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# The Fate of Arsenic in Soil-Plant Systems

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#### 1 **1 Introduction**

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#### 1.1 The Properties of Arsenic

Arsenic (As) is an element belonging to the group V-A, and demonstrates 3 characteristics of a metalloid. Because arsenic more easily forms anions, its non-metal 4 properties dominate. When arsenic is in an oxidation state of +5, it acts similarly to 5 phosphorus, a fact that has many implications for the way in which it reacts in soil, as 6 7 well as its potential toxicity in plants. The most common oxidation states of As are -3, 0, +3, and +5. Arsines and metal arsines are those in which As has an oxidation state of 8 9 -3, and these forms, are very unstable under oxidizing conditions. Under aerobic conditions, the oxidation state of As tends to be +5, and, when this occurs at a pH of 10 between 2 and 3 the arsenic acid (H<sub>3</sub>AsO<sub>4</sub>) is formed. When the pH rises to values 11 between 3 and 11, this compound disassociates to  $H_2AsO_4^{-1}$  and  $HAsO_4^{2-1}$  (Smedley and 12 Kinninburgh 2002). Under anaerobic conditions, the predominant As species is H<sub>3</sub>AsO<sub>3</sub>. 13 Arsenic also biomethlyates easily (Barán 1995). 14

Arsenic is widely distributed throughout the environment, and can be detected in the lithosphere in concentrations between 1.5 and 2 mg kg<sup>-1</sup>, making it the 52<sup>nd</sup> most abundant element (Adriano 2001). Arsenic forms a part of more than 245 minerals that include arsenates (60%), sulfides and sulfosals (20%), as well as other compounds such as arseniurates, arsenites, oxides and silicates (20%) (Mandal and Suzuki 2002). The majority of arsenic deposits in the earth's surface are found as sulfurous minerals (arsenopyrite).

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#### 1.2 The Presence of and Exposure to Arsenic in the Environment

Inorganic arsenic is present in soil, water, air and food such that humans are 23 constantly exposed to this contaminant (Mandal and Suzuki 2002). The range at which 24 arsenic is present in soils varies normally between 0.2 and 40 mg kg<sup>-1</sup>, while in urban 25 areas the concentration in atmospheric air is approximately  $0.02 \ \mu g \ m^{-3}$ . On a global 26 27 level, drinking contaminated water is the major source of exposure to this contaminant 28 (Smedley and Kinninburgh 2002). It is estimated that some thirty million people are exposed to waters contaminated with arsenic, a quarter of them showing symptoms 29 30 associated with long term exposure in at least five South Asian countries: Bangladesh, India, Nepal, Tailandia and Myanmar (Caussy 2003). The World Health Organization 31 (WHO) recommends a maximum level of arsenic in waters of 10 µg L<sup>-1</sup> (Bissen and 32 Frimmel 2003); however, the concentration of arsenic in surface waters is greater than 33

2000 µg L<sup>-1</sup> in certain regions of Bangladesh and India (Tripathi et al. 2007). Soil and
water are the main sources of human exposure to arsenic at any given location, either by
consumption (greatest in children; Rodríguez et al. 2003), inhalation or direct skin
contact (DEFRA 2002). Because arsenic accumulates in vegetables, fruits, and other
plants that grow in contaminated soils, another important pathway of exposure is the
transfer of arsenic within the food chain (Meharg and Hartley-Whitaker 2002).

In terms of anthropogenic sources, annual global production of arsenic is 40 estimated to be between 75 and  $100 \cdot 10^3$  t (Adriano 2001). Natural sources (those in 41 42 which human intervention does not play an important role) will depend, in many cases, on the geochemistry of each site, principally of the site's lithology and dispersion 43 44 pathways. The major human activities that produce As are mining, metallurgy, agriculture, forestry, fossil fuel treatment plants, urban waste and cattle farming 45 46 (Adriano 2001; Fitz and Wenzel 2002). In both mining and metallurgy, arsenic is produced as a by-product of little value, making it an unwanted waste. There are 47 48 important arsenic deposits in some components of the earth's surface, which gives way to an elevated concentration of arsenic in the adjacent environment (up to 3% As in the 49 soil) that rapidly decreases with distance from the contaminated sites (Zhang and Selim 50 2008). Also, some industrial activities may be a source of As; for example, when old 51 glass manufacturing industries disposed of rich As wastes in the early 20<sup>th</sup> century 52 (Madejón and Lepp 2007). Many biocides, used to control diseases in agriculture and 53 forestry, are composed of As (Lepp 1981). Similarly, the use of fertilizers is also a 54 source of arsenic in soils (Matschullat 2000). Urban wastes derived from treatment 55 plants and compost, often used as organic amendments, may contain arsenic in varying 56 quantities (Beesley and Dickinson 2010). Lastly, fossil fuel combustion also produces 57 quantities of arsenic that may lead to long term accumulation from the gases emitted to 58 the surrounding areas (Matschullat 2000). All of these factors release arsenic into the 59 environment and can result in its accumulation in soils. When present in soils, As is 60 61 generally observed to be more abundant in its inorganic form, with  $A_{S}(V)$  as the predominant species found under aerobic conditions (Akter and Naidu 2006). In soils, 62 63 organic As species are usually found as monomethylarsonic acid (MMA) and dimethylarsinic acid (DMA) (Takamatsu et al. 1982; Mestrot et al. 2011). 64

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66 2 The Dynamics of Arsenic in Soil

Some authors believe that base concentrations of 10-40 mg kg<sup>-1</sup> of arsenic exist 67 68 in areas where the lithology has no known unnatural sources of contamination (Fitz and Wenzel 2002; Mandal and Suzuki 2002); however, estimates of the average 69 concentration that exists in the pedosphere are only 5-8 mg kg<sup>-1</sup> (Matschullat 2000). In 70 the Andalusia region of Spain, values of 33 mg kg<sup>-1</sup> of As have been documented to 71 occur in soil, although amounts are highly dependent on the soil horizon, the type of soil 72 73 and the lithology (Martín et al. 2007). In rare instances, either because of natural or anthropogenic sources, some soils are known to contain extraordinarily high levels of 74 75 arsenic, i.e., values of 0.1 and 2% arsenic (Chang et al. 2005; Ongley et al. 2007; King et al. 2008). Such places pose a risk to human health as well as to ecosystem health, and 76 77 if deemed necessary, these areas must be managed to reduce probable exposure risks.

An important variable in the study of As in soils is the heterogeneity in which it 78 79 can appear, such that regions displaying high concentrations may be adjacent to regions that have much lower levels. In such cases, contaminant hot spots must be identified, 80 81 because they will pose the hardest-to-manage threats (Dickinson et al. 2009). Several authors have described events in which As levels are greater in surface soil horizons 82 83 (Adriano 2001; Clemente et al. 2008). When this occurs, it would indicate that contamination took place after the genesis of the soil in which it appears. However, this 84 phenomenon depends on the source and method by which arsenic made its way into the 85 soil. In one review (Fitz and Wenzel 2002), the authors described how, in the European 86 Union, there may be up to 1.4 million soil sites that are contaminated with metals, 87 metalloids, and/or organic compounds. These authors also estimated that, in the United 88 States, approximately 41% of the soil sites catalogued as being contaminated were 89 catalogued as such because of arsenic. Similarly, in Australia, there are more than 90 10,000 soil sites contaminated by As. Some of these Australian sites are extensive in 91 92 area and constitute large-scale events of As contamination.

When setting safe levels, environmental legislation or regulation tends to rely on
values that reflect total arsenic levels, but the total content of a trace element, as
determined by acid digestion, is rarely a good indicator of the element's mobility or
potential risk (Allen 2001).

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#### 98 2.1 The Mobility and Solubility of Arsenic in Soils

99 The solubility of soil contaminants is a key parameter to understanding their probable mobility. The soluble fraction in which contaminants exist is in equilibrium 100 101 with others that exist in the soil environment. Once dissolved in soil water, elements are 102 often present as different species that have different ionic activities (Sauvé 2001). 103 Typical concentrations of arsenic in the soil solution, under aerobic conditions are <50104 nM in non-contaminated soils, while they can reach values of up to 2  $\mu$ M in 105 contaminated soils (Wenzel et al. 2002; Moreno- Jiménez et al. 2011a); however, an 106 exception was one sample from a semi-flooded mine soil that had up to 40 µM As. In 107 flooded soils (where the predominate species is arsenite), typical concentrations of As in 108 solution vary between 0.01 and 3 µM (Zhao et al. 2009). In one As study, using lupine 109 plants as indicators of As availability, the behavior of the in-soil crop was compared 110 with that same crop grown hydroponically. In this study, an exposure of less than 10  $\mu$ M of As in soil solution was established, wherein the total concentration of As was 111 more than 2000 mg kg<sup>-1</sup> (Moreno-Jiménez et al. 2010b). In comparison to other trace 112 elements, arsenic shows a low solubility in well-aerated soils (Beesley et al. 2010a; 113 114 Moreno-Jiménez et al. 2010a).

