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Associations between age-related nuclear cataract and lutein and zeaxanthin in the diet and serum in the Carotenoids in Age-Related Eye Disease Study (CAREDS), an ancillary study of the Women's Health Initiative

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## Abstract

**Objective and Design:** Women aged 50-79 years in Iowa, Wisconsin, and Oregon with intakes of lutein and zeaxanthin (L+Z) above the 78<sup>th</sup> (high) and below the  $28^{th}$  (low) percentiles in the Women's Health Initiative Observational Study (1994-98) were recruited four to seven years later (2001-04) into the Carotenoids in Age-Related Eye Disease Study (CAREDS) to evaluate associations between nuclear cataract (determined from slit-lamp photographs) and L+Z in the diet and serum in 1994-98 and macula in 2001-04 (N=1,802).

**Results:** Women in the high dietary L+Z group had a 23% lower prevalence of nuclear cataract (age-adjusted odds ratio [OR]: 0.77, 95% confidence interval [CI]: 0.62-0.96) compared to those in the low group. Multivariable adjustment slightly attenuated the association (OR (95% CI): 0.81 (0.65-1.01)). Women in the highest vs. lowest quintile categories of diet or serum L+Z were 32% less likely to have nuclear cataract (multivariable-adjusted OR (95% CI): 0.68 (0.48-0.97), p trend=0.04 and 0.68 (0.47-0.98), p trend=0.01). Cross-sectional associations with macular pigment density were inverse but not statistically significant.

**Conclusion:** Diets rich in lutein and zeaxanthin are moderately associated with decreased prevalence of nuclear cataract in older women. However, other protective aspects of such diets may, in part, explain these relationships.

# INTRODUCTION

Age-related cataract is a common condition among older adults that has been gaining increased health policy importance. An estimated 20.5 million (17%) Americans older than 40 years have cataract in either eye, and 6.1 million (5%) have had cataracts extracted.<sup>1</sup> The number of

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Americans affected by cataract and undergoing cataract surgery is expected to increase dramatically over the next 20 years as the US population ages.<sup>1</sup> The large increase in cataract surgical procedures predicted for the US population as a whole is of significant health policy concern, as treatment for cataract accounts for approximately 60% of vision-related Medicare expenditures<sup>2</sup>. As more aging Americans need cataract surgery, there is concern about the ability of health care systems, particularly Medicare, to fund cataract surgeries.<sup>2</sup> Therefore, identifying modifiable risk factors is of critical importance to improving health of older Americans and to the economic stability of the health care system.

Nuclear cataract is the most common type of cataract among older Americans<sup>3</sup> and the most common type of cataract for which cataract surgery is performed <sup>4</sup>. It is more common in women than men and among Caucasians.<sup>1</sup> The pathogenesis of nuclear cataract is known to involve the accumulated stressors resulting from the inability to sufficiently defend against or repair the damage due to a variety of environmental stressors, including photochemical formation of free radicals. Accordingly, diets high in the antioxidant vitamins C and/or E have been inversely associated with nuclear cataract in many previous epidemiologic studies.<sup>5-11</sup> Lutein and zeaxanthin, the most abundant lens carotenoids, <sup>12</sup> scavenge superoxide and hydroxyl radicals<sup>13</sup>, protect against ultraviolet-B-induced lipid peroxidation in cultured lens epithelial cells, <sup>14</sup> and may further play a role in membrane stability. <sup>15</sup> Diets high in lutein plus zeaxanthin have also been inversely associated with nuclear cataract or cataract or cataract extraction in one recent study <sup>16</sup> and several previous studies (reviewed by Moeller et al<sup>17</sup> and Mares<sup>18</sup>).

The Carotenoids in Age-Related Eye Disease Study (CAREDS), an ancillary study of the Women's Health Initiative (WHI), was designed, in part, to evaluate the relationships of the carotenoids lutein and zeaxanthin to the prevalence of age-related nuclear cataract. This study provides data on these carotenoids in the diet, serum, and retina, as well as on numerous potential risk and protective factors. Women at high and low extremes of lutein plus zeaxanthin intake at WHI enrollment were recruited into CAREDS in order to maximize statistical power to evaluate these associations and associations with age-related macular degeneration (previously reported <sup>19</sup>). The a priori hypothesis was that women with high intakes of lutein plus zeaxanthin in 1994-1998 have a lower prevalence of age-related nuclear cataract in 2001-2004 compared to women with low intakes of these carotenoids. We also report odds ratios for nuclear cataract across the full range of intakes and serum levels in this sample and on whether these relationships are altered after accounting for other dietary, lifestyle, and health attributes that may be correlated with high intakes or serum levels of lutein plus zeaxanthin and nuclear cataract.

The uptake of carotenoids into the intestine and eye is widely known to be highly variable but conditions that predict levels in the eye are largely unknown.<sup>20</sup> Levels in the retina and lens may be reflected by the optical density of these carotenoids in the macula. Two previous studies have reported macular pigment optical density to be cross-sectionally related to lens optical density in 114 persons over age  $50^{21}$  and in 39 people over age  $55.^{22}$  The present study also reports cross-sectional associations of macular pigment optical density with nuclear cataract in the larger sample of women in CAREDS.

### METHODS

The Women's Health Initiative (WHI) Observational Study was a prospective cohort study of the most common causes of mortality and morbidity among 93,676 postmenopausal women 50 to 79 years of age at enrollment, at 40 sites around the United States. The original cohort was recruited to the WHI at each of these sites through regional mass-mailings and mass-media strategies, from among women ineligible for or uninterested in participation in the WHI

The CAREDS population consists of women who were enrolled in the observational study of the WHI at 3 of 40 sites: the University of Wisconsin (Madison), the University of Iowa (Iowa City), and the Kaiser Center for Health Research (Portland), who had intakes of lutein plus zeaxanthin that were above the 78<sup>th</sup> and below the 28<sup>th</sup> percentiles, as assessed at baseline enrollment into the WHI in 1994-1998 (Figure). This sampling strategy was used to maximize statistical power, as previously described. <sup>25</sup> Of the 3,143 women who fulfilled these criteria and formed the recruitment pool, 96 died or were lost to follow-up between sample selection in year 2000 and enrollment in the CAREDS in 2001-2004. Those remaining were sent letters inviting them to participate in the CAREDS. A total of 1,042 women declined participation and 2,005 were enrolled (64%). Of those enrolled, 1,894 participated in study visits.

