Discussion	108
5.4 Conclusions	110

6 Summary, Conclusions and Upcoming Research	113
6.1 Summary.....	113
6.2 Conclusions.....	116
6.3 Upcoming Research.....	117
6.3.1 Development of Algorithms to Identify Intention to Move	125
6.3.2 Development of Algorithms to Identify Tremor Onset	125
6.3.3 Development of Algorithms for Tracking and Extraction of Tremor Characteristics.....	127
References	131