Traditionally, sequential extraction has been used to fractionate trace elements 115 116 that appear in soil. For arsenic, which is usually present in its anionic form in soils, specific protocols for arsenic extraction have been developed from these methods 117 118 (Onken and Adriano 1997; Shiowatana et al. 2001; Wenzel et al. 2001). These 119 extraction protocols have enabled researchers to determine that arsenic is often associated with oxides and hydroxides in soil (McLaren et al. 2006). The exchange 120 121 surfaces of silicates and organic matter tend to be negatively charged, and therefore have a greater tendency to retain cations. In soils that have a low pH (where positive 122 123 charges predominate), conditions may favor the retention of As in exchange positions. In soils affected by pyritic materials or one that are associated with pyritic mining, 124 arsenic may be found in large proportions within the residual fraction, indicating that it 125 is bound in its mineral form (i.e., associated with sulfides; Conesa et al. 2008) 126

The study of how arsenic is fractioned within soils can provide useful
information about its mobility, migration, and potential toxicity. The As fraction
retained in a labile form, within the soil matrix (soil and water) will be the most
biologically active (bioavailable fraction) and the most mobile (soluble fraction) one.
Fig. 1 is an illustration that depicts the equilibrium achieved by As among several soil

phases. From an ecological and toxicological point of view, the fraction that contains 132 133 the contaminants in the soil matrix is the most important one, and should be used as an indicator, when analyzing soil contaminant risks (Mench et al. 2009). Therefore, the 134 135 soil's solid phase is less important than the liquid phase or the equilibrium established 136 between the solid and liquid phases (Sauvé 2001). To date, there is neither a clear consensus surrounding the concept of bioavailability, nor is there an exact way of 137 defining it, in the context of As. In plants, the bioavailable As fraction would be the 138 amount of As a plant takes up from the soil, although this concept has yet to be 139 140 measured and cannot be predicted (Fitz and Wenzel 2006). The available and 141 unavailable fractions of contaminants tend to be in equilibrium within the soil, but any 142 change in environmental factors (pH, Eh, climate, biology, hydrology, organic matter, 143 etc.), or alterations in mineral content (e.g., from dissolution-precipitation; oxidation-144 reduction; formation of complexes-disassociation; adsorption-desorption) can alter the availability of an element (Mench et al. 2009). This dynamic behavior notwithstanding, 145 146 the analysis of soils by many methods have produced interesting results when 147 estimating a contaminant's potential plant bioavailability. The available fraction has 148 generally been measured by correlating amounts of the element found in the soil vs. 149 amounts found within the plants grown in the soil (Feng et al. 2005; Vázquez et al. 2008a). When this approach is used, some neutral salt extraction methods (Vázquez et 150 al 2008a), or those utilizing organic acid mixtures (Feng et al. 2005) have produced 151 satisfactory results. Moreover, monitoring programs that rely on rhizosphere suction 152 cups have been employed, and these are designed to measure the available fraction of 153 154 interstitial water that occurs within samples (Clemente et al. 2010). One factor that is insufficiently understood is the rate of exchange that takes place between unavailable 155 156 and available fractions, although that rate appears to be rather slow (Cattani et al. 2009).

- 157 2.2 Factors Determining Arsenic Availability in Soils
- 158 2.2.1 The Effect of pH and Eh

In contrast to what happens with other trace elements, a rise in pH often results in mobilization of arsenic in the soil. In general, a rise in soil pH causes a release of anions from within their exchange positions, such that arsenate and arsenite are released (Smith et al. 1999; Fitz and Wenzel 2002; Beesley et al. 2010b; Moreno-Jiménez et al. 2010a). However, several experiments (mainly with mine soils) have shown that high pH values, in the presence of sulfates and carbonates, can produce either a co-

precipitation of arsenic in the subsequently formed oxyhydroxides and sulfates (García 165 166 et al. 2009), or a precipitate such as calcium arsenate (which is slightly less insoluble than calcium phosphate) (Burriel et al. 1999). For this reason, some soils probably 167 168 demonstrate their maximum As(V) retention at a pH near 10.5 (Goldberg and Glaubig 169 1988). In well aerated alkaline soils, the solubility of As is limited by its precipitation as Ca or Fe arsenates (Xie and Naidu 2006). In soils with a high pH, carbonates can play 170 an important role in the retention of arsenate (Zhang and Selim 2008). When the pH 171 drops below 2.5, As(V) becomes completely protonated (Zhang and Selim 2008), 172 rendering it less likely to be retained by soil particles. 173

174 As(V) is the predominant form that exists in soils, in which the pH+pe>10; in contrast, As(III) is the dominant form found in soils, in which the pH+pe is less than 6 175 176 (Sadiq 1997). Under aerobic conditions, sulfides are easily oxidized, and as a 177 consequence arsenic is released into the environment (Adriano 2001); when soil pH is between 3 and 13, the major species found are  $H_2AsO_4^-$  and  $HAsO_4^{2-}$  (Smedley and 178 179 Kinninburgh 2002). In reducing environments, arsenic is found as arsenite the 180 predominant species of which is H<sub>3</sub>AsO<sub>3</sub>. Poor adsorption of As(III) results from its 181 neutral character in soils (Lakshmipathiraj et al. 2006). Arsenite is more mobile and 182 more toxic than is arsenate. Poor adsorption occurs when the redox potential of the soil is negative (Fitz and Wenzel 2002), and changes in the handling or conditions of soils 183 results in speciation changes of As (Carbonell-Barrachina et al. 2004). Highly reducing 184 conditions can cause As co-precipitation with iron-sulfurs, such as aresenopyrite, or the 185 formation of arsenic sulfides (AsS, As<sub>2</sub>S<sub>3</sub>). During the oxidation of pyrite, Fe is 186 oxidized from valence II to III, and arsenic is oxidized to arsenate. In contrast, under 187 reducing conditions, Fe and Mn oxides are dissolved, releasing arsenate that is rapidly 188 reduced to arsenite (Gräfe and Sparks 2006). 189

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#### 2.2.2 The Role of Fe, Al and Mn Oxides and Oxyhydroxides

Soils frequently retain important quantities of Fe, Al and Mn oxides and oxyhydroxides. The distribution of these solids in the soil depends on both the pH and Eh of the soils involved. Under reducing conditions, the structure of Fe and Mn hydroxides is broken, and arsenic that was fixed to the interior or to the surface of these compounds is released. The activity of arsenic in the soil solution is controlled by reactions of retention and release along the surfaces of Fe, Mn, and Al oxides and hydroxides (Livesey and Huang 1981; Fitz and Wenzel 2002; de Brouwere et al. 2004),

and soils with a large quantity of iron had a greater retention capacity of both arsenate 198 and arsenite (Manning and Goldberg 1997), arsenite being retained in lower quantities 199 200 than arsenate (Fitz and Wenzel 2002). As (V) has a high affinity for the surfaces of iron 201 oxides, where it forms inner-sphere complexes; however, As (V) can also be retained in 202 external-sphere complexes (Waychaunas et al. 1993; Cheng et al. 2008). The results of 203 several studies have shown that As(III) can be adsorbed and oxidized along the surfaces 204 of some Fe-oxyhydroxides, such as goethite and ferrihydrite, or those of Mn (such as birmesite; Lin and Puls 2000). In other studies, it was demonstrated that the adsorption 205 206 of As(V) on goethite, magnetite, and hematite is reduced when the pH is raised (Manning et al. 1997; Giménez et al. 2007). Giménez et al. (2007) found that hematite 207 208 had the largest sorption capacity, followed by goethite and then magnetite. Arsenate has 209 a high affinity for the surfaces of iron oxides, as phosphate; however, arsenate has a 210 lower affinity for aluminum oxides than phosphate (Adriano 2001). Under reducing conditions, when a large portion of the Fe and Mn oxides have been reduced, gibbsite 211 212 (which is more thermodynamically stable in anaerobic conditions) is able to absorb 213 some of the As released by other oxides (Mello et al. 2006). The adsorption of arsenic 214 onto oxides depends on the duration of the interaction between As and the oxide, the 215 release of arsenic being more difficult as the interaction time increases (Gräfe and Sparks 2006). 216

The addition of Fe to the soil in several forms immobilizes As. For example, additions of Fe oxides, iron-rich soils (those reddish in color), inorganic Fe salts or industrial byproducts, rich in Fe, together with CaCO<sub>3</sub>, have all been used to raise the quantity of soil oxides, which, in turn, immobilizes As (Hartley et al. 2004; Hartley and Lepp 2008; Vithanage et al. 2007).

