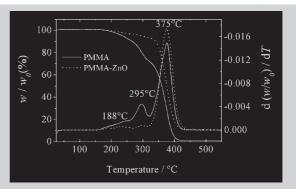
Summary: Dispersing surface-modified zinc oxide nanoparticles (ZnO) in methyl methacrylate (MMA) improves the free radical bulk polymerization process as well as the thermal stability of the formed polymer. Hydroxy groups available on the ZnO surface may induce a degenerative transfer. This suppresses the gel effect, which leads to a better control of the heat evolution during the late stages of polymerization. The formation of chains having vinylidene end groups and head-to-head links is suppressed, which shifts the onset of thermal decomposition to the regime where decomposition occurs by random chain scission.



Thermal degradation profiles of PMMA and its composite with ZnO at 11 wt.-%.

PMMA/Zinc Oxide Nanocomposites Prepared by In-Situ Bulk Polymerization^a

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Keywords: composites; free radical polymerization; gel effect; nanoparticles; poly(methyl methacrylate); thermal degradation

Introduction

Dispersing nanometer-scale inorganic particles in a polymer matrix offers the potential of creating new materials, the properties of which arise from the synergies between the components. The crucial issue in preparing high quality composites is the uniform dispersion of the inorganics in the organic matrix. While it is notoriously difficult to achieve a homogeneous distribution of nanometer-scale particles in highly viscous polymer melts, and aggregation of the particles cannot be avoided, dispersion in a suitable monomer followed by polymerization in situ is an attractive alternative route. The is tacitly assumed that inorganic particles are not interfering with the mechanism of free radical polymerization; however, it will be shown in the

following that this is not true by taking the bulk

PMMA is an optically clear amorphous thermoplastic. It is widely used as a substitute for inorganic glass, because it shows higher impact strength and undergoes ductile rather than brittle fracture. It has favourable processing conditions, and a wide range of additives have been shown to further improve its properties. [5] Zinc oxide (ZnO, 'zincite') is an environmentally friendly material. It is a colorless wide bandgap semiconductor with an optical bandgap in the UV region that makes it useful as an efficient absorber of UV radiation. It has a refractive index of 2.02 at

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polymerization of methyl methacrylate (MMA) in the presence of nanometer-scaled ZnO as an example. In fact, it will be shown that degenerative chain transfer induced at the ZnO surface leads to a better control of chain growth, suppression of the gel effect, and improved thermal properties of bulk polymerized poly(methyl methacrylate) (PMMA). To the best of our knowledge, this is the first report of such kind demonstrating the potential semiconductor nanoparticles (here ZnO) as controlling agents in free radical polymerization.

^a Supporting information for this article is available at the bottom of the article's abstract page, which can be accessed from the journal's homepage at http://www.mrc-journal.de, or from the author.

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 $\lambda = 589 \text{ nm},^{[6]}$ a thermal conductivity of 1.16 W·m⁻¹·K⁻¹ at 50 °C, [7] and an electrical conductivity of 0.02 S · cm⁻¹, [8] all of these values being considerably higher than those of PMMA, 1.49, [9] $0.19 \text{ W} \cdot \text{m}^{-1} \cdot \text{K}^{-1}$, [9] and $10^{-16} \text{ S} \cdot \text{cm}^{-1}$, [10] respectively, at the given conditions. The combination of these two materials should have many potential applications such as antireflection coatings, UV protecting films, transparent barrier/protective layers, and as flame-retardant materials. While composites of polyacrylate with SiO₂ and TiO₂ have found considerable attention, the polyacrylate/ZnO pair has been rarely studied. Hung and Whang [11] have reported on the luminescence of ZnO/poly(hydroxyethyl methacrylate) films where the ZnO particles had been produced by a sol-gel method and had been treated with a silane coupling agent before the polymerization was achieved in situ. Khrenov et al. [12] produced surface-modified ZnO particles by employing a miniemulsion precipitation procedure and incorporated them into a PMMA matrix by blending. Liufu et al. [13] investigated the thermal degradation of polyacrylate/ZnO blends and proposed that the ZnO particles have both a role in stabilization and destabilization depending on the temperature region.