Of those enrolled, one participant was later excluded because WHI found that her diet data was unreliable. We excluded 32 women reporting a history of trauma to both eyes, 1 woman reporting cataract extraction before the age of 40y, and 132 women with missing or ungradeable nuclear lens photographs. Thirty-seven participants were further excluded because of missing covariate data. Thus, 1,802 women comprised the analysis dataset for this investigation. All procedures conformed to the Declaration of Helsinki and were approved by the Institutional Review Board at each University.

To evaluate potential bias due to lack of participation in CAREDS, we compared characteristics of women in the recruitment dataset who did not enroll in CAREDS (N=1,138 declined, lost-to-follow-up, or died) to those who did (N=2,005). Women with high lutein intakes were more likely to join CAREDS than those with low intakes (69% vs. 60%, p<0.001). There was a slight but statistically non-significant (p=0.11) difference in the prevalence of self-reported cataract between the participants and non-participants (18% vs.21%).

We further compared women in the recruitment dataset who were included (N=1,802) in the final analysis dataset with those who were not (N=1,341). Women included in the final analysis dataset had, at WHI baseline, higher age-adjusted mean intakes of lutein plus zeaxanthin (1.8 mg/d vs. 1.6mg/d) and lower intakes of total fat (31% kcal vs. 33% kcal), saturated fat (10.8% vs. 11.2%), and polyunsaturated fat (6.4% vs. 6.7%); they were younger (median age 63 years vs. 65 years), had more formal education (78% with post high school education vs. 68%), and were more likely to be never-smokers (58% vs. 54%), to consume alcohol (73% vs. 68%), to use hormone replacement therapy (54% vs. 46%), to take dietary supplements (76% vs. 71%), to be physically active ( $\geq$  21 kcals/week/kg in 27% vs. 20%), and to perceive their health as very good or excellent (63% vs. 55%). They were more likely to report a history of macular degeneration (5% vs. 4%) and less likely to have hypertension (32% vs. 36%) or a body mass index greater than 30 (24% vs. 33%) compared to women excluded from the analytic dataset (p<0.05 for all of the above). There was no statistically significant difference in the prevalence of self-reported cataract between those included and excluded from the analytic dataset (20% vs.19%, p=0.74).

### **Dietary Estimates**

Primary dietary estimates were made from responses to a previously validated, semiquantitative food frequency questionnaire (FFQ)<sup>26</sup> at WHI baseline (1994-98). We also obtained estimates at CAREDS visits using the same questionnaire, querying intake 15-years prior to CAREDS (1986-1989) in order to estimate long-term diet stability. Retrospective FFQs have been found to be reliable indicators of past diet.<sup>27, 28</sup> Nutrient and food group estimates in WHI and CAREDS were computed from responses to FFQs at the Fred Hutchinson Cancer

Research Center. Data from the 2,004 participants with reliable FFQ data ( $\geq$ 600 kcal and  $\leq$ 5000 kcal) at WHI baseline, <sup>26</sup> and the 1,995 participants with CAREDS 15-year past FFQ data collected retrospectively, were used to generate quintiles of nutrient intake, and tertiles of food and food group intake, for each time point.

### Serum Analyses

Serum samples were obtained from participants at WHI baseline examinations (1994-1998) after  $a \ge 10$ -hour fast and were stored at -80 degrees centigrade.<sup>29</sup> Serum levels of lutein, zeaxanthin, and tocopherols were determined at Tufts University (2004-2005) by a reverse phase HPLC analysis.<sup>30</sup> Levels of lutein and zeaxanthin represent the sum of the trans isomers. Blind duplicates were analyzed in each batch of serum analyses (in a total of 57 subjects). Mean coefficients of variation were 7.0% for all trans-lutein and 9.6% for all trans-zeaxanthin. Total triglycerides were determined by an automated chemistry analyzer based on an enzymatic method with a glycerol blank <sup>31</sup> and total cholesterol was determined enzymatically by the cholesterol oxidase method.<sup>32</sup>

### Macular Pigment Optical Density

Measurements were made using a standardized protocol by the psychophysical method of heterochromatic flicker photometry (HFP) during CAREDS study visits conducted from 2001-04. This protocol, described in detail previously, 20, 33 had high test-retest reliability (r=0.9) and participant responses at two wavelengths that are consistent with the absorption spectrum of lutein and zeaxanthin.<sup>33</sup> Briefly, participants viewed a small test field superimposed on a blue background with the right eye. The test field alternates between a wavelength (blue or blue-green) that is absorbed by the macular pigment (MP) and a reference (green to yellow-green) wavelength that is outside the absorption band of MP. When the frequency of alternation is chosen correctly, the test field appears to flicker. When making measurements, the participant is instructed to adjust the energy of the bluish test light so that the flicker stops. The amount of bluish light that is required to produce this flicker null provides a measure of the optical density of MP (MPOD) at the retinal location of the test light. The subject was instructed to fixate at the center of the following targets: 0.25, 0.5, 1 and 1.75 degrees from the foveal center so that MPOD at different eccentricities was measured, in reference to a target at 7 degrees from the center. We used data from 0.5 degrees from the foveal center, the target with the lowest within to between person variability.<sup>33</sup>

### Age-Related Nuclear Cataract

Lens photography and eye examinations were part of CAREDS study visits that took place between 2001-2004 using the standardized ETDRS protocol<sup>34</sup> modified as in the AREDS study, for which grading reliability has been previously reported<sup>35</sup>. Briefly, both eyes were examined with slit lamp biomicroscopy. After pharmacological dilation of the pupils, a single nonstereoscopic photograph was taken of each eye with a modified Topcon slit lamp camera to grade nuclear sclerosis and nuclear color using the Age-Related Eye Disease Study (AREDS) protocol.<sup>36</sup> Optical density of nuclear opacity was graded against a series of seven standard photographs producing continuous scores on a decimal scale that can range from 0 to 7.1. Severity of nuclear sclerosis was determined in eyes that had not previously undergone cataract extraction.