#### 222 2.2.3 Concentration of P and other Elements in the Soil

223 The phosphate anion, the major species of P present in soils (Marschner 1995), is an analog to arsenate. The application of P to soil results in a release of retained As 224 225 (Fitz and Wenzel 2002; Cao et al. 2003). This release results from competition between 226 the retention of both anions. Such ions not only compete non-specifically for anionic 227 exchange positions, but they also compete in complexation reactions or in the retention by oxides. When exchange positions are involved, there are competitor ions that are less 228 efficient than phosphorus in displacing arsenic. Phosphate and arsenate are more 229 effectively retained than are other anions, such as  $Cl^{-}$  and  $NO_{3}^{-}$ , which are rapidly 230

mobilized. This could result from the fact that chlorides and nitrates are adsorbed with 231 little specificity along the colloidal surface, whereas phosphates and arsenates are 232 specifically adsorbed in soils components. Roy et al. (1986) were able to show that the 233 retention capacity of As(V) was lowered in the presence of phosphate ions. In a similar 234 235 study, the presence of anions other than phosphate had no effect on As(V) retention (Livesey and Huang 1981). Woolson (1973) demonstrated how the application of 236 phosphorous fertilizers in soils, contaminated by arsenic, mobilized up to 77% of the 237 total arsenic found in the soil, and increased the availability of arsenic. It has been 238 239 shown in numerous other studies that the application of P in soils causes an increase in the extractable fraction of As. This increase, however, is not necessarily reflected by a 240 241 greater absorption of As by plants, because arsenate and phosphate are competitors also for absorption by plants (Esteban et al. 2003). 242

243 In addition to phosphate, As interacts with other anions. Increasing ionic strength of a soil solution is therefore one method used to reduce the quantity of As 244 245 retained, and provoke competition between anions for exchange positions (Gräfe and Sparks 2006). The action of anions, other than phosphate, appears to be significant only 246 247 in the absence of phosphate. Therefore, Stachowicz et al. (2008) observed that, in the 248 absence of phosphate, carbonates moderately compete with arsenate for exchange positions, but when phosphorus is present, the effects of carbonates were not significant. 249 Alternatively, cations can alter the retention/mobilization of As in soils. Smith et al. 250 (2002) determined that the presence of  $Ca^{2+}$  and  $Na^{+}$  causes an increase in the retention 251 of As. Similarly, Stachowicz et al. (2008) described how  $Ca^{2+}$  and  $Mg^{2+}$  can induce the 252 adsorption of phosphate and arsenate in soils. 253

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# 2.2.4 The Effect of Clay Minerals

In general, the availability of arsenic is greater in sandy than in clay soils 255 256 (Adriano 2001), although the retention of As in clays is less efficient than with oxides (Gräfe and Sparks 2006). Again, As(III) adsorbs to clay minerals less intensely than 257 258 does As(V). There are many factors involved in the soil adsorption and desorption processes that affect As mobility. Among these factors is the structure of primary and 259 260 secondary minerals to which As comes into contact. Another factor is the duration of interaction that exists between the clays of a soil and arsenic; the adsorption of As(V) 261 and As(III) by clay minerals increases with time (Lin and Puls 2000). Zhang and Selim 262 (2008) suggest that isomorphic substitutions of Fe by Al in clays contribute to the 263

adsorption of As. The anionic character of arsenic suggests that the mechanism of clay 264 adsorption of this metalloid may be similar to that of P, through calcium-bridging 265 mechanisms (Fixen and Grove 1990). Frost and Griffin (1977) reported that 266 267 montmorillonite can adsorb more  $A_{S}(V)$  and  $A_{S}(III)$  than does kaolonite, and the 268 difference is derived from the increased surface charge of montmorollonite. Lin and Puls (2000) found that halosite and chlorite clays had a greater capacity to adsorb As(V) 269 270 than did other clay minerals, and that kaolonite and ilite/montmorollonite, adsorb As (V) to a moderate degree. Arsenic is initially adsorbed on the clay surface, but with 271 272 time, it is incorporated into the structure of the mineral. It was demonstrated that Californian soils having a greater percent of clay and appreciable quantities of Fe oxides 273 274 had a greater As retention capacity (Manning and Goldberg 1997).

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#### **2.2.5 Interactions with Organic Matter**

276 Organic matter is of a heterogeneous chemical nature, and constitutes a series of organic compounds of variable molecular weights that are differentially polymerized. 277 278 This soil fraction is dominated elementally by carbon, oxygen, hydrogen, nitrogen and phosphorus (in this order), and commonly has the following functional groups: 279 280 carboxyls, carbonyls, alcohols and amines (Stevenson 1982). The level of 281 polymerization of humic compounds and their molecular weights influence their solubility: as these compounds diminish in size, they have a greater proportion of 282 functional groups (organic, fulvic and humic acids), and display higher solubility. If 283 more highly polymerized, humic compounds have fewer functional groups, e.g., 284 humines, and will display lower water solubility. The effect organic matter has on trace 285 elements depends on the qualitative composition of the organic matter. An organic 286 fraction that has a large molecular weight will more effectively retain trace elements, 287 whereas a more soluble and lighter fraction tends to dissolve elements, either by 288 289 chelating (metals) or by displacing (anions) them. Depending on what the predominant 290 compound in the soil is, either of these effects will be observed in the soil.

291 How soil organic matter affects arsenic is inconsistent: in some studies, the 292 application of organic matter reduced the mobility of arsenic (Gadepalle et al. 2007), 293 whereas, in others As is released after the application of compost (or there is a higher correlation between soluble carbon and soluble arsenic in soils; Mench et al. 2003; 294 Clemente et al. 2008). Weng et al. (2009) have recently studied how fulvic and humic 295 acids in solution are able to reduce the capacity for arsenate retention in goethite 296

through electrostatic competition. Therefore, dissolved organic matter can compete with 297 arsenate and arsenite for soil retention positions (Bernal et al. 2009). Alternatively, 298 some humic acids may form humic-clay complexes that have the capacity to retain As 299 (Saada et al. 2003). Therefore, the relationship between soil arsenic and organic matter 300 301 is complex and depends on multiple factors that include: the ratio of soluble organic 302 carbon present, and the fractions of insoluble and stable humus, and the concentrations 303 of Fe, Al, and Mn present in the organic matter (Gräfe and Sparks 2006). One important consideration, when adding organic amendments to a soil, is that the bioavailable 304 fraction of As may be high (Beesley and Dickinson 2010), despite the fact that the total 305 concentration of As is usually  $<30 \text{ mg kg}^{-1}$  (Adriano 2001). 306

#### 307 2.2.6 Other Factors

308 Large differences in various soil parameters may exist during the year, and 309 hence, the availability of trace elements in soil may also be variable (Vanderlinden et al. 2006). These variations result from changes in soil physical properties (humidity, 310 311 aeration, porosity, temperature, etc.), chemical changes (pH, appearance of precipitates, E, etc.), and biological changes (microbial activity, vegetation cover, etc.). Depending 312 313 on the concentration of As present and the humidity regime in the soil, precipitates of 314 insoluble salts (e.g., Fe and Ca arsenates, or co-precipitates with jarosite, gypsum or calcite) can form (de Brouwere et al. 2004; Zhang and Selim 2008; Cheng et al. 2008; 315 Kreidie et al. 2011). Such precipitates are frequent in mining sites, where high 316 concentrations of As exist in the soil; if As concentrations are high and rainfall takes 317 place the risk that As will leach from soil will increase. The duration of the interaction 318 between arsenic contamination and the soil is another factor that must be considered, 319 since the bioavailability of As decreases as it ages in soil (favoring its retention in less 320 available fractions; Lombi et al. 1999). 321

# 322 **3 Arsenic in Plants**

#### 323 3.1 Absorption and Transport

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Different arsenic species simultaneously exist in soils (e.g., As(III), As(V),

MMA, DMA; Takamatsu et al. 1982). Where the inorganic form of As predominate, the arsenic is primarily taken up into plants via root absorption, in a process analogous to

327 how nutrients and other trace elements are absorbed.

328 **3.1.1 Changes to As Mobility** 

Plant roots use fairly efficient mechanisms to modify the solubility and 329 availability of mineral elements in the soil (Marschner 1995). Hence, a plant has a direct 330 influence over the biogeochemical conditions in the area of the root or rhizosphere 331 (Mengel and Kirkby 2001). For example, organic exudates, organic molecules of low 332 333 molecular weight, are able to mobilize nutrients that are available only in low quantities in the soil, thus rendering anions (phosphates) and cations (Fe, Cu) more available. 334 Alternatively, mechanisms by which plants immobilize toxic soil elements, as is the 335 case of aluminum, have also been described (Mariano and Keljten 2003). It is also 336 337 known that plants are capable of altering the pH of the rhizosphere (Marschner 1995), thanks to the release of organic acids that serve as soil solution buffers. Moreover, most 338 plants establish relationships with microorganisms (fungus and bacteria) at the root 339 340 level that also influence the biogeochemical cycle in the rhizosphere. If bacterial 341 activity in the rhizosphere is particularly high, methylation, reduction, or other forms of bacteria-based biochemical activity is favored (Renella et al. 2007). Little is known 342 343 about the mechanisms by which the availability of toxic soil elements are altered (Kidd et al. 2009), but it is known that the characteristics of the rhizosphere intrinsically 344 345 depend on the plant species involved.