PMMA obtained by the free radical polymerization of MMA undergoes thermal degradation in three distinct steps. [14,15] The first step at around 188 °C and the second step at around 295 °C are associated with degradation starting from head-to-head linkages and unsaturated chain ends followed by retropolymerization ('unzipping'). These two structural defects arise from the termination reaction in free radical polymerization by either combination or disproportionation. The major contribution to thermal decomposition arises from random chain scission which occurs with a maximum rate at around 375 °C. It will be shown that the presence of semiconductor particles like ZnO during polymerization has a profound effect on the thermal degradation. ZnO particles induce a chain stoppage reaction to take place at the filler surface. As a result of this chain stoppage, PMMA synthesized in the presence of ZnO contains less abnormal linkages, which inevitably form when the polymerization is done without filler because of conventional termination mechanisms. In this work, PMMA/ ZnO composites are prepared by bulk polymerization in the presence of nanosized semiconductor particles using 2,2'-azoisobutyronitrile (AIBN) as initiator. Effects of the inorganic particles on the polymerization, molecular weight distribution (MWD), and the thermal degradation of the composite material are investigated. The ZnO particles are of narrow size distribution centered at 25 nm with a cut-off at around 80 nm when dispersed into the monomer. The particles are hydrophobized by treatment with tert-butylphosphonic acid (tBuPO₃H₂), which is necessary to prevent undesired aggregation and to increase the wettability by the monomer and polymer.

Experimental Part

Materials

Zinc acetate dihydrate (Zn(AcO)₂·2H₂O, reagent ACS), pentan-1-ol (99%), 2-methoxyethyl ether (99%), and tertbutylphosphonic acid (98%, tBuPO₃H₂) were provided by ACROS and used without purification. Tetrabutylammonium acetate (TBAc, >90%) was purchased from Fluka. p-Toluene sulfonic acid monohydrate (99%) was obtained from Merck. Methyl methacrylate (MMA, Acros, stabilized with 10-20 ppm hydroquinone mono methyl ether) was distilled under reduced pressure. AIBN (Fluka) was recrystallized from methanol

Particle Synthesis and Surface Modification

ZnO nanoparticles were prepared via transesterification of zinc acetate dihydrate with pentan-1-ol at 130 °C. For a typical synthesis, 0.6 mmol of p-toluene sulfonic acid monohydrate was dissolved in a mixture of 2-methoxyethyl ether (8.5 mL) and pentan-1-ol (8.5 mL). Zn(AcO)₂ · 2H₂O (2.3 mmol) was added to the solution. At 30 min of reaction time, i.e., after the desired ZnO nanosized crystals had formed, a mixture of tBuPO₃H₂ (0.8 mmol) and TBAc (1.6 mmol) in a 3 mL equivolume mixture of pentan-1-ol and 2-methoxyethyl ether were added. The reaction was allowed to proceed for a further 30 min. The particles protected at the surface by tBuPO₃H₂ were isolated by centrifugation at 4 000 rpm and washed with ethanol. Particle yield was $31 \pm 4\%$.

Polymerization

Different amounts of the surface-modified ZnO nanoparticles and MMA (1 mL or 2.5 mL) were placed into glass tubes sealed with septa. The weight fraction (w) of ZnO was adjusted with respect to MMA. The dispersions were sonicated for 15 min and kept overnight in the dispersed state to achieve wetting of the particle surface. After a second sonication of 30 min, AIBN (1.5 wt.-%) was added and three cycles of a freeze-thaw process were applied prior to polymerization. The tubes were placed into a preheated bath at 60 °C, the polymerization was performed under an argon atmosphere and it was stopped after the desired time by quenching to room temperature.