CAREDS questionnaires, completed before study visits, were reviewed with participants at the time of the CAREDS study visit. Eye history queries included dates of cataract surgeries in each eye, trauma to eyes, and physician diagnosed histories of cataract, glaucoma and macular degeneration and treatments and lifestyle changes that accompanied these conditions. Family history of cataract was also assessed, identifying all immediate family members who had physician-diagnosed cataracts prior to the age of 75 years.

The primary outcome was the presence of nuclear cataract, defined as a nuclear sclerosis severity score of 4 or greater in the worst eye and/or a history of cataract extraction in either eye. It was previously determined, in a similar population, that the incidence of cataract surgery was highest among people with photographically evident cataracts in the nuclear region of the lens<sup>4</sup>, suggesting that nuclear cataracts in women who had received cataract extractions were likely. All 1802 women in this dataset (described above) were eligible for this endpoint. A nuclear sclerosis severity score  $\geq$  4 was an additional outcome among women who had at least one natural lens for which lens photographs were gradable (1,577 women.).

### Covariates

Age, family history of cataract (immediate family member under age 75 years when diagnosed), UV-B sunlight exposure (since age 18 years and in last 20 years based on outdoor activities during routine and vacation periods, living location, and use of protective gear [hats or sunglasses]), and use of nutritional supplements were queried in questionnaires submitted at the CAREDS study visit. Iris color was classified from photographs taken at the CAREDS eye exam. Additional demographic, lifestyle, and health history data were available from questionnaires completed at WHI study entry (education, smoking, physical activity, height, weight, hormone replacement therapy use, alcohol use, pulse pressure, and history of diabetes, hypertension, and cardiovascular disease).

### **Statistical Analyses**

T-tests, ANCOVA, and Chi-Square tests were performed to assess the statistical significance of potential covariates in the high vs. low lutein plus zeaxanthin intake groups and by the presence or absence of nuclear cataract. Odds ratios and 95 percent confidence intervals for nuclear cataract and for nuclear sclerosis scores  $\geq 4$  (yes/no) were calculated comparing the high lutein plus zeaxanthin intake group to the low intake group using logistic regression (PROC LOGISTIC in SAS v.8.2, SAS Institute, Cary, NC).

A cataract risk factor model was determined for the CAREDS sample that included variables significantly related to nuclear cataract (p < 0.05) (Mares, et al, unpublished manuscript). After conducting age-adjusted analyses, this model was considered the starting point for further model building. The model included age (continuous), smoking status (never. past, current), iris color (blue, green, light brown, or dark brown/black), physical activity (kcals/week/kg), multivitamin use (>2 y vs. non-users), hormone replacement therapy use (never, past, current), pulse pressure (mm Hg), and body mass index (kg/m<sup>2</sup>).

Additional variables that were statistically significantly related ( $p\leq0.10$ ) to both nuclear cataract and lutein plus zeaxanthin intake group in CAREDS, or which were previously suspected to be biologically plausible confounders, were tested by adding them singly to the above risk factor model. These variables included intakes at WHI-Baseline of total energy (kcal), total fat (% kcal), saturated fat (% kcal), polyunsaturated fat (% kcal), fruits (servings/d), vegetables (servings/d), vitamin C (mg/d from food), vitamin E (mg/d from food), and gamma-tocopherol (mg/d); and use of high dose antioxidants at CAREDS Baseline (daily intake of at least 2 of the following 3 antioxidant supplements containing  $\geq$ 120mg vitamin C,  $\geq$ 60 IU (40mg) vitamin E, and  $\geq$ 10,000 mcg beta-carotene). UV-B sunlight exposure (measured in Maryland sun years <sup>37</sup>) was not found to be a significant confounder.

If the addition of the covariate changed the odds ratio by  $\geq 10\%$ , the covariate was selected for addition to a larger model. For both the cataract and nuclear sclerosis endpoints, the covariate that changed the odds ratio the greatest was added to the model first. The odds ratios comparing the high vs. low lutein plus zeaxanthin intake group were reassessed after the addition of each potential covariate. Only those covariates that still changed the odds ratio by  $\geq 10\%$  in the fuller

model were retained in the final model. Dietary variables that met these criteria, but which were strongly correlated with dietary lutein plus zeaxanthin ( $r \ge 0.5$ ) were not included in the final model in order to limit issues of co linearity.

We tested for interactions to determine whether the associations between lutein plus zeaxanthin intake and nuclear cataract differed according to levels of other risk factors (age, smoking, and UV-B sunlight exposure). An alpha level of 0.10 was used to determine significance. If a significant interaction was found, analyses were conducted in both the overall sample and by strata.

In exploratory analyses, we examined the odds for nuclear cataract in women with high and low intakes of specific foods rich in lutein plus zeaxanthin, including spinach, dark green leafy vegetables, and fruits and vegetables in general. Tertiles of food intake were created using data for the 2,004 CAREDS participants with reliable WHI baseline FFQ data, both overall and within age-strata. Because of the low intake of cooked greens in our sample (spinach, mustard greens, turnip greens, and collards), comparisons were made in those eating < 1 versus  $\geq$  1 serving per month.

Serum lutein, zeaxanthin, and lutein plus zeaxanthin were divided into quintile categories. Odds ratios and 95% confidence intervals were calculated as described above, using the lowest quintile category as the referent group.

Exploratory analyses examined the cross-sectional relationship between levels of lutein and zeaxanthin in the macula (MPOD) and nuclear cataract. MPOD values were divided into quintile categories and odds ratios and 95% confidence intervals were calculated as described above.