346 Although little information exists on the mechanisms by which plants mobilize or immobilize As (Fitz and Wenzel 2002), the analogy drawn between phosphate and 347 arsenate allows us to establish certain parallels between the rhizospheric dynamics of P 348 349 and As. Many plant species have an active mechanism for pumping organic acids from roots into the rhizosphere, rendering P more mobile (Smith and Read 1997). The 350 mobilization of P induced by organic acids has been attributed to root-influenced pH 351 changes (Raghothama 1999). These organic acids have a low molecular weight 352 (carboxylic acids such as citric and malic acids), and are able to displace phosphate 353 354 from positions of retention within the soil; they then act to chelate metals that immobilize P, and form metal-chelate complexes with P (Fitz and Wenzel 2002). The 355 356 soil solubilization of P and its absorption by plants has additionally been attributed to the secretion of flavonoids from roots (Tomasi et al. 2008). Because arsenate and 357 phosphate are chemically analogous, all of these processes are likely to mobilize As; for 358 example, organic acids are capable of displacing arsenate from exchange positions in 359 soils (Redman et al. 2002; Wenzel 2009). Additionally, plant strategies to attack oxides-360

361 hydroxides of Fe will also alter the surfaces on which As is retained, and this can
362 potentially solubilize As (Fitz and Wenzel 2002).

Mycorrhizae are associations between plant roots and fungi, wherein the fungi 363 364 are able to colonize roots, either intra- or extra-cellularly (depending on the type of 365 mycorrhiza formed). Hence, mycorrhizae play an important role in the mineral nutrition and absorption of elements by plants (Marschner 1995; Azcón-Aguilar and Barea 1997; 366 367 Fitz and Wenzel 2002). Mycorrhizal interactions are produced in approximately 80% of angiosperms and in all gymnosperms (Fitz and Wenzel 2006), and in many cases 368 369 provide plants with increased resistance to biotic and abiotic stresses (Latch 1993; 370 Schutzendubel and Polle 2002). Phosphorus is particularly important: many 371 mycorrhizae play a fundamental role in P nutrition in the plant (Mengel and Kirkby 372 2001), and act to increase, by 2-3 times, the amount of P accumulated in plants (Fitz and 373 Wenzel 2006). Therefore, the study of mycorrhizae is relevant to an understanding of 374 how As/P interact in plants. As absorption is reduced in the presence of mycorrhizae in 375 several plant species, including Pteris vittata, lentils, alfalfa, tomatoes, sunflowers and corn (Trotta et al. 2006; Ahmed et al. 2006; Chen et al. 2007; Liu et al. 2005; Ultra et al. 376 377 2007a; Yu et al. 2009). Corn mycorrhizae were able to significantly reduce the amount 378 of arsenate, but not arsenite, absorbed by roots (Yu et al. 2009). In this same 379 experiment, a reduction in arsenate reductase activity also occurred in the root from a fungal infection. Some authors attributed the lower concentrations of As in the plants 380 infected with mycorrhizae to a blockage of absorption (Yu et al. 2009), whereas others 381 382 attributed it to a dilution effect observed from the increase in overall plant mass (Chen 383 et al. 2007).

384 **3.1.2 Absorption of Arsenic** 

Root absorption of elements first occurs by diffusion from the soil solution within the root apoplast, followed by the symplast, effecting penetration to the interior of plant cells (Menguel and Kirkby 2001). At the cellular membrane, ions enter via transport proteins that are often specific for one or several elements of similar chemical characteristics. Aquaporins and phosphate transporters both are involved in the transmembrane transport and absorption of arsenic.

Aquaporins are water channels; however, other non-charged molecules, like
arsenious acid, also enter through them. Various authors have addressed aquaporins in
relation to the absorption of As(III) (Isayenkov and Maathuis 2008; Ma et al. 2008).

Interestingly, it has been experimentally determined that As(III) and Si both share the

- same methods of entrance into and transport in rice (Ma et al. 2008). Hence, there is a
- 396 group of aquaporins (NIPs) that play a fundamental role in the absorption of non
- 397 charged molecules, such as glycerol, ammonia, and the boric, arsenious and silic acids

398 (Zhao et al. 2009).

Physiological studies in plants have illustrated the important role that phosphate
carriers play in the absorption of arsenate, and the interaction that occurs between both
P and As(V) at the root uptake level (Meharg and Macnair 1992; Esteban et al. 2003).
The phosphate/arsenate mechanism of absorption involves the co-transport of the anion
with protons, in a stoichiometry of 2H<sup>+</sup> for each anion (Zhao et al. 2009).

404 Organic forms of As are absorbed less effectively than are inorganic As forms
405 (Marín et al. 1992; Raab et al. 2007; Zhao et al. 2009), and Si transporters may also be
406 involved (Li et al. 2009a).

407 **3.1.3 Accumulation and Transport** 

408 Once inside the cell, arsenate is reduced to arsenite, which consumes reduced glutathione: AsO<sub>4</sub><sup>-3</sup> + 2 GSH  $\rightarrow$  AsO<sub>3</sub><sup>-3</sup> + GSSG, a reaction that is catalyzed by arsenate 409 410 reductase (Verbruggen et al. 2009). It has therefore been shown that the majority of 411 arsenic that exists in plant tissue is present as arsenite (Tripanthi et al. 2007), regardless 412 of what was in the solution at the time of plant growth. Arsenite has a high affinity for SH groups and tends to be complexed and stored in vacuoles, although it can also be 413 414 transported via the xylem to other plant tissues. Movement through the xylem is 415 controlled by the flow of the transpiration stream, but is also influenced by membrane transport proteins. Recently, two transporters (Lsi1 and Lsi2) were described between 416 plant endodermis and exodermis, and these mediate the entrance of arsenite into the 417 xylem or its efflux to the external medium (Zhao et al. 2009). These Lsi transporters are 418 419 principally involved in Si nutrition (Ma et al. 2008).

The transport of As, in most plant species, is generally not very effective and, hence, As tends to remain in roots. An exception exists for those plants that are unusually effective at accumulating As in aerial plant parts. Accumulation of arsenite in the vacuole may be one reason for why As transport into the xylem is reduced (Zhao et al. 2009). Xylem transport of As has been intensely studied over the past years, giving interesting, although somewhat contradictory results. In many plant species, the reduction of As(V) in roots appears to be a key factor that results in blocking the xylem

transport of As. Since phosphate is an anion that is completely mobile within plants, one 427 428 would expect  $A_{s}(V)$  to act similarly (given the analogy between both anions), but this is 429 not the case in any plant species. The influence of reduced As to block its transport was 430 tested in plants (Arabidopsis thaliana) mutated to silence arsenate reductase. These plants demonstrated a ratio of [As]<sub>Aerial tissue</sub>: [As]<sub>Root</sub> that was 25 times greater than the 431 wild type (Dhankher et al. 2006). These authors suggest that this change results from a 432 greater proportion of As(V) being available for transport through the xylem in roots, 433 presumably through the same pathways that handles phosphate. 434

435 The majority of As that does not accumulate in aerial tissue exists as As(III) (Pickering et al. 2000; Dhankher et al. 2002; Castillo-Michel et al. 2007; Xu et al. 436 437 2007). The reducing process in roots may constitute a physiological mechanism by which plants limit the flow of As into aerial tissues, thus protecting them from the 438 439 effects of this metalloid. Additionally, the majority of As in the root is found as complexes (Vázquez et al. 2005), and there is a negative correlation between the percent 440 441 of As complexed by -SH groups in roots vs. the amounts translocated to aerial tissue (Huang et al. 2008). In this respect, Raab et al. (2005) proposed that complexed As is 442 443 not transported through the xylem. In hyper-accumulator plants, some authors have 444 utilized synchrotron and liquid chromatography, coupled to ICP-MS techniques, to 445 determine how As is transported from roots to aerial tissues as As(V), and how As is later stored in leaves as As(III) (Zhao et al. 2003; Pickering et al. 2006; Hokura et al. 446 447 2006; Tripathi et al. 2007). Duan et al. (2005), however, reported that arsenate reductase 448 has greater activity in the roots of *P. vittata*, postulating that As transported through the xylem in this fern is primarily in the form of arsenite. Notwithstanding, in both plants 449 that accumulate arsenic and in those that do not, it appears that once inside the 450 451 cytoplasm, As is stored in vacuoles, thus avoiding interference with normal cellular 452 function (Verbruggen et al. 2009). Other trace elements are generally stored in tissues that are less metabolically active, like epidermic (Vázquez et al. 1992), or senescing 453 454 tissues (Ernst et al. 2000).

Baker (1981) established a classification that grouped plants into three categories, based on how much element they accumulated in shoots. The three classes are called excluders, indicators and accumulators, and these terms will be used henceforth below. Some species are able to hyper-accumulate As: *Pteris vittata* (up to 22,000 mg As kg<sup>-1</sup>), *Jasione montana* (6640), *Calluna vulgaris* (4130), *Agrostis tenuis* 

460 and stolonifera (10000), Pityrogramma calomelanos, Mimosa púdica, Melastoma malabratrhicum (8350) (Wang and Mulligan 2006). Except for these particular plants, 461 most plants accumulate arsenic in their roots. This, however, does not exclude the fact 462 463 that many plants are adapted to grow in soils that have high As concentrations, without 464 accumulating it. Among such plants are the tolerant excluder ecotypes. The concentration of arsenic in non-accumulator plants rarely exceeds 2 mg As kg<sup>-1</sup> in aerial 465 parts (Horswell and Speir 2006). From an agricultural perspective, areas flooded with 466 waters rich in As may pose a problem, because these crops may contain sufficient As 467 468 levels to render them dangerous for human consumption (Bhattacharya et al. 2007). In Fig. 2, links between physiological traits in plants and As-phytoaccumulation strategies 469 470 are presented.