Characterization

¹H NMR spectra were obtained using a Bruker DPX 250 spectrometer. Samples were taken directly from the polymerization vessel without removing the particles from the mixture of monomer/polymer. Molecular weight distributions (MWDs) were determined by size exclusion chromatography (SEC) calibrated with PMMA standards using tetrahydrofuran (THF) as eluent at a flow rate of $1 \text{ mL} \cdot \text{min}^{-1}$. The polymers prepared without the presence of ZnO (reference samples) were directly analyzed by SEC after the residual monomer had been distilled off under vacuum. The samples obtained in the presence of ZnO were first diluted with acetone, and the nanoparticles were removed by centrifugation at 4000 rpm. The remaining polymer solution was poured into an excess of methanol whereupon the polymer precipitated. The precipitate

was collected by centrifugation, and was then subjected to SEC analysis. Differential scanning calorimetry (DSC, Mettler Toledo TG5) and thermogravimetry (TGA, SDTA 851 Mettler Toledo) were carried out with a heating/cooling rate of 10 K · min⁻¹ under nitrogen. The derivative thermogravimety (DTG) results were obtained by taking the temperature derivative of mass loss, $d(w/w_0)/dT$ at a constant heating rate where w is the sample weight and w_0 is the initial sample weight. The size of the particles was determined by dynamic light scattering (DLS) using a Malvern Zetasizer 3000. X-Ray diffractograms were registered in a Seifert XRD 3000 TT diffractometer using a Cu K_{α} radiation source (λ = 0.15418 nm). Transmission electron microscopy (TEM) was carried out with Zeiss 912 Omega working at a voltage of 120 kV. Diffuse reflection infrared fourier transform (DRIFT) spectra were obtained from the powders using a Nicolet 730 spectrometer in the range of 4 000–400 cm⁻¹.

Results and Discussion

Particle Synthesis and Characterization

The X-ray diffraction pattern of the as-prepared ZnO powder has sharp reflections as expected for hexagonal crystalline ZnO (JCPDS card no: 36-1451). The surfactant tBuPO₃H₂ is added to the reaction medium in which the ZnO crystals are formed and is allowed to cover the particle surface in situ without isolating them. Vibrational spectroscopy confirms the interaction of tBuPO₃H₂ with the surface of the ZnO particles. DRIFT spectra of tBuPO₃H₂modified ZnO particles are dominated by the vibrational bands of the metal-coordinated phosphonic acid molecules (see Supporting Information). The stretching bands of P-OH are observed at 1 030 and 920 cm⁻¹, together with a narrow band at 670 cm⁻¹. The formation of such surface species has already been proposed by Cozzoli et al. for the surface modification of ZnO nanocrystals with tBu-PO₃H₂.^[16] The broad P=O stretching of *t*BuPO₃H₂ itself at 1 200 cm⁻¹ is not present because this band disappears when tBuPO3H2 is adsorbed to the surface of metal oxides.[17] The absence of P=O and presence of P-OH stretching bands can be considered evidence for the successful anchoring of surfactant molecules to the ZnO surface via mainly bidentate and tridentate bonding modes. The graft density of the surfactant is calculated from the weight loss by thermogravimetry, and the surface area of ZnO particles is estimated from their known diameter. The graft density is found to be 2.5 µmol·m⁻² which corresponds to 50% coverage by phosphonic acid species. The area occupied by each surfactant molecule is equal to ca. 24 Å².^[17]

Polymerization: Conversion and MWD against Time

The polymerization of MMA is conducted at 60 °C in bulk with AIBN as the initiator with the ZnO nanosized particles

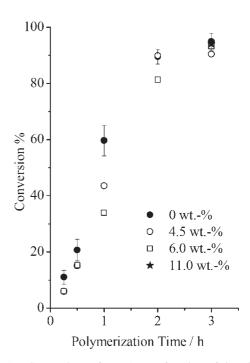
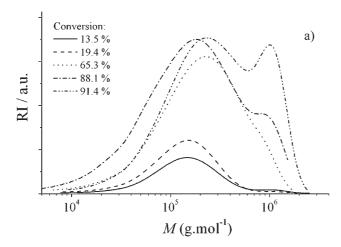