## RESULTS

#### Distribution of risk factors by level of lutein plus zeaxanthin in the diet

The median level of lutein plus zeaxanthin in the diet was approximately three times higher in women in the high lutein intake group compared to those in the low intake group (Table 1). Women in the high lutein intake group were more likely to be older, non-white, college educated, in a higher income bracket, more physically active, and have lower BMI's and serum triglycerides compared to those in the low lutein intake group. They also were more likely to rate their general health status as excellent or very good. Women with lower levels of dietary lutein plus zeaxanthin were more likely to live in Iowa and have blue eyes. Total energy intake was higher in the high lutein intake group, although the percentages of dietary fats in the diet were less than in the low lutein intake group. Intakes of dietary fiber, fruits, vegetables, many micronutrients and carotenoids, alcohol, and high dose antioxidant supplements were also higher in the high compared to the low lutein group, as were serum levels of lutein and zeaxanthin and alpha-tocopherol. Despite higher intakes of gamma-tocopherol, women in the high lutein intake group had lower serum levels of this tocopherol compared to the low lutein intake group. Results were similar when examining intakes as nutrient densities, except for omega-3 fatty acids (0.79 g/1000kcal/d in the low lutein group vs. 0.76 g/1000kcal/d in the high group, p<0.01) and alcohol (3.3 g/1000kcal/d in the low lutein group vs. 2.9 g/1000kcal/ d in the high group, p=0.15).

#### Association between dietary lutein plus zeaxanthin and nuclear cataract

The prevalence of nuclear cataract was lower among women in the high, compared to low, lutein intake groups after adjusting for age (Table 1). The prevalence of nuclear sclerosis scores  $\geq 4$  was marginally lower among women in the high lutein group vs. the low group, as was the prevalence of cataract extraction surgery. Women in the high lutein intake group were 23%

less likely to have nuclear cataract after adjusting for age (Table 2). Further adjustment for other cataract risk factors did not substantially alter the odds ratio, although it was only marginally statistically significant (p=0.08). Odds ratios for nuclear sclerosis scores  $\geq$  4 were similar. In analyses in which both eyes were used as units of analyses, in generalized estimating equations, results were nearly identical (data not shown).

The associations were strengthened when women in this sample were further categorized by quintiles of lutein and zeaxanthin in the diet. Women in the highest vs. lowest quintile categories of diet lutein+zeaxanthin were 32% less likely to have nuclear cataract (multivariable-adjusted OR) (Table 3). Associations with nuclear sclerosis scores  $\geq$  4 were similar to those observed for nuclear cataract.

We explored the possibility that age, smoking status, or UV-B exposure were modifying the relationship between dietary lutein plus zeaxanthin and nuclear cataract. No statistically significant interactions were observed for age (P for interaction = 0.67), smoking status (P for interaction = 0.64), or UV-B exposure (P for interaction = 0.60) with lutein intake group.

### Association between serum lutein plus zeaxanthin and nuclear cataract

A 32% lower prevalence odds of nuclear cataract was observed for women in the highest quintile category of serum lutein+zeaxanthin compared to those in the lowest category (multivariable-adjusted OR) (Table 3). Associations with nuclear sclerosis scores  $\geq$  4 were attenuated compared to those observed for overall nuclear cataract, and were not statistically significant.

# Confounding of the association between lutein plus zeaxanthin and nuclear cataract by dietary fat intake

Intake of total dietary fat (% kcal) significantly attenuated the associations between dietary lutein+zeaxanthin and nuclear cataract (Table 4). The associations with serum lutein + zeaxanthin were less strongly attenuated. The Pearson age-adjusted correlation coefficient between total dietary fat (% kcal, continuous) and lutein intake group was -0.35 (p < 0.0001).

Several other nutrients and food components attenuated the association between nuclear cataract and dietary lutein+zeaxanthin, including vitamin C, lycopene, beta-carotene, and fiber. These were not included in statistical models because of their high correlations with dietary lutein (Pearson age-adjusted correlation coefficients ranged from 0.61-0.77).

# Association between lutein plus zeaxanthin and nuclear cataract after excluding those with dietary instability

Preliminary data suggested fluctuations in the level of lutein plus zeaxanthin in the diets of women in our sample prior to their enrollment in the WHI. Thus, we examined associations in women whose intake of lutein plus zeaxanthin did not change more than one quintile category between the CAREDS 15-year past FFQ and the WHI-Baseline FFQ (N=1,421). The association with nuclear cataract was similar to that observed in the overall dataset (age-adjusted OR [95% CI] comparing the highest versus lowest quintile category = 0.65 [0.44-0.95], p-trend = 0.07).

### Association between food sources of lutein plus zeaxanthin and nuclear cataract

In exploratory analyses, we examined the odds for nuclear cataract in women with high and low intakes of fruits, vegetables, and specific foods rich in lutein and zeaxanthin. Women in the highest tertile categories of intake of fruits, vegetables, and fruits + vegetables were approximately 30% less likely to have nuclear cataract than women in the lowest tertiles of intake (Table 5). After multivariable adjustment, odds ratios were slightly attenuated and only

the association with vegetable intake remained statistically significant. Associations with specific foods rich in lutein and zeaxanthin, such as cooked greens, dark green lettuces, and broccoli were in protective directions, but not statistically significant.

# Cross-sectional association between lutein plus zeaxanthin in the macula (MPOD) and nuclear cataract

Exploratory, cross-sectional analyses found a non-significant decrease in the prevalence odds of nuclear cataract for women in the highest quintile category of MPOD (median 0.63 OD units) compared to those in the lowest category (median 0.08 OD units)(age-adjusted OR (95% CI) = 0.80 (0.56-1.12), p-trend = 0.23) among the 1714 participants in these analyses that had MPOD values. The association was slightly attenuated after adjusting for other risk factors (smoking, iris pigmentation, physical activity, use of hormone replacement therapy, multivitamin use and pulse pressure) (OR 95% CI) = 0.84 (0.59-1.19) and further attenuated after adding to the model, variables that explain MPOD (lutein intake and BMI)  $^{20}$  ( OR 95% CI = 0.88 (0.61-1.25). In analyses in which both eyes were used as units of analyses for the outcome, in generalized estimating equations, results were identical (data not shown).