471 3.2 Arsenic Toxicity in Plants

472 When present within plant cells, As has various negative physiological effects, many of which have recently been the subject of intense study. Arsenic has no known 473 474 biological function, although positive effects have been described at low concentrations of arsenate. The reason behind these positive effects has yet to be determined. Although 475 476 these effects may be attributed to As itself, they may also result from an increase in the 477 absorption of P when As concentrations remain below toxic thresholds (Carbonell-478 Barrachina et al. 1998). Arsenite is generally more toxic than arsenate, partially because 479 of its greater solubility and mobility. The sensing and signaling of an excess of As in plants and the complex biochemical changes it induces are still unknown, although they 480 have been extensively studied in the recent years (Verbruggen et al. 2009). When the 481 toxicity of trace elements is studied in plants, herbaceous plants and/or crops are 482 generally the target species used. Woody species are uncommonly used in As plant 483 toxicity testing, despite the fact that they are favored when attempts are made to 484 485 revegetate degraded soils.

The toxicity of organoarsenics has been scarcely studied in plants; however, they
appear to block mersistematic tissues, and also affect protein synthesis (Horswell and
Speir 2006).

489 **3.2.1 Visual Effects** 

Impairment of plant development is one of the observed symptoms from arsenic
toxicity. Arsenate and arsenite both reduce the growth of plant species, such as *Holcus lanatus*, *Lupinus albus* and *Triticum aestivum*, when grown under hydroponic

- 493 conditions (Hartley-Whitaker et al. 2001; Vázquez et al. 2005; Geng et al. 2006).
- 494 Among the symptoms induced by As exposure in plants are reduced root elongation,
- 495 loss of root branching, chlorosis in leaves, and shrinking or necrosis in aerial plant parts
- 496 (Carbonell-Barrachina et al. 1998).

#### 497 3.2.2 Oxidative Stress

Elements that have several oxidation states often serve as good reaction catalysts 498 in which oxidation-reduction is involved (As, Cu, Hg, etc.). In aqueous solutions of near 499 neutral pH, such as in the cytoplasm, radicals can produce H<sub>2</sub>O<sub>2</sub>, which later produce 500 501 hydroxyl radical. All reactive oxygen species (ROS) can directly damage biomolecules and cause peroxidation of membrane lipids. Arsenic can also induce oxidative stress in 502 503 plant cells, a fact reflected as an increase in the concentration of malondialdehyde (MDA) in vegetable tissues; MDA is a metabolic product of the peroxidation of lipids 504 505 in biological membranes. The stimulation of oxidative stress, associated with the 506 accumulation of arsenic in plants, has been shown to occur in both cultivated plants, and 507 in wild bushes (Hartley-Whitaker et al. 2001; Mascher et al. 2002; Moreno-Jiménez et al. 2008, 2009a). Arsenic also modifies the gene expression involved in cellular 508 509 homeostasis for redox perturbation (Requejo and Tena 2005) and activates some 510 antioxidant enzymes (Srivastava et al. 2005).

511 **3.2.3 Nutritional Disorders** 

Plant mineral nutrition is affected in a non-specific manner by many toxic 512 elements. Effects result either from an alteration in transport processes of the cellular 513 membrane, or effects on transpiration. The most notable effect produced by As results 514 515 from the similarities that exist between arsenate and phosphate. Addition of arsenate causes a decrease in the levels of P in plants, because the entry of both ions is mediated 516 through the same membrane transporter (Meharg and Macnair 1992; Vázquez et al. 517 518 2005). Among other changes to nutritional patterns caused by the presence of As in the environment in which plants are grown, is a decrease in the concentrations of Mn, Fe, 519

- 520 Cu, N, Zn and Mg (Mascher et al. 2002; Vázquez et al. 2008b).
- 521 **3.2.4 Photosynthetic Inhibition**

Arsenic causes chlorosis in plant leaves because of the induced decrease in chlorophyll levels (Mascher et al. 2002). There may be other reasons for As-induced chlorosis (such as iron deficiency), but the primary cause is directly attributed to the inhibition of pigment synthesis (Jain and Gadre 1997). The effects produced result from limited availability of δ-aminolevulinic acid (a precursor to chlorophyll) and from alterations in proteins rich in thiol groups.

528

#### 3.2.5 Metabolic and Genetic Alterations

529 Theoretically, arsenate can substitute for phosphate in some metabolic processes. 530 Within the cytoplasm, arsenate competes with phosphate in phosphorylation reactions, such as the synthesis of ATP. Herein, ADP-As is formed, altering the energetics of the 531 cell cycle because of its instability (Verbruggen et al. 2009). Arsenite demonstrates a 532 high affinity for -SH groups of biomolecules (enzymes and proteins), inhibiting their 533 534 function (Meharg and Hartley-Whitaker 2002). As(III) and (AsV) are mutagenic 535 compounds that can alter the genome (Lin et al. 2008). For this reason, the presence of 536 repair biomolecules in the chromatin may be able to increase a plant's tolerance to 537 arsenic (Verbruggen et al. 2009).

# 538 3.3 Mechanisms of Arsenic Resistance in Plants

There is a difference between plant resistance and plant tolerance to toxic 539 540 elements. Resistance to toxic elements is generally defined by a plant's capacity to support an excess of a toxic element present in the environment. By comparison, 541 542 tolerance is the exceptional capacity of a plant to survive in a soil that is toxic to other 543 plants, demonstrated by the interaction between genotype-environment (Hall 2002). Ernst et al. (2008) have established another classification, based on the 544 tolerance/sensitivity a plant has to trace elements: (i) hypotolerant, sensitive, or 545 hypersensitive plants are those with genetically modified phenotypes that are extremely 546 547 vulnerable to one or many metals or metalloids; (ii) basal tolerant --would be equivalent to resistance, meaning genetic resistance of one species (also called non-metallic 548 populations or populations with constitutive tolerance); (iii) hypertolerants are 549 550 populations that show a low sensitivity to one or more elements due to adaptive 551 mechanisms (also called metalophytes). Plants resist the effects of toxicity by using several biological mechanisms, all of which are known to be detoxification 552 553 mechanisms. There is still insufficient research information available to explain what 554 cellular mechanisms plants use to detect the presence of As. Moreover, what signals are 555 triggered after As is detected and detoxification mechanisms initiated is also not known, 556 other than those cellular signals derived from the tissue damage produced (Verbruggen 557 et al. 2009).

#### 558 **3.3.1 Mycorrhization**

Mycorrhizae are able to modify tolerance patterns in host plants (Sharples et al. 559 560 2000; González-Chávez et al. 2002; Leung et al. 2006). In regard to the effects that mycorrhizae cause in their hosts, plants can be categorized as follows: (i) plants that 561 562 respond positively to mycorrhization, with increases in biomass and improvements in 563 mineral nutrition (AM-r plants), and (ii) plants in which mycorrhization has no effect (n-AM-r plants). Smith et al. (2010) discussed how mycorrhization effectively 564 565 alleviated the toxic effects of As on AM-r plants, whereas literature references on those same effects on n-AM-r plants are variable. In wild rye, for example, some plants 566 567 infected with mycorrhizae (n-AM-r) showed no improved tolerance to As (Knudson et 568 al. 2003), while in other cases, many plants infected with mycorrhizae did show 569 tolerance improvement. When tolerance was improved in plants infected with 570 mycorrhizae, there were several different reasons for the improvement. Xu et al. (2009), 571 for example, attributed improvement to greater absorption of P and a reduced concentration of As in *Medicago truncatula* plants, while Yu et al. (2009) explained this 572 573 same effect as an inhibition in the absorption and speciation of As in plants infected with mycorrhizae. 574

#### 575 **3.3.2 Immobilization Within the Rhizosphere**

576 It has been shown that, in flooded soils, iron plaques are formed in areas 577 surrounding the roots. These plaques are able to retain high concentrations of As, and act as the first filter at the root level. This mechanism is especially efficient in rice 578 579 paddies or where plants grown in liquid media (Hansel et al. 2002; Liu et al. 2004, 2006). In the rhizosphere of aerated soils, redox reactions favor the formation of iron 580 plaques that retain As in the areas directly surrounding roots. Fe, retained in the 581 apoplastic area surrounding roots of plants grown in well aerated soils, could act in a 582 similar manner as that of flooded soils (Doucleff and Terry 2002). 583

3.3.3 Exclusion 584

Exclusion reduces the entrance of As via changes in the mechanisms of root 585 586 absorption. Tolerant populations of the plant *Holcus lanatus* lack the high affinity uptake system for phosphate absorption (Meharg and Macnair 1992). For this reason, 587 588 these plants had reduced absorption of both phosphate and arsenate, and were better adapted to environments having high concentrations of As (Meharg and Hartley-589 Whitaker 2002). Arabidopsis thaliana plants, in the presence of As(V), slowed gene 590 expression associated with phosphate absorption; simultaneously, the plant induced 591

transcriptional gene expression that was stimulated by As, suggesting that there are
distinct transcriptional pathways that regulate the deficiency of P and that the responses
to As are interconnected (Catarecha et al. 2007).