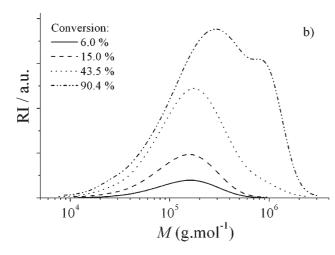
Figure 1. Conversions of MMA as a function of time in bulk polymerization at different weight fractions of ZnO nanoparticles at $60 \,^{\circ}$ C, AIBN (1.5 wt.-%) as initiator.

being present at weight fractions up to 11%. The dispersion of the particles in the monomer is homogeneous, as indicated by the high translucency in the visible region.

Conversions are determined by ¹H NMR spectroscopy from the integration of the monomer signal (vinyl proton at 5.6 ppm) with respect to polymers (α-CH₃ protons appear between 0.5 and 0.9 ppm for different tacticities). [18] Figure 1 shows conversion versus polymerization time for different weight fractions of particles; 0, 4.5, and 6.0 wt.-%. In the very early stages of polymerization, the conversion vs. time curves have the same slope for all cases. However, the reference sample (MMA without particles) shows a distinct increase of slope between 20 and 60% conversion as a result of an autoacceleration phenomenon, even if the initiator, AIBN, has a half-time of only 16 min at 60 °C. [19] This noticeable increase in polymerization rate is known as the gel or Trommsdorff effect. Its origin is to be found in the increase of viscosity at even moderate polymer concentrations. [20] Since termination is diffusion controlled, the rate of termination significantly decreases, and the free radical concentration increases, which causes a dramatic increase in the rate of polymerization. As the polymerization proceeds, a further increase of viscosity 'freezes' the reaction mixture and the rate coefficient of propagation drops. The polymerization slows down and levels off at 95% conversion after 3 h. Contrary to this sigmoid conversion vs. time curve for the reference sample, the presence of the ZnO particles suppresses the Trommsdorff effect. However, a further classical indicator of the gel effect, namely strong

broadening of the MWD on the high-molecular-weight side, ^[21] is detected for all samples containing ZnO particles. In fact, without ZnO, the MWD is systematically bimodal as shown in Figure 2a (see also Supporting





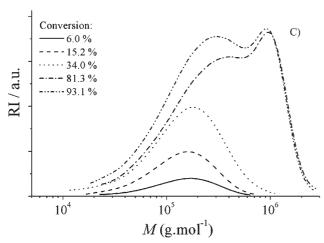


Figure 2. Molecular weight distributions normalized for conversion of three different weight fractions of ZnO nanoparticles: a) 0, b) 4.5, and c) 6 wt.-%.

Information). The greater the presence of ZnO, the more the appearance of the very high molecular weight peak in the MWD is delayed (Figure 2b and c).

Size of the ZnO Particles in the Monomer and Polymer

Diameter distributions of ZnO particles both in the monomer and as well as in the polymer (after the in situ bulk polymerization) are examined by DLS and TEM, respectively. The distribution of the particles in the polymer is obtained from TEM electromicrographs. Results of the measurements are presented in Figure 3 for two ZnO weight fractions, 6.0 and 11.0 wt.-%. Surface-modified particles dispersed in the monomer exhibit a narrow size distribution with a mean diameter of 25 nm and a short tail extended to 80 nm. DLS data provide the number size distribution of the primary particles. Consequently, they exhibit good dispersibility in the neat monomer without undergoing aggregation, even when the weight fraction is increased to 11 wt.-%. On the contrary, after the polymerization, larger aggregates of primary ZnO particles are detected in the TEM images of the polymer/particle composites, Figure 4. The aggregates, presented in the inset of Figure 4a, consist of loosely associated individual particles 25 nm in diameter, which is consistent with the size measured by DLS. Clearly, polymerization induces a segregation of the primary particles to form domains within the bulk polymer, while increasing the particle content does not cause aggregation/segregation in the monomer as can be seen from the DLS distributions shown in Figure 3.