## COMMENT

We observed a moderate decrease in the prevalence of nuclear cataract among women with diets high in lutein plus zeaxanthin compared to those with diets lower in these carotenoids. This association was stronger when examining intake of lutein plus zeaxanthin across all levels of intake in this sample (by quintile categories) than when comparing the two groups of women sampled who had lutein and zeaxanthin intakes above the 78th and below the 28th quintiles. Associations were similarly strong for serum lutein plus zeaxanthin.

These results are consistent with a body of evidence that supports a protective relationship between lutein and zeaxanthin and nuclear cataract. Lutein and zeaxanthin are the predominant carotenoids in the lens<sup>12</sup> and have been demonstrated to protect against photodamage in vitro. <sup>14</sup> Results of several previous epidemiological studies of samples with average intakes of lutein and zeaxanthin over wide ranges (0.8 to 3.3 mg/day) have suggested protective associations between high intakes of lutein and zeaxanthin and nuclear cataract, <sup>16</sup>, <sup>38-42</sup> although the association, in one study, was no longer significant after adjusting for vitamin C <sup>42</sup> and the inverse association was not significant in another. <sup>43</sup> Serum levels of lutein and zeaxanthin, combined has been associated with nuclear cataract in longitudinal, <sup>44</sup> but not cross-sectional studies.<sup>45-47</sup>, possibly reflecting error and bias related to recent diet change, since serum carotenoid levels reflect recent, not long-term, carotenoid intake.

There have been no significant associations reported between the intake of lutein and zeaxanthin and opacities in other regions of the lens. This may be due to the fact that opacities in other regions are less common and there is commonly low statistical power to assess these relationships. In one previous study<sup>16</sup>, the prevalence of cortical and posterior subcapsular opacities were lower among persons with intakes of lutein and zeaxanthin in the highest, compared to lowest, quintile, but not statistically significant. We did not obtain lens photographs in this study that would permit us to accurately determine the presence of lens opacities in these regions of the lens.

The associations observed between nuclear cataract and lutein and zeaxanthin in the diet and serum might reflect, in part, the overall benefits of diets high in fruits and vegetables or related diet patterns. Diets high in fruits and vegetables were associated with nuclear cataract in this study and were also related to decreased cataract extractions or cataracts of any type in two previous studies<sup>48, 49</sup> Non-significant inverse associations between the intake of fruits and vegetables and nuclear cataract were observed, in a sub study of the Nurse's Health Study <sup>50</sup>

and in the Beaver Dam Eye Study, in which the level of fruit and vegetable intake in the highest quintile was about half of that in the Nurses Health Study <sup>3839</sup>. However, among specific fruits and vegetables, spinach, which is a concentrated source of lutein and zeaxanthin, has been the food item most consistently inversely associated with both nuclear opacities <sup>38, 39, 51</sup> and with opacities in any location.<sup>40, 41, 52, 53</sup>

We found that the association between nuclear cataract and dietary intakes of lutein and zeaxanthin were attenuated after adjusting for total dietary fat intake as a percentage of calories. To our knowledge, no other studies have reported dietary fat as a confounder of this relationship, or as a risk factor for nuclear cataract. Two studies of dietary fat and cataract reported relationships between nuclear cataract and linoleic and linolenic acids, and cataract and long-chain omega-3 fatty acids and cataract extraction, but not with total dietary fat intake. <sup>43, 54</sup> Since the biologic plausibility of a direct association between dietary fat and cataract risk is weak at this time, we speculate that low dietary fat could be a marker for a diet pattern that has a high density of several micronutrients that could protect against cataract and may have contributed to the attenuation of the association by dietary fat (Table 4).

The observed protective associations between lutein and zeaxanthin the diet and serum to nuclear cataract in the observed study could reflect other aspects of diet or lifestyle that differ in women with diets high and low in fruits and vegetables that are unknown and unmeasured. We further evaluated cross-sectional associations of nuclear cataract with macular pigment that may reflect the status of these carotenoids in the eye, more specifically. Compared to the confidence intervals observed for the relationship of diet and serum lutein plus zeaxanthin to nuclear cataract, those for MPOD are not only weaker, but wider. This may be due to the crosssectional nature of these analyses, as well as the variable retinal response to dietary carotenoids. The results are consistent, in direction, with two previous cross-sectional studies of lens optical density and MPOD.<sup>21, 22</sup> The stronger odds ratios and tighter confidence intervals observed for the association of lutein plus zeaxanthin in the diet and serum may reflect the prospective nature of the analyses, the lack of confounding by recent diet change, or the fact that diets rich in lutein plus zeaxanthin reflect other unmeasured aspects of diet or lifestyle that protect against nuclear cataract. It might also reflect imperfect correlations between the levels of carotenoids in the macula and the lens. Prospective studies of MPOD to nuclear cataract are needed to clarify relationships with lutein and zeaxanthin status in the eye.

To our knowledge, this is the first study to examine the effect of an apparently poor serum response to intake of lutein plus zeaxanthin. However, excluding these apparently low responders did not change the observed associations between diet or serum levels of these carotenoids and nuclear cataract. Similarly, the exclusion of women whose reported intake of lutein plus zeaxanthin was relatively unstable did not change the observed associations for dietary lutein plus zeaxanthin and nuclear cataract.

In summary, we observed that diets rich in lutein and zeaxanthin were moderately associated with decreased prevalence of age-related nuclear cataract in women. Associations were similarly strong across quintiles of dietary and serum lutein plus zeaxanthin. High intakes of fruits and vegetables were also associated with reduced prevalence of nuclear cataract. These observations are consistent with those from previous observational studies. The possibility of a confounding influence of total dietary fat, which might reflect micronutrient-rich diet patterns, should be explored in further studies. Overall, our results suggest that diets rich in lutein and zeaxanthin protect against age-related nuclear cataract. However, other protective aspects of these diets may, in part, explain the observed relationships.