#### 595 **3.3.4 Active Efflux**

596 Arsenic can be pumped out of plant cells (Xu et al. 2007), mainly in the form of arsenite. Although this mechanism has yet to be clearly established, the status of the 597 598 information that exists on the subject was recently summarized by Zhao et al. (2009). Active efflux of arsenite has been observed to occur in various plant species grown 599 600 under hydroponic conditions: wheat, barley, corn, tomato, Holcus lanatus and Arabidospsis thaliana. Physiological evidence indicates that the expulsion process is 601 602 active and depends on a proton gradient created by cellular metabolism. It has yet to be 603 demonstrated that increased expulsion correlates directly with improved plant tolerance 604 within a given plant population; however, this relationship has been demonstrated in microorganisms (Bhattacharjee and Rosen 2007). Studies performed in soil have 605 606 provided evidence that arsenite accumulates in areas directly surrounding the roots of sunflower and corn (Ultra et al. 2007a,b; Vetterlein et al. 2007). It is estimated that up 607 608 to 50-80% of the As absorbed by roots may be secreted via active efflux in non-609 accumulating plants (Verbruggen et al. 2009). In contrast, it has been demonstrated that 610 hyper-accumulating plants do not show As efflux to the environment via roots (Zhao et 611 al. 2009).

#### 612 **3.3.5** Complexation

Plants take advantage of the high affinity arsenite has for -SH groups to 613 614 deactivate the toxic effects of As. Therefore, there are many ligands in the cytosol for which trace elements have a high affinity. In certain plant species, As(III) complexes 615 exist that have different biomolecules rich in thiol groups such as glutathione and 616 617 phytochelatines (Meharg and Hartley-Whitaker 2002). For example, it has been shown that the presence of some trace elements, such as Ag, As, Cd, Cu, Hg, Se or Pb in 618 619 plants, provoke the synthesis of phytochelatines. Phytochelatines (PCs) are small 620 peptides, rich in cysteine, that have the general structure (y-Glu-Cys)n-Gly, where n-2-621 11. PCs are bound to trace elements via thiolate bonds that are synthesized from glutathione (GSH) and catalyzed by the enzyme PCsynthase. PCs are able to effectively 622 complex inorganic arsenic that accumulates in plants, and GS<sub>3</sub>-As(III) complexes have 623 been identified to exist in certain plants (Pickering et al. 2000). Many plant species have 624

responded to the presence of As in the environment, such as lupin, *Holcus lanatus*, 625 626 sunflower or Silene vulgaris (Sneller et al. 2000; Hartley-Whitaker et al. 2001; Vázquez et al. 2005; Raab et al. 2005; Aldrich et al. 2007; Moreno-Jiménez et al. 2008, 2009a). 627 628 Arabidopsis plants that have a greater capacity to synthesize PCs were more tolerant 629 than were the wild types (Dhanker et al. 2002); moreover, resistant clones of *H. lanatus* showed a greater production of PCs in response to As than sensitive plants (Hartley-630 631 Whitaker et al. 2001). The foregoing points indicate that PCs, and probably GSH play a role in the detoxification of As. However, this mechanism requires great metabolic 632 633 effort and, in some instances, greater tolerance has not been correlated to greater levels of phytochelatines or thiols. Therefore, in hyper-accumulating plants, this detoxification 634 635 method appears to be limited in comparison to the high levels of As that accumulate 636 (Zhao et al. 2003). And, there is some evidence that the proportion of As complexed by 637 SH in the root is negatively correlated with the translocation of As to the aerial portion 638 of the plant (Huang et al. 2008).

639

#### **3.3.6** Compartmentalization

640 Once arsenite is complexed, it is most probably stored in the vacuoles of root 641 cells, which reduces its mobility in the cytoplasm and its translocation through the 642 xylem. It is believed, however, that vacuolar compartmentalization, and thereby, 643 detoxification of As, is important in all plant organs (Pickering et al. 2006). Once inside the vacuole, where the pH is approximately 8, the rupture of the complex could take 644 place, and the ligand could then be used to complex more arsenite. Although there is no 645 experimental data to show that the entrance of PC-As or GSH-As through the tonoplast 646 takes place under in vivo conditions (Tripanthi et al. 2007), it is known to occur under 647 in vitro conditions (Dhanker et al. 2006). The entrance of such arsenite forms that are 648 649 complexed to thiol groups is most likely facilitated via ABC-(ATP binding cassette 650 superfamily) type transporters (Verbruggen et al. 2009).

Some authors have suggested that As is retained in cell walls (Doucleff and
Terry 2002; Vázquez et al. 2007), although this has not clearly been established. Cell
wall retention has been demonstrated to be an effective detoxification mechanism for
other trace elements, such as Cd and Hg (Zornoza et al. 2002; Moreno-Jiménez et al.
2007).

656

#### 657 4 Practical Applications for Mitigating Arsenic's Effects

#### 658 4.1 Phytoremediation

Phytoremediation is the use of plants to remediate organic or inorganic 659 contaminates of soils and water (Salt et al. 1995). Chaney et al. (1997) defined soil 660 phytoremediation as the use of plants, amendments, or agricultural techniques to 661 662 eliminate, retain or reduce the toxicity of soil contaminants. From the onset, phytoremediation was seen as a competitive technology for recuperating soils. It has 663 664 promised and has produced positive results, which makes it attractive to both the commercial and scientific communities (Peuke and Rennenberg 2005). The benefits that 665 666 phytoremediation has shown over competitive techniques (physico-chemical sitecleaning methods) are as follows: (i) it is inexpensive, (ii) it is less invasive, and (iii) it 667 668 is well received both environmentally and socially. Phytoremediation has been 669 successfully used to clean arsenic-contaminated soils and water.

#### 670 4.1.1 Phytoextraction

Phytoextraction is the technique plants use to accumulate significant quantities 671 672 of a contaminant in their tissues, and these plants can later be harvested or collected for appropriate disposal or management (McGrath and Zhao 2003). This technology has 673 674 been studied and applied to events connected with Cd, Zn, Pb, Ni and As 675 contamination. Except for Ni, which has economic value (the technique with Ni clean-676 up is called phyto-mining; Chaney et al. 2007), the other forms of contaminated plant 677 biomass pose a disposal problem (Robinson et al. 2006). In some cases, phytoextraction has been assisted by mobilizing agents, although the experiments have shown that one 678 must be careful to control leaching, because there is high risk that the contaminant could 679 disperse into water sources (Nowack et al. 2006). McGrath and Zhao (2003) estimated 680 that, if one plant produced 10 t of biomass ha<sup>-1</sup>, it would need to accumulate a 681 contaminant (soil/plant concentration ratio) by 20 fold to reduce it to 50% of the 682 683 original soil level, after 10 plant cycles. Phytoextraction of As (Fig. 3) has been tested using in-container studies that utilize the hyper-accumulating plant species Pteris 684 685 *vittata*, although other hyper-accumulating plants are available (Meharg 2005). A recent 686 and cogent review was published that was specific to As accumulation in P. vittata (Xie et al. 2009). 687

*P. vittata* has root-level transporters that have high affinity and capacity for As
absorption (Poyton et al. 2004). In addition, this species shows a limited root
complexing of As (Zhao et al. 2003), and elevated concentrations of As in sap (Su et al.

691 2008). Together, these characteristics trigger an extraordinary level of translocation of As towards the aerial portion of the plant (Tu and Ma 2002). Although As is usually not 692 693 that bioavailable from soils, the rhizosphere of *P. vitatta* appears to be uniquely capable 694 of mobilizing As, even from soil fractions that are barely available (Fitz et al. 2003). A 695 reduction in redox potential, and an increase in the amount of organic carbon released in 696 the rhizosphere of ferns may be what enhances As uptake by the plant. Moreover, 697 following phytoextraction with this plant, the available As fraction was reduced. Unfortunately, the distribution and ecological niche of *Pteris vittata* is restricted, which 698 699 confines its application to specific zones. In addition, there is the problem of having to dispose of the harvested (contaminated) plant tissue. Recently, two reviews have been 700 701 authored, in which the necessity of improving the genetics of phytoextracting plants through molecular plant biology was described (Tripathi et al. 2007; Zhu and Rosen 702 2009). 703

It has recently been determined that the As fraction retained in labile form is 704 705 recharged at a slow rate, which impedes phytoextraction (Cattani et al. 2009). With respect to assisted phytoextraction of As, results have revealed that As absorption by 706 707 plants increased after application of phosphate (Tassi et al. 2004) or biodegradable 708 chelating agents such as HIDS (Hydroxyiminodisuccinic Acid) and EDDS 709 (Ethylenediamine-N,N'-disuccinic Acid) (Azizur-Rahman et al. 2009). In this case, it is necessary to carefully evaluate the consequence of diffuse contamination, when As 710 becomes more soil mobile (Peñalosa et al. 2007). 711

712 4.1.2 Phytostabilization

713 Phytostabilization is the mixed use of plants and agricultural practices to reduce 714 mobilization and transfer of contaminants (Chaney et al. 1997). When plants are present 715 in the soil, contaminants are stabilized, making them less susceptible to erosion and 716 wind dispersion. Plants accumulate contaminants in the root, which further impedes their transfer and mobility. When this occurs, auto-sustainable plant species that have a 717 718 prolonged life cycle and are adapted to such environmental conditions are especially 719 interesting. The concept of natural attenuation consists of an ecosystem's and soil's 720 capacity to auto-regulate and react slowly to chemical attacks, which can potentially reduce the risks associated with the presence of the contaminating element (Adriano et 721 al. 2004). Assisted natural attenuation refers to the use of techniques such as replanting 722 or the application of amendments to accelerate the process of natural attenuation 723

(Madejón et al. 2006). For As, it has been demonstrated that both natural attenuation as
well as phytostabilization could be useful field techniques (Vázquez et al. 2006;

726

Madejón and Lepp 2007; Domínguez et al. 2008; Moreno-Jiménez et al. 2010a, 2011b).