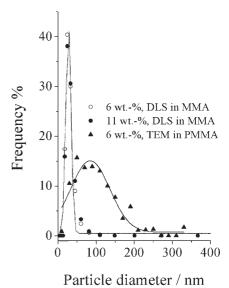


Figure 3. Diameter distributions of ZnO particles in MMA for 6 and 11 wt.-% and the distribution of aggregates in PMMA for 6 wt.-%.

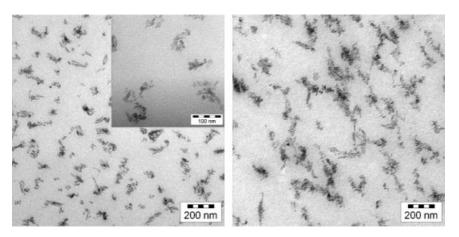


Figure 4. Bright field TEM images of thin sections of composites prepared by in-situ bulk polymerization with a) 6 wt.-% and b) 11 wt.-% of ZnO particles.

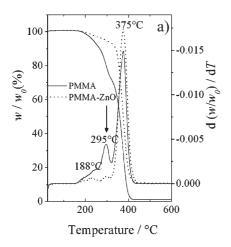
Thermal Stability

The thermal degradation profiles of PMMA and of a PMMA/ZnO ($w_{ZnO} = 11 \text{ wt.-}\%$) composite under nitrogen atmosphere are given in Figure 5a. The left and right axes refer to mass loss and the derivative of mass loss, respectively. The DTG of PMMA shows two minor steps at 188 and 295 °C and a major one at 375 °C. The thermogram agrees with the earlier published data. [14,15] The first two peaks are attributed to thermal degradation initiated from the head-to-head linkages arising from chain termination by combination and vinylidene chain ends that result from disproportionation. The mass loss associated with the second peak is higher than the one connected to the first peak because, at 60 °C, 79% of the terminations in the MMA free radical polymerization occur by disproportionation. [22] The major peak refers to degradation initiated by random scission of the polymer backbone. In contrast to PMMA prepared in the absence of ZnO, the DTG curve of the PMMA/ZnO composite shows only one sharp peak at 375 °C, which is indicative of degradation resulting from the random scission of the backbone. Peaks that originate from the degradation started at the head-to-head linkages and vinylidene chain ends have practically disappeared, and the onset of degradation is retarded from 170 to 340 °C in the polymer prepared in the presence of ZnO. The disappearance of these peaks occurs gradually with increasing particle weight fraction but is very significant even at only 4.5 wt.-% of ZnO (see Supporting Information). The weight loss for samples subject to heating to a temperature of 318 °C is 27% for PMMA prepared in absence of ZnO, whereas for the composites having 4.5 and 11 wt.-% of ZnO, the losses are 8.5 and 6.3%, respectively. The strong reduction of the peak at 295 °C in the DTG of the composites shows that the formation of vinylidene end groups is significantly suppressed when the polymerization proceeds in the presence of ZnO nanosized particles.

Mechanism of the Polymerization

The results prove that in the presence of ZnO the rate of polymerization, the molecular weight distribution, and the microstructure of the polymer are changed. These effects are consistent with the literature dealing with free radical photopolymerization of MMA in the presence of ZnO colloids. ^[23] Bourgeat-Lami ^[24] reviewed the effect of inorganics, for example clay and CaSO₃, on the kinetics of multi-phase polymerization of vinyl monomers. The author indicated that the studies reported so far are highly controversial and pointed out that the nature of the particle surface is the key parameter to understanding the in-situ polymerization.