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WHI-OS "Baseline"	CAREDS "Baseline"	
1994-1998	2001-2004	

### **Dietary data**

Serum data Height and weight Education Smoking Physical activity Use of hormone replacement therapy and alcohol

### **Enrollment in CAREDS**

Age Slit lamp photographs Macular pigment optical density History of cataract surgery Family history of cataract Retrospective FFQ (diet in 1986-1989) Iris color Use of nutritional supplements Sunlight exposure

**Figure.** CAREDS Data Collection Timeline

### TABLE 1

## Characteristics<sup>1</sup> of CAREDS participants<sup>2</sup> by level of lutein and zeaxanthin in the diet at WHI Baseline (N=1,802)

Variable	<u>Lutein plus Zeaxa</u> <u>Low</u>	nthin Intake Group <sup>2</sup> <u>High</u>
Number of participants in each group	919	883
Dietary lutein+zeaxanthin $(\mu g/d)^3$	791	2889 <sup>4, c</sup>
Median dietary lutein+zeaxanthin (mg/d)	0.8	2.6
Dietary lutein+zeaxanthin (mg/1000 kcal/d) $^{3}$	0.6	1.6 <sup>c</sup>
Median dietary lutein+zeaxanthin (mg/1000 kcal/d)	0.6	1.4
Age Group (%)		
≤69 y	52	47 <sup>a</sup>
70-74 y	24	24
$\geq 75y$	24	29 <sup>a</sup>
Ethnicity (% Caucasian)	98	97 <sup>a</sup>
Education (%)		
High School	30	14 <sup>c</sup>
College	49	46
Post-College Studies	21	40 <sup>c</sup>
Income $\geq$ \$ 75,000 per year (%)	13	21 °
Study site (%)		
Iowa	37	30 <sup>b</sup>
Oregon	33	33
Wisconsin	30	37 <sup>b</sup>
Lifestyle		
Smoking		co h
Never	54	60 <sup>b</sup>
Past	42	37 3 <sup>b</sup>
Current	5	
Physical activity (kcal/week/kg body weight)	12	18 <sup>c</sup>
Ocular Factors		39 <sup>b</sup>
Iris pigmentation (% blue)	45	
History of cataract diagnosis (%)	48	47
Family history of cataract (% yes)	31	31
Refractive error	-0.62	-0.65
Nuclear Cataract Outcomes	42	38 <sup>a</sup>
Overall Nuclear Cataract	43	58 -
(Either Nuclear Sclerosis Score $\geq 4$ or Cataract Extraction (%))	21	27
Nuclear Scierosis Score $\geq 4 \ (\%)$	31	27
Cataract extraction surgery (%) Modical Easters	20	17
$\frac{\text{Medical Factors}}{\text{Medical Factors}}$	20	27 <sup>b</sup>
Body mass index (kg/m <sup>2</sup> )	28 29	
History of hypertension (% yes) History of cardiovascular disease (% yes)	29	26 24
History of diabetes	25 3	24 4
Cholesterol lowering medications (%)	5	4
Never	70	70
Past	4	5
Current	4 26	25
Hormone Replacement Therapy (%)	20	23
Never	34	33
Past	13	14
Current	53	53
Systolic BP (mm Hg)	127	127
Pulse Pressure (mm Hg)	53	53
General Health (%) (N=1798)	55	55
Excellent/Very Good	59	69 <sup>b</sup>
Good	34	26 b
Fair/Poor	7	4 <sup>b</sup>
<u>Diet</u> <sup>5</sup>	-	
Total energy (kcal)	1316	1956 <sup>c</sup>
Total dietary fat (% kcal)	34	28 <sup>c</sup>
Saturated fat (% kcal)	12.0	9.6 <sup>c</sup>
Polyunsaturated fat (% kcal)	6.9	6.0 <sup>c</sup>
Trans fat intake, mg/d	3.4	4.0 <sup>c</sup>
Total omega-3 fatty acids, g/d	1.0	15 <sup>c</sup>
Dietary fiber, g/d	12	26 <sup>c</sup>
Alcohol intake (g/d)	4.1	5.5 <sup>b</sup>
Fruit intake (servings/d)	1.4	30°
	1.2	3.9 °
Vegetable intake (servings/d)		
Vegetable intake (servings/d) Beta-carotene, ug/d		6994 <sup>c</sup>
Beta-carotene, µg/d	2098	6994 <sup>c</sup>
		6994 <sup>c</sup> 182 <sup>c</sup> 165 <sup>c</sup>

	Lutein plus Zeaxanthin Intake Group <sup>2</sup>	
Variable	Low	High
Gamma-tocopherol (mg/d)	11.3	14.8 <sup>c</sup>
Zinc (mg/d)	8.5	13.7 <sup>c</sup>
Supplement Use		
Non-users of any supplement (% non-users)	15	9 <sup>b</sup>
Among supplement users, % users of:		
Multivitamins	42	49
Lutein or lutein + zeaxanthin	4.8	4.7
High dose antioxidants <sup>6</sup>	28	35 <sup>b</sup>
High dose zinc <sup>7</sup>	18	17
Serum (N=1777-1778)		
Total Cholesterol (mmol/L)	5.8	5.8
Friglycerides (mmol/L)	1.9	5.8 1.7 <sup>b</sup>
Lutein (all-trans, µmol/L)	0.21	0.31 <sup>c</sup>
Zeaxanthin (trans, µmol/L)	0.054	0.064 <sup>c</sup>
Lutein+zeaxanthin (trans, µmol/L)	0.26	0.37 <sup>c</sup>
Median serum lutein+zeaxanthin (trans, µmol/L)	0.24	0.35
Alpha-tocopherol (µmol/L)	47	49 <sup>a</sup>
Gamma-tocopherol (µmol/L)	6.2	4.8 <sup>c</sup>

<sup>1</sup>Data represent age-adjusted means or percentage of participants (directly standardized for age group (except for 'Age-Group'):  $\leq$ 69, 70-74,  $\geq$ 75) unless otherwise indicated.

<sup>2</sup>Participants were selected for intake into CAREDS if their intake of lutein + zeaxanthin was "low" (<28th percentile) or high (>78th percentile) relative to other women at each site in the larger WHI-Observational Study at WHI Baseline.