- 727 The authors of some studies, however, have described plants that have little 728 influence on the available As fraction, particularly when As exists at high soil 729 concentrations (King et al. 2008). Phytostabilization is aided when organic or inorganic 730 amendments are used; these materials improve soil properties by retaining metalloids or preventing their solubilization (de la Fuente et al. 2009). Kumpiene et al. (2008) 731 732 reviewed different amendments that could immobilize As in soils. They concluded that the amendments could be both useful and effective in managing arsenic contamination. 733 734 Among useful inorganic amendments are those rich in iron (red sludge, rolling mill scale, etc.), fly ash, clays or liming materials (Kumpiene et al. 2008; de la Fuente et al. 735 736 2010), whereas organic amendments capable of immobilizing As are those that have high stability of the humus (de la Fuente et al. 2009). In either case, it is necessary to 737 738 monitor contaminants over time to assure that the phytostabilization process has been a 739 success (Vangronsveld et al. 2009).
- Recently, phyto-attenuation has been described as the process by which a plant
  extracts the most available fraction of an element from the soil, which can later be
  harvested in such a way that it reduces the risks associated with inorganic soil
  contamination (Meers et al. 2010). Phytostabilization of arsenic in roots has been
  confirmed as a useful remediation technique, because there is low risk of As being
  remobilized, even when the roots themselves are mineralized (Vázquez et al. 2008c;
  Moreno-Jiménez et al. 2009b).
- 747 **4.1.3 Phytofiltration**

The presence of As in water presents one of the greatest of environmental risks 748 749 to human health; As presents both a direct risk through consumption, as well as through indirect risk from contaminated irrigation waters. Phytofiltration is a technique that uses 750 751 plants/roots to decontaminate water (Raskin et al. 1997). Aquatic, semi-aquatic, and terrestrial plants, and plant biomass (Haque et al. 2007) have all been used to eliminate 752 753 or reduce arsenic contaminated water. Ideally, plants used in phytofiltration must be effective in capturing the contaminant from water, and must also grow rapidly. Plants 754 that are not adapted to grow in anoxic conditions or those that are unable to grow 755 rapidly in such conditions, may require the water in which they grow to be artificially 756

757 aerated. Blastofiltration is the use of plant seedlings instead of plants. Seedling use increases the surface-area ratio of contact/volume of water, allowing better contaminant 758 759 absorption in plant material (Raskin et al. 1997). Anawar et al. (2008) recently reviewed 760 the use of phytofiltration in water, concluding that this method could be an effective 761 alternative. Two strategies to deal with As contamination by this method have been 762 studied: (i) employing plants that need a support structure and (ii) using species of 763 plants that float on water. The first strategy relies on P. vittata to eliminate As from solutions by growing the plants under hydroponic conditions in contaminated water 764 (Malik et al. 2009). Plants can purify solutions that retain up to 200  $\mu$ g L<sup>-1</sup> of As, and 765 can lower the concentration present to less than 10  $\mu$ g L<sup>-1</sup>, in only 24 hours (Huang et al. 766 2004). In other experiments, design parameters were optimized to achieve maximal As 767 uptake by stabilizing the pH below 5.2 (Tu and Ma 2003). In the second strategy, plants 768 769 of the genus Lemna and the macrophyte Spirodela polyrhiza were able to reduce the 770 concentration of As in contaminated waters (Azizur-Rahman et al. 2007; Sasmaz and 771 Obek 2009).

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### 772 4.2 Reducing Arsenic Transfer to Edible Plant Tissues

The main sources of arsenic exposure to humans are through consuming
contaminated water (Hurtado-Jiménez and Gardea-Torresdey 2006) or food (Meharg et
al. 2008). In some countries, there is a high risk of exposure to arsenic from eating
contaminated foods (Meharg et al. 2009).

#### 777 4.2.1 Presence of Arsenic in Rice

Rice is the principal source of human nutrition in much of the world. It is 778 779 commonly grown in naturally or artificially flooded soils. When rice is grown under 780 conditions of poor aeration, arsenic is primarily found as As(III), which is highly 781 mobile. In this form, As is easily absorbed by rice plants, whose capacity to accumulate As is greater than most other crops, such as wheat or barley (Williams et al. 2007). As is 782 783 stored in rice tissues at increasing concentrations in the following parts: external iron plaque>root>straw>husk>grain (Liu et al. 2006). Therefore, formation of an external 784 iron plaque inhibits, in part, the accumulation of As in the rice plant (Liu et al. 2006). 785

Regardless of order, the concentrations of As that appear in edible portions of
rice are very high, especially considering the quantities of rice eaten by humans.
Meharg et al. (2009) have shown that eating rice is the main source of As exposure in
many countries, and increases risks associated with chronic arsenic exposure. Liao et al.

790 (2010) described how consuming contaminated rice is associated with skin disease in children. The average concentrations of As in rice are between 0.05 and 0.95 mg kg<sup>-1</sup>, 791 although some samples exceed 1 mg As kg<sup>-1</sup> (Williams et al. 2005). It has been 792 estimated that the quantity of arsenic consumed per person, per day, in some countries, 793 may be up to 0.9 mg As day<sup>-1</sup> (Butcher 2009). According to the WHO, this intake 794 795 amount approaches the maximum tolerable limit for daily ingestion of As (Williams et 796 al. 2005).

Experiments in recent years have shown that the development of agricultural and 797 798 genetic techniques may help control or reduce such risks (Tripathi et al. 2007; Zhao et al. 2009). One approach to risk reduction involves selecting varieties of rice that 799 800 accumulate less As in their edible parts (Williams et al. 2005). Alternatively, the same 801 end may be achieved by using genetic techniques (Tripathi et al. 2007). Lemont, 802 Azucena and Te-qing are rice cultivars that apparently accumulate less As in their seeds (Norton et al. 2009). Genetic modification studies are also being conducted to evaluate 803 804 methods by which As accumulation in edible tissues can be reduced, or As volatilization increased from edible tissues (Tripathi et al. 2007). 805

806 Work to mitigate As levels in crops through changes in agricultural practices 807 have also been attempted (Fig.3). Using As-contaminated water for crop irrigation has 808 increased As uptake from soils into plants for decades (Williams et al. 2006; Khan et al. 2009). Using clean irrigation water, or purifying the water before use in irrigation would 809 810 obviously reduce food levels of arsenic. Improving aeration of soils would help immobilize any As present, and would reduce its plant availability (Xu et al. 2008). 811 812 As(III) is absorbed through plant roots via water channels that also are involved in the absorption of boron and silicon (Ma et al. 2008). Competition between these elements 813 and arsenite may therefore exist, which would explain why rice fertilized with Si had 814 815 lower concentrations of As (Guo et al. 2009; Li et al. 2009b). Finally, consumers can reduce their As exposure risk by boiling rice in abundant water (Raab et al. 2009), or by 816 817 substituting rice with other grains, such as wheat or corn, if possible (Signes-Pastor et 818 al. 2009).

819

#### **4.2.2 Selecting Crops for Low As Levels**

Reducing the oral intake of As in humans by reducing the amounts consumed in 820 crops would greatly improve food safety. Some crops accumulate higher levels of As 821 than others do. Since As is generally retained in plant roots (Moreno-Jiménez et al. 822

2008; Zhang et al. 2009), tubers and edible roots may accumulate As, and it would be
most wise to closely evaluate these where they are heavily consumed. Potatoes plants
(Moyano et al. 2009) and other tubers grown in As -contaminated soils, such as radishes
(Warren et al. 2003), carrots, garlic and onions (Huang et al. 2006; Zhao et al. 2009),
have shown significant levels of As. In contrast to crops showing high As levels, de la
Fuente et al. (2010) reported only low or moderate levels of As in potatoes, carrots and
sugar beets.