Taking into account the reactivity of a free radical and the presence of nanometer-scale semiconductor particles, an oxidation-reduction reaction between the radical and the particles might be expected. In fact, the transfer of electrons from radicals to quantum sized (Q) ZnO nanoparticles was shown by Haase et al. [25] Electrons were injected from radiolytically generated CH₂OH radicals and accumulated at the particle surface upon UV illumination. Later, upon photopolymerizing MMA using the same Q-sized ZnO particles as photoinitiator, Hoffman et al. [23] suggested that the electrons situated on the particle surface initiate the polymerization via electron transfer in the first step, while the propagation eventually takes place by a free radical mechanism. In the present situation, i.e., the polymerization of a mixture of MMA and ZnO without illumination and with particles larger than Q-sized, a direct reaction between MMA and ZnO does not take place but rather AIBN is necessary to initiate the reaction. However, in our case, ZnO reacts with the propagating free radicals. First, the initiating radicals might be trapped at the surface to decrease the initiator efficiency as well as the free radical concentration. This hypothesis is not supported by experimental evidence, since the rate of polymerization and the MWDs



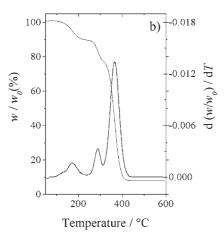


Figure 5. Thermal decomposition of a) (—) PMMA and (\cdots) a PMMA/ZnO composite ($w_{ZnO} = 11 \text{ wt.-}\%$) prepared by bulk polymerization over 3 h under nitrogen flow, b) a blend of preformed PMMA and ZnO ($w_{ZnO} = 8.0 \text{ wt.-}\%$).

are identical at low conversion with or without ZnO. Second, ZnO could terminate some free radicals either by disproportionation or by combination. The combination mechanism should lead to chemical grafting of PMMA onto ZnO. The size of the ZnO particles before and after the polymerization (after washing the particles with acetone to remove physisorbed chains on the particle surface) is the same (ca. 25 nm), which proves that grafting does not take place. The disproportionation mechanism implies the formation of a vinylidene end group as a consequence of β -hydrogen abstraction from the terminal radical by reaction with the ZnO surface. The presence of vinylidene end groups is disproved by the TGA results. Third, it is to be assumed that the surface of metal oxides is covered with a layer of hydroxy groups [26] which may be reactive in terms of transfer reactions. If the ZnO behaves as a classical transfer agent, the MWD will shift towards lower molecular weights. However, this is not observed at all. In fact, if an H atom is abstracted from the hydroxy groups presented by the ZnO surface, it is unlikely that the radicals formed at the ZnO surface would reinitiate instantaneously, which is the condition required for a classical chain transfer. Thus, it seems that a degenerative transfer takes place. An H atom is transferred from the -OH group on the ZnO surface to the propagating radical. The surface-bound radical cannot directly initiate the growth of new polymer chains nor can it combine with polymeric free radicals for this would lead to grafting, which is not observed. However, the TGA proves that the conventional termination events are greatly disfavored, while the rate of polymerization remains of the order of magnitude of the control experiment (without ZnO). If the radicals created on the ZnO surface are too stable to further react and play a role in the polymerization, ZnO would act as an inhibitor and inhibition would lower the rate of polymerization within one polymerization. The rate of polymerization is observed to be constant within one experiment in the presence of ZnO, thus discarding the possibility of inhibition. There are two consequences: i) most of the chain stoppage may occur by the degenerative chain transfer to the ZnO surface, ii) the radicals created on the ZnO surface may induce a slow reinitiation, not directly reacting with the monomer, but with other species present, for example, the water physiosorbed on the ZnO surface (the presence of which is proven by vibrational spectroscopy). In this case, hydroxyl radicals could be released from the surface and play the role of initiators.