 $^{3}$ Means (not age-adjusted)

<sup>4</sup> The difference between categories was statistically significant: <sup>a</sup>  $p \le 0.05$ ; <sup>b</sup>  $p \le 0.01$ ; <sup>c</sup>  $p \le 0.001$ 

<sup>5</sup>Dietary intakes include food sources only

 $^{6}$  Daily intake of at least 2 of the following 3 antioxidants from supplements containing  $\geq$  120 mg vitamin C,  $\geq$  60 IU (40 mg) vitamin E, or  $\geq$  10,000 µg beta-carotene at CAREDS baseline

 $^{7}\textsc{Daily}$  intake of  $\geq$  15 mg supplemental zinc at CAREDS baseline

### TABLE 2

Odds ratios (95% confidence intervals) for overall nuclear cataract age-related nuclear sclerosis in women by levels of lutein plus zeaxanthin in the diet

			Lutein and Zeaxanthin Inta	ike Group
	Low		High	
Median intake of LZ [range] (mg/ d)	0.8 [0.3-1.1]		2.6 [2.0-10.7]	
	Referent	N (with outcome)	Age-adjusted model	Multivariable-adjusted model $^{l}$
Overall Nuclear Cataract (nuclear sclerosis score≥4	1.00	1802 (733)	0.77 (0.62-0.96)	0.81 (0.65-1.01)
and/or cataract Nuclear Sclerosis Score ≥ 4	1.00	1571 (451)	0.79 (0.62-1.00)	0.80 (0.62-1.02)

<sup>I</sup>Odds ratios are adjusted for age (continuous), smoking status at WHI Baseline (never, past, current), iris pigmentation (dark brown, light brown, green, or blue), physical activity (METs), multivitamin use at CAREDS baseline (>2y vs. non-user), hormone replacement therapy use at WHI-Baseline (never, past, current), pulse pressure at WHI-Baseline (continuous), body mass index at WHI-Baseline (weight in kg/height in m<sup>2</sup>)

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Odds ratios (95% confidence intervals) for overall nuclear cataract or nuclear sclerosis by levels of lutein plus zeaxanthin in the diet **TABLE 3** 

	1	2	Quintile category o 3	Quintile category of lutein + zeaxanthin 3	Ś	P trend <sup>1</sup>
Diet Median Intakes per quintile <sup>2</sup> Lutein + zeaxanthin (mg/d)	0.6	6.0	1.0	2.4	3.3	
Nuclear Cataract (nuclear sclerosis score ≥ 4 and/or cataract surgery) Age-adjusted (95% CI) <sup>2</sup> Multivariable-adjusted (95% CI) <sup>3</sup>	1.0 1.00	0.75 (0.54-1.04) 0.76 (0.54-1.07)	0.83 (0.59-1.16) 0.93 (0.66-1.32)	0.71 (0.51-1.00) 0.77 (0.54-1.08)	0.64 (0.45-0.89) 0.68 (0.48-0.97)	0.01 0.04
Nuclear Sclerosis Score ≥ 4 Age-adjusted (95% Cl) <sup>2</sup> Multivariable-adjusted (95% Cl) <sup>3</sup>	1.00	0.72 (0.50-1.05) 0.72 (0.49-1.05)	0.83 (0.57-1.20) 0.90 (0.62-1.33)	0.72 (0.50-1.05) 0.74 (0.50-1.09)	0.63 (0.44-0.92) 0.63 (0.43-0.94)	0.04 0.04
Median serum value [range], µmol/L Lutein+zeaxanthin	0.15 [0.04- 0.19]	0.22 [0.19-0.25]	0.29 [0.25-0.33]	0.37 [0.33-0.42]	0.50 [0.42-1.69]	
Nuclear Cataract (nuclear sclerosis score ≥ 4 and/or cataract surgery) Age-adjusted (95% CI) <sup>2</sup> Multivariable-adjusted (95% CI) <sup>4</sup>	1.00	0.84 (0.60-1.17) 0.90 (0.64-1.27)	<b>0.69 (0.50-0.97)</b> 0.75 (0.53-1.07)	0.76 (0.54-1.06) 0.83 (0.58-1.18)	0.60 (0.43-0.84) 0.68 (0.47-0.98)	0.001
Nuclear Sclerosis Score $\geq$ 4 Age-adjusted (95% CI) $\frac{2}{2}$ Multivariable-adjusted (95% CI) <sup>4</sup>	1.00	0.77 (0.53-1.11) 0.85 (0.58-1.24)	0.71 (0.49-1.03) 0.79 (0.54-1.16)	$0.77 (0.53-1.13) \\ 0.87 (0.59-1.28)$	<b>0.69 (0.47-0.99)</b> 0.79 (0.53-1.18)	<b>0.04</b> 0.16
/ P-trend analyses used lutein + zeaxanthin (μg/d in diet and μmol/L in serum) as a continuous variable in the logistic regression models	diet and µmol/L in	serum) as a continuous varia	able in the logistic regression	n models		

<sup>2</sup>Odds ratios are adjusted for age only (continuous)

<sup>3</sup> Multivariable-adjusted odds ratios are adjusted for age (continuous), smoking status at WHI Baseline (never, past, current), iris pigmentation (dark brown, light brown, green, or blue), physical activity (kcal/week/kg), multivitamin use at CAREDS baseline (>2y vs. non-user), hormone replacement therapy use at WHI-Baseline (never, past, current), pulse pressure at WHI-Baseline (continuous), body mass index at WHI-Baseline (weight in kg/height in  $m^2$ )

 $\overset{4}{\phantom{-}24}$  participants missing serum data on lute in and zeaxanthin serum out of 1,802

The effect of total dietary fat on multivariable-adjusted odds ratios (95% confidence intervals) for nuclear cataract (nuclear sclerosis TABLE 4

score  $\geq 4$  &/or cataract surgery) by levels of lutein plus zeaxanthin in the diet or serum