Other plant organs are also subjected to As accumulation. Huang et al. (2006) 830 831 described the following pattern of As concentrations in the edible parts of the following 832 edible crops: celery>mustard>spinach>lettuce>taro>bokchoi >cowpea>cauliflower 833 >eggplant. De la Fuente et al. (2010) reported the following pattern in several crops: red 834 cabbage~curly endive>barley~wheat~sugar beet~leek>cabbage~green pepper. Zhao et 835 al. (2009) found As concentrations to be high in lettuce, eggplant, and green onions, whereas, Gulz et al. (2005) found As concentrations in sunflower and rape to be greater 836 837 than those of corn. Warren et al. (2003) reported concentrations of As to be greater in lettuce and broccoli than in spinach, but above all, these authors found the greatest 838 839 concentrations of As to occur in radishes. The percentage of inorganic As in edible 840 crops was reported to be high, although the concentration found posed no serious risk for human consumption (de la Fuente et al. 2010). Gulz et al. (2005) advised against 841 using sunflower and rape seeds to produce cooking oil, because As levels in these crops 842 surpassed the maximum levels permitted in Switzerland of 0.2 mg As kg<sup>-1</sup>. 843

# 844 4.2.3 Using Soil Amendments and Mycorrhizae

Applying inorganic amendments can affect the mode of uptake and level of 845 available As in soils. Particularly effective are amendments rich in Fe, because iron 846 oxides effectively retain As (Mench et al. 2003; Warren et al. 2003; Hartley and Lepp 847 848 2008; de la Fuente et al. 2010). Fertilization with P also alters the absorption of As, because phosphate displaces arsenate in the soil and mobilizes it. Gunes et al. (2008) 849 850 reported an increase in As accumulation when P was applied; however, the application 851 of phosphorus-based fertilizers reduced the As plant levels (Khattak et al. 1991; Pigna et al. 2009). 852

Raising the soil pH increases As plant bioavailability (Smith et al. 1999; Fitz and Wenzel 2002), although some studies indicate that application of  $CaCO_3$  to acidic soils reduced As availability (Simón et al. 2005).

The application of organic amendments increases the soil mobility of, and 856 therefore, As plant uptake (Mench et al. 2003; Hartley and Lepp 2008; Renella et al. 857 858 2007; Clemente et al. 2010), but some studies show that available As is stabilized with the application of organic matter to soil (Gadepalle et al. 2007). The fact that organic 859 860 matter is both stable and is highly polymerized may help to explain such contradictory results. Combining Fe-enriched amendments with organic materials should be a future 861 focus of research, since these amendments provide a good environment for plant 862 863 growth, and reduce or

prevent the transfer of harmful As or other metal concentrations to edible planttissues.

866 Ninety percent of higher plants interact with mycorrhizae (González-Chávez et 867 al. 2002; Leung et al. 2006; Chen et al. 2007) and mycorrhizae appear to affect the soil 868 behavior of As. Existing data suggest that plants infected with mycorrhizae have a greater ratio of P/As in their tissues, and this bestows greater As tolerance on them 869 870 (Smith et al. 2010). In some studies, the reduction of As in plants that were infected by mycorrhizae was attributed to two effects: (i) a slower rate of root absorption of As (Yu 871 872 et al. 2009), and (ii) a dilution effect from accentuated plant growth (Smith et al. 2010). 873 Further research is needed to find improved plant-microorganism combinations that 874 minimize As accumulation in plant tissues destined for human consumption.

#### 4.3 Alternatives: Using Contaminated Crops for Non-food Purposes

876 An alternative to consuming As-contaminated food, is to use As-contaminated biomass for non-food purposes (Vangronsveld et al. 2009). Such biomass could be used 877 in energy production or as primary material inputs for industrial products (Thewys 878 2008; Dickinson et al. 2009; Pandey et al. 2009; Mench et al. 2010). In either case, the 879 use of phytotechnologies is viable for managing contaminated soils and as means to 880 881 return them to economic profitability (Thewys and Koppens 2008). The examples in 882 which such alternative uses were actually put into practice are few, but, when used, the 883 results have proven satisfactory; notwithstanding, improvements to these techniques are 884 required (Thewys and Koppens 2008). Several experiments were conducted in 885 contaminated soils using plant species such as Salix spp., corn, sunflower, tobacco, poplar, Brassica ssp., Pteris vittata, etc. (Vangronsveld et al. 2009). Energy from such 886 biomass could be in the form of biogas generation, direct incineration, pyrolysis, 887 biomass gasification, fermentation into biofuels, etc. (Mench et al. 2010). Degraded 888

889 sites can be managed to produce energy and at the same time reduce the environmental 890 risk associated with arsenic. For this purpose, contaminated soils may be used to amend 891 wastes (compost, biochar, or iron oxides), thus recycling the materials. Both renewable 892 energy production and human waste recycling are key factors for the future global 893 environmental agenda (Dickinson et al. 2009). Crops grown in contaminated soils could 894 also be used to produce other non-food goods. Examples are: cosmetics, industrial 895 products, essential oils, paper, cardboard, wood, plant fibers, etc. (Mench et al. 2010). Regardless of the end use to which contaminated plant biomass is put, avoiding 896 897 unacceptable environmental impact is crucial, particularly when arsenic or other 898 contaminants are present in plant biomass.

899

#### 900 5 Summary

901 Arsenic is a natural trace element found in the environment. In some cases and places, human activities have increased the soil concentration of As to levels that exceed 902 903 hazard thresholds. Amongst the main contributing sources of As contamination of soil and water are the following: geologic origin, pyritic mining, agriculture and coal 904 905 burning. Arsenic speciation in soils occurs and is relatively complex. Soils contain both 906 organic and inorganic arsenic species. Inorganic As species include arsenite and 907 arsenate, which are the most abundant forms found in the environment. The majority of As in aerated soils exists as  $H_2AsO_4^-$  (acid soils) or  $HAsO_4^{2-}$  (neutral and basic). 908 909 However, H<sub>3</sub>AsO<sub>3</sub> is the predominant species in anaerobic soils, where arsenic 910 availability is higher and As(III) is more weakly retained in the soil matrix than is 911 As(V). The availability of As in soils is usually driven by multiple factors. Among these factors is the presence of Fe-oxides, and/or phosphorus, (co)precipitation in salts, pH, 912 organic matter, clay content, rainfall amount, etc. The available and most labile As 913 914 fraction can potentially be taken up by plant roots, although the concentration of this fraction is usually low. 915

Arsenic has no known biological function in plants. Once inside root cells,
As(V) is quickly reduced to As(III), and, in many plant species, becomes complexed.
Phosphorus nutrition influences As(V) uptake and toxicity in plants, whilst silicon has
similar influences on As(III). Plants cope with As contamination in their tissues by
possessing detoxification mechanisms. Such mechanisms include complexation, and
compartmentalization. However, once these mechanisms are saturated, symptoms of

- 922 phytotoxicity appear. Phytotoxic effects commonly observed from As exposure includes
- 923 growth inhibition, chlorophyll degradation, nutrient depletion and oxidative stress.
- 924 Plants vary in their ability to accumulate and tolerate As (from tolerant
- 925 hyperaccumulators to sensitive excluders), and some plants are useful for soil
- 926 reclamation and in sustainable agriculture.

The status of current scientific knowledge allows us to manage As 927 contamination in the soil-plant system and to mitigate arsenic's effects. 928 Phytoremediation is an emerging technology suitable for reclaiming As-contaminated 929 930 soils and waters. Phytoextraction has been used to clean As-contaminated soils, although its applicability has not yet reached maturity. Phytostabilization has been 931 932 employed to reduce environmental risk by confining As as an inert form in soils, and 933 has shown success in both laboratory experiments and in field trials. Phytofiltration has 934 been used to treat As-enriched waters. Such treatment removes As when it is 935 accumulated in plants grown in or on water. In agricultural food production, appropriate 936 soil management and plant variety/species selection can minimize As-associated human diseases and the transfer of As within the food chain. Selecting suitable plants for use 937 938 on As contaminated soils may also enhance alternative land use, such as for energy or 939 raw material production.

- 940
- 941 Keywords: arsenate, arsenite, phytoremediation, phytotoxicity, crops, environmental942 risk

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1553	Fig. 1 Soil-As interactions and strategies to manage As availability and mobility in soils		
1554 1555	* denotes liming and organic matter application, which may cause either As fixation or release depending on the case		
1556			
1557 1558 1559	<b>Fig. 2</b> Pant's traits and physiological mechanisms recognized to be associated with contrasting patterns of As phytoaccumulation: accumulator plants (left) vs. exclusory plants (right). (Blank boxes denote lack of information)		
1560			
1561	Fig. 3 Optimizing arsenic management in soil-plant systems. (The size of circles and of		
1562	"As" symbols represent the concentration magnitude of the metalloid. The		
1563	discontinuous polygons represent the flux of As, with the broadest, being the most		
1564	intense flux. The white discontinuous squares represent the endpoint of the biomass. In		
1565	grain, As in organic form ensures the lower ecotoxicologial risk)		







PLANT ACCUMULATION	PROCESSES	PLANT EXCLUSION
	Phloem transport	Low phloem As concentration and poor uptake by grains
[ In shoots	Vacuole storage	In roots
Free As III or V are highly translocated	Xylem transport	Low xylem As concentration, in As-SH complex forms
Low rates of complexation	Complexation	High rates of complexation and accumulation in roots
Very low	Root efflux	Intense
High numbers of membrane transporters, with high affinity for As Low levels of Si or P in the growing media	Uptake	Transporters having higher affinity for P or Si than for As High levels of Si or P in the growing media
As-mobilizing populations	Microbial activity in the rhizosphere	As-immobilizing populations