The mechanism of the polymerization may thus contain two competitive chain stoppage events: conventional termination and degenerative transfer to ZnO. At low conversion, the rate of termination is faster and the influence of the presence of ZnO is very weak. At intermediate conversion, the increase in viscosity leads to a significant decrease of the coefficient of termination, and so the degenerative transfer to ZnO becomes faster than termination and becomes the predominant chain stoppage event.

The autoacceleration that is macroscopically observed is related to very strong local heat evolution which produces a second population in the high-molecular-weight region of the MWD. The presence of ZnO limits both the macroscopic autoacceleration and the local heat evolution (compare the high-molecular-weight peaks of Figure 2). It may be worth mentioning that the addition of neat $tBuPO_3H_2$ to the polymerization medium had no effect of all.

Properties of the Materials

Rather than nanocomposite preparation via in-situ polymerization, blending of the same ZnO particles ($w_{ZnO} = 8 \text{ wt.-}\%$) with preformed PMMA is also examined. The

degradation path of this composite is shown in Figure 5b and is very similar to that of pure PMMA. The ZnO particles do not have a significant effect on the thermal stability of the composite prepared by blending. However, the composites prepared by in-situ polymerization exhibit superior thermal stability compared to that obtained from a simple blending. In other words, the preparation method has a direct influence on the thermal degradation of the composite materials. The glass transition temperature (T_g) of nanocomposites measured by DSC (see Supporting Information) is found to be positioned between 102 to 109 °C, very close to the T_g of atactic PMMA (105 °C). In other words, the presence of nanosized particles does not seem to have an influence on the glass transition, at least not in the range of loading up to 11 wt.-%. This is further evidence that there is no specific interaction between the particles and the polymer matrix. As for transparency of the PMMA/ZnO composites prepared by bulk polymerization, a detailed characterization is under way. At this time, a distinct cut-off of transmission at 370 nm towards a shorter wavelength as a result of the high absorption coefficient of ZnO has been seen. Transmission data of the nanocomposite films at 550 nm, at which the human eye has maximum sensitivity, [27] and at 350 nm are examined. While films of 2.0 µm thickness do not display a significant transmission loss in the visible region (91% on average), a strong filter effect is observed in the UV region as the ZnO content increases with an initial slope of -4.8 loss in transmission per weight percentage. At the employed film thickness and ZnO content, and since the scattering that results from large ZnO aggregates is avoided, the composite films are transparent and have strong UV-protection capability. Even thick samples of up to 1 mm thickness are highly translucent between the wavelengths of 400 and 800 nm.

Conclusion

The bulk polymerization of MMA in the presence of up to 11 wt.-% of nanosized ZnO (zincite) particles gives access to composite materials, which show an improved resistance to thermal degradation in comparison to blends of PMMA with the same ZnO particles. The presence of the ZnO particles in bulk polymerization suppresses the Trommsdorff effect and leads to a better-defined MWD at high conversions

The origin of these effects is seen in the reactivity of the ZnO surface towards the propagating chain-end radicals of PMMA. A hypothesis is put forward that hydroxy groups, inevitably present at the ZnO particle surface, may act as the sites at which degenerative transfer by hydrogen abstraction may take place and that chain termination by combination and/or disproportionation is thereby competitively suppressed. A slow release of radical species that may also

involve surface-bound water or similar species from the ZnO surface may take place and contribute to the overall rate of reaction as well as to the observed MWD. It is important to note that surface grafting to the ZnO particles does not take place and that the particles can be retrieved from the blend unchanged by dissolving away the polymer. Thus, there is no specific physical interaction between the particles and the polymer in the bulk of the blend. This may be a consequence of the modification of the particle surface by tert-butylphosphonic acid leading to a ca. 50% monolayer coverage but leaving a sufficient number of free hydroxy groups available for interaction with the growing chain radicals. The surface modification by *tert*-butylphosphonic acid is necessary to achieve wetting of the particles by the monomer and polymer and to prevent undesired aggregation.

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