				Lutein and Zeaxan	Lutein and Zeaxanthin Intake Group (N=1,802)	=1,802)	
			Low Intake Group	Group		High Intake Group	
	Multivariable-adjusted (95% CI)		1.00			0.81 (0.65-1.01)	
	Multivariable-adjusted (95% CI) + total dietary fat		1.00			0.90 (0.71-1.14)	
			Ō	intile category of diet	<b>Ouintile category of dietary lutein + zeaxanthin (N=1,802)</b>	in (N=1,802)	
		1	2	3	4	5	$\mathbf{P}$ -trend <sup><math>I</math></sup>
	Multivariable-adjusted <sup>2</sup>	1.00	0.76 (0.54-1.07)	0.93 (0.66-1.32)	0.77 (0.54-1.08)	0.68 (0.48-0.97)	0.04
	Multivariable-adjusted + total dietary fat <sup>3</sup>	1.00	0.78 (0.55-1.09)	0.99 (0.70-1.41)	0.86 (0.60-1.23)	0.78 (0.54-1.12)	0.27
			Quin	tile category of serum	<b>Duintile category of serum lutein and/or zeaxanthin (N=1,778)</b>	thin (N=1,778)	
		1	2	3	4	5	$\mathbf{P}$ -trend <sup><math>I</math></sup>
	Multivariable-adjusted <sup>2</sup>	1.00	0.90 (0.64-1.27)	$0.75\ (0.53-1.07)$	0.83 (0.58-1.18)	0.68 (0.47-0.98)	0.01
	Multivariable-adjusted + total dietary fat <sup>3</sup>	1.00	0.91 (0.65-1.28)	0.77 (0.55-1.09)	0.87 (0.61-1.24)	0.73 (0.50-1.05)	0.04
1	<i>I</i>				•		

P-trend analyses used dietary ( $\mu$ g/d) or serum ( $\mu$ mol/L) lutein + zeaxanthin as a continuous variable in the logistic regression models

<sup>2</sup>Multivariable-adjusted odds ratios are adjusted for age (continuous), smoking status at WHI Baseline (never, past, current), iris pigmentation (dark brown, light brown, green, or blue), physical activity (kcal/week/kg), multivitamin use at CAREDS baseline (>2y vs. non-user), hormone replacement therapy use at WHI-Baseline (never, past, current), pulse pressure at WHI-Baseline (continuous), body mass index at WHI-Baseline (weight in kg/height in  $m^2$ )

 $^3$ Odds ratios are adjusted for all of the variables listed above, plus intake of total dietary fat (% kcal/d)

#### TABLE 5

Odds ratios (95% confidence intervals) for nuclear cataract (nuclear sclerosis score  $\geq$  4 and/or cataract surgery) by levels of lutein-rich foods in the diet (n=1,802)

		Category of Intake of Specific Fo	oods/Food Groups <sup>1</sup>
	1	2	3
Fruit and vegetables			
Median serv/week	14	29	53
Age-adjusted odds ratio (95% CI) <sup>2</sup>	1.00	0.76 (0.58-0.98)	0.70 (0.54-0.92)
Multivariable-adjusted odds ratio (95% CI) <sup>3</sup>	1.00	0.80 (0.61-1.05)	0.76 (0.58-1.00)
Fruit			
Median serv/week	5.6	14	25
Age-adjusted odds ratio (95% CI) <sup>2</sup>	1.00	0.92 (0.71-1.19)	0.72 (0.56-0.94)
Multivariable-adjusted odds ratio (95% CI) <sup>3</sup>	1.00	0.98 (0.75-1.28)	0.78 (0.60-1.03)
Vegetables			
Median serv/week	7.0	15	29
Age-adjusted odds ratio (95% CI) <sup>2</sup>	1.00	0.75 (0.58-0.97)	0.69 (0.53-0.90)
Multivariable-adjusted odds ratio (95% CI) <sup>3</sup>	1.00	0.79 (0.61-1.03)	0.74 (0.56-0.97)
Cooked greens <sup>4</sup>			
Median serv/week	0.0	0.8	
Age-adjusted odds ratio (95% CI) <sup>2</sup>	1.00	0.80 (0.64-1.01)	
Multivariable-adjusted odds ratio (95% CI) <sup>3</sup>	1.00	0.82 (0.64-1.04)	
Mixed lettuce salad			
Median serv/week	0.5	2.0	5.1
Age-adjusted odds ratio (95% CI) <sup>2</sup>	1.00	0.76 (0.58-1.01)	0.82 (0.64-1.04)
Multivariable-adjusted odds ratio (95% CI) <sup>3</sup>	1.00	0.81 (0.61-1.07)	0.86 (0.67-1.11)
Dark green leafy vegetables <sup>5</sup>			,
Median serv/week	0.5	2.1	5.5
Age-adjusted odds ratio (95% CI) <sup>2</sup>	1.00	0.79 (0.61-1.02)	0.77 (0.59-1.00)
Multivariable-adjusted odds ratio (95% CI) <sup>3</sup>	1.00	0.85 (0.65-1.10)	0.81 (0.62-1.07)
Broccoli			
Median serv/week	0.1	0.5	2.1
Age-adjusted odds ratio (95% CI) <sup>2</sup>	1.00	0.84 (0.65-1.09)	0.83 (0.64-1.08)
Multivariable-adjusted odds ratio (95% CI) <sup>3</sup>	1.00	0.87 (0.67-1.14)	0.89 (0.68-1.17)

<sup>1</sup>Tertile categories are based on the number of medium-sized servings per week of each food or food group in all participants (n=2004)

<sup>2</sup>Odds ratios are adjusted for age (continuous) only

<sup>3</sup>Odds ratios are adjusted for age (continuous), smoking status at WHI Baseline (never, past, current), iris pigmentation (dark brown, light brown, green, or blue), physical activity (kcal/week/kg), family history of cataract (yes/no), multivitamin use at CAREDS baseline (>2y vs. non-user), hormone replacement therapy use at WHI-Baseline (never, past, current), pulse pressure at WHI-Baseline (continuous), body mass index at WHI-Baseline (weight in kg/height in m<sup>2</sup>)

<sup>4</sup>Cooked greens include spinach, mustard greens, turnip greens, and collards. Due to the small number of individuals consuming cooked greens, intake was examined in those eating  $\geq$  1 serving/month vs. < 1 serving/month

<sup>5</sup>Dark green leafy vegetables include mixed lettuce or spinach salad with vegetables, and cooked